

The following is a sketch of proof of:

**Theorem 1** *First-order intuitionistic logic with  $\forall$  and  $\rightarrow$  is undecidable.*

Consider a finite set of tile types  $T$  with an initial tile  $t_0 \in T$  and with two relations  $v, h \subseteq T^2$  of vertical and horizontal neighbourhood. A function  $f : \mathbb{N}^2 \rightarrow T$  is a *tiling* when  $f(0,0) = t_0$  and for every  $i, j \in \mathbb{N}$  it holds that:

$$\langle f(i, j), f(i+1, j) \rangle \in h \text{ and } \langle f(i, j), f(i, j+1) \rangle \in v.$$

It is undecidable whether such a tiling exists. In what follows we fix  $T, t_0, h, v$ , and we write a formula  $\Phi$  such that  $\Phi$  is **provable** if and only if **there is no tiling**. Let  $T = \{t_0, \dots, t_n\}$ .

The vocabulary of  $\Phi$  consists of:

- nullary relation symbols *start, loop*;
- unary relation symbols *X, Y, set*;
- binary relation symbols *H, V*;
- unary relation symbols *t*, for every  $t \in T$ .

Let  $\Gamma$  consist of the following formulas:

1.  $\forall x(X(x) \rightarrow Y(x) \rightarrow t_0(x) \rightarrow \text{loop}) \rightarrow \text{start}$ ;
2.  $\forall x(X(x) \rightarrow \forall y(H(x, y) \rightarrow X(y) \rightarrow \text{set}(y)) \rightarrow \text{loop})$ ;
3.  $\forall x(Y(x) \rightarrow \forall y(V(x, y) \rightarrow Y(y) \rightarrow \text{set}(y)) \rightarrow \text{loop})$ ;
4.  $\forall xyz(H(x, y) \rightarrow V(x, z) \rightarrow \forall u(H(z, u) \rightarrow V(y, u) \rightarrow \text{set}(u)) \rightarrow \text{loop})$ ;
5.  $\forall x((t_0(x) \rightarrow \text{loop}) \rightarrow \dots \rightarrow (t_n(x) \rightarrow \text{loop}) \rightarrow \text{set}(x))$ ;
6.  $\forall xy(V(x, y) \rightarrow t(x) \rightarrow t'(y) \rightarrow \text{loop})$ , for all pairs  $\langle t, t' \rangle \notin v$ ;
7.  $\forall xy(H(x, y) \rightarrow t(x) \rightarrow t'(y) \rightarrow \text{loop})$ , for all pairs  $\langle t, t' \rangle \notin h$ .

Define  $\Phi = \gamma_1 \rightarrow \dots \rightarrow \gamma_d \rightarrow \text{start}$ , where  $\gamma_1, \dots, \gamma_d$  are all formulas in  $\Gamma$ . We must show that  $\Gamma \vdash \text{start}$  holds if and only if there is no tiling.

**Part 1:** *No tiling yields a proof.*

Consider an environment  $\Delta$  consisting solely of atoms, and let  $u$  be a variable in  $\Delta$ . We define a *position* of  $u$  in  $\Delta$  as follows:

- If  $X(u), Y(u) \in \Delta$  then  $\langle 0, 0 \rangle$  is a position of  $u$ ;
- If  $X(u), H(y, u) \in \Delta$ , and  $\langle i, 0 \rangle$  is a position of  $y$ , then  $\langle i+1, 0 \rangle$  is a position of  $u$ ;
- If  $Y(u), V(y, u) \in \Delta$ , and  $\langle 0, i \rangle$  is a position of  $y$ , then  $\langle 0, i+1 \rangle$  is a position of  $u$ ;
- If  $H(x, y), V(x, z), H(z, u), V(y, u) \in \Delta$ , and  $\langle i, j \rangle$  is a position of  $x$ , then  $\langle i+1, j+1 \rangle$  is a position of  $u$ .

We say that an environment  $\Delta$  is *proper* when  $\Delta$  consists solely of atoms and

- every variable in  $\Delta$  has exactly one position;<sup>1</sup>
- the set of positions of variables in  $\Delta$  is componentwise downward closed;
- for every variable  $u$  in  $\Delta$ , there is exactly one tile  $t \in T$  with  $t(u) \in \Delta$ .

A proper environment is *good* if no two variables have the same position. The intention is of course that a good environment describes a partial tiling. Observe that  $H(x, y) \in \Delta$  if and only if  $\langle i, j \rangle$  is a position of  $x$ , and  $\langle i+1, j \rangle$  is a position of  $y$ , for some  $i, j$ , and that a similar statement holds for vertical neighbourhood. (Proof by simultaneous induction).

<sup>1</sup>But there can be multiple variables with the same position.  $\exists$ -ros' strategy will however avoid this.

Assume that no tiling exists. We define a strategy for  $\exists$ ros. Initially, he has little choice: he must use the assumption 1 and this yields the proof obligation  $\Gamma, X(x), Y(x), t_0(x) \vdash \text{loop}$ . The environment  $\{X(x), Y(x), t_0(x)\}$  is good.

Assume that  $\exists$ ros wants to prove  $\Gamma, \Delta \vdash \text{loop}$ , with some good  $\Delta$ . If he can discover an inconsistency in the tiling, either vertical or horizontal, then he wins instantly by applying either formula 6 or 7, respectively. Assume therefore that there is no inconsistency, i.e., the partial tiling is correct so far. Then  $\exists$ ros expands it by adding one more position. He chooses a pair  $\langle i, j \rangle$  which is not a position for  $\Delta$  and is minimal with respect to the componentwise order. (This ensures that he will systematically cover all positions.)

Case 1:  $i = 0, j = j' + 1$ . Then  $\langle 0, j \rangle$  is a position of a variable  $x$  with  $Y(x) \in \Delta$ .  $\exists$ ros applies axiom 3, and obtains the judgment  $\Gamma, \Delta, V(x, y), Y(y) \vdash \text{set}(y)$ , where  $y$  is a fresh variable. Then he must play axiom 5 with  $x := y$ . This splits the proof into  $n$  branches of the form  $\Gamma, \Delta, H(x, y), Y(y), t(y) \vdash \text{loop}$ , for all  $t \in T$ . Put it differently,  $\forall$ phrodite chooses a tile  $t$  to be placed at position  $\langle 0, j \rangle$ . Observe that the environment  $\Delta, H(x, y), Y(y), t(y)$  is good.

Case 2:  $i = i' + 1, j = 0$  is similar.

Case 3:  $i = i' + 1, j' + 1$ . The minimality and downward-closedness implies that pairs  $\langle i', j' \rangle$ ,  $\langle i' + 1, j' \rangle$ ,  $\langle i', j' + 1 \rangle$  are positions of some variables  $x, y, z$ . Because  $\Delta$  is good, it follows that  $H(x, y), V(x, z) \in \Delta$ . This enables  $\exists$ ros to play axiom 4. As a result, a fresh  $u$  is introduced and the next judgment is  $\Gamma, \Delta, H(z, u), V(y, u) \vdash \text{set}(u)$ . As in Case 1, the next step is a universal split and we end up with the new judgment  $\Gamma, \Delta, H(z, u), V(y, u), t(u) \vdash \text{loop}$ , where the environment  $\Delta, H(z, u), V(y, u), t(u)$  is again good.

We know that there is no tiling, i.e., every function  $f : \mathbb{N}^2 \rightarrow T$ , satisfying  $f(0, 0) = t_0$ , must exhibit an inconsistency either of the form  $\langle f(i, j), f(i + 1, j) \rangle \notin h$  or of the form  $\langle f(i, j), f(i, j + 1) \rangle \notin v$ . Every branch of the proof corresponds to such a function, the value of  $f(i, j)$  being determined by an application of axiom 5, when a variable at position  $\langle i, j \rangle$  is introduced. In addition,  $\exists$ ros' strategy is such that every pair  $\langle i, j \rangle$  must eventually become a position at every branch. This enables  $\exists$ ros to exploit the inconsistency.

**Part 1:** *Tiling yields a refutation.*

If there exists a tiling  $f$  then  $\forall$ phrodite has a winning strategy (refutation) based on  $f$ . Then every proof attempt can never be completed and must be infinite. Any proof must necessarily start with axiom 1, and recurrently address judgments of the form  $\Gamma, \Delta \vdash \text{loop}$ , where  $\Delta$  consists solely of atoms. The environment  $\Delta$  is proper, although now there can be multiple variables with the same position. In principle, such variables could be assigned different tiles, but  $\forall$ phrodite's strategy prevents it. Formally, we show the following statement:

*Let  $\Delta$  be proper. Assume that, for every variable  $u$  at position  $\langle i, j \rangle$  in  $\Delta$  and every  $t \in T$ , if  $f(i, j) = t$  then  $t(u) \in \Delta$ . Then  $\Gamma, \Delta \not\vdash \text{loop}$ .*

Assume the contrary and consider the shortest counterexample proof. The proof term begins with an application of an axiom. Since  $f$  is a tiling, axioms 6 or 7 cannot be used. Indeed, to apply e.g., 6 one needs variables  $x, y$  with  $V(x, y)$ . But then positions of  $x, y$  are some  $\langle i, j \rangle, \langle i, j + 1 \rangle$  which must be tiled with  $\langle f(i, j), f(i, j + 1) \rangle \in h$ . Applicable axioms are therefore 2–4, and each introduces a new variable  $u$  at a certain position  $\langle i, j \rangle$ . The next proof goal is  $\text{set}(u)$  and the proof splits into branches. In one of them, the assumption  $t(u)$  is added, where  $t = f(i, j)$  ( $\forall$ phrodite chooses the tile according to  $f$ ). This leads to a proof of  $\Gamma, \Delta' \vdash \text{loop}$ , where  $\Delta'$  is proper. As the obtained proof is shorter, we get a contradiction.