

Computing the Number of Cubic Runs in Standard Sturmian Words

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Abstract. The *standard Sturmian* words are extensively studied in combinatorics of words. They are enough complicated to have many interesting properties and at the same time they are highly compressible. In this paper we design an efficient algorithm computing the number of cubic runs in standard Sturmian words. Our algorithm runs in linear time with respect to the size of the compressed representation (recurrences) describing the word. The explicit size of the word can be exponential with respect to this representation. This is yet another example of a very fast computation on highly compressible texts.

Keywords: standard Sturmian words, repetitions, cubic runs, algorithms.

1 Introduction

Repetitions in strings are important in combinatorics on words and many practical applications, see for instance [6], [11], [19] and [20]. The structure of repetitions is almost completely understood for the class of Fibonacci words, see [15], [17], [24], however it is not well understood for general words.

Runs are repetitions in which the period repeats at least twice. Highly repetitive segments, in which the repetitions ratio is at least 3, called the *cubic runs*, were introduced and studied in [10]. In this paper we investigate the structure of cubic runs in class \mathcal{S} of standard Sturmian words and give recurrence formulas for their number. We show also the algorithm, which computes this number in any standard word in linear time with respect to the size of its compressed representation – the directive sequence – hence in time logarithmic with respect to the length of the word.

Recall that a number i is a period of the word w if $w[j] = w[i + j]$ for all i with $i + j \leq |w|$. The minimal period of w will be denoted by $period(w)$. We say that a word w is periodic if $period(w) \leq \frac{|w|}{2}$. A word w is said to be *primitive* if w is not of the form z^k , where z is a finite word and $k \geq 2$ is a natural number.

A *maximal repetition* (a *run*, in short) in a word w is an interval $\alpha = [i..j]$ such that $w[i..j] = u^k v$ ($k \geq 2$) is a nonempty periodic subword of w , where u is of the minimal length and v is a proper prefix (possibly empty) of u , that can not be extended

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and 1 (cubic) run with the period $|ababaab|$:

$$w[1..31] = (ababaab)^4 aba.$$

All together we have 19 runs and 4 cubic runs, see Figure 1 for comparison.

Denote by $\rho(w)$ and $\rho^{(3)}(w)$ the number of runs and cubic runs in the word w and by $\rho(n)$ and $\rho^{(3)}(n)$ the maximal number of runs and cubic runs in the words of length n respectively. The most interesting and open conjecture about maximal repetitions is:

$$\rho(n) < n.$$

In 1999 Kolpakov and Kucherov (see [16]) showed that the number $\rho(w)$ of runs in a string w is $O(|w|)$, but the exact multiplicative constant coefficient is still unknown. The best known results related to the value of $\rho(n)$ are

$$0.944575712 n \leq \rho(n) \leq 1.029 n.$$

The upper bound is by [8], [9] and the lower bound is by [13], [14], [18], [27]. The best known results related to $\rho^{(3)}(n)$ are (due to [10]):

$$0.41 n \leq \rho^{(3)}(n) \leq 0.5 n.$$

For the class \mathcal{S} of standard Sturmian words there are known exact formulas for the number of runs and squares and their asymptotic behavior, see [2] and [22] for details. In this case we have

$$\lim_{n \rightarrow \infty} \frac{\rho(n)}{n} = 0.8.$$

This paper is devoted to the investigation of the structure of cubic runs in standard Sturmian words. We present exact formulas for $\rho^{(3)}(w)$ and the algorithm computing $\rho^{(3)}(w)$ for any word $w \in \mathcal{S}$ in linear time with respect to the compressed representation of w (logarithmic time with respect to the length of w). Some useful applets related to problems considered in this paper can be found on the web site:

<http://www.mat.umk.pl/~martinp/stringology/applets/>

2 Standard Sturmian words

Standard Sturmian words (standard words in short) are one of the most investigated class of strings in combinatorics on words, see for instance [1], [4], [5], [7], [19], [25], [26], [28] and references therein. They have very compact representations in terms of sequences of integers, which has many algorithmic consequences.

The *directive sequence* is the integer sequence: $\gamma = (\gamma_0, \gamma_1, \dots, \gamma_n)$, where $\gamma_0 \geq 0$ and $\gamma_i > 0$ for $i = 1, 2, \dots, n$. The standard word corresponding to γ , denoted by $\text{Sw}(\gamma)$, is described by the recurrences of the form:

$$\begin{aligned} x_{-1} &= b, & x_0 &= a, \\ x_1 &= x_0^{\gamma_0} x_{-1}, & x_2 &= x_1^{\gamma_1} x_0, \\ \vdots & & \vdots & \\ x_n &= x_{n-1}^{\gamma_{n-1}} x_{n-2}, & x_{n+1} &= x_n^{\gamma_n} x_{n-1}, \end{aligned} \tag{1}$$

3 Morphic reduction of standard words

The recurrent definition of standard words leads to the simple characterization by the composition of morphisms. Let $\gamma = (\gamma_0, \gamma_1, \dots, \gamma_n)$ be a directive sequence. We associate with γ a sequence of morphisms $\{h_i\}_{i=0}^n$, defined as

$$h_i : \begin{cases} a \longrightarrow a^{\gamma_i} b \\ b \longrightarrow a \end{cases} \quad \text{for } 0 \leq i \leq n. \quad (2)$$

Lemma 4.

For $0 \leq i \leq n$ the morphism h_i transforms a standard word into another standard word, and we have:

$$\begin{aligned} \text{Sw}(\gamma_n) &= h_n(a), \\ \text{Sw}(\gamma_i, \gamma_{i+1}, \dots, \gamma_n) &= h_i(\text{Sw}(\gamma_{i+1}, \gamma_{i+2}, \dots, \gamma_n)). \end{aligned}$$

Proof.

We will prove the lemma by the induction on the length of the directive sequence.

Recall that the standard word given by the empty directive sequence is a . For $|\gamma| = 1$ we have, by definition of standard words and the morphism h_n ,

$$\text{Sw}(\gamma_n) = a^{\gamma_n} b = h_n(a).$$

Assume now that $|\gamma| = k \geq 2$ and for directive sequences shorter than k the thesis holds. We have then:

$$\begin{aligned} \text{Sw}(\gamma_i, \dots, \gamma_n) &= [\text{Sw}(\gamma_i, \dots, \gamma_{n-1})]^{\gamma_n} \cdot \text{Sw}(\gamma_i, \dots, \gamma_{n-2}) \\ &\stackrel{\text{ind.}}{=} \left[h_i(\text{Sw}(\gamma_{i+1}, \dots, \gamma_{n-1})) \right]^{\gamma_n} \cdot h_i(\text{Sw}(\gamma_{i+1}, \dots, \gamma_{n-2})) \\ &= h_i([\text{Sw}(\gamma_{i+1}, \dots, \gamma_{n-1})]^{\gamma_n} \cdot \text{Sw}(\gamma_{i+1}, \dots, \gamma_{n-2})) \\ &= h_i(\text{Sw}(\gamma_{i+1}, \dots, \gamma_n)), \end{aligned}$$

which concludes the proof.

As a direct conclusion from Lemma 4 we have that the standard word corresponding to the directive sequence $\gamma = (\gamma_0, \gamma_1, \dots, \gamma_n)$ is given as:

$$\text{Sw}(\gamma_0, \gamma_1, \dots, \gamma_n) = h_0 \circ h_1 \circ \dots \circ h_n(a). \quad (3)$$

The inverse morphism h_i^{-1} can be seen as a reduction of the word $\text{Sw}(\gamma_i, \dots, \gamma_n)$ to the word $\text{Sw}(\gamma_{i+1}, \dots, \gamma_n)$ and allows us to reduce the computation of cubic runs in $\text{Sw}(\gamma_i, \dots, \gamma_n)$ to the same computation in $\text{Sw}(\gamma_{i+1}, \dots, \gamma_n)$.

Recall that $|w|_a$ denotes the number of occurrences of the letters a in the word w . We define the function, which will be useful in the rest of this paper. For a directive sequence $\gamma = (\gamma_0, \dots, \gamma_n)$ and an integer $0 \leq k \leq n + 1$ we define

$$N_\gamma(k) = |S(\gamma_k, \gamma_{k+1}, \dots, \gamma_n)|_a, \quad (4)$$

Moreover, for $k > n + 1$, we define $N_\gamma(k) = 0$.

Example 8.

Recall the word $w = \text{Sw}(1, 2, 1, 3, 1)$ from Example 1. In this case we have:

- 3 short runs (period ab),
- no medium run,
- 1 large run (the period $ababaab$),

see Figure 1 for comparison.

4.1 Short runs

We start with the computation of the *short* cubic runs. These are the cubic runs with the periods of the form a or $a^k b$. Their number depends on the values of γ_0 and γ_1 .

Lemma 9.

The number $\rho_{S_1}^{(3)}$ of cubic runs with the period a in the word $w = \text{Sw}(\gamma_0, \gamma_1, \dots, \gamma_n)$ equals:

$$\rho_{S_1}^{(3)}(w) = \begin{cases} 0 & \text{for } \gamma_0 = 1 \\ N_\gamma(2) - \text{odd}(n) & \text{for } \gamma_0 = 2 \\ N_\gamma(1) & \text{for } \gamma_0 > 2 \end{cases} \quad (6)$$

Proof.

First assume that $\gamma_0 > 2$. Every cubic run with the period a in $\text{Sw}(\gamma_0, \dots, \gamma_n)$ equals a^{γ_0} or a^{γ_0+1} and is followed by the single letter b . Due to Lemma 4 every such cubic run in $\text{Sw}(\gamma_0, \gamma_1, \dots, \gamma_n)$ corresponds to the letter a in $\text{Sw}(\gamma_1, \dots, \gamma_n)$. Hence in this case we have $N_\gamma(1)$ cubic runs with the period a .

Assume now that $\gamma_0 = 2$. In this case the word $\text{Sw}(\gamma_0, \dots, \gamma_n)$ consists of the blocks of the two types: aab and $aaab$. Only the blocks of the second type include the cubic run with the period a . Due to Lemma 4 every such cubic run in $\text{Sw}(\gamma_0, \dots, \gamma_n)$ corresponds to the letter b followed by the letter a in $\text{Sw}(\gamma_1, \dots, \gamma_n)$. Hence the number of such cubic runs equals the number of blocks ba in $\text{Sw}(\gamma_0, \dots, \gamma_n)$.

Recall that for an even length of the directive sequence $|(\gamma_1, \dots, \gamma_n)|$ (n is even) the word $\text{Sw}(\gamma_1, \dots, \gamma_n)$ ends with ba and in this case the number of cubic runs with the period a in $\text{Sw}(\gamma_1, \dots, \gamma_n)$ equals the number of the letters b in $\text{Sw}(\gamma_1, \dots, \gamma_n)$, namely $N_\gamma(2)$. For an odd length of the directive sequence $|(\gamma_1, \dots, \gamma_n)|$ (n is odd) the word $\text{Sw}(\gamma_1, \dots, \gamma_n)$ ends with ab and the last letter b does not correspond to a cubic run in $\text{Sw}(\gamma_0, \dots, \gamma_n)$. In this case, the number of runs with the period a in $\text{Sw}(\gamma_0, \dots, \gamma_n)$ is one less than the number of the letters b in $\text{Sw}(\gamma_1, \dots, \gamma_n)$, namely $N_\gamma(2) - 1$.

Finally assume that $\gamma_0 = 1$. In this case the word $\text{Sw}(\gamma_0, \dots, \gamma_n)$ consists of the blocks of the two types: ab and aab . None of them includes a cubic run with the period a . This completes the proof.

Lemma 10.

The number $\rho_{S_2}^{(3)}$ of cubic runs with the period $a^k b$ in the word $w = \text{Sw}(\gamma_0, \gamma_1, \dots, \gamma_n)$ equals:

$$\rho_{S_2}^{(3)}(w) = \begin{cases} 0 & \text{for } \gamma_1 = 1 \\ N_\gamma(3) - \text{even}(n) & \text{for } \gamma_1 = 2 \\ N_\gamma(2) & \text{for } \gamma_1 > 2 \end{cases} \quad (7)$$

Proof.

Notice that, due to the equation (2) and Lemma 4, cubic runs with the periods $a^{\gamma_0}b$ and $a^{\gamma_0+1}b$ in $\text{Sw}(\gamma_0, \dots, \gamma_n)$ correspond to the runs with the period a in $\text{Sw}(\gamma_1, \dots, \gamma_n)$. Similar reasoning as above establishes the desired formula.

4.2 Medium runs

Recall that the cubic run is called the *medium* if it has the period of the form x_2 . Observe that the medium cubic runs appear in the standard words generated by the directive sequences of the length at least 3. We have to consider two cases: the directive sequences of the length 3 and the longer directive sequences. The values of γ_0 and γ_1 does not affect the number of the medium cubic runs, hence to simplify the calculations we can assume in further proofs that $\gamma_0 = \gamma_1 = 1$.

We start with counting the medium runs in the standard words generated by the directive sequences of the length greater than 3.

Lemma 11.

Let $w = \text{Sw}(\gamma_0, \dots, \gamma_n)$ be a standard word and $n \geq 3$. The number of medium cubic runs in w equals:

$$\rho_M^{(3)}(w) = \begin{cases} N_\gamma(4) - 1 & \text{for } \gamma_2 = 1 \\ N_\gamma(3) & \text{for } \gamma_2 \geq 2 \end{cases}. \quad (8)$$

Proof.

We start with assumption that $\gamma_2 > 2$. In this case every factor of the form $x_3 = x_2^{\gamma_2}x_1$ includes one cubic runs with the period x_2 . Hence the number of such cubic runs equals the number factors x_3 in $\text{Sw}(\gamma_0, \dots, \gamma_n)$, namely $N_\gamma(3)$ (due to Lemma 4).

Assume now that $\gamma_2 = 2$. The word $\text{Sw}(\gamma_0, \dots, \gamma_n)$ can be represented as a sequence of concatenated words x_3 and x_2 and has the form:

$$x_3^{\alpha_1}x_2x_3^{\alpha_2}x_2 \dots x_3^{\alpha_s}x_2x_3 \quad \text{or} \quad x_3^{\beta_1}x_2x_3^{\beta_2}x_2 \dots x_3^{\beta_s}x_2.$$

Observe that $x_3 = x_2x_2x_1$ and every occurrence of x_3 in $\text{Sw}(\gamma_0, \dots, \gamma_n)$ either follows some occurrence of x_2 or is followed by some occurrence of x_2 . In the first case we have $x_2 \cdot x_3 = x_2 \cdot x_2x_2x_1$ and there is a cubic run with period x_2 . In the second case we have $x_3 \cdot x_2 = x_2x_2x_1 \cdot x_2$, and there is also a cubic run with period x_2 , since x_1 is a prefix of x_2 . Therefore the number of medium cubic runs in this case equals the number of the factors x_3 in $\text{Sw}(\gamma_0, \dots, \gamma_n)$, namely $N_\gamma(3)$.

Finally assume that $\gamma_2 = 1$. The word $\text{Sw}(\gamma_0, \dots, \gamma_n)$ can be represented as a sequence of concatenated words x_3 and x_4 and has the form:

$$x_4^{\alpha_1}x_3x_4^{\alpha_2}x_3 \dots x_4^{\alpha_s}x_3x_4 \quad \text{or} \quad x_4^{\beta_1}x_3x_4^{\beta_2}x_3 \dots x_4^{\beta_s}x_3.$$

We have $x_3 = x_2x_1$ and $x_4 = x_2x_1 \dots x_2x_1 \cdot x_2$. Therefore only the last one occurrence of x_4 in $\text{Sw}(\gamma_0, \dots, \gamma_n)$ does not correspond to a cubic run with the period x_2 and we have $N_\gamma(4) - 1$ such cubic runs in this case. This completes the proof.

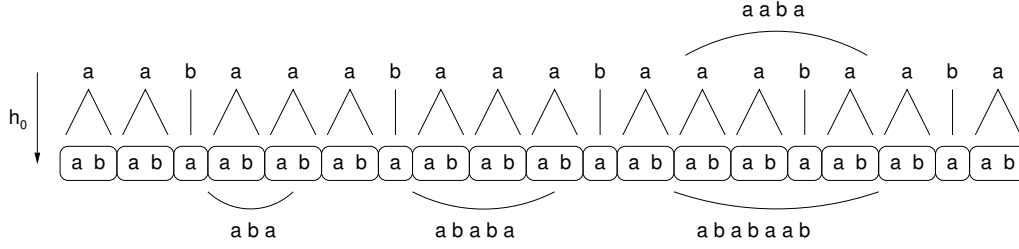


Figure 2. The factors aba and $ababa$ do not synchronize with the morphism h_0 in the word $\text{Sw}(1, 2, 1, 3, 1)$, while the factor $ababaab$ (in fact the period of the large cubic run) is synchronized with h_0 and corresponds to the factor $aaba$ in the word $\text{Sw}(2, 1, 3, 1)$.

Proof.

Let h_0 be the morphism defined as

$$h_0 : \begin{cases} a \longrightarrow a^{\gamma_0}b \\ b \longrightarrow a \end{cases}.$$

Due to Lemma 4 we have

$$\text{Sw}(\gamma_0, \dots, \gamma_n) = h_0(\text{Sw}(\gamma_1, \dots, \gamma_n)).$$

Moreover, h_0 determines the partition of $\text{Sw}(\gamma_0, \dots, \gamma_n)$ into h_0 -blocks of the form $a^{\gamma_0}b$ and a , see Figure 2 for the partition of $\text{Sw}(1, 2, 1, 3, 1)$.

Recall that the period of each large cubic run in $\text{Sw}(\gamma_0, \dots, \gamma_n)$ is of the form x_i , where $i \geq 3$. By the definition of standard words the factor x_i starts with $a^{\gamma_0}b$, hence at the beginning of some h_0 -block.

For odd $i \geq 3$ the subword x_i ends with $x_1 = a^{\gamma_0}b$, hence at the end of some h_0 -block, and is obviously synchronized with h_0 .

For even $i \geq 3$ the factor x_i ends with

$$x_3 \cdot x_2 = x_2^{\gamma_2}x_1 \cdot x_1^{\gamma_1}x_0 = x_2^{\gamma_2} \cdot (a^{\gamma_0}b)^{\gamma_1+1}a.$$

First assume that x_i is followed by the block $a^{\gamma_0}b$. The single letter a at the end of x_i is then the whole h_0 -block and x_i is synchronized with the morphism h_0 .

Assume now that x_i ends with $(a^{\gamma_0}b)^{\gamma_1+1}a$ and is followed by $(a^{\gamma_0-1}b)$, namely it ends in the middle of some h_0 -block. In this case we have the occurrence of the factor $(a^{\gamma_0}b)^{\gamma_1+2}$ in $\text{Sw}(\gamma_0, \dots, \gamma_n)$, which is reduced by the morphism h_0^{-1} to the factor $a^{\gamma_1+2}b$ in $\text{Sw}(\gamma_1, \dots, \gamma_n)$. By definition the standard word $\text{Sw}(\gamma_1, \dots, \gamma_n)$ can include only the blocks of the two types: the short block – $a^{\gamma_1}b$ and the long block – $a^{\gamma_1+1}b$, hence we have the contradiction and the proof is complete.

The following lemma, which is a direct conclusion from Lemma 14, allows us to reduce the problem of counting the large cubic runs in the standard word $\text{Sw}(\gamma_0, \dots, \gamma_n)$ to those in $\text{Sw}(\gamma_1, \dots, \gamma_n)$.

Lemma 15.

Let $w = \text{Sw}(\gamma_0, \dots, \gamma_n)$ and $v = \text{Sw}(\gamma_1, \dots, \gamma_n)$ be standard words. The number of large cubic runs in w is given by the recurrence

$$\rho_L^{(3)}(w) = \rho_L^{(3)}(v) + \rho_M^{(3)}(v).$$

Proof.

Lemma 14 implies that the morphism defined in the equation (2) preserves the structure of the long cubic runs in standard words. Recall that the word $\text{Sw}(\gamma_0, \dots, \gamma_n)$ is reduced by h_0^{-1} to the word $\text{Sw}(\gamma_1, \dots, \gamma_n)$. Therefore, every large cubic run α in $\text{Sw}(\gamma_0, \dots, \gamma_n)$ corresponds to some cubic run β in $\text{Sw}(\gamma_1, \dots, \gamma_n)$.

Due to Lemma 7 the period of the cubic run α is of the form x_i , where $i \geq 3$. The corresponding cubic run β is either large (for $i > 3$) or medium (for $i = 3$). Hence to compute all large cubic runs in $\text{Sw}(\gamma_0, \dots, \gamma_n)$ it is sufficient to compute all large and medium cubic runs in $\text{Sw}(\gamma_1, \dots, \gamma_n)$.

Proposition 16.

The number of cubic runs in a standard word $\text{Sw}(\gamma_0, \dots, \gamma_n)$ can be computed by combining the formulas (6), (7), the formula (8) repeated $n - 2$ times, and finally the formula (9).

Example 17.

Consider a directive sequence $\gamma = (1, 2, 1, 3, 1)$. We compute the number of cubic runs in the word $\text{Sw}(1, 2, 1, 3, 1)$ using the formulas mentioned above. In this case we have:

$$\begin{aligned} \text{cubic runs with the period } a: & \quad 0 \\ \text{cubic runs with the period } a^k b: & \quad |aaaba|_a - 1 = 3 \\ \text{medium cubic runs:} & \quad |ab|_a - 1 = 0 \\ \text{large cubic runs:} & \quad \rho_M^{(3)}(2, 1, 3, 1) + \rho_M^{(3)}(1, 3, 1) = |ab|_a + 0 = 1 \end{aligned}$$

Altogether there is 4 cubic runs in $\text{Sw}(1, 2, 1, 3, 1)$, see Example 1 for comparison.

4.4 Algorithm for computation of cubic runs

The formulas investigated above allow us to develop an efficient algorithm that computes the number of cubic runs in any standard Sturmian word.

Theorem 18.

We can count the number of cubic runs in any standard word $\text{Sw}(\gamma_0, \dots, \gamma_n)$ in linear time with respect to the length of the directive sequence $|\gamma|$ (logarithmic time with respect to the length of the whole word $|\text{Sw}(\gamma_0, \dots, \gamma_n)|$).

Proof.

The formulas (6), (7), (8) and (9) for the number of cubic runs in a standard words $\text{Sw}(\gamma)$ depend directly on the components of the directive sequence γ and the numbers $N_\gamma(k)$. We compute the numbers $N_\gamma(n)$, $N_\gamma(n - 1)$, \dots , $N_\gamma(1)$ consecutively iterating the equation (1). In each step i of the computation we remember the number of cubic runs related to the value of the γ_i . The algorithm performs n iteration, hence it has the time complexity $O(|\gamma|)$.

Algorithm 1: *Counting-Cubic-Runs*(γ)

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1   $(x, y, cr) \leftarrow (1, 0, 0)$ ;
2  if  $\gamma_n > 2$  then  $cr \leftarrow cr + 1$ ;
3  for  $i := n$  to 3 do
4     $(x, y) \leftarrow (\gamma_i \cdot x + y, x)$ ;
5    if  $\gamma_{i-1} \geq 2$  then  $cr \leftarrow cr + x$ ;
6    else  $cr \leftarrow cr + y - 1$ ;
7  if  $\gamma_1 = 2$  then
8     $cr \leftarrow cr + x$ ;
9    if  $n$  is even then  $cr \leftarrow cr - 1$ ;
10  $(x, y) \leftarrow (\gamma_2 \cdot x + y, x)$ ;
11 if  $\gamma_1 > 2$  then  $cr \leftarrow cr + x$ ;
12 if  $\gamma_0 = 2$  then
13    $cr \leftarrow cr + x$ ;
14   if  $n$  is odd then  $cr \leftarrow cr - 1$ ;
15 if  $\gamma_0 > 2$  then  $cr \leftarrow cr + \gamma_1 \cdot x + y$ ;
16 return  $cr$ ;

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