

# Computational complexity

lecture 6

Homework:  
available on the webpage,  
deadline: 27.11.2017

# Determinization

Theorem (previous lecture)

$$\mathbf{NTIME}(f(n)) \subseteq \mathbf{DSPACE}(f(n))$$

Theorem (now)

$$\mathbf{NSPACE}(f(n)) \subseteq \bigcup_{c \in \mathbb{N}} \mathbf{DTIME}(cf(n) + \log(n))$$

# Determinization

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## Proof

- We have a nondeterm. machine  $M$  working in space  $g(n) = O(f(n))$ .  
W.l.o.g. we assume that  $M$  has only one working tape.

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- A configuration of  $M$  on a fixed input of length  $n$  can be represented as:
  - contents of the working tape, with a marker over the position of the head –  $(2|\Gamma|)^{g(n)}$  possibilities
  - a position of the head on the input tape –  $n+2$  possibilities
  - a state (a constant number of possibilities)
- Altogether, there are  $d^{g(n)+\log(n)}$  configurations (for some constant  $d$ )

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- Altogether, there are  $d^{g(n)+\log(n)}$  configurations (for some constant  $d$ )
- Checking that there is an accepting run amounts to checking reachability in the (directed) configuration graph.
- Reachability can be solved in time polynomial in the size of the graph (i.e., in the number of configurations).

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## Proof

Remark 1 – If we want to generate all configurations using space  $g(n)$ , we have to assume that  $g(n)$  is space constructible (or at least constructible in time  $O(c^{f(n)+\log(n)})$ ). But we do not need to do this – we can construct the configuration graph “on the fly”: we only need to be able to generate configurations reachable from a given configuration in a single step (to this end, we space-constructibility of  $f(n)$  is not needed).

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Remark 2 – the input is not treated as a part of a configuration; thus in order to generate configurations reachable from a given configuration in a single step we have to inspect the input word.



# Determinization

## Corollaries

**$L \subseteq NL \subseteq P \subseteq NP \subseteq PSPACE$**

Supposedly, all the inclusions are strict, but we only know that some of them has to be strict (space hierarchy theorem).

## Reachability in a directed graph

Input: directed graph (as a list of edges, or as an incidence matrix, does not matter), nodes  $x, y$

Question: it is possible to reach  $y$  from  $x$ ?

Fact: the reachability problem is in **NL**.

Proof: the machine remembers the current node, and guesses the next node (alternatively: a path in the graph can be taken as a witness)

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- consider a more general problem  $\text{PATH}(x,y,k)$ : is there a path from  $x$  to  $y$  of length at most  $2^k$ ?

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Proof:

- consider a more general problem  $\text{PATH}(x,y,k)$ : is there a path from  $x$  to  $y$  of length at most  $2^k$ ?
- can be easily solved for  $k=0$
- in order to solve this for some  $k>0$ , we browse all nodes  $z$ , and for each of them we ask whether  $\text{PATH}(x,z,k-1)$  and  $\text{PATH}(z,y,k-1)$

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- recursion – we need a stack, on which we store triples  $(x,y,k)$
- every triple has size  $\log(n)$ , and there are  $\log(n)$  of them (it is enough to consider  $k \leq \log(n)$ ) – thus memory usage is  $O((\log n)^2)$

# Determinization

Theorem (Savitch, 1970)

**NSPACE** $(f(n)) \subseteq$  **DSPACE** $(f(n)^2)$  whenever  $f(n) = \Omega(\log n)$

(earlier, we have shown that **NSPACE** $(f(n)) \subseteq \bigcup_{c \in \mathbb{N}}$  **DTIME** $(cf(n) + \log(n))$ )

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Proof

- We have a nondet. machine  $M$  working in space  $g(n) = O(f(n))$ .
- Consider the graph of configurations fitting in space  $\leq g(n)$  – there is  $d^{g(n)}$  of them, for some  $d$ , because  $g(n) = \Omega(\log n)$
- Every such configuration can be stored in space  $O(f(n))$
- We are interested in reachability in this graph (to every accepting configuration) – using the previous theorem, we obtain a solution working in space  $O((\log d^{g(n)})^2) = O(f(n)^2)$



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- We are interested in reachability in this graph (to every accepting configuration) – using the previous theorem, we obtain a solution working in space  $O((\log d^{g(n)})^2) = O(f(n)^2)$
- Remark 1: we do not compute and remember the whole graph; we only check single edges at the very bottom of the recursion (can  $y$  be reached from  $x$  in a single step)

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Proof – Remark 2:

- It would be useful to assume that  $g(n)$  is space constructible:  
we need to browse all accepting configurations / configurations  $z$ ,  
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- However, we can succeed without this assumption: for consecutive values of  $S$  we check whether  $M$  accepts in space  $S$ , and whether  $M$  reaches a configuration in which it wants to increase the memory usage over  $S$  (if so, we increase  $S$  by 1, and we repeat)

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Corollaries:

**NPSPACE = PSPACE = coNPSPACE**

Next time, we will also prove that **NL = coNL**.

It seems that nondeterminism has smaller impact on space complexity than on time complexity (since probably **P  $\neq$  NP  $\neq$  coNP**)

(but we do not know whether **L = NL**; it's quite possible that they differ)

# Reductions

Idea:

- problem A reduces to problem B if while knowing how to solve B it is easy to solve A as well
- if B is easy, then A is easy as well
- if A is difficult, then B is difficult as well

There are multiple kinds of reductions...

## Turing reductions / Cook reductions

An oracle machine, with an oracle for a language  $K$ :

- a deterministic Turing machine
  - a separate “query tape” used for writing queries to the oracle (write only, i.e., the head mover only right; its length is not included in the space complexity)
  - special states  $q_?$ ,  $q_{yes}$ ,  $q_{no}$  for calling the oracle
  - after entering state  $q_?$ , the state changes to  $q_{yes}$  if the word on the query tape is in  $K$  / to  $q_{no}$  if it is not in  $K$ ; the query tape becomes empty and the head returns to its first cell (all this happens in a single step)
- A language  $L$  is Turing-reducible to  $K$  if there exist a machine with an oracle for  $K$ , which recognizes  $L$

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Observe that every language  $L \in \mathbf{NP}$  can be reduced to  $\bar{L} \in \mathbf{coNP}$ : it is enough to call the oracle for  $\bar{L}$ , and negate the answer.

But we don't know whether  $\mathbf{NP}$  is contained in  $\mathbf{coNP}$ .

This is rather inconvenient: we prefer not to have reductions between independent classes.

Thus Cook reductions are not so popular.

We prefer Karp reductions (next slide), having better properties.



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A language  $L \subseteq \Sigma^*$  is Karp-reducible to  $K \subseteq \Gamma^*$  if there exists a function  $f: \Sigma^* \rightarrow \Gamma^*$  computable in logarithmic space (sometimes: in polynomial time), such that  $w \in L \Leftrightarrow f(w) \in K$  for every word  $w \in \Sigma^*$ .

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Fact: If  $L$  is Karp-reducible to  $K$ , then it is also Turing-reducible to  $K$  (with the same restrictions on resources)

### Proof

- We have a machine computing  $f$ .
- We treat it as a machine with oracle for  $K$ , which at the very end asks a single question.

## Levin reductions

- Turing reductions and Karp reductions are for decision problems (i.e., languages – does there exist ...)
- For problems in **NP** we often want to find a solution / a witness (e.g., a Hamiltonian cycle), not only decide that it exists.
- The idea of Levin reductions: additionally a witness for the first problem allows to recover a witness for the second problem.

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### Definition:

- It is a reduction between relations  $R_1, R_2 \subseteq \Sigma^* \times \Sigma^*$
- $R_1$  is Levin-reducible to  $R_2$  if there are functions  $f: \Sigma^* \rightarrow \Sigma^*$ ,  $g, h: \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$  (computable in logarithmic space / polynomial time) such that:  
$$R_1(x, y) \Rightarrow R_2(f(x), g(x, y))$$
$$R_2(f(x), z) \Rightarrow R_1(x, h(x, z)) \quad (\text{for all } x, y, z \in \Sigma^*)$$

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### Fact

The function  $f$  itself gives a Karp-reduction from  $\exists R_1$  to  $\exists R_2$

# Reductions

Which reductions are better?

- Turing-reductions are closer to intuitions (e.g. if we can search for a Hamiltonian cycle in a single graph, then we can also search for Hamiltonian cycles in two graphs – but how to show a Karp reduction)
- but Turing reductions are too easy to find, e.g., every language can be reduced to its complement, which blurs differences between **NP** and **coNP**
- in practice, it is usually possible to show a Karp reduction, thus since this notion is stronger, we use it
- for the same reason, we prefer reductions in logarithmic space over reductions in polynomial time
- in practice, we usually can even show a Levin reduction, but these are reductions between relations, not between languages, so they are not so popular

# Completeness

Let  $C$  be a complexity class.

A language  $L$  is  $C$ -complete (with respect to logarithmic-space Karp reductions) if

- $L \in C$ , and
- $L$  is  $C$ -hard, i.e., every language from  $C$  Karp-reduces to  $L$  in logarithmic space

It is surprising that complete problems exist at all!



# NP-completeness

## Theorem

The following language is **NP**-complete

$$TMSAT = \{(M, 1^t, w) : M \text{ accepts } w \text{ in at most } t \text{ steps}\}$$

(where  $M$  is a nondeterministic Turing machine)

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## Proof

Clearly  $TMSAT \in \mathbf{NP}$ : we simulate the run of  $M$  on  $w$  for at most  $t$  steps (this is polynomial in  $|M| + t + |w|$ ).

**NP-hardness:** Consider a language  $L \in \mathbf{NP}$ , recognized by a nondet. machine  $M$  working in polynomial time  $T(n)$ . Then for every  $w$ ,  $w \in L \Leftrightarrow (M, 1^{T(|w|)}, w) \in TMSAT$ , and the word  $(M, 1^{T(|w|)}, w)$  can be computed in logarithmic space.

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*TMSAT* is not a very useful problem.

Are there natural problems that are **NP**-complete?

## NP-completeness of the SAT problem

SAT problem: for a given boolean formula with variables (written in the infix notation, with full bracketing, variables written as numbers) decide whether it is satisfiable (i.e., whether there is a valuation of variables under which the formula evaluates to true)

e.g.,  $((x_1 \vee x_2) \wedge ((\neg x_1) \vee (\neg x_2)))$  is true for  $x_1=1, x_2=0$

Theorem (Cook, 1971)

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Proof

- It is easy to see that  $\text{SAT} \in \text{NP}$  – we guess a valuation which makes the formula true
- It remains to prove **NP**-hardness

## NP-completeness of the SAT problem

- Fix a language  $L$  recognized by a nondeterministic machine  $M$  in time bounded by a polynomial  $p(n)$
- Basing on the input word  $w$ , we need to construct (in logarithmic space) a formula  $\phi$  such that  $w \in L \Leftrightarrow \phi$  is satisfiable
- Idea: variables store a run of  $M$  on the word  $w$ , the formula ensures correctness of the run.  
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- Idea: variables store a run of  $M$  on the word  $w$ , the formula ensures correctness of the run.  
[somehow similarly as when converting a machine into a circuit]
- Three kinds of variables:
  - $t_{ick}$  – in step  $k$ , the letter in the  $i$ -th cell of the tape is  $c$
  - $s_{qk}$  – in step  $k$  the machine is in state  $q$
  - $h_{ik}$  – in step  $k$  the head is on position  $i$
- we have polynomially many variables –  $O((p(n))^2)$

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The formula – a conjunctions of things to check (of polynomial size):

- the initial tape contents, head position, and state are as expected:

$$s_{q_0 1} \wedge h_{0 1} \wedge t_{0 \triangleright 1} \wedge t_{1 w_1 1} \wedge \dots \wedge t_{n w_n 1} \wedge t_{(n+1) \perp 1} \wedge \dots \wedge t_{p(n) \perp 1}$$



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- at most one state at a moment

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- at most one symbol in a cell at a moment
- symbols not under the head remain unchanged

$$h_{jk} \wedge t_{ick} \rightarrow t_{ic(k+1)} \quad \text{when } 1 \leq k \leq p(n), q \neq q', i \neq j'$$

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$$h_{jk} \wedge t_{ick} \rightarrow t_{ic(k+1)} \quad \text{when } 1 \leq k \leq p(n), q \neq q', i \neq j'$$

- a transition is performed (an alternative over possible transitions):

$$t_{ick} \wedge s_{qk} \wedge h_{ik} \rightarrow \bigvee (t_{ic'(k+1)} \wedge s_{q'(k+1)} \wedge h_{(i \pm 1)(k+1)})$$

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- at most one symbol in a cell at a moment

- symbols not under the head remain unchanged

$$h_{jk} \wedge t_{ick} \rightarrow t_{ic(k+1)} \quad \text{when } 1 \leq k \leq p(n), q \neq q', i \neq j'$$

- a transition is performed (an alternative over possible transitions):

$$t_{ick} \wedge s_{qk} \wedge h_{ik} \rightarrow \bigvee (t_{ic'(k+1)} \wedge s_{q'(k+1)} \wedge h_{(i \pm 1)(k+1)})$$

- acceptance:

$$\bigvee s_{qk}$$

This formula can be easily generated in logarithmic space.

# NP-completeness

There is a long list of **NP**-complete problems:

- Hamiltonian path problem
- Traveling salesman problem
- Clique problem
- Knapsack problem
- Subgraph isomorphism problem
- Subset sum problem
- Vertex cover problem
- Independent set problem
- Dominating set problem
- Graph coloring problem

**NP**-hardness shown by reduction from some other **NP**-complete problem (e.g., from SAT).

## Theorem

If  $L_1$  reduces to  $L_2$ , and  $L_2$  reduces to  $L_3$ , then  $L_1$  reduces to  $L_3$ .

## Proof

Functions computable in logarithmic space can be composed.

## P-completeness of HORNSAT

HORNSAT problem: satisfiability of CNF formulas in which every clause has at most 1 positive literal

e.g.,  $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge x_2 \wedge (\neg x_1 \vee \neg x_2)$  is of this form

formulas of this form can be seen as implications (without alternatives on the right):  $(x_2 \wedge x_3 \rightarrow x_1) \wedge (\top \rightarrow x_2) \wedge (x_1 \wedge x_2 \rightarrow \perp)$

e.g.,  $(x_1 \vee x_2) \wedge (\neg x_1 \vee \neg x_2)$  is not of this form

(there is an alternative on the right of an implication)

### Theorem

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**P**-hardness: if a machine is deterministic, the formula from the previous proof is (almost) in the HORN-CNF form

(an alternative of positive literals was appearing only while choosing a transition)



## polyL-completeness

Tutorials: the class **polyL** has no complete problems.

Corollary:  **$P \neq \text{polyL}$**

- however, we don't know any specific problem on which they differ
- we do don't even know whether they are incomparable, or whether some of them is contained in the other

## L-completeness

Almost every language in  $L$  is complete  
(except the empty language, and the language containing all words)

# NL-completeness

## Theorem

Reachability in a directed graph is **NL**-complete

# NL-completeness

## Theorem

Reachability in a directed graph is **NL**-complete

## Proof

It belongs to **NL**: we just walk in the graph

Hardness:

- Let  $L$  be recognized by a nondeterministic machine  $M$  working in logarithmic space
- we can assume that at the end  $M$  erases the contents of the tape, so that there is only one accepting configuration
- we get a word  $w$  of length  $n$ , we want to construct a graph
- as nodes we take configurations (there are polynomially many, as they are of logarithmic size)
- for every configuration, it is easy to write (in **L**) its successors,
- it is also easy to enumerate (in **L**) all configurations
- question to REACHABILITY: is there a path from the initial configuration (for word  $w$ ) to the accepting configuration?