

# ON TWO CONSEQUENCES OF CH ESTABLISHED BY SIERPIŃSKI

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ABSTRACT. We study the relations between two consequences of the Continuum Hypothesis discovered by Waław Sierpiński, concerning uniform continuity of continuous functions and uniform convergence of sequences of real-valued functions, defined on subsets of the real line of cardinality continuum.

## 1. INTRODUCTION

In his classical treaty *Hypothèse du continu* [18] Waław Sierpiński distinguished the following consequences of the Continuum Hypothesis (CH) (the notation is taken from [18]):

- $C_8$  There exists a continuous function  $f : E \rightarrow \mathbb{R}$ ,  $E \subseteq \mathbb{R}$ ,  $|E| = \mathfrak{c}$ , not uniformly continuous on any uncountable subset of  $E$ .
- $C_9$  There is a sequence of functions  $f_n : E \rightarrow \mathbb{R}$ ,  $E \subseteq \mathbb{R}$ ,  $|E| = \mathfrak{c}$ , converging pointwise but not converging uniformly on any uncountable subset of  $E$ .

Sierpiński established the equivalences of  $C_9$  to several other statements, notably, to the existence of a matrix of sets of real numbers (called in [2] a *BK-matrix*), constructed under CH by Banach and Kuratowski [1] (statement  $C_{11}$  in [18]).

Bartoszyński and Halbeisen [2] (see also [5]) proved that the existence of a BK-matrix is independent of CH. They also pointed out that the existence of a BK-matrix (hence statement  $C_9$ ) is equivalent to the existence of a subset of  $\mathbb{N}^{\mathbb{N}}$  (where  $\mathbb{N}^{\mathbb{N}}$  denotes the set of functions  $f : \mathbb{N} \rightarrow \mathbb{N}$  endowed with the topology of the countable product of the discrete space of natural numbers  $\mathbb{N} = \{0, 1, 2, \dots\}$ ) of cardinality  $\mathfrak{c}$ , intersecting each compact set in  $\mathbb{N}^{\mathbb{N}}$  in an at most countable set (following [2] we shall call such sets *K-Lusin*), see [2, Proposition 1.1 and Lemma 2.3], cf. also [15].

Sierpiński [17] noticed that  $C_8$  implies  $C_9$  but he did not discuss the converse implication. However, in *Topology I* by Kuratowski [8], footnote (3) on page 533 suggests that the two statements are in fact

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equivalent. We are not aware of any publication addressing the implication  $C_9 \Rightarrow C_8$  and this note is the result of our pondering on this matter.

We shall consider the following stratifications of statements  $C_8$  and  $C_9$  for uncountable cardinals  $\kappa \leq \lambda \leq \mathfrak{c}$ :

- $C_8(\lambda, \kappa)$  There exist a set  $E \subseteq \mathbb{R}$  of cardinality  $\lambda$  and a continuous function  $f : E \rightarrow \mathbb{R}$ , which is not uniformly continuous on any subset of  $E$  of cardinality  $\kappa$ .
- $C_9(\lambda, \kappa)$  There exists a set  $E \subseteq \mathbb{R}$  of cardinality  $\lambda$  (equivalently: for any set  $E \subseteq \mathbb{R}$  of cardinality  $\lambda$ ) and there is a sequence of functions  $f_n : E \rightarrow \mathbb{R}$ , converging on  $E$  pointwise but not converging uniformly on any subset of  $E$  of cardinality  $\kappa$ .

Clearly, statements  $C_i$  (for  $i = 8, 9$ ) are  $C_i(\mathfrak{c}, \aleph_1)$  in our notation, and  $C_i$  implies  $C_i(\lambda, \kappa)$  for all uncountable cardinals  $\kappa \leq \lambda \leq \mathfrak{c}$ .

In this note we prove (in ZFC) that, in particular:

- $C_8(\mathfrak{c}, \mathfrak{c}) \Leftrightarrow C_9(\mathfrak{c}, \mathfrak{c})$  provided that the cardinal  $\mathfrak{c}$  is regular, and under this assumption each of these statements is equivalent to the assertion  $\mathfrak{d} = \mathfrak{c}$  (cf. Corollary 3.7),
- $C_8(\aleph_1, \aleph_1) \Leftrightarrow C_9(\aleph_1, \aleph_1)$ , and each of these statements is equivalent to the assertion  $\mathfrak{b} = \aleph_1$  (cf. Theorem 3.10).

Here  $\mathfrak{d}$  and  $\mathfrak{b}$  denote, as usual, the smallest cardinality of a dominating and, respectively, an unbounded family in  $\mathbb{N}^{\mathbb{N}}$  corresponding to the ordering of eventual domination  $\leq^*$  (cf. [5]).

An important role in our considerations is played by the notion of a  $K$ -Lusin set which we extend (cf. [2]) declaring that an uncountable subset  $E$  of a Polish space  $X$  is a  $\kappa$ - $K$ -Lusin set in  $X$ ,  $\aleph_1 \leq \kappa \leq \mathfrak{c}$ , if  $|E \cap K| < \kappa$  for every compact set  $K \subseteq X$ .

The existence of a  $\kappa$ - $K$ -Lusin set of cardinality  $\lambda$  in  $\mathbb{N}^{\mathbb{N}}$  is equivalent to  $C_9(\lambda, \kappa)$  (cf. Theorem 2.3) and if  $E \subseteq \mathbb{R}$ ,  $|E| = \lambda$ , is a witnessing set for  $C_8(\lambda, \kappa)$ , then  $E$  is a  $\kappa$ - $K$ -Lusin set in some  $G_\delta$ -extension of  $E$  (cf. Proposition 3.1).

However, it is not the case that every  $\kappa$ - $K$ -Lusin set is a witnessing set for  $C_8(\lambda, \kappa)$ . In particular, assuming CH, we show that there is a  $K$ -Lusin set in the irrationals of cardinality  $\mathfrak{c}$  such that every continuous function  $f : E \rightarrow \mathbb{R}$  is uniformly continuous on an uncountable subset of  $E$  (cf. Theorem 3.3). Our reasoning to that effect yields also that for every continuous function  $f : X \rightarrow \mathbb{R}$  defined on a  $G_\delta$ -set  $X$  in the irrationals, there exists a closed copy of irrationals  $P$  in  $X$  such that  $f$  is uniformly continuous on  $P$  (cf. Theorem 3.2).

The paper is organized as follows.

In Section 2 we establish the equivalences of  $C_9(\lambda, \kappa)$  to several other statements, notably, to its topological counterparts (see Theorem 2.3).

Section 3 is devoted to  $C_8(\lambda, \kappa)$  and its relations to  $C_9(\lambda, \kappa)$  including proofs of the equivalence  $C_8(\lambda, \kappa) \Leftrightarrow C_9(\lambda, \kappa)$  for  $\kappa = \lambda = \mathfrak{c}$  (if the cardinal  $\mathfrak{c}$  is regular) and  $\kappa = \lambda = \aleph_1$ . We will end the section by listing some additional set-theoretic assumptions under which the equivalence  $C_8(\mathfrak{c}, \aleph_1) \Leftrightarrow C_9(\mathfrak{c}, \aleph_1)$  is true. Although the status of the implication  $C_9(\mathfrak{c}, \aleph_1) \Rightarrow C_8(\mathfrak{c}, \aleph_1)$  remains unclear, these observations point out at difficulties in refuting it.

In Section 4 we gathered some comments and additional results related to the topic without proofs – we plan to present details elsewhere.

In this note  $\mathbb{P}$  always denotes the set of irrationals of the unit interval  $[0, 1]$ . It is homeomorphic to the Baire space  $\mathbb{N}^{\mathbb{N}}$  (cf. [7]).

## 2. UNIFORM CONVERGENCE OF POINTWISE CONVERGENT SEQUENCES OF FUNCTIONS AND STATEMENT $C_9(\lambda, \kappa)$

The following result is based on Sierpiński's reasoning [16], cf. Remark 2.2(1) (an extension of this result is formulated in Section 4.3).

**Theorem 2.1.** *For any Polish space  $X$  there is a sequence  $f_1 \geq f_2 \dots$  of continuous functions  $f_n : X \rightarrow [0, 1]$  which converges to zero pointwise but does not converge uniformly on any set with non-compact closure in  $X$ .*

*Proof.* Since  $X$  embeds as a closed subspace in  $[1, +\infty)^{\mathbb{N}_+}$  (cf. [7, Theorem 4.17]), where  $\mathbb{N}_+ = \{n \in \mathbb{N} : n > 0\}$ , it is enough to construct desired functions on  $[1, +\infty)^{\mathbb{N}_+}$ . So, with no loss of generality, we simply assume that  $X = [1, +\infty)^{\mathbb{N}_+}$ .

We begin with the Sierpiński functions  $s_n : X \rightarrow \{0, 1, \frac{1}{2}, \frac{1}{3}, \dots\}$ ,  $n = 1, 2, \dots$ , defined by (cf. Remark 2.2)

$$(1) \quad s_n(x) = \begin{cases} \frac{1}{\min\{i : x(i) \geq n\}} & \text{if } x(\mathbb{N}_+) \cap [n, +\infty) \neq \emptyset, \\ 0 & \text{if } x(\mathbb{N}_+) \subseteq [0, n). \end{cases}$$

We shall check that

- (2)  $s_1 \geq s_2 \geq \dots$  and  $\lim_{n \rightarrow \infty} s_n(x) = 0$  for every  $x \in X$ ,
- (3) for any  $A \subseteq X$ , if the sequence  $(s_n)_{n=1}^{\infty}$  converges uniformly on  $A$ , then the closure  $\bar{A}$  is compact.

The monotonicity in (2) is clear. If  $x \in X$  and  $p \in \mathbb{N}_+$  is given, then for any  $n > \max\{x(i) : i \leq p\}$  we have  $s_n(x) < \frac{1}{p}$ , which gives the second part of (2).

To make sure that (3) is true, we shall follow closely Sierpiński [16]. Let  $A \subseteq X$  and assume that the sequence  $(s_n)_{n=1}^{\infty}$  converges uniformly on  $A$ . This means that for each  $i \in \mathbb{N}_+$  there is a  $\varphi(i) \in \mathbb{N}_+$  such that  $s_m(x) < \frac{1}{i}$ , whenever  $m \geq \varphi(i)$  and  $x \in A$ .

By (1), for any  $x \in X$  and  $i \in \mathbb{N}_+$  we have  $s_{\lfloor x(i) \rfloor}(x) \geq \frac{1}{i}$ , and hence,  $x(i) \leq \varphi(i)$ , for any  $x \in A$ . Therefore,  $A$  is contained in the compact set  $\prod_{i=1}^{\infty} [1, \varphi(i)] \subseteq X$ , and hence its closure  $\bar{A}$  in  $X$  is compact.

Let us verify that for each  $n \in \mathbb{N}_+$

(4) the function  $s_n$  is upper-semicontinuous,

i.e., for any  $r > 0$  the set  $\{x \in X : s_n(x) < r\}$  is open in  $X$ . Since  $s_n$  is bounded by 1, it is enough to consider  $r \leq 1$ .

So let us fix  $n \in \mathbb{N}_+$ ,  $r \leq 1$  and  $a \in X$  with  $s_n(a) < r$ , and for any  $p \in \mathbb{N}_+$ , let us consider the open set  $V_p$  defined by

(5)  $V_p = \{x \in X : x(i) < n \text{ for all } i \leq p\}$ .

We shall show that we can always find  $p$  such that  $V_p$  is a neighbourhood of  $a$  contained in the set  $\{x \in X : s_n(x) < r\}$ .

If  $s_n(a) = 0$ , i.e.,  $a(i) < n$  for all  $i \in \mathbb{N}_+$ , cf. (1), then taking  $p$  such that  $\frac{1}{p} < r$ , we have  $a \in V_p$  and  $s_n(x) < \frac{1}{p} < r$  for every  $x \in V_p$ , cf. (1) and (5).

If  $s_n(a) = \frac{1}{m}$ , where  $m = \min\{i : a(i) \geq n\}$ , then since  $s_n(a) < 1$ , we have  $a(1) < n$ . Hence  $m \geq 2$  and let  $p = m - 1$ . Then  $p \geq 1$ ,  $a \in V_p$  and for any  $x \in V_p$ ,  $s_n(x) \leq \frac{1}{m} < r$ .

Having checked (4), we apply a classical theorem of Hahn (cf. [4, 1.7.15(c)]) to get, for each  $n$ , continuous functions  $f_{n,i} : X \rightarrow [0, 1]$ ,  $i = 1, 2, \dots$ , such that

(6)  $f_{n,1} \geq f_{n,2} \geq \dots$  and  $\lim_{i \rightarrow \infty} f_{n,i}(x) = s_n(x)$  for every  $x \in X$ .

Finally, we define

(7)  $f_n(x) = \min_{i,j \leq n} f_{i,j}(x)$  for  $x \in X$ .

Clearly, the sequence  $f_1 \geq f_2 \geq \dots$  consists of continuous functions and converges pointwise to zero. Moreover,  $f_n(x) \geq s_n(x)$  for any  $n \in \mathbb{N}_+$  and  $x \in X$ . Consequently, for any  $A \subseteq X$ , if the sequence  $(f_n)_{n=1}^{\infty}$  converges uniformly on  $A$ , then so does the sequence  $(s_n)_{n=1}^{\infty}$  and hence by (3),  $\bar{A}$  is compact. □

**Remark 2.2.**

(1) *The original Sierpiński functions were defined on  $\mathbb{N}_+^{\mathbb{N}_+}$  by the formula:*

$$s_n(x) = \begin{cases} \frac{1}{\min\{i : x(i)=n\}} & \text{if } n \in x(\mathbb{N}_+), \\ 0 & \text{if } n \notin x(\mathbb{N}_+). \end{cases}$$

*Sierpiński was interested in neither regularity of the functions (in fact,  $s_n$  are continuous on  $\mathbb{N}_+^{\mathbb{N}_+}$ ) nor the monotonicity of the function sequence.*

(2) *An approach similar to Sierpiński's idea, in a different setting, was rediscovered by Pincirolli [11, Lemma 2 and Proposition 7].*

Let us turn back to statement  $C_9(\lambda, \kappa)$ . The following result provides some topological counterparts to  $C_9(\lambda, \kappa)$ .

**Theorem 2.3.** *For any uncountable cardinals  $\kappa \leq \lambda \leq \mathfrak{c}$  the following are equivalent:*

- (1)  $C_9(\lambda, \kappa)$ ,
- (2) *there is a set  $A \subseteq \mathbb{N}^{\mathbb{N}}$  of cardinality  $\lambda$  and a sequence  $g_1 \geq g_2 \dots$  of continuous functions  $g_n : A \rightarrow \mathbb{R}$ , which converges to zero pointwise but does not converge uniformly on any set of cardinality  $\kappa$ ,*
- (3) *there is a  $\kappa$ -K-Lusin set of cardinality  $\lambda$  in  $\mathbb{N}^{\mathbb{N}}$ ,*
- (4) *there is a Polish space  $X$  and a  $\kappa$ -K-Lusin set of cardinality  $\lambda$  in  $X$ .*

*Proof.*

(1)  $\Rightarrow$  (2). Subtracting from each function in  $C_9(\lambda, \kappa)$  the limit function, we get a sequence  $f_n : E \rightarrow \mathbb{R}$ ,  $|E| = \lambda$ , which converges to zero pointwise but does not converge uniformly on any set of cardinality  $\kappa$ . For every  $n \in \mathbb{N}_+$  and  $x \in E$  let  $u_n(x) = \max\{|f_i(x)| : i \geq n\}$  (recall that  $\lim_{i \rightarrow \infty} f_i(x) = 0$ , hence the maximum is attained). Let us note that  $u_1 \geq u_2 \dots$  and  $0 \leq |f_n| \leq u_n$  for each  $n$ . The properties of the sequence  $(f_n)_{n=1}^{\infty}$  yield readily that the sequence  $(u_n)_{n=1}^{\infty}$  converges to zero pointwise on  $E$  but it does not converge uniformly on any subset of  $E$  of cardinality  $\kappa$ .

Let  $h : E \rightarrow A$  be a bijection between  $E$  and a set  $A \subseteq 2^{\mathbb{N}}$  such that all the functions  $g_n = u_n \circ h^{-1}$  are continuous (for example, define  $h$  as the Marczewski characteristic function (cf. [10]) of a countable family  $\{E_n : n \in \mathbb{N}\}$  of subsets of  $E$ , separating the points of  $E$  and containing all sets of the form  $u_n^{-1}((p, q))$ , where  $n \in \mathbb{N}_+$  and  $p < q$  are rationals). Then the sequence  $g_1 \geq g_2 \dots$  of continuous functions  $g_n : A \rightarrow \mathbb{R}$  is as required.

(2)  $\Rightarrow$  (3). Let us fix a set  $A \subseteq \mathbb{N}^{\mathbb{N}}$  of cardinality  $\lambda$  and a sequence  $g_1 \geq g_2 \dots$  of continuous functions  $g_n : A \rightarrow \mathbb{R}$ , which converges to zero pointwise but does not converge uniformly on any subset of  $A$  of cardinality  $\kappa$ . Let  $H$  be a  $G_\delta$ -set in  $\mathbb{N}^{\mathbb{N}}$  with  $A \subseteq H \subseteq \bar{A}$  and such that each  $g_n$  extends to a continuous function  $\tilde{g}_n : H \rightarrow \mathbb{R}$ . Since  $\tilde{g}_1 \geq \tilde{g}_2 \geq \dots$ , for any  $x \in H$  we have

$$\lim_{n \rightarrow \infty} \tilde{g}_n(x) = 0 \Leftrightarrow \forall p \in \mathbb{N}_+ \exists n \in \mathbb{N}_+ \tilde{g}_n(x) < \frac{1}{p},$$

so the set  $G = \{x \in H : \lim_{n \rightarrow \infty} \tilde{g}_n(x) = 0\}$  is a  $G_\delta$ -set in  $\mathbb{N}^{\mathbb{N}}$  containing  $A$ . Now, if  $K \subseteq G$  is compact, then by the Dini theorem (see [4, Lemma

3.2.18]), the sequence  $(\tilde{g}_n)_{n=1}^\infty$  converges uniformly on  $K$ , hence also  $(g_n)_{n=1}^\infty$  converges uniformly on  $A \cap K$ , and therefore  $|A \cap K| < \kappa$ .

Finally, let  $w : G \rightarrow \mathbb{N}^\mathbb{N}$  embed  $G$  onto a closed subspace of  $\mathbb{N}^\mathbb{N}$  (see [7, Theorem 7.8]) and let  $E = w(A)$ . Then  $|E \cap K| < \kappa$  for every compact set  $K \subseteq \mathbb{N}^\mathbb{N}$ , as required.

(3)  $\Rightarrow$  (4). This implication is trivial.

(4)  $\Rightarrow$  (1). Let  $E$  be a subset of cardinality  $\lambda$  of a Polish space  $X$  such that  $|E \cap K| < \kappa$  for every compact set  $K \subseteq X$ . Theorem 2.1 provides us with a sequence  $f_1 \geq f_2 \dots$  of continuous functions  $f_n : X \rightarrow [0, 1]$  which converges to zero pointwise but does not converge uniformly on any set with non-compact closure in  $X$ . Consequently, the sequence  $(f_n)_{n=1}^\infty$  converges to zero pointwise on  $E$  but any set  $M \subseteq E$  of cardinality  $\kappa$  has a non-compact closure in  $X$ , so  $(f_n)_{n=1}^\infty$  does not converge uniformly on  $M$ . Clearly, this completes the proof of (1). □

In two important cases, namely  $\kappa = \lambda = \aleph_1$  and  $\kappa = \lambda = \mathfrak{c}$ , statement  $C_9(\kappa, \lambda)$  is characterized in terms of basic cardinal characteristics of the continuum, cf. [3].

**Corollary 2.4.**

- (1)  $C_9(\aleph_1, \aleph_1) \Leftrightarrow \mathfrak{b} = \aleph_1$ ,
- (2)  $C_9(\mathfrak{c}, \mathfrak{c}) \Leftrightarrow \mathfrak{d} = \mathfrak{c}$ , provided that the cardinal  $\mathfrak{c}$  is regular (more precisely,  $\mathfrak{d} = \mathfrak{c}$  implies  $C_9(\mathfrak{c}, \mathfrak{c})$  under no additional assumptions on  $\mathfrak{c}$ ).

*Proof.* We shall repeatedly make use of Theorem 2.3.

(1). Assume  $C_9(\aleph_1, \aleph_1)$  and let  $E \subseteq \mathbb{N}^\mathbb{N}$  be a set of cardinality  $\aleph_1$  whose intersection with every compact set  $K \subseteq \mathbb{N}^\mathbb{N}$  is countable. Clearly,  $E$  is unbounded in  $(\mathbb{N}^\mathbb{N}, \leq^*)$ , hence  $\mathfrak{b} = \aleph_1$ .

Conversely, if  $\mathfrak{b} = \aleph_1$ , then any subset of  $\mathbb{N}^\mathbb{N}$  of the form  $\{f_\alpha : \alpha < \mathfrak{b}\}$ , where

- $\alpha < \beta < \mathfrak{b}$  implies  $f_\alpha <^* f_\beta$ ,
- for every  $f \in \mathbb{N}^\mathbb{N}$  there is  $\alpha < \mathfrak{b}$  with  $f_\alpha \not\leq^* f$ ,

has countable intersection with every compact  $K \subseteq \mathbb{N}^\mathbb{N}$ .

(2). Assume  $C_9(\mathfrak{c}, \mathfrak{c})$  and let  $E \subseteq \mathbb{N}^\mathbb{N}$  be a set of cardinality  $\mathfrak{c}$  such that  $|E \cap K| < \mathfrak{c}$  for every compact set  $K \subseteq \mathbb{N}^\mathbb{N}$ . Let  $\{g_\alpha : \alpha < \mathfrak{d}\}$  be a dominating set in  $\mathbb{N}^\mathbb{N}$ . In particular  $E = \bigcup_{\alpha < \mathfrak{d}} \{f \in E : f <^* g_\alpha\}$  and the regularity of  $\mathfrak{c}$  implies that  $\mathfrak{d} = \mathfrak{c}$ .

Conversely, if  $\mathfrak{d} = \mathfrak{c}$ , then any subset of  $\mathbb{N}^\mathbb{N}$  of the form  $\{g_\alpha : \alpha < \mathfrak{c}\}$ , where

- $\alpha < \beta < \mathfrak{c}$  implies  $g_\beta \not\leq^* g_\alpha$ ,
- for every  $f \in \mathbb{N}^\mathbb{N}$  there is  $\alpha < \mathfrak{c}$  with  $f <^* g_\alpha$ ,

has the property that  $|E \cap K| < \mathfrak{c}$  for every compact  $K \subseteq \mathbb{N}^{\mathbb{N}}$ .  $\square$

The proof of (4)  $\Rightarrow$  (1) in Theorem 2.3 yields also the following result.

**Corollary 2.5.** *For any uncountable cardinals  $\kappa \leq \lambda \leq \mathfrak{c}$ , if  $E$  is a  $\kappa$ - $K$ -Lusin set of cardinality  $\lambda$  in a Polish space  $X$ , then there exists a sequence  $f_1 \geq f_2 \dots$  of continuous functions  $f_n : E \rightarrow \mathbb{R}$ , which converges to zero pointwise but does not converge uniformly on any subset of  $E$  of cardinality  $\kappa$ .*

**Remark 2.6.** *As was mentioned in the introduction, the notion of a  $K$ -Lusin set was introduced by Bartoszyński and Halbeisen [2], where it was pointed out that a reasoning of Banach and Kuratowski [1], establishing under CH the existence of a BK-Matrix, actually shows that the existence of a BK-matrix is equivalent to the existence of a  $K$ -Lusin set of cardinality  $\mathfrak{c}$ . Earlier, Sierpiński [16] proved that a BK-Matrix exists if and only if  $C_9$  holds. Combining these two results, we get the equivalence " $C_9 \Leftrightarrow$  there exists a  $K$ -Lusin set of cardinality  $\mathfrak{c}$ " which was obtained in Theorem 2.3 by a different reasoning.*

### 3. UNIFORM CONTINUITY OF CONTINUOUS FUNCTIONS AND STATEMENT $C_8(\lambda, \kappa)$

Let us now turn our attention to statement  $C_8(\lambda, \kappa)$ . Sierpiński [17] proved that  $C_8$  implies  $C_9$  and his argument can be easily adapted to establish a more general implication concerning  $C_i(\lambda, \kappa)$  (for  $i = 8, 9$ ). Instead of repeating the argument of Sierpiński we present a proof based on Theorem 2.3 which gives some additional information about the involved sets.

**Proposition 3.1.** *For any uncountable cardinals  $\kappa \leq \lambda \leq \mathfrak{c}$ :*

$$C_8(\lambda, \kappa) \Rightarrow C_9(\lambda, \kappa).$$

*Moreover, if a set  $E \subseteq \mathbb{R}$ ,  $|E| = \lambda$ , together with a continuous function  $f : E \rightarrow \mathbb{R}$  witness  $C_8(\lambda, \kappa)$ , then there is a  $G_\delta$ -set  $G$  in  $\mathbb{R}$  such that  $E \subseteq G$  and  $E$  is a  $\kappa$ - $K$ -Lusin set in  $G$ .*

*Proof.* Let us extend  $f$  to a continuous function  $\tilde{f} : G \rightarrow \mathbb{R}$  over a  $G_\delta$ -set  $G \subseteq \mathbb{R}$ . Now, if  $K \subseteq G$  is compact, then the extension  $\tilde{f}$  is uniformly continuous on  $K$ , hence so is  $f$  on  $E \cap K$ . Therefore,  $|E \cap K| < \kappa$ , as  $f$  is not uniformly continuous on any set of cardinality  $\kappa$ . This shows that the equivalent to  $C_9(\lambda, \kappa)$  statement, formulated in Theorem 2.3(4), is true, completing the proof.  $\square$

In the rest of this note we investigate the possibility of reversing the above implication, at least for some pairs of uncountable cardinals  $\kappa \leq \lambda \leq \mathfrak{c}$ .

In view of Proposition 3.1, a related question is whether a  $\kappa$ - $K$ -Lusin set  $E$  in  $\mathbb{P}$  always carries a continuous function  $f : E \rightarrow \mathbb{R}$ , which is not uniformly continuous on any set of cardinality  $\kappa$ . The negative answer (cf. Theorem 3.3) is a consequence of the following general result, closely related to the “limit systems” of Hurewicz [6].

**Theorem 3.2.** *Let  $X$  be a Polish non  $\sigma$ -compact space and let  $d$  be a compatible completely bounded metric on  $X$ . Then for every continuous function  $f : X \rightarrow \mathbb{R}$  there exists a closed copy of irrationals  $P$  in  $X$  such that  $f$  is uniformly continuous on  $P$  in the metric  $d$ .*

*Proof.* Let  $(\hat{X}, \hat{d})$  be the completion of  $(X, d)$ ; then  $\hat{X}$  is compact,  $d$  being totally bounded. Since  $X$  is not  $\sigma$ -compact, by a theorem of Hurewicz (see [7, Theorem 7.10]),  $X$  contains a closed in  $X$  copy of the irrationals  $G$ . Let  $\rho$  be a complete metric on  $G$ .

We shall use generalized Hurewicz systems in the setting considered in [12, Section 2.4] and [13, Section 2]. Namely, we shall define a pair of families:  $(U_s)_{s \in \mathbb{N}^{<\mathbb{N}}}$  of subsets of  $G$ , and  $(x_s)_{s \in \mathbb{N}^{<\mathbb{N}}}$  of points in  $\hat{X}$  with the following properties (the closures are taken in  $\hat{X}$ ,  $B_{\hat{d}}(x_s, \varepsilon) = \{x \in \hat{X} : \hat{d}(x_s, x) < \varepsilon\}$  and for  $A \subseteq G$ ,  $\text{diam}_\rho(A)$  or  $\text{diam}_d(A)$  stand for the diameter with respect to  $\rho$  or  $d$ ):

- (1)  $U_s$  is relatively open in  $G$ ,  $U_s \neq \emptyset$ ,
- (2)  $\text{diam}_\rho(U_s) \leq 2^{-\text{length}(s)}$ ,
- (3)  $\overline{U_s} \cap \overline{U_t} = \emptyset$  for distinct  $s, t$  of the same length,
- (4)  $\overline{U_{s \hat{\ } i}} \cap G \subseteq U_s$ ,
- (5)  $x_s \in \overline{U_s} \setminus G$ ,
- (6)  $x_s \notin \overline{U_{s \hat{\ } i}}$  for any  $i \in \mathbb{N}$ ,
- (7) each neighbourhood of  $x_s$  in  $\hat{X}$  contains all but finitely many  $U_{s \hat{\ } i}$ ; in particular,  $\lim_i \text{diam}_d(U_{s \hat{\ } i}) = 0$ ,
- (8)  $\text{diam}(f(U_{s \hat{\ } i})) \leq 2^i$  for any  $i \in \mathbb{N}$ ,
- (9) if  $c_i \in U_{s \hat{\ } i}$  for each  $i \in \mathbb{N}$ , then the sequence  $(f(c_i))_{i \in \mathbb{N}}$  is convergent in  $\mathbb{R}$ .

To define sets  $U_s$  and points  $x_s$  we proceed as follows.

Let  $U_\emptyset$  be a non-empty relatively open set in  $G$  such that  $f$  is bounded on  $U_\emptyset$  and  $\text{diam}_\rho(U_\emptyset) \leq 1$ .

At the inductive step let  $n \geq 0$  and assume that we have already defined  $U_s$  and  $x_t$  for  $s \in [\mathbb{N}]^{\leq n}$  and  $t \in [\mathbb{N}]^{<n}$  satisfying the required conditions. Fix  $s$  with  $\text{length}(s) = n$  and pick  $x_s \in \overline{U_s} \setminus G$  arbitrarily (this is possible since  $G$  does not contain compact sets with non-empty interior). Let us choose points  $a_n \in U_s$  such that  $\lim_n a_n = x_s$  and the sequence  $(f(a_n))_n$  is convergent (first, we choose  $b_n \in U_s$  so that  $\lim_n b_n = x_s$  and then, using the fact that the sequence  $(f(b_n))_n$  is



bounded, we choose its convergent subsequence). Next, using the continuity of  $f$  on  $G$ , let us enlarge each  $a_n$  to its open neighbourhood  $U_{s \hat{\ } n}$  in  $G$  so that relevant instances of conditions (1)–(8) are satisfied. Then (8) and the fact that the sequence  $(f(a_n))_n$  is convergent readily yield (9).

Let

$$(10) \quad P = \bigcap_n \bigcup \{U_s : \text{length}(s) = n\}.$$

be the copy of the irrationals determined by the generalized Hurewicz system  $(U_s)_{s \in \mathbb{N}^{<\mathbb{N}}}$ ,  $(L_s)_{s \in [\mathbb{N}]^{<\mathbb{N}}}$  ([13, Section 2]), where  $L_s = \{x_s\}$  for each  $s \in \mathbb{N}^{<\mathbb{N}}$ . In particular,  $\overline{P} = P \cup \{x_s : s \in \mathbb{N}^{<\mathbb{N}}\}$ , so  $P = \overline{P} \cap G$  is closed in  $G$ , and hence also in  $X$ .

We claim that for each  $s \in \mathbb{N}^{<\mathbb{N}}$

$$(11) \quad \inf_{\varepsilon > 0} \text{diam} \left( f(B_{\hat{d}}(x_s, \varepsilon) \cap P) \right) = 0.$$

To justify the claim, let us fix  $s \in \mathbb{N}^{<\mathbb{N}}$  and for each  $i \in \mathbb{N}$  let us pick  $c_i \in U_{s \hat{\ } i}$ . By (9),  $\lim_{i \rightarrow \infty} f(c_i) = r$ , and let  $J$  be an arbitrary open interval containing  $r$ . From (7) and (8) we get  $i_0$  such that  $f(U_{s \hat{\ } i}) \subseteq J$ , whenever  $i > i_0$ .

Now, we can find an  $\varepsilon > 0$  such that  $B_{\hat{d}}(x_s, \varepsilon)$  is disjoint from any  $U_t$  with  $t \neq s$  and  $\text{length}(t) = \text{length}(s)$ , i.e., cf. (10),

$$(12) \quad W = B_{\hat{d}}(x_s, \varepsilon) \cap P = B_{\hat{d}}(x_s, \varepsilon) \cap U_s.$$

For suppose that for every  $\varepsilon > 0$ , (12) is false. This allows us to define a sequence  $(z)_{n \in \mathbb{N}}$  converging to  $x_s$  such that the set  $Z = \{z_n : n \in \mathbb{N}\}$  is disjoint from  $U_s$ .

By (3) and (5),  $Z \cap U_t$  is finite for any  $t \in \mathbb{N}^{<\mathbb{N}}$  with  $t \neq s$  and  $\text{length}(t) = \text{length}(s)$ . Then, since  $Z \subseteq U_\emptyset$ , it follows that we can find  $t \in \mathbb{N}^{<\mathbb{N}}$  such that  $\text{length}(t) < \text{length}(s)$ ,  $Z \cap U_t$  is infinite but  $Z \cap U_{t \hat{\ } i}$  is finite for each  $i \in \mathbb{N}$ . By (9),  $\lim_{n \rightarrow \infty} z_n = x_t$ , however, by (3) and (6),  $x_t \neq x_s$ , and this contradiction completes the justification of (12).

Next, by appealing to (6), we can make  $\varepsilon$  still smaller to ensure that  $B_{\hat{d}}(x_s, \varepsilon)$  omits also all  $U_{s \hat{\ } i}$  with  $i \leq i_0$ . Consequently, cf. (10) and (12),  $W \subseteq \bigcup_{i > i_0} U_{s \hat{\ } i}$ , hence  $f(W) \subseteq J$ .

This completes the justification of the claim, and let us note that (11) means exactly that the oscillation of  $f$  at any point of  $\overline{P} \setminus P = \{x_s : s \in \mathbb{N}^{<\mathbb{N}}\}$  is zero. This guarantees that  $f$  can be extended continuously over  $\overline{P}$ . By compactness of  $\overline{P}$  this extension is uniformly continuous, and in effect we get uniform continuity of  $f$  on  $P$ . □

As a corollary we obtain that not every  $\kappa$ - $K$ -Lusin set of cardinality  $\lambda$  in the irrationals is a witnessing set for  $C_s(\lambda, \kappa)$  (cf. [17, a remark at the end of the paper]).

**Theorem 3.3.** *If  $\mathfrak{d} = \mathfrak{c}$ , then there is a  $\mathfrak{c}$ -K-Lusin set  $E$  in  $\mathbb{P}$  of cardinality  $\mathfrak{c}$  such that every continuous function  $f : E \rightarrow \mathbb{R}$  is uniformly continuous on a subset of  $E$  of cardinality  $\mathfrak{c}$ .*

*In particular, assuming CH, there is a K-Lusin set  $E \subseteq \mathbb{P}$  of cardinality  $\mathfrak{c}$  such that every continuous function  $f : E \rightarrow \mathbb{R}$  is uniformly continuous on an uncountable subset of  $E$ .*

*Proof.* We list all compact sets in  $\mathbb{P}$  as  $(K_\alpha : \alpha < \mathfrak{c})$ , and all closed copies of irrationals in  $\mathbb{P}$  as  $(P_\alpha : \alpha < \mathfrak{c})$ , where each closed copy of irrationals  $P$  in  $\mathbb{P}$  appears in this transfinite sequence  $\mathfrak{c}$ -many times.

Then we inductively pick

$$x_\alpha \in P_\alpha \setminus \left( \bigcup_{\beta < \alpha} K_\beta \cup \{x_\beta : \beta < \alpha\} \right),$$

the choice being made possible by the assumption  $\mathfrak{d} = \mathfrak{c}$  which means that  $\mathbb{P}$  is not covered by any collection of less than  $\mathfrak{c}$ -many its compact subsets (cf. [3, Theorem 2.8]).

We let  $E = \{x_\alpha : \alpha < \mathfrak{c}\}$ . Let us notice that  $E$  is a  $\mathfrak{c}$ -K-Lusin set  $E$  in  $\mathbb{P}$  and

- (1)  $E$  intersects each closed copy of irrationals in  $\mathbb{P}$  in a set of cardinality  $\mathfrak{c}$ .

To see that  $E$  is a set we are looking for, let  $f : E \rightarrow \mathbb{R}$  be a continuous function, and let  $X$  be a  $G_\delta$ -set in  $\mathbb{P}$  containing  $E$  such that  $f$  extends to the (uniquely defined) continuous function  $\hat{f} : X \rightarrow \mathbb{R}$ .

Now,  $E$  being a  $\mathfrak{c}$ -K-Lusin set in  $\mathbb{P}$ , it cannot be covered by countably many compact sets in  $\mathbb{P}$ . Consequently,  $X$  is a non  $\sigma$ -compact Polish space contained in  $[0, 1]$ , so by Theorem 3.2 there exists a closed copy of irrationals  $P$  in  $X$  such that  $\hat{f}$  is uniformly continuous on  $P$  (in the metric inherited from  $[0, 1]$ ). Shrinking  $P$ , if necessary, we may assume that  $P$  is closed also in  $\mathbb{P}$ . By (1),  $|E \cap P| = \mathfrak{c}$  and we conclude that  $f$  is uniformly continuous on  $E \cap P$ .

□

On the other hand, we have the following result.

**Theorem 3.4.** *If  $\mathfrak{d} = \mathfrak{c}$ , then there is a  $\mathfrak{c}$ -K-Lusin set  $E$  in  $\mathbb{P}$  of cardinality  $\mathfrak{c}$  and a continuous function  $f : E \rightarrow \mathbb{R}$ , which is not uniformly continuous on any set of cardinality  $\mathfrak{c}$ .*

*In particular, we have  $\mathfrak{d} = \mathfrak{c} \Rightarrow C_8(\mathfrak{c}, \mathfrak{c})$ .*

Our proof will be based on the following proposition.

**Proposition 3.5.** *Let  $f : \mathbb{P} \rightarrow [0, 1]$  be a continuous function such that the closure  $\overline{G(f)}$  in  $[0, 1]^2$  of the graph  $G(f)$  of  $f$  intersects each  $\{q\} \times [0, 1]$ ,  $q \in \mathbb{Q} \cap [0, 1]$ , in an uncountable set. Then  $\mathbb{P}$  cannot be covered by less than  $\mathfrak{d}$  sets on which  $f$  is uniformly continuous.*

*Proof of Proposition 3.5.* Let  $\mathcal{A}$  be a collection of subsets of  $\mathbb{P}$  such that  $|\mathcal{A}| < \mathfrak{d}$  and  $f$  is uniformly continuous on each  $A \in \mathcal{A}$ . We will show that  $\mathbb{P} \setminus \bigcup \mathcal{A} \neq \emptyset$ .

Let  $A \in \mathcal{A}$ . Then  $f|_A$  being uniformly continuous extends continuously over the closure of  $A$  in  $[0, 1]$ , and let  $K_A$  be the graph of this extension.

For each  $q \in \mathbb{Q} \cap [0, 1]$ ,  $K_A$  intersects  $\{q\} \times [0, 1]$  in at most a singleton, and hence  $|\bigcup_{A \in \mathcal{A}} (K_A \cap (\{q\} \times [0, 1]))| < \mathfrak{d}$ . If  $V$  is an open neighbourhood of  $(t, f(t))$ ,  $t \in \mathbb{P}$ , in  $[0, 1]^2$ , then there are non-empty open intervals  $I_1, I_2$  in  $[0, 1]$  such that  $t \in I_1, I_1 \times I_2 \subseteq V$  and  $f(I_1 \cap \mathbb{P}) \subseteq I_2$ . It follows that for every  $q \in I_1 \cap \mathbb{Q}$ ,  $\overline{G(f)} \cap (\{q\} \times \bar{I}_2) = \overline{G(f)} \cap (\{q\} \times [0, 1])$ , so by the properties of  $f$ ,  $|\overline{G(f)} \cap (\{q\} \times I_2)| = \mathfrak{c}$ . Consequently, the set

$$H = \overline{G(f)} \cap (\mathbb{Q} \times [0, 1]) \setminus \bigcup_{A \in \mathcal{A}} K_A$$

is dense in  $\overline{G(f)}$ .

Since  $G(f)$  is a  $G_\delta$ -set dense in  $\overline{G(f)}$ , by the Baire theorem, each  $F_\sigma$ -set covering  $G(f)$  must hit  $H$ , and by the Kechris-Louveau-Woodin theorem (see [7, Theorem 21.22]), we get a Cantor set  $C \subseteq G(f) \cup H$  such that  $P = C \cap G(f)$  is a copy of the irrationals, closed in  $G(f)$ .

For each  $A \in \mathcal{A}$ ,  $K_A$  being compact, the set  $K_A \cap P = K_A \cap C$  is compact and since  $|\mathcal{A}| < \mathfrak{d}$  it follows that  $P \setminus \bigcup_{A \in \mathcal{A}} K_A \neq \emptyset$  (cf. [3, Theorem 2.8]). In effect,  $G(f) \setminus \bigcup_{A \in \mathcal{A}} K_A \neq \emptyset$  which proves that  $\mathbb{P} \not\subseteq \bigcup \mathcal{A}$ .  $\square$

With Proposition 3.5 in hand, we can easily get Theorem 3.4.

*Proof of Theorem 3.4.* Let  $f : \mathbb{P} \rightarrow [0, 1]$  be as in Proposition 3.5 (see Example 3.6).

Let us list as  $(F_\alpha : \alpha < \mathfrak{c})$  all closed sets in  $\mathbb{P}$  on which  $f$  is uniformly continuous. Since  $\mathfrak{d} = \mathfrak{c}$ , by the assertion of Proposition 3.5, we can inductively pick points

$$x_\alpha \in \mathbb{P} \setminus \left( \bigcup_{\beta < \alpha} F_\beta \cup \{x_\beta : \beta < \alpha\} \right), \alpha < \mathfrak{c},$$

and finally let  $E = \{x_\alpha : \alpha < \mathfrak{c}\}$ .

Then if  $A \subseteq E$  and  $f$  is uniformly continuous on  $A$ , the closure of  $A$  in  $\mathbb{P}$  is listed as some  $F_\alpha$ , and hence  $|A| < \mathfrak{c}$ .

Likewise, every compact set  $K$  in  $\mathbb{P}$  is on the list, so  $|E \cap K| < \mathfrak{c}$  which shows that  $E$  is a  $\mathfrak{c}$ - $K$ -Lusin set in  $\mathbb{P}$ .  $\square$

For the sake of completeness we recall an example given by Kuratowski and Sierpiński in [9], of a function satisfying the assertion of Proposition 3.5.

**Example 3.6.** Let  $\psi : [0, 1] \rightarrow [0, 1]$  be given by the formula

$$\psi(t) = \sum_{n=1}^{\infty} \frac{\phi(t - q_n)}{2^n},$$

where  $\phi(t) = |\sin(\frac{1}{t})|$  for  $t \neq 0$  and  $\phi(0) = 0$ , and  $(q_1, q_2, \dots)$  is an injective enumeration of  $\mathbb{Q} \cap [0, 1]$ .

Then  $f = \psi|_{([0, 1] \setminus \mathbb{Q})}$  satisfies the assertion of Proposition 3.5. To see this, let us fix  $q_n$ , and let

$$\sigma(t) = \sum_{m \neq n} \frac{\phi(t - q_m)}{2^m} \quad \text{for } t \in [0, 1].$$

Then  $\sigma$  is continuous at  $q_n$ ,

$$\psi(t) = \sigma(t) + \frac{\phi(t - q_n)}{2^n},$$

and the definition of  $\phi$  yields that

$$\overline{G(f)} \cap (\{q_n\} \times [0, 1]) = \{q_n\} \times [\sigma(t), \sigma(t) + 2^{-n}].$$

By combining Theorem 3.4 with Proposition 3.1 and Corollary 2.4, we immediately get the following corollary.

**Corollary 3.7.** *If the cardinal  $\mathfrak{c}$  is regular, then the following statements are equivalent:*

- (1)  $C_8(\mathfrak{c}, \mathfrak{c})$ ,
- (2)  $C_9(\mathfrak{c}, \mathfrak{c})$ ,
- (3)  $\mathfrak{d} = \mathfrak{c}$ .

Without any additional set-theoretic assumptions we have (in ZFC) the following weaker result.

**Theorem 3.8.** *For any uncountable cardinal  $\kappa < \mathfrak{c}$ ,*

$$C_9(\mathfrak{c}, \kappa) \Rightarrow C_8(\mathfrak{c}, \mathfrak{c}).$$

Before giving the proof of Theorem 3.8 let us recall that a subset  $S$  of a Polish space  $X$  is  $\kappa$ -concentrated in  $X$  on a set  $D \subseteq X$ ,  $\aleph_1 \leq \kappa \leq \mathfrak{c}$ , if  $|S \setminus U| < \kappa$  for every open in  $X$  set  $U \subseteq X$  that contains  $D$ .

Let us assume that  $C \subseteq \mathbb{R}$  is the Cantor set,  $Q$  is a countable dense set in  $C$  and  $P = C \setminus Q$ .

Let us note that if  $S \subseteq P$ , then  $S$  is  $\kappa$ -concentrated on  $Q$  in  $C$  if and only if  $S$  is a  $\kappa$ - $K$ -Lusin in  $P$ . (cf. [2, Proposition 3.4]).

We shall need the following lemma.

**Lemma 3.9.** *Suppose that there is a set  $S \subseteq P$  of cardinality  $\mathfrak{c}$   $\kappa$ -concentrated on  $Q$  in  $C$ . Then there is a set  $H \subseteq S \times C$  such that for every compact set  $K$  in  $C \times C$  with  $K \cap (Q \times C)$  countable, we have  $|H \cap K| < \mathfrak{c}$ .*

*Proof of Lemma 3.9.* We list all compact sets in  $C \times C$  with  $K \cap (Q \times C)$  countable as  $(K_\alpha : \alpha < \mathfrak{c})$ . Then we inductively pick distinct points  $s_\alpha \in S$  and  $t_\alpha \in C$  such that  $(s_\alpha, t_\alpha) \notin \bigcup_{\beta < \alpha} K_\beta$  for  $\alpha < \mathfrak{c}$ .

At stage  $\alpha < \mathfrak{c}$ , let us notice that  $\bigcup_{\beta < \alpha} K_\beta \cap (Q \times C)$  has cardinality less than  $\mathfrak{c}$ , and therefore, there is  $t \in C$  such that  $\bigcup_{\beta < \alpha} K_\beta \cap (Q \times \{t\}) = \emptyset$ .

Since  $S \times \{t\}$  is  $\kappa$ -concentrated on  $Q \times \{t\}$  in  $C \times \{t\}$ , it follows that, for each  $\beta < \alpha$ ,  $|K_\beta \cap (S \times \{t\})| < \kappa$ . Therefore,

$$\left| \bigcup_{\beta < \alpha} (K_\beta \cap (S \times \{t\})) \right| < \mathfrak{c},$$

so we can pick  $s \in S$ , distinct from  $s_\beta$  for all  $\beta < \alpha$ , such that  $(s, t) \notin \bigcup_{\beta < \alpha} K_\beta$ , and we let  $(s_\alpha, t_\alpha) = (s, t)$ .

Finally, we let  $H = \{(s_\alpha, t_\alpha) : \alpha < \mathfrak{c}\}$ .  $\square$

With Lemma 3.9 in hand, we can now prove Theorem 3.8 as follows.

*Proof of Theorem 3.8.* Let us assume that statement  $C_9(\mathfrak{c}, \kappa)$  is true. With the help of Theorem 2.3 and the fact that  $P$  is a homeomorphic copy of  $\mathbb{N}^{\mathbb{N}}$ , we get a  $\kappa$ - $K$ -Lusin  $S$  in  $P$  of cardinality  $\mathfrak{c}$ .

There is a continuous map  $\phi : C \times C \rightarrow C$  such that  $\phi|(P \times C)$  is a homeomorphism onto  $G = \phi(P \times C)$ , and the set  $D = \phi(Q \times C)$  is countable and disjoint from  $G$  (a simple argument to this effect is given in [14, Lemma 4.2]).

Since  $S$  is  $\kappa$ -concentrated on  $Q$  in  $C$  there is, by Lemma 3.9, a set  $H \subseteq S \times C$  such that for every compact set  $K$  in  $C \times C$  with  $K \cap (Q \times C)$  countable, we have  $|H \cap K| < \mathfrak{c}$ . Let  $E = \phi(H)$  and  $f = \phi^{-1}|E : E \rightarrow H$ . Upon an embedding of  $C \times C$  in  $\mathbb{R}$ , we can consider  $f$  as a function from a subset of  $\mathbb{R}$  of cardinality  $\mathfrak{c}$  into  $\mathbb{R}$  and we are going to prove that it is a witness that statement  $C_8(\mathfrak{c}, \mathfrak{c})$  is true.

Aiming at a contradiction, assume that  $f|A$  is uniformly continuous (with respect to any metric compatible with the topology of  $C \times C$ ) on a set  $A \subseteq E$  of cardinality  $\mathfrak{c}$  and let  $B = f(A) = \phi^{-1}(A)$ . Then, since  $\phi|B : B \rightarrow A$  is also uniformly continuous, the function  $f|A$  extends to a homeomorphism  $\tilde{f} : \bar{A} \rightarrow \bar{B}$ , where  $\bar{A}$  and  $\bar{B}$  are the closures of  $A$  and  $B$  in  $C$  and  $C \times C$ , respectively (cf. [4, Theorem 4.3.17]). Since  $D$  is countable and  $\tilde{f}^{-1} = \phi|\bar{B}$  injectively maps  $\bar{B} \cap (Q \times C)$  into  $\bar{A} \cap D$ ,  $\bar{B} \cap (Q \times C)$  is also countable, and we have  $\bar{B} = K_\alpha$  for some  $\alpha < \mathfrak{c}$ . This, however, is impossible, as by Lemma 3.9, we have  $|H \cap K_\alpha| < \mathfrak{c}$ , but on the other hand  $B \subseteq H \cap K_\alpha$  has cardinality  $\mathfrak{c}$ .  $\square$

A similar reasoning shows, in particular, that also the statements  $C_8(\aleph_1, \aleph_1)$  and  $C_9(\aleph_1, \aleph_1)$  are equivalent.

Let us recall that  $E$  is a  $\lambda'$ -set in  $X$  if for every countable set  $L$  in  $X$ ,  $L$  is a relative  $G_\delta$ -set in  $E \cup L$ ; by a theorem of Sierpiński, there is (in ZFC) an uncountable  $\lambda'$ -set in  $\mathbb{R}$ , cf. [8].

**Theorem 3.10.** *For any uncountable cardinal  $\nu \leq \mathfrak{c}$ , the existence of a  $\lambda'$ -set  $T$  of cardinality  $\nu$  in the Cantor set  $C \subseteq \mathbb{R}$  and a  $K$ -Lusin set  $S$  in  $P = C \setminus Q$  of cardinality  $\nu$ , where  $Q$  is a countable dense set in  $C$ , implies  $C_8(\nu, \aleph_1)$ . Consequently, the existence of a  $\lambda'$ -set of cardinality  $\nu$  in the Cantor set  $C$  implies that  $C_8(\nu, \aleph_1) \Leftrightarrow C_9(\nu, \aleph_1)$  and hence, we have (in ZFC)  $C_8(\aleph_1, \aleph_1) \Leftrightarrow C_9(\aleph_1, \aleph_1)$ , and each of the statements is equivalent to the assertion  $\mathfrak{b} = \aleph_1$ .*

*Proof.* Let  $H$  be the graph of a bijection from  $S$  onto  $T$ . Since  $S$  is concentrated in  $C$  on  $Q$ , it follows that  $H$  is concentrated in  $C \times C$  on  $Q \times C$ . Indeed, if  $U$  is an arbitrary open set in  $C \times C$  containing  $Q \times C$ , and  $D = (C \times C) \setminus U$ , then  $V = C \setminus \text{proj}_1(D)$  is an open set in  $C$  containing  $Q$  (where  $\text{proj}_1$  is the projection of  $C \times C$  onto the first axis). Thus  $V$  contains all but countably many points of  $S$ , and consequently, the set  $H \setminus U$  is countable.

As in the proof of Theorem 3.8, let  $\phi : C \times C \rightarrow C$  be a continuous map such that  $\phi|(P \times C)$  is a homeomorphism onto  $G = \phi(P \times C)$ , and the set  $D = \phi(Q \times C)$  is countable and disjoint from  $G$ .

Let  $E = \phi(H)$  and  $f = \phi^{-1}|E : E \rightarrow H$ . Upon an embedding of  $C \times C$  in  $\mathbb{R}$ , we consider  $f$  as a function from a subset of  $\mathbb{R}$  of cardinality  $\nu$  into  $\mathbb{R}$  and we shall prove that it is a witness that statement  $C_8(\nu, \aleph_1)$  is true.

Aiming at a contradiction, assume that  $f|A$  is uniformly continuous on an uncountable set  $A \subseteq E$ , let  $B = f(A) = \phi^{-1}(A)$  and extend the function  $f|A$  to a homeomorphism  $\tilde{f} : \bar{A} \rightarrow \bar{B}$ , where  $\bar{A}$  and  $\bar{B}$  are the closures of  $A$  and  $B$  in  $C$  and  $C \times C$ , respectively (cf. the proof of Theorem 3.8).

Let us notice that  $E$  is concentrated on  $D$  in  $C$  and  $\bar{A} \cap D \neq \emptyset$ . It is easy to see that this implies that  $A$  is concentrated on  $\bar{A} \cap D$  in  $\bar{A}$ , hence also  $B$  is concentrated on  $L = \tilde{f}(\bar{A} \cap D)$  in  $\bar{B}$ . Clearly,  $L$  is a countable subset of  $\bar{B} \subseteq C \times C$  and  $B$  is concentrated on  $L$  also in  $C \times C$ . Therefore,  $B' = \text{proj}_2(B)$  is concentrated on  $L' = \text{proj}_2(L)$  in  $C$  (where  $\text{proj}_2$  is the projection of  $C \times C$  onto the second axis). Since  $H$  is the graph of an injection,  $B'$  is uncountable, and it follows that  $L'$  is a countable set in  $C$  which is not a  $G_\delta$ -set in  $B' \cup L'$ . This, however, contradicts the fact that  $T$  is a  $\lambda'$ -set in  $C$  and  $B' \subseteq T$ .

The assertion, stating the equivalence of statements  $C_8(\nu, \aleph_1)$  and  $C_9(\nu, \aleph_1)$  assuming the existence of a  $\lambda'$ -set of cardinality  $\nu$  in the Cantor set follows now from Theorem 2.3 and Proposition 3.1. Indeed,  $C_9(\nu, \aleph_1)$  implies that there is also a  $K$ -Lusin set in  $P = C \setminus Q$  of cardinality  $\nu$ , where  $Q$  is a countable dense set in  $C$  (cf. Theorem 2.3),

which by what we have already proved, yields  $C_8(\nu, \aleph_1)$ . The converse implication is always true (see Proposition 3.1).

The final assertions follow now from Corollary 2.4(1) and the existence (in ZFC) of a  $\lambda'$ -set of cardinality  $\aleph_1$  in the Cantor set.  $\square$

While the status of the implication  $C_9 \Rightarrow C_8$ , the central topic of this note, remains unclear, the following conditions, sufficient for the validity of  $C_9 \Rightarrow C_8$ , hint at difficulties in finding a model of ZFC where, possibly,  $C_9$  is true but  $C_8$  is false.

**Proposition 3.11.** *Any of the following three conditions implies that  $C_9$  implies  $C_8$  (and then  $C_8$  and  $C_9$  are equivalent):*

- (1) *no  $K$ -Lusin set in  $\mathbb{N}^{\mathbb{N}}$  has cardinality  $\mathfrak{c}$  (in particular, this is so if  $\mathfrak{b} > \aleph_1$  or  $\mathfrak{d} < \mathfrak{c}$ ),*
- (2) *there exists a Lusin set in  $\mathbb{R}$  of cardinality  $\mathfrak{c}$ ,*
- (3) *there exists a  $\lambda'$ -set in the Cantor set of cardinality  $\mathfrak{c}$ .*

*Proof.* The non-existence of  $K$ -Lusin sets in  $\mathbb{N}^{\mathbb{N}}$  of cardinality  $\mathfrak{c}$  makes  $C_9$  false by Theorem 2.3.

Similarly, the existence of a Lusin set in  $\mathbb{R}$  of cardinality  $\mathfrak{c}$  makes  $C_8$  true (see [18, proof of Théorème 6 on page 45]).

The existence of a  $\lambda'$ -set of cardinality  $\mathfrak{c}$  in the Cantor set  $C$  implies that  $C_8(\mathfrak{c}, \aleph_1) \Leftrightarrow C_9(\mathfrak{c}, \aleph_1)$ , by Theorem 3.10.  $\square$

#### 4. COMMENTS

In this section we present some additional results related to the subject of this note; their proofs will be given in a forthcoming paper.

**4.1. Mappings into compact spaces.** One can show that statement  $C_8(\lambda, \kappa)$  is equivalent to its analogue concerning functions with ranges in compact metric spaces.

**Proposition 4.1.** *For any uncountable cardinals  $\kappa \leq \lambda \leq \mathfrak{c}$  if the cofinality of  $\lambda$  is uncountable, then the following are equivalent:*

- (1)  $C_8(\lambda, \kappa)$ ,
- (2) *there is a set  $E \subseteq [0, 1]$  of cardinality  $\lambda$ , such that for every uncountable compact metric space  $Y$  there is a continuous function  $f : E \rightarrow Y$ , which is not uniformly continuous on any subset of  $E$  of cardinality  $\kappa$ .*

Moreover, if a set  $E$  satisfies property (2) above for a continuous function  $f : E \rightarrow Y$  into an uncountable compact metric space  $Y$ , then so does it for a certain real-valued continuous function on  $E$ .

However, the latter is no longer true when we replace  $E \subseteq [0, 1]$  by an arbitrary separable metrizable space, as shown by the following result.

**Proposition 4.2.** *Assuming that no family of less than  $\mathfrak{c}$  meager sets covers  $\mathbb{R}$ , there exists a set of positive dimension  $E \subseteq [0, 1]^{\mathbb{N}}$  such that*

- (1) *there is a continuous function  $f : E \rightarrow [0, 1]^{\mathbb{N}}$ , which is not uniformly continuous on any subset of  $E$  of cardinality  $\mathfrak{c}$ ,*
- (2) *each continuous function  $g : E \rightarrow \mathbb{R}$  is constant on a subset of  $E$  of cardinality  $\mathfrak{c}$ .*

**4.2. Mappings into non-compact spaces.** One can show that also statement  $C_9(\lambda, \kappa)$  is equivalent to an analogue of statement  $C_8(\lambda, \kappa)$  but concerning functions with ranges in non- $\sigma$ -compact Polish spaces.

**Proposition 4.3.** *For any uncountable cardinals  $\kappa \leq \lambda \leq \mathfrak{c}$  the following are equivalent:*

- (1)  $C_9(\lambda, \kappa)$ ,
- (2) *there is a set  $E \subseteq [0, 1]$  of cardinality  $\lambda$  such that for every non- $\sigma$ -compact Polish space  $Y$  there is a continuous function on  $E$  which is not uniformly continuous (with respect to any complete metric on  $Y$ ) on any subset of  $E$  of cardinality  $\kappa$ .*

Moreover, any  $\kappa$ -K-Lusin set  $E$  of cardinality  $\lambda$  in  $\mathbb{P}$  has property (2) above. In particular, with the help of Theorem 3.3 and Proposition 4.1, it follows that, under CH, there exists a  $K$ -Lusin set  $E$  in  $\mathbb{P}$  of cardinality  $\mathfrak{c}$  such that  $E$  admits a continuous function  $f : E \rightarrow \mathbb{R}^{\mathbb{N}}$  which is not uniformly continuous on any uncountable subset of  $E$ , but each continuous map  $g : E \rightarrow [0, 1]^{\mathbb{N}}$  is uniformly continuous on an uncountable subset of  $E$ .

**4.3. A characterization of completeness.** One can show that the existence of a function sequence described in Theorem 2.1 characterizes completeness of a separable metrizable space  $X$ .

In fact, the following more general result can be obtained (for terminology see [4]).

**Proposition 4.4.** *Let  $X$  be a Hausdorff space. Then  $X$  is a Čech-complete Lindelöf space if and only if there is a sequence  $f_1 \geq f_2 \geq \dots$  of continuous functions  $f_n : X \rightarrow [0, 1]$  converging pointwise to zero but not converging uniformly on any closed non-compact set in  $X$ .*

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