

A Quasi-Polynomial Black-Box Algorithm for Fixed Point Evaluation

André Arnold, Damian Niwiński, **Paweł Parys**

Plan

- parity games \approx modal μ -calculus
 \cap
 (black-box) fixed point evaluation
- quasi-polynomial algorithms for parity games
 ↓
 quasi-polynomial black-box algorithms for fixed point evaluation
- Our algorithm is an abstract version of recent quasi-polynomial algorithms solving parity games
- We unify two kinds of parity-games algorithms (asymmetric, symmetric) in a common framework
- Some lower bounds for the method (universal trees are needed)

Considered problem: fixed point evaluation

Compute: $\forall x_d. \exists x_{d-1} \dots \forall x_2. \exists x_1. f(x_1, x_2, \dots, x_{d-1}, x_d)$

where $x_i \in \{0,1\}^n$

$f: (\{0,1\}^n)^d \rightarrow \{0,1\}^n$ monotone

access to f : only evaluation for given arguments (f is a black-box)

Considered problem: fixed point evaluation

Compute: $\forall x_d. \mu x_{d-1} \dots \forall x_2. \mu x_1. f(x_1, x_2, \dots, x_{d-1}, x_d)$

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Relation to parity games

parity game
(n nodes, d priorities)



fixed point evaluation
(n bits, d arguments)
 f of a special form

parity game
($\exp(n)$ nodes, d priorities)



fixed point evaluation
(n bits, d arguments)
arbitrary f

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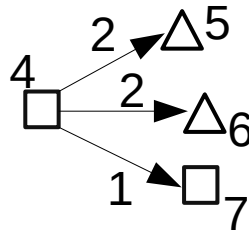
fixed point evaluation
(n bits, d arguments)
 f of a special form

$x_i \subseteq V$

f describes a game:

$f(x_1, x_2, \dots, x_{d-1}, x_d)$ returns nodes from which Eve can reach in one step:

- a node in x_1 via an edge of priority 1, or
- a node in x_2 via an edge of priority 2, or
- ...



$$f(x_1, x_2)[4] = x_1[7] \vee x_2[5] \vee x_2[6]$$

Then $\forall x_d. \mu x_{d-1} \dots \forall x_2. \mu x_1. f(x_1, x_2, \dots, x_{d-1}, x_d)$ is the set of nodes where Eve wins the parity game

Parity games vs. fixed point evaluation

$$\forall x_d. \exists x_{d-1} \dots \forall x_2. \exists x_1. f(x_1, x_2, \dots, x_{d-1}, x_d)$$

For parity games:

- f is of a special form: every output bit is either AND or OR of some input bits
- the game graph can be accessed also in other ways, not only by evaluating f

Recent quasipolynomial algorithms for parity games:

- access the game graph only by evaluating f
- work for arbitrary f , not only for f coming from parity games



After a careful analysis, they give black-box algorithms for fixed point evaluation

This paper / this talk:

- Why?
- How to prove this in a nice way?

Recent results on parity games

- Calude, Jain, Khoussainov, Li, Stephan 2017
- Fearnley, Jain, Schewe, Stephan, Wojtczak 2017
- Jurdziński, Lazić 2017
- Lehtinen 2018

asymmetric algo.
(separator approach)

complexity:
 $n^{\lg(d/\lg n)+O(1)} \approx |U_{n,d}|$

- Bojańczyk, Czerwiński 2018
- Czerwiński, Daviaud, Fijalkow, Jurdziński, Lazić, Parys 2019

- Parys 2019
- Lehtinen, Schewe, Wojtczak 2019
- Jurdziński, Morvan 2020

symmetric algo.
(recursive)

complexity:
 $n^{2\lg(d/\lg n)+O(1)} \approx |U_{n,d}|^2$

- Jurdziński, Morvan, Ohlmann, Thejaswini 2020 – symmetric, in $n^{\lg(d/\lg n)+O(1)} \approx |U_{n,d}|$

fixed point evaluation:

- Hausmann, Schröder 2019
- Hausmann, Schröder 2020

(blue = after writing this paper)

Standard exponential algorithm

Notation: $|(\Theta, f, (\mathbf{0}, \mathbf{1}))| = \nu x_d \cdot \mu x_{d-1} \dots \nu x_2 \cdot \mu x_1 \cdot f(x_1, x_2, \dots, x_{d-1}, x_d)$ for $\Theta = \nu \mu \dots \nu \mu$
 $f^{\mapsto A}(x_1, x_2, \dots, x_{d-1}) = f(x_1, x_2, \dots, x_{d-1}, A)$

Algorithm evaluating $|(\Theta, f, (\mathbf{0}, \mathbf{1}))|$

for $\Theta = \mu \Theta'$:

$$A_0 = \mathbf{0}$$

$$A_j = |(\Theta', f^{\mapsto A_{j-1}}, (\mathbf{0}, \mathbf{1}))|$$

return A_n

(where $j = 1, 2, \dots, n$)

for $\Theta = \nu \Theta'$:

$$B_0 = \mathbf{1}$$

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How to make it quasipolynomial?

- do not start from $\mathbf{0}$ / $\mathbf{1}$, but from some intermediate values (**restrictions**)
- perform less iterations (follow a structure of some **universal trees**)

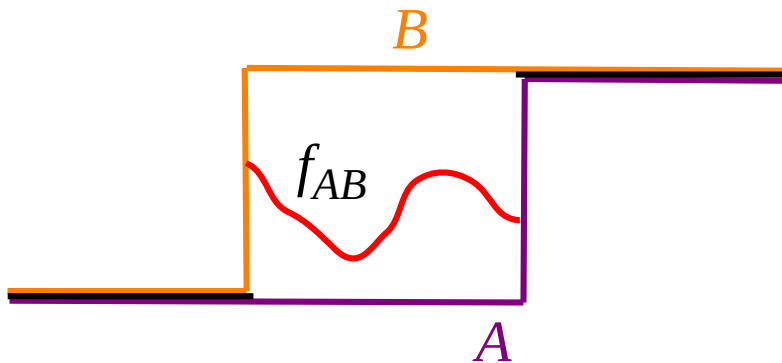
Restrictions

Notation: $f_{AB}(x_1, x_2, \dots, x_d) = A + B * f(x_1, x_2, \dots, x_d)$

$A \leq B$

= sup
= bitwise OR

= inf
= bitwise AND



Restrictions

Notation: $f_{AB}(x_1, x_2, \dots, x_d) = A + B * f(x_1, x_2, \dots, x_d)$ $A \leq B$
 $|(\Theta, f, (A, B))| = \nu x_d \cdot \mu x_{d-1} \dots \nu x_2 \cdot \mu x_1 \cdot f_{AB}(x_1, x_2, \dots, x_{d-1}, x_d)$ for $\Theta = \nu \mu \dots \nu \mu$

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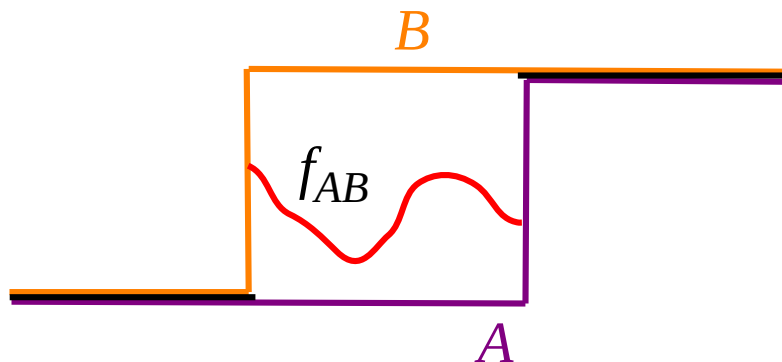
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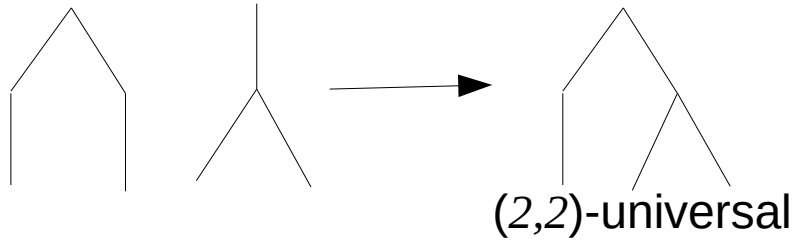
return B_n

(where $j=1, 2, \dots, n$)



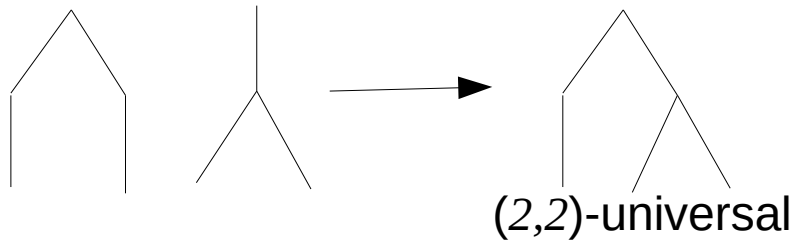
Universal trees

A tree U (of height h) is (n,h) -universal if every tree of height h with n leaves embeds in U .

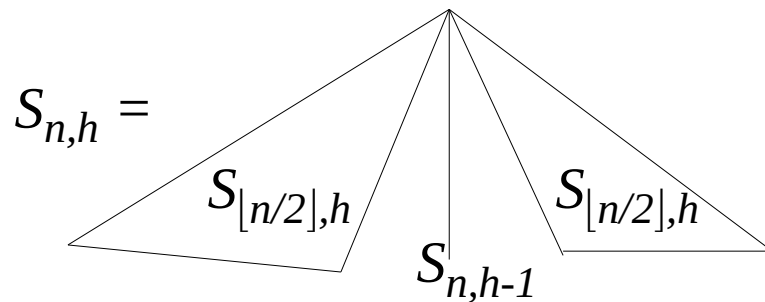
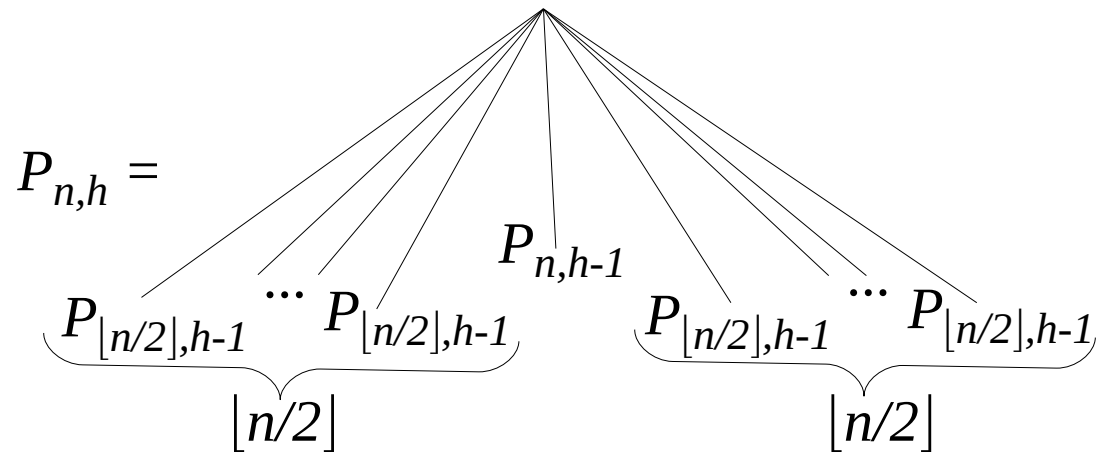
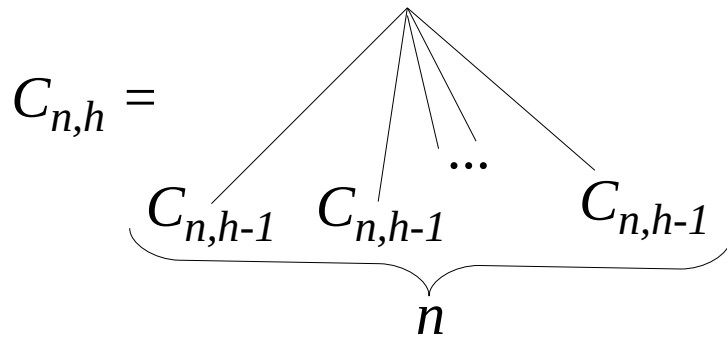


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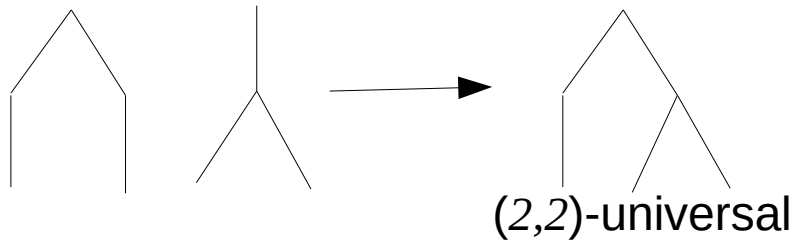


Examples:

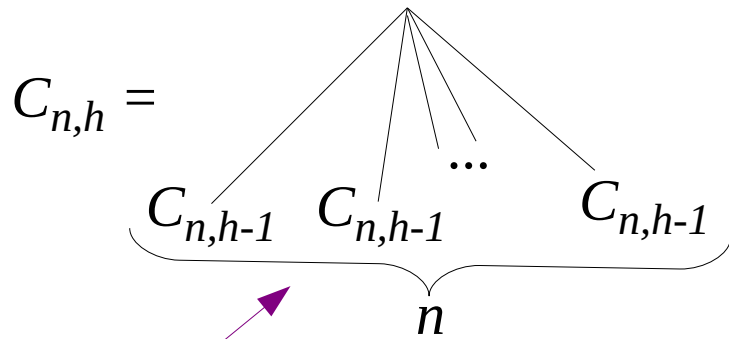


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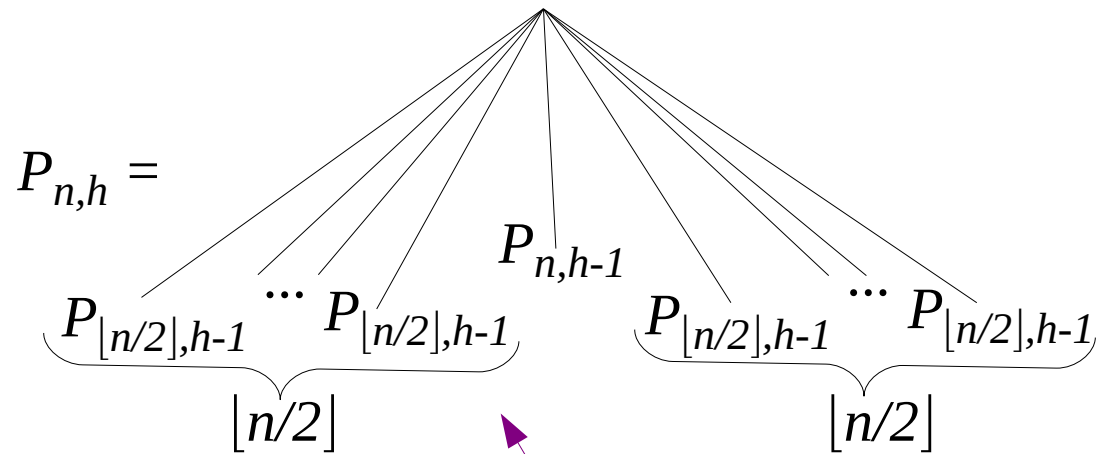
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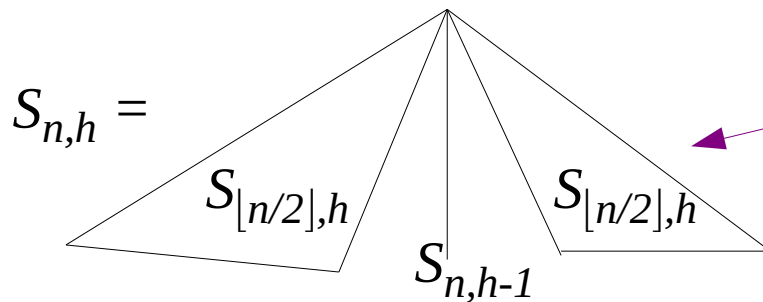
Examples:



size n^h



size $n \lg n + \lg(h/\lg n) + O(1)$



size $n \lg(h/\lg n) + O(1)$

Symmetric algorithm based on universal trees

≈ the symmetric algorithm for parity games

U, V – (universal) trees

Definition / Algorithm evaluating $|(\Theta, f, (A, B))|_{U, V}$ (where $j=1, 2, \dots, p$)

for $\Theta = \mu \Theta'$, $U = \langle U_1, \dots, U_p \rangle$

$$A_0 = A$$

$$A_j = |(\Theta', f^{\mapsto A_{j-1}}, (A_{j-1}, B))|_{U_j, V}$$

return A_p

for $\Theta = \nu \Theta'$, $V = \langle V_1, \dots, V_p \rangle$

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Correctness

If U, V are $(n, d/2)$ -universal then $|(\Theta, f, (\mathbf{0}, \mathbf{1}))|_{U, V} = |(\Theta, f, (\mathbf{0}, \mathbf{1}))|$.

Proof is based on:

- dominions
 - dominion decomposition
- } adapted from parity games
[Jurdziński, Morvan 2020]

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Time complexity: $|U| \cdot |V| = n^{2 \lg(d/\lg n) + O(1)}$

(two universal trees)

Asymmetric algorithm (Seidl's idea, 1996)

- evaluate recursively
time: n^d

Seidl '96



- create a system of **least** fixed point equations, and solve it
time: $n^{d/2+1}$

- universal trees
- restrictions
- evaluate recursively
time: $n^{2\lg(d/\lg n)+O(1)}$



- universal trees
- restrictions
- create a system of **least** fixed point equations, and solve it
time: $n^{2\lg(d/\lg n)/2+O(1)}$

⋈

symmetric algorithm
for parity games

⋈

asymmetric algorithm
for parity games

Asymmetric algorithm (Seidl's idea, 1996)

[exponential version]

Algorithm evaluating $|(\Theta, f, (A, B))|$

for $\Theta = \mu \Theta'$:

create equation:

$x = |(\Theta', f^{\mapsto x}, (A, B))|$

return x

(where x is a fresh variable)

the result is: $\mu x. |(\Theta', f^{\mapsto x}, (A, B))|_V$

(where $j=1,2,\dots,n$)

for $\Theta = \nu \Theta'$:

create equations:

$B_0 = B$

$B_j = |(\Theta', f^{\mapsto B_{j-1}}, (A, B))|$

return B_n

(where B_0, B_1, \dots, B_n are fresh variables)

We obtain a system of **least** fixed point equations (only μ) of size $n^{d/2}$.
It can be solved in linear time.

Asymmetric algorithm based on universal trees

V – (universal) tree

Definition of $|(\Theta, f, (A, B))|_V$

for $\Theta = \mu \Theta'$

return $\mu x. |(\Theta', f^{\mapsto x}, (A, B))|_V$

for $\Theta = \nu \Theta'$, $V = \langle V_1, \dots, V_p \rangle$ (where $j = 1, 2, \dots, p$)

$B_0 = B$

$B_j = |(\Theta', f^{\mapsto B_{j-1}}, (A, B_{j-1}))|_{V_j}$

return B_p

Asymmetric algorithm based on universal trees

≈ the asymmetric algorithm for parity games

V – (universal) tree

Algorithm evaluating $|(\Theta, f, (A, B))|_V$

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We obtain a system of **least** fixed point equations (only μ) of size

$$|V| = n^{\lg(d/\lg n) + O(1)}.$$

It can be solved in linear time.

Correctness (of the symmetric variant)

Sup-dominion for $(\Theta, f, (A, B))$: a value D such that $D = |(\Theta, f, (A, D))|$

intuition: one can prove that $|(\Theta, f, (A, B))| \geq D$ without looking for bits outside of D

(like in parity games: Even can win from D without going outside of D)

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Sup-dominion decomposition for $(\Theta, f, (A, B))$

a pair (D, H) such that D is a dominion for $(\Theta, f, (A, B))$ and

if $\Theta = \mu \Theta'$ then

$H = \langle (D_1, H_1), \dots, (D_k, H_k) \rangle$ s.t. $D_k = D$ and for $D_0 = A$

every (D_i, H_i) is a sup-dominion decomposition for $(\Theta', f^{\hookrightarrow D_{i-1}}, (D_{i-1}, D))$

if $\Theta = \nu \Theta'$ then

(D, H) is a sup-dominion decomposition for $(\Theta', f^{\hookrightarrow D}, (A, D))$

$(D, \langle (D_1, \langle (D_{1,1}, \diamond), (D_{1,2}, \diamond), (D_{1,3}, \diamond) \rangle), (D_2, \langle (D_{2,1}, \diamond), (D_{2,2}, \diamond) \rangle) \rangle)$

————— $D_{2,2} = D_2 = D$
——— $D_{2,1}$
————— $D_{1,3} = D_1 = D_{2,0}$
——— $D_{1,2}$
——— $D_{1,1}$
————— $A = D_0 = D_{1,0}$

$\mu \quad \nu \quad \mu$
 $f(D_{1,1}, D_1, D_0) \geq D_{1,2}$

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Theorem: If U, V are $(n, d/2)$ -universal, then
 $|(\Theta, f, (A, B))| = |(\Theta, f, (A, B))|_{U, V}$

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Technical lemma: If $A \leq C \leq |(\Theta, f, (A, B))| \leq D \leq B$ then
 $|(\Theta, f, (A, B))| = |(\Theta, f, (C, D))|$

Proof: definition + induction

Correctness (of the symmetric variant)

Theorem: If U, V are $(n, d/2)$ -universal, then
 $|(\Theta, f, (A, B))| = |(\Theta, f, (A, B))|_{U, V}$

Proof

- $D = |(\Theta, f, (A, B))|$ is a sup-dominion
- Lemma 1: every sup-dominion D has a sup-dominion decomposition (D, H)
- It has a shape of a tree T_H of height $d/2$ with at most n leaves
- Lemma 2: if (D, H) is a sup-dominion decomposition for $|(\Theta, f, (A, B))|$ then $D \leq |(\Theta, f, (A, B))|_{T_H, V}$ for every V
- If T embeds in U then $|(\Theta, f, (A, B))|_{T, V} \leq |(\Theta, f, (A, B))|_{U, V}$
- + other side – by symmetry

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Correctness (of the symmetric variant)

Lemma 1: Every sup-dominion D has a sup-dominion decomposition (D,H)

Proof

Assumption: D is a sup-dominion for $(\Theta, f, (A, B))$

Case $\Theta = \vee \Theta'$

- [by definition: decomposition for $(\Theta, f, (A, B)) =$ decomposition for $(\Theta', f^{\mapsto D}, (A, D))$]
- immediate: D is also a sup-dominion for $(\Theta', f^{\mapsto D}, (A, D)) \rightarrow$ we can use I.H.

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Case $\Theta = \mu \Theta'$

- [by definition: we need $(D_1, H_1), \dots, (D_k, H_k)$ s.t. $D_k = D$ and for $D_0 = A$ every (D_i, H_i) is a sup-dominion decomposition for $(\Theta', f^{\mapsto D_{i-1}}, (D_{i-1}, B))$]
- We take $D_i = |(\Theta', f^{\mapsto D_{i-1}}, (D_{i-1}, D))|$ as long as $D_i < D$
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Lemma 2: If (D, H) is a sup-dominion decomposition for $|(\Theta, f, (A, B))|$ then $D \leq |(\Theta, f, (A, B))|_{T_H} V$ for every V

Proof: definitions + induction

A lower bound (for our method)

Theorem: Fix n, d .

If $|(\Theta, f, (\mathbf{0}, \mathbf{1}))| = |(\Theta, f, (\mathbf{0}, \mathbf{1}))|_{U, V}$ for all f , then U, V are $(n, d/2)$ -universal.

If $|(\Theta, f, (\mathbf{0}, \mathbf{1}))| = |(\Theta, f, (\mathbf{0}, \mathbf{1}))|_V$ for all f , then V is $(n, d/2)$ -universal.

Corollary:

It is known that every universal tree has size at least $n^{\lg(h/\lg n) + \Omega(1)}$

Thus our algorithm cannot work faster (using potentially some smaller tree).

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Proof idea:

By contradiction: If some T (with n leaves) does not embed in U , then we can construct f such that the only sup-dominion decomposition has shape T .

For this f the algorithm does not work.

Conclusions

- quasi-polynomial algorithms for fixed-point evaluation
- an abstract formulation using universal trees
- unified treatment of symmetric / asymmetric variants
- a lower bound for the method

Open problem:

- prove a (quasi-polynomial?) lower bound for the number of queries for black-box fixed point evaluation
[we only have $\Omega(n^2/\log n)$ – Parys 2009]

Thank you!