

# Automaty a rekurencja

**Paweł Parys**

## Informacje ogólne

1) Materiały do wykładu będą na stronie:

<http://www.mimuw.edu.pl/~parys/teaching/aar2016/>  
(slajdy / streszczenie / zeskanowane zapiski / linki)

2) Konsultacje: wtorek: 8:30-12:00, środa 8:30-12:00

(najlepiej dać znać na email: [parys@mimuw.edu.pl](mailto:parys@mimuw.edu.pl))

3) Zachęcam do zadawania pytań, gdy coś nie jest jasne.

4) Ćwiczenia zaczynamy o 14:00.

5) Zaliczanie:

– zadania domowe (do 2 pkt)

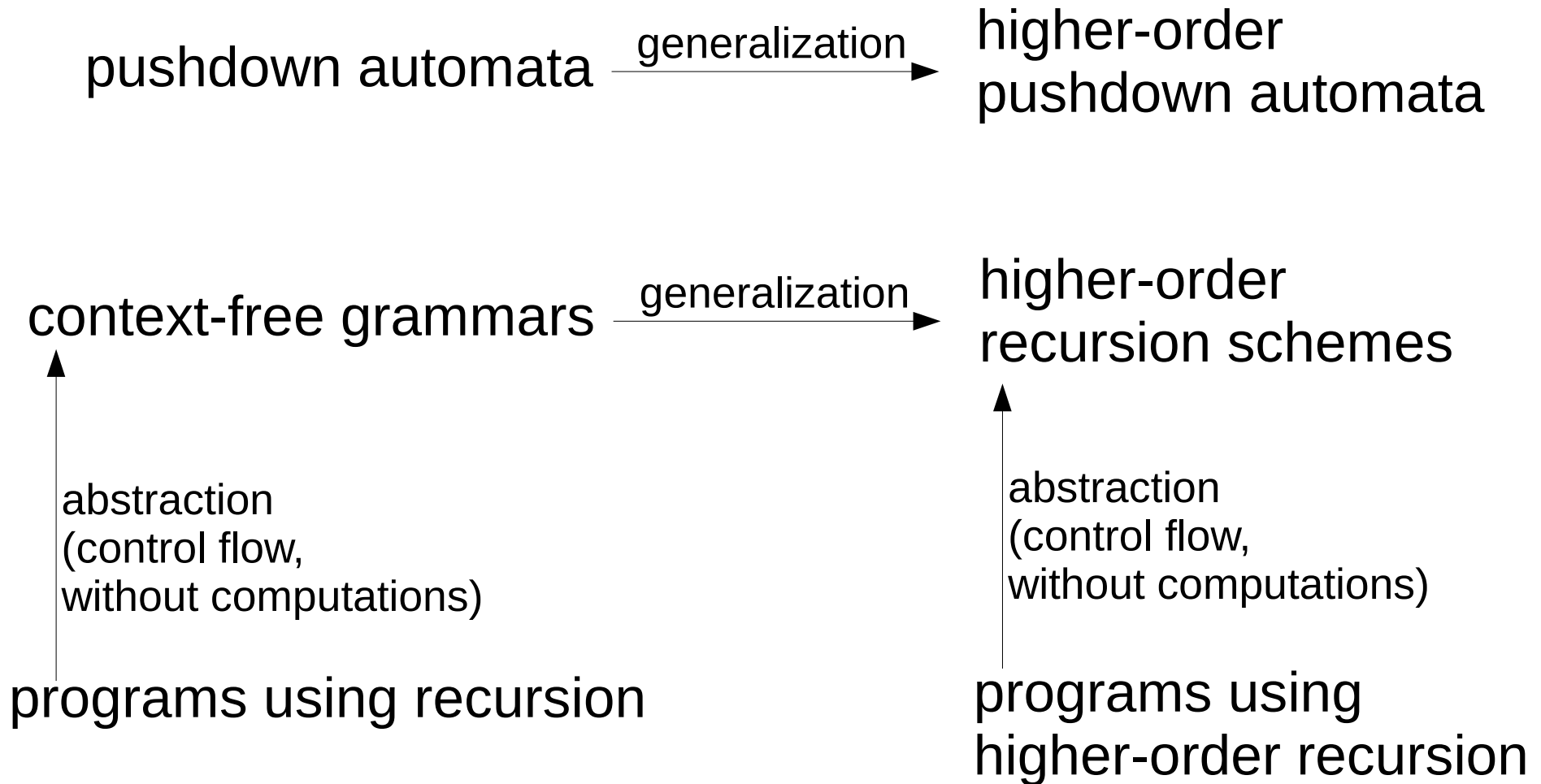
– notatki do wykładu (do 2 pkt, po 1 pkt za wykład)

w Latexu, do 2 tyg. po wykładzie, proszę się zgłaszać

– egzamin z teorii (do 2 pkt)

ocena = 2 + liczba\_punktów

# Higher-order recursion schemes



## Higher-order pushdown automata - definition

A 1-stack is an ordinary stack. A 2-stack (resp.  $(n+1)$ -stack) is a stack of 1-stacks (resp.  $n$ -stack).

$[[abc][de][fghij]]$  – order 2

$[[[abc][de][xy]][[ab][fghij]]]$  – order 3

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**Operations on 2-stacks:** (top of stack is on right)

$push_1 x$  :  $[[abc][de][fghij]] \rightarrow [[abc][de][fghijx]]$

$pop_1$  :  $[[abc][de][fghij]] \rightarrow [[abc][de][fghi]]$

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$push_2$  :  $[[abc][de][fghij]] \rightarrow [[abc][de][fghij][fghij]]$

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$push_2$  :  $[[abc][de][fghij]] \rightarrow [[abc][de][fghij][fghij]]$

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An **order- $n$  PDA** has an order- $n$  stack, and has  $push_i$  and  $pop_i$  for each  $i \in \{1, \dots, n\}$ .

The next operation depends on the topmost stack symbol, the state, and the next letter on the input.

## Higher-order pushdown automata - example

Language:  $\{b^{2^k} : k \in \mathbb{N}\}$

- order 2
- 3 stack symbols:  $\perp$ ,  $x$ ,  $\#$

$(\_, q_1) \xrightarrow{\varepsilon} (q_1, \text{push}_1(x))$   
dowolny symbol (skrót)

$q_1$   $\perp$

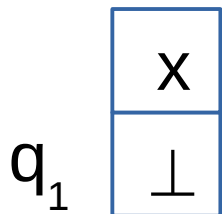


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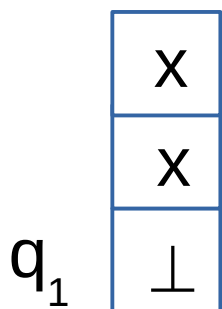


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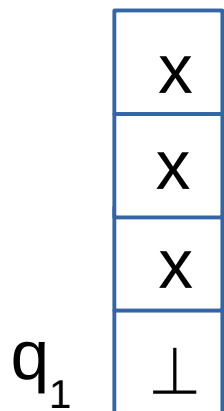
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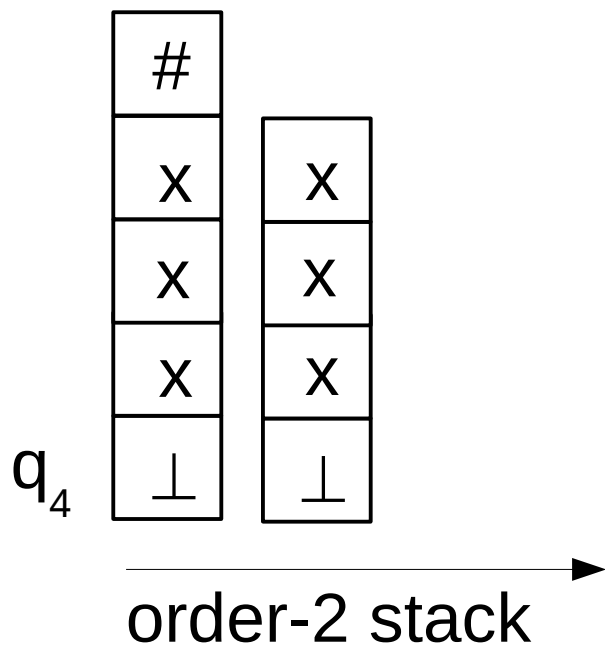
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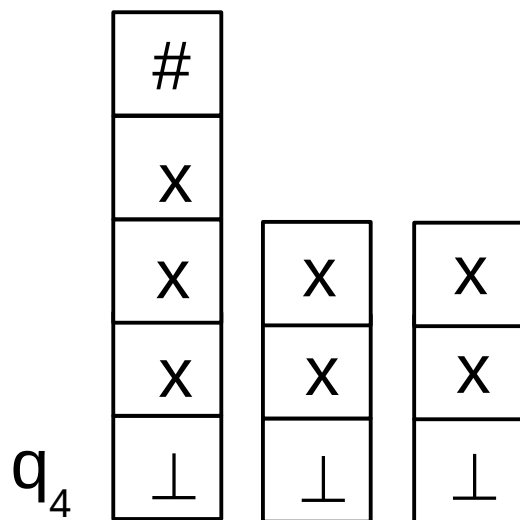
$$(\#, q_2) \xrightarrow{\varepsilon} (q_3, \text{push}_2)$$

$$(\#, q_3) \xrightarrow{\varepsilon} (q_4, \text{pop}_1)$$

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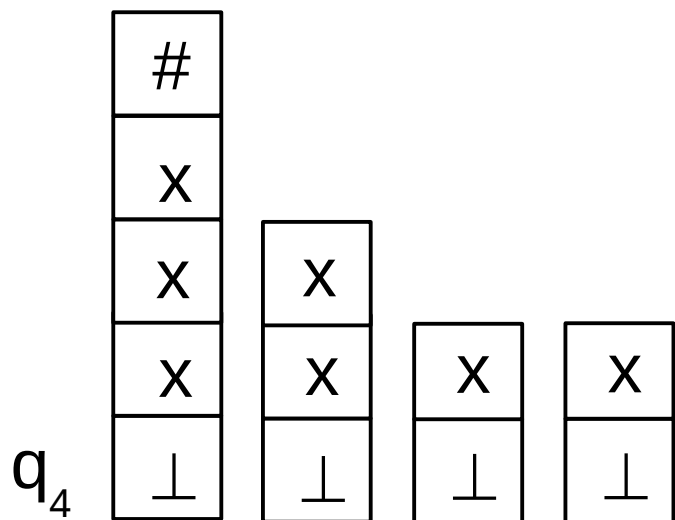
$$(x, q_4) \xrightarrow{\varepsilon} (q_5, \text{pop}_1)$$

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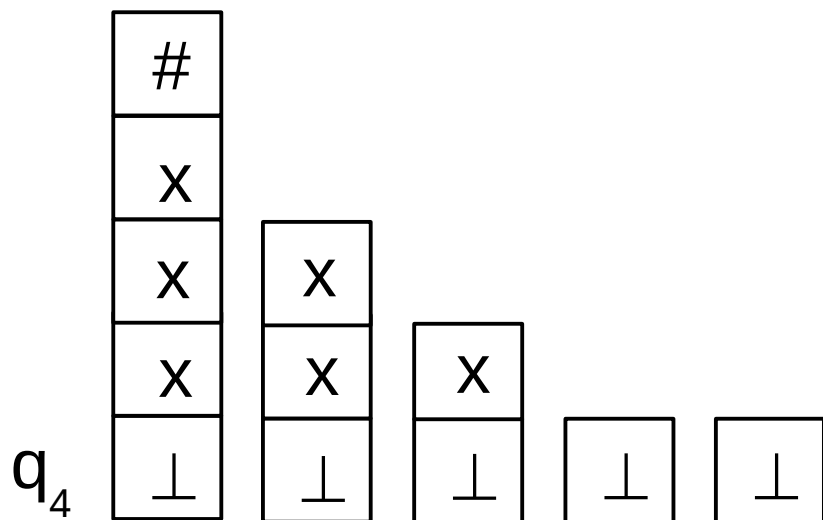
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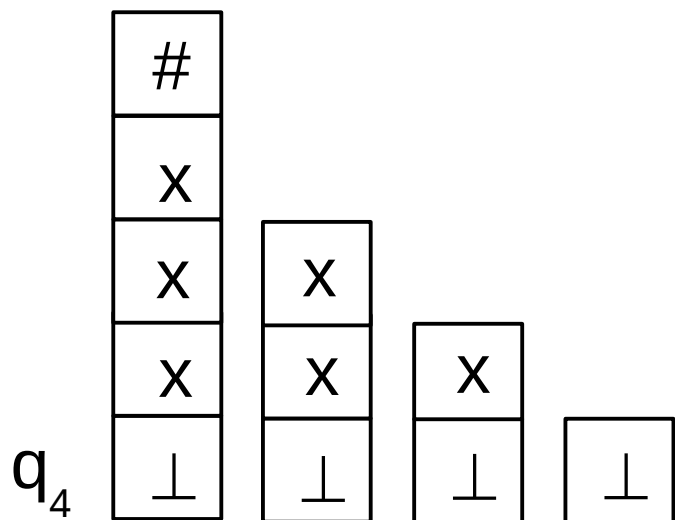
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$$(\perp, q_4) \xrightarrow{b} (q_4, \text{pop}_2)$$

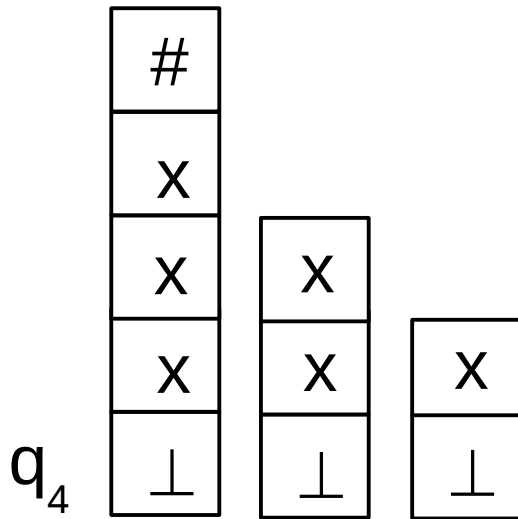
Input:  $b$



# Higher-order pushdown automata - example

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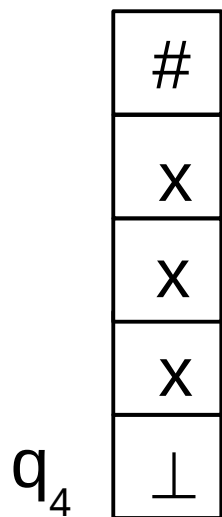
$$(\perp, q_4) \xrightarrow{b} (q_4, \text{pop}_2)$$

Input:  $b b$

## Higher-order pushdown automata - example

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$$(\perp, q_4) \xrightarrow{b} (q_4, \text{pop}_2)$$

$$(\#, q_4) \xrightarrow{\varepsilon} (q_{\text{acc}}, \text{id})$$

Input: **b b b b b b b b**

- 1) Dlaczego to dobrze działa?
- 2) Ten język nie jest bezkontekstowy.

## Higher-order pushdown automata

“Traditional” view:

- a nondeterministic HOPDA recognizing a language of words, as on previous slides

“Modern” view:

- a deterministic HOPDA generating a single tree (node-labeled, ranked, ordered, usually infinite)

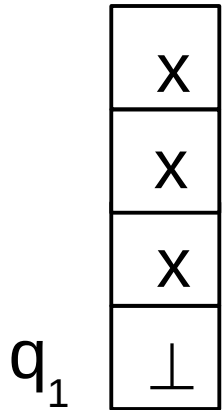
“Graph” view:

- configuration graph of a (deterministic) HOPDA;  
 $\varepsilon$ -contraction of this graph

# Higher-order pushdown automata - example

**nondeterminism – what to do next?**

- order 2
- 3 stack symbols:  $\perp$ ,  $x$ ,  $\#$



$$(\_, q_1) \xrightarrow{\varepsilon} (q_1, \text{push}_1(x))$$

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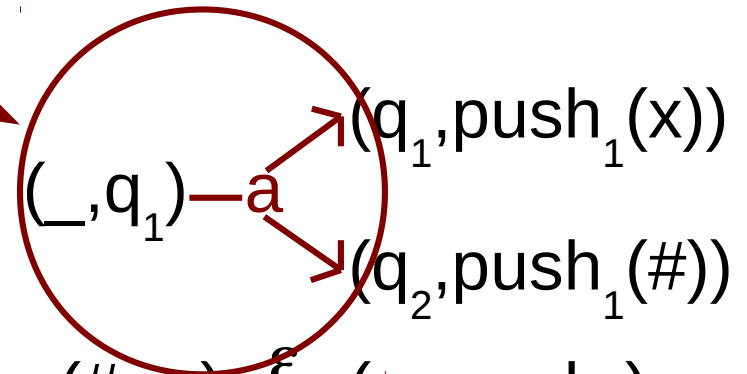
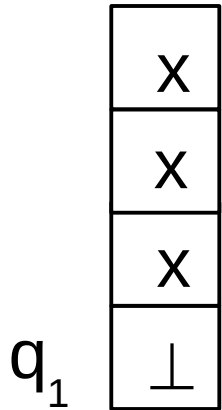
$$(\perp, q_4) \xrightarrow{b} (q_4, \text{pop}_2)$$

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# Higher-order pushdown automata - example

letter a of rank 2

- order 2
- 3 stack symbols:  $\perp$ , x, #



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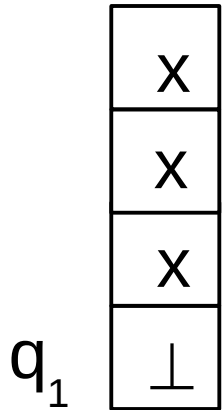
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# Higher-order pushdown automata - example

- order 2
- 3 stack symbols:  $\perp$ ,  $x$ ,  $\#$



$$(\_, q_1) \xrightarrow{a} (q_1, \text{push}_1(x))$$
$$(\_, q_1) \xrightarrow{a} (q_2, \text{push}_1(\#))$$

$$(\#, q_2) \xrightarrow{\varepsilon} (q_3, \text{push}_2)$$

$$(\#, q_3) \xrightarrow{\varepsilon} (q_4, \text{pop}_1)$$

$$(x, q_4) \xrightarrow{\varepsilon} (q_5, \text{pop}_1)$$

$$(\_, q_5) \xrightarrow{\varepsilon} (q_4, \text{push}_2)$$

$$(\perp, q_4) \xrightarrow{b} (q_4, \text{pop}_2)$$

$$(\#, q_4) \xrightarrow{c}$$

letter  $c$  of rank 0, instead of an accepting state

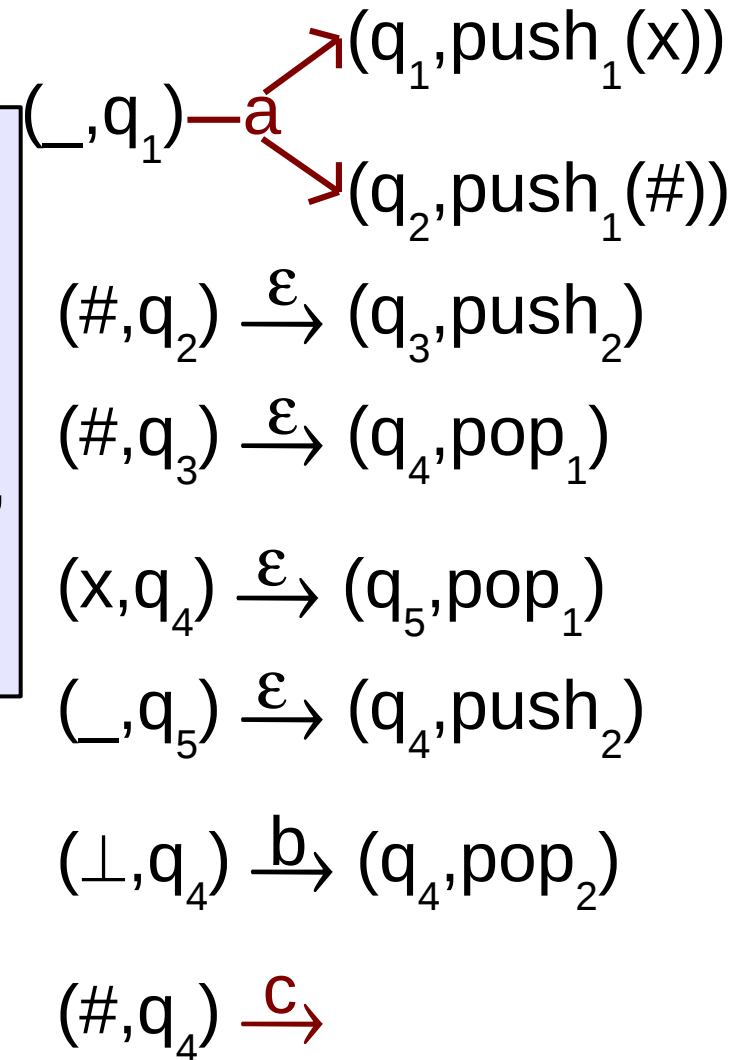
## Higher-order pushdown automata - example

- order 2
- 3 stack symbols:  $\perp$ ,  $x$ ,  $\#$

### Tree-generating HOPDA - definition

From every pair of stack symbol & state there is either:

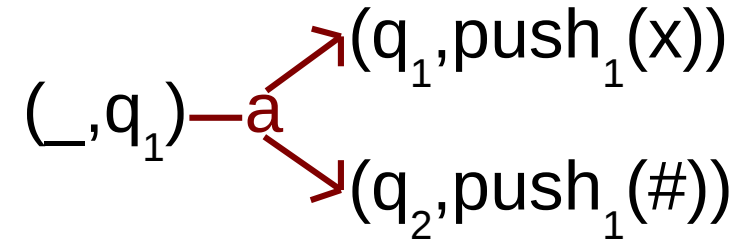
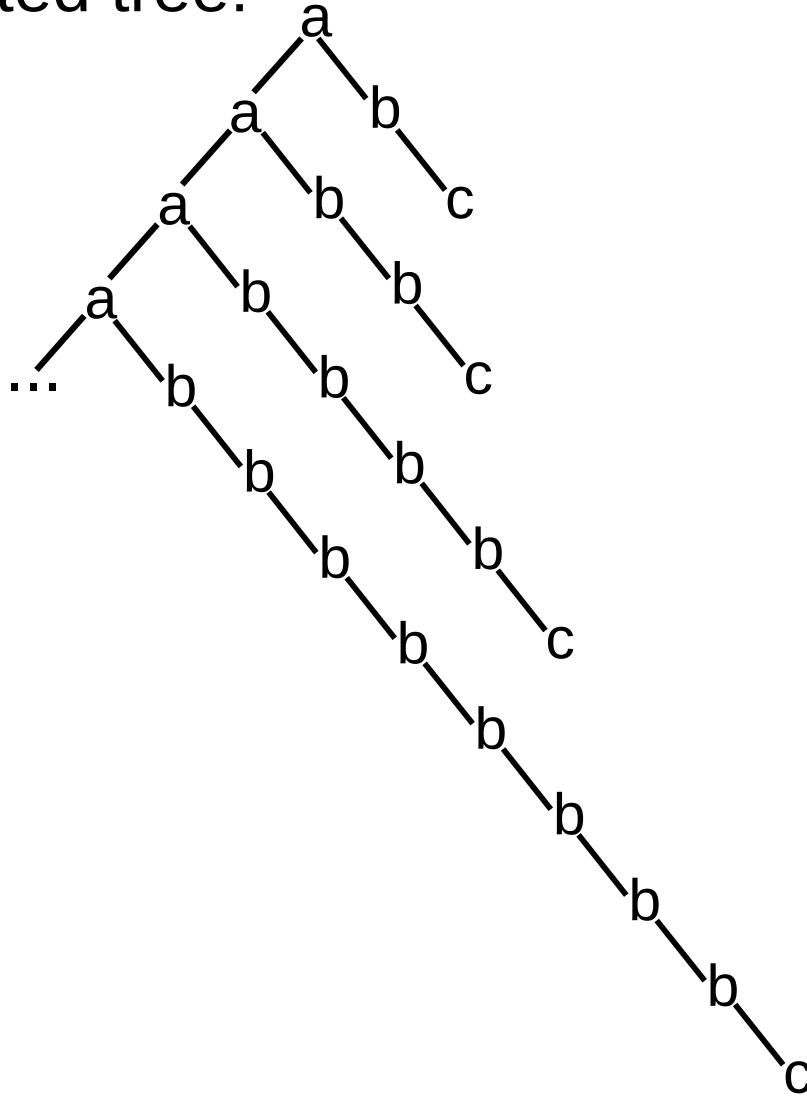
- one  $\varepsilon$ -transition
- one transition reading a letter of rank  $k$ , resulting in  $k$  (ordered) pairs of state & operation.



# Higher-order pushdown automata - example

- order 2
- 3 stack symbols:  $\perp$ ,  $x$ ,  $\#$

Generated tree:



$$(\#, q_2) \xrightarrow{\varepsilon} (q_3, \text{push}_2)$$

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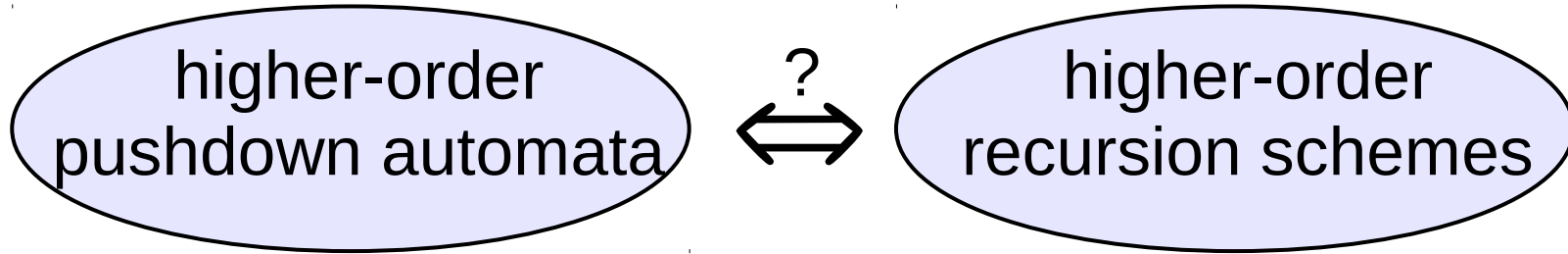
## Higher-order recursion schemes - definition

Trochę jak gramatyka bezkontekstowa,  
ale nieterminale mogą brać argumenty  
i używać ich po prawej stronie produkcji.  
Dokładna definicja później.

Inna definicja: otypowany rachunek  $\lambda$  + rekurencja

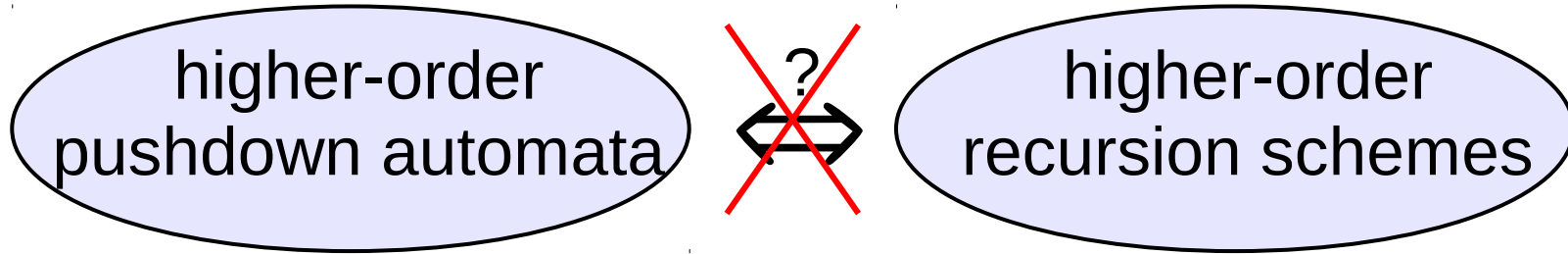
## HOPDA vs HORS

Are these two formalisms equivalent?



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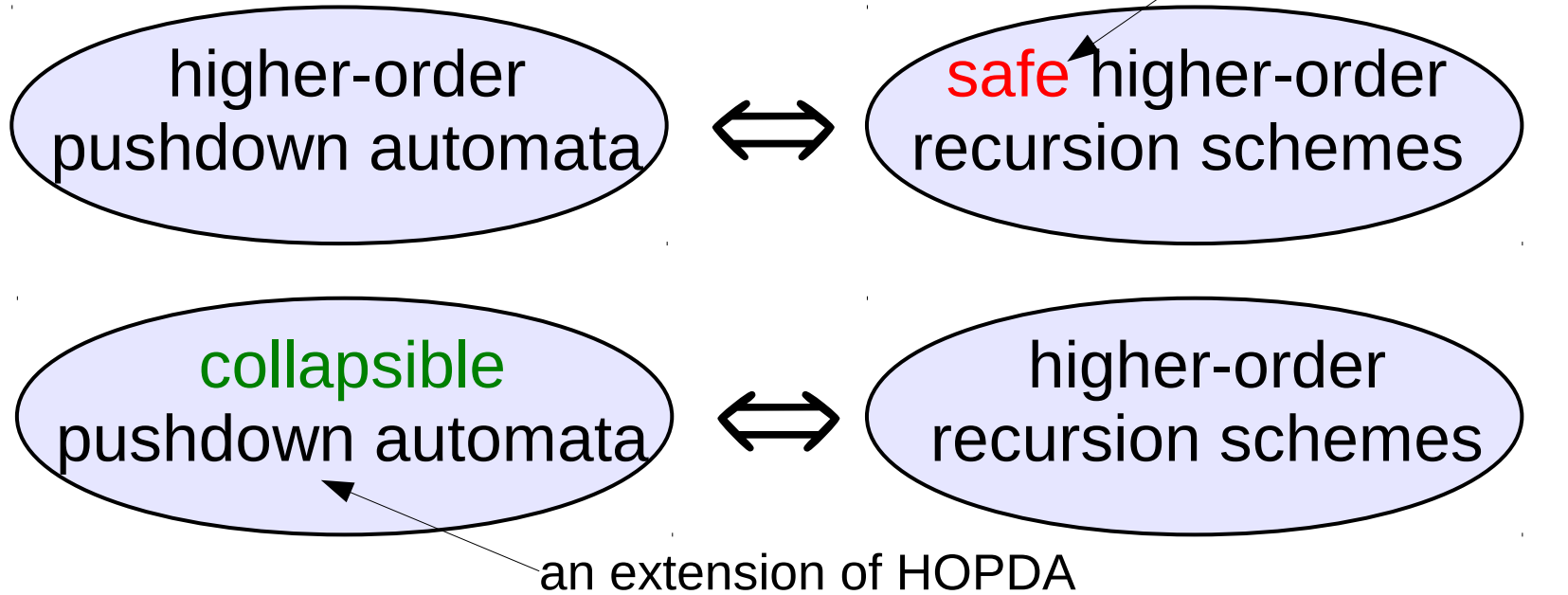
Are these two formalisms equivalent?



Not exactly!

# HOPDA vs HORS

Are these two formalisms equivalent?



## Theorem

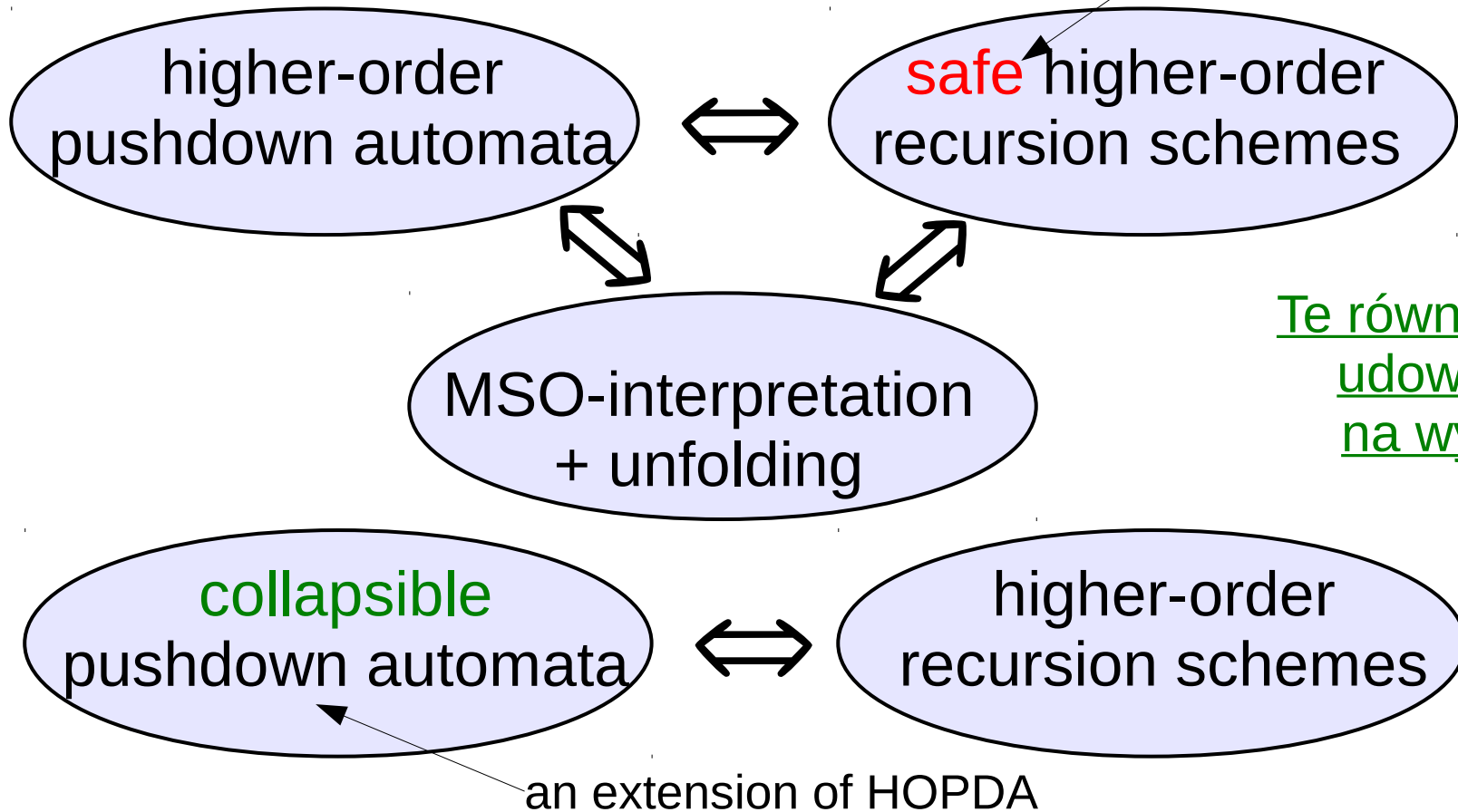
For every  $n$ , HOPDA of order  $n$  and **safe** HORSes of order  $n$  generate the same trees (recognize the same word languages);

## Theorem

For every  $n$ , **collapsible** HOPDA of order  $n$  and HORSes of order  $n$  generate the same trees (recognize the same word languages).

# HOPDA vs HORS

Are these two formalisms equivalent?



Te równoważności udowodnimy na wykładzie

## Theorem

For every  $n$ , HOPDA of order  $n$  and **safe** HORSes of order  $n$  generate the same trees (recognize the same word languages).

These are trees from the Caucal hierarchy, defined by iterating MSO interpretations and unfolding of graphs into trees.

## Expressivity questions

Tree( $n$ ) = trees generated by HORSES (CPDA) of order  $n$

SafeTree( $n$ ) = trees generated by safe HORSES (HOPDA) of order  $n$

$$\begin{array}{ccccccc} \text{SafeTree}(0) & \subseteq & \text{SafeTree}(1) & \subseteq & \text{SafeTree}(2) & \subseteq & \text{SafeTree}(3) & \subseteq & \dots \\ \bigcap & & \bigcap & & \bigcap & & \bigcap & & \\ \text{Tree}(0) & \subseteq & \text{Tree}(1) & \subseteq & \text{Tree}(2) & \subseteq & \text{Tree}(3) & \subseteq & \dots \end{array}$$

Lang( $n$ ) = word languages recogn. by HORSES (CPDA) of order  $n$

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$$\begin{array}{ccccccc} \text{SafeLang}(0) & \subseteq & \text{SafeLang}(1) & \subseteq & \text{SafeLang}(2) & \subseteq & \text{SafeLang}(3) \subseteq \dots \\ \parallel & & \parallel & & \parallel & & \bigcap \\ \text{Lang}(0) & \subseteq & \text{Lang}(1) & \subseteq & \text{Lang}(2) & \subseteq & \text{Lang}(3) \subseteq \dots \\ \parallel & & \parallel & & \parallel & & \\ \text{regular} & & \text{context-free} & & \text{indexed} & & \\ \text{languages} & & \text{languages} & & \text{languages} & & \end{array}$$

## Expressivity questions

Tree( $n$ ) = trees generated by HORSEs (CPDA) of order  $n$

SafeTree( $n$ ) = trees generated by safe HORSEs (HOPDA) of order  $n$

$$\begin{array}{ccccccc} \text{SafeTree}(0) & \subseteq & \text{SafeTree}(1) & \subseteq & \text{SafeTree}(2) & \subseteq & \text{SafeTree}(3) \subseteq \dots \\ \parallel & & \parallel & & \bigcap & & \bigcap \\ \text{Tree}(0) & \subseteq & \text{Tree}(1) & \subseteq & \text{Tree}(2) & \subseteq & \text{Tree}(3) \subseteq \dots \\ \parallel & & \parallel & & & & \\ \text{regular} & & \text{context-free} & & & & \\ \text{trees} & & \text{trees} & & & & \end{array}$$

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Are these hierarchies strict?

## Expressivity questions

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Are these hierarchies strict?

### **Theorem**

For every  $n$ ,  $\text{SafeLang}(n) \neq \text{SafeLang}(n+1)$  &  $\text{Lang}(n) \neq \text{Lang}(n+1)$ ,  
and thus also  $\text{SafeTree}(n) \neq \text{SafeTree}(n+1)$  &  $\text{Tree}(n) \neq \text{Tree}(n+1)$ .

Separating language: correct sequences of operations of order- $(n+1)$  HOPDA  
(including the topmost stack symbol after every step).

Będzie na wykładzie.

## Expressivity questions

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Are these hierarchies strict?

Another separator:

$T_n$  = tree with branches  $a^k b^{exp_n(k)} c$ , where  $exp_n(k) = 2^{\underbrace{2^{\dots 2^k}}_n}$

We have  $\text{SafeTree}(n+1) \ni T_n \not\subseteq \text{Tree}(n)$ .

## Expressivity questions

SafeTree(0)  $\not\subseteq$  SafeTree(1)  $\not\subseteq$  SafeTree(2)  $\not\subseteq$  SafeTree(3)  $\not\subseteq$  ...  
     $\parallel$            $\parallel$            $\parallel$            $\parallel$   
Tree(0)      Tree(1)      Tree(2)      Tree(3)      ...

SafeLang(0)  $\not\subseteq$  SafeLang(1)  $\not\subseteq$  SafeLang(2)  $\not\subseteq$  SafeLang(3)  $\not\subseteq$  ...  
     $\parallel$            $\parallel$            $\parallel$            $\parallel$   
Lang(0)      Lang(1)      Lang(2)      Lang(3)      ...

Is safety really a restriction?

## Expressivity questions

$\text{SafeTree}(0) \subsetneq \text{SafeTree}(1) \subsetneq \text{SafeTree}(2) \subsetneq \text{SafeTree}(3) \subsetneq \dots$   
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### Is safety really a restriction?

For trees – yes.

Example: Urzyczyn's tree  $U$

$\text{Tree}(2) \ni U \notin \text{SafeTree}(n)$  for every  $n$

For word languages – open problem (e.g.  $\text{SafeLang}(3) \stackrel{?}{\neq} \text{Lang}(3)$ )

Nie będzie na wykładzie.

## Expressivity questions

SafeLang(0)  $\not\subseteq$  SafeLang(1)  $\not\subseteq$  SafeLang(2)  $\not\subseteq$  SafeLang(3)  $\not\subseteq$  ...  
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Are these languages context-sensitive?

## Expressivity questions

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Are these languages context-sensitive?

CSens = context-sensitive languages (type-1 in the Chomsky hierarchy)

$\text{SafeLang}(n) \subseteq \text{CSens}$ , for every  $n$

$\text{Lang}(3) \subseteq \text{CSens}$

$\text{Lang}(n) \stackrel{?}{\subseteq} \text{CSens}$  for  $n \geq 4$  – open problem

Nie będzie na wykładzie.



## Algorithmic questions

Problem: MSO model-checking

Input: MSO formula  $\phi$ , HORS  $S$

Output: does  $\phi$  hold in the tree generated by  $S$ ?

lub równoważnie:

Problem: MSO model-checking

Input: nondeterministic (alternating) parity automaton  $A$ ,  
HORS  $S$

Output: does  $A$  accept the tree generated by  $S$ ?

Można też rozważać słabsze logiki / modele automatów.

## Algorithmic questions

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Input: MSO formula  $\phi$ , HORS  $S$

Output: does  $\phi$  hold in the tree generated by  $S$ ?

**Theorem:** MSO model-checking is decidable.

Coś o tym będzie na wykładzie.

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- polynomial when  $n$ ,  $\phi$ , and maximal arity of a nonterminal fixed

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- $(n-1)$ -EXPTIME-complete for reachability properties (is “ $a$ ” present in the tree)
- polynomial when  $n$ ,  $\phi$ , and maximal arity of a nonterminal fixed
- despite high complexity, solvable in practice

Coś o tym będzie na wykładzie.

## Algorithmic questions

Trochę silniejsze wyniki:

### MSO reflection

Input: MSO formula  $\phi(x)$ , HORS  $S$  ( $x$  to wierzchołek)

Output: HORS  $S'$  generating the same tree as  $S$ , where the nodes  $x$  in which  $\phi(x)$  holds are marked.

### MSO selection

Input: MSO formula  $\phi(X)$ , HORS  $S$  ( $X$  to zbiór wierzchołków)

Output: HORS  $S'$  generating the same tree as  $S$  with some nodes marked so that  $\phi(X)$  holds for the set  $X$  of marked nodes.



## Model-checking (of programs with higher-order recursion)

Model-checking (weryfikacja modelowa) = automatyczne sprawdzanie, czy program spełnia zadaną specyfikację

NIE polega na uruchamianiu / testowaniu.

Polega na sprawdzeniu wszystkich możliwych przebiegów programu i “udowodnieniu”, że specyfikacja jest spełniona – na podstawie kodu źródłowego.

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Teoretycznie problem nierozstrzygalny (problem stopu dla maszyny Turinga), w praktyce jakoś działa.

Stosowane z powodzeniem przy tworzeniu:

- urządzeń sprzętowych
- sterowników (Static Driver Verifier w Windowsie)

Sprawdzane własności:

- brak zakleszczeń, procedura zawsze się kończy
- funkcje systemowe są prawidłowo wywoływane
- asercje są zawsze prawdziwe
- ...

## Model-checking of programs with higher-order recursion

Model-checking dla programów z rekurencją wyższego rzędu.

Obecnie niezbyt rozwinięty,  
aczkolwiek istnieją narzędzia umożliwiające zweryfikowanie prostych procedur

Bardzo ogólna zasada działania:

program  $\xrightarrow{\text{abstrakcja}}$  HORS

Tłumaczymy program na HORS, pomijając różne szczegóły  
[główna trudność – jak to dobrze zrobić]

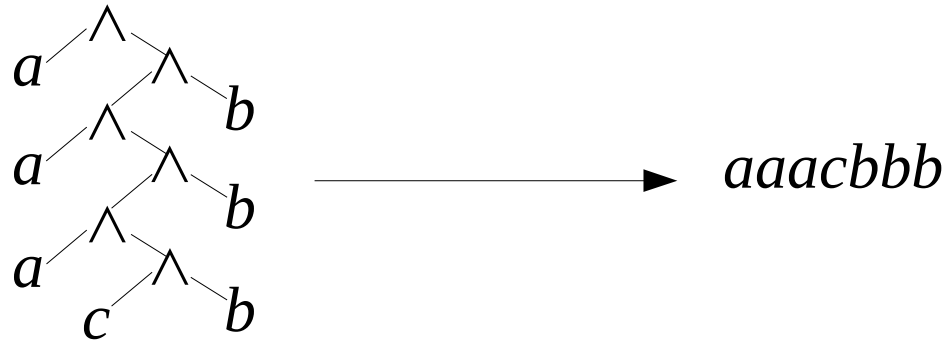
program błędny  $\Rightarrow$  błąd widoczny w HORS

błąd w HORS  $\Rightarrow$  błąd w programie, lub niedokładność tłumaczenia

Potem sprawdzamy, czy HORS spełnia zadaną własność (w pełni rozstrzygalne)

Coś o tym będzie na wykładzie.

## Between trees and words



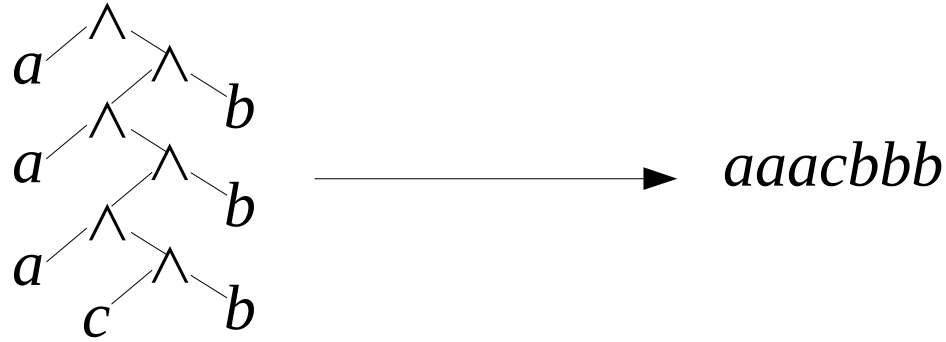
regularny  
język drzew

język w liściach -  
bezkontekstowy

istnieje regularny  
język drzew taki,  
że w liściach jest L

← język L bezkontekstowy

## Between trees and words



regularny język drzew  $\longrightarrow$  język w liściach - bezkontekstowy

istnieje regularny język drzew taki, że w liściach jest L  $\longleftarrow$  język L bezkontekstowy

język drzew rzędu  $n$   $\longrightarrow$  język w liściach - rzędu  $n+1$

istnieje język drzew rzędu  $n$  taki, że w liściach jest L  $\longleftarrow$  język L rzędu  $n+1$

Będzie na wykładzie.

## Collapsible pushdown automata

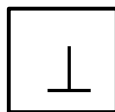
- Every stack symbol has an identifier.
- $push_1 x$  pushes symbol  $x$  with a fresh identifier.
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remove from the topmost order- $k$  stack all order- $(k-1)$  stacks containing a copy of the topmost stack symbol.

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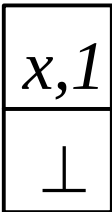




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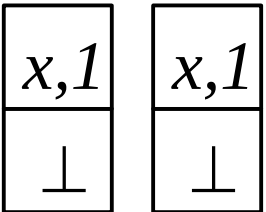
$push_1 x$



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$push_1 x$   
 $push_2$



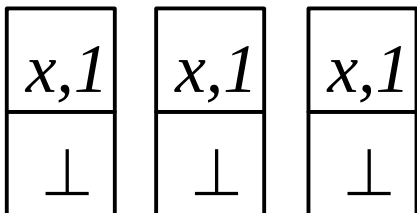
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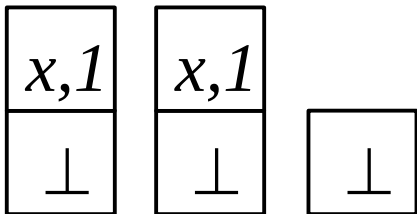
$push_2$



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$push_1 x$   
 $push_2$   
 $push_2$   
 $pop_1$



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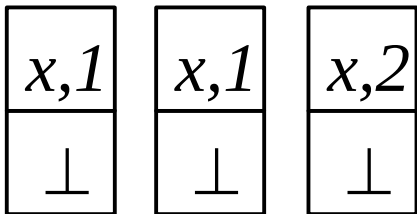
$push_1 x$

$push_2$

$push_2$

$pop_1$

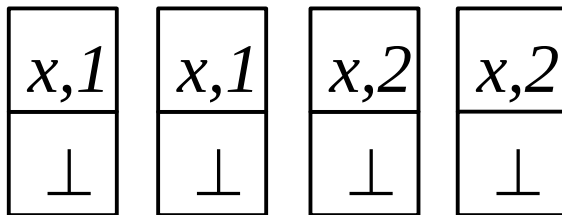
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$push_2$

$push_2$

$pop_1$

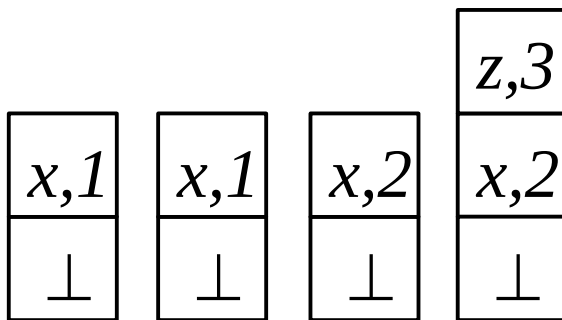
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$push_1 x$

$push_2$

$push_2$

$pop_1$

$push_1 x$

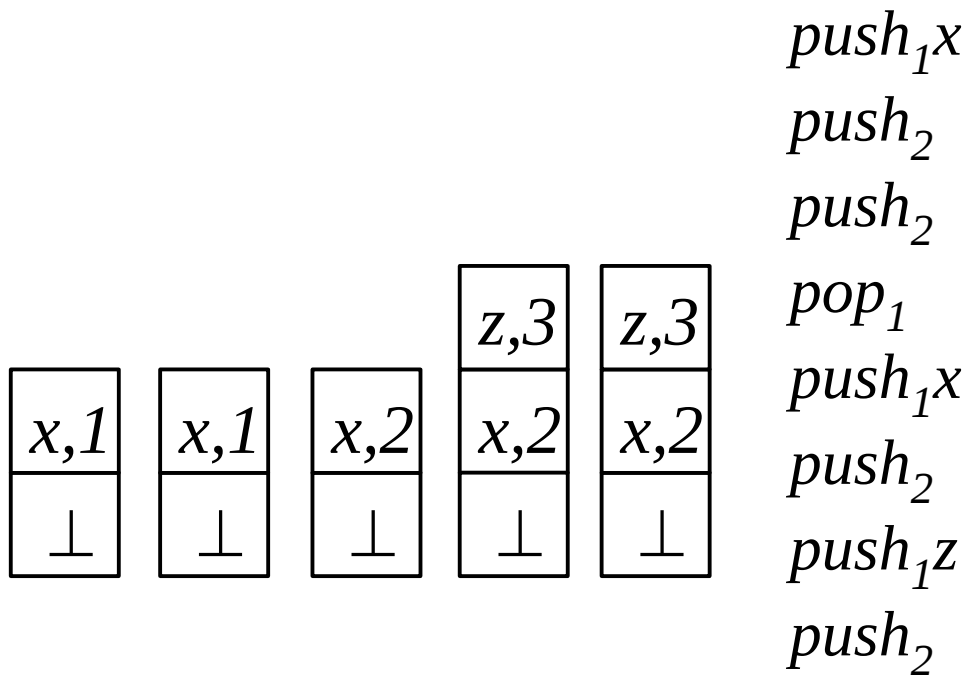
$push_2$

$push_1 z$

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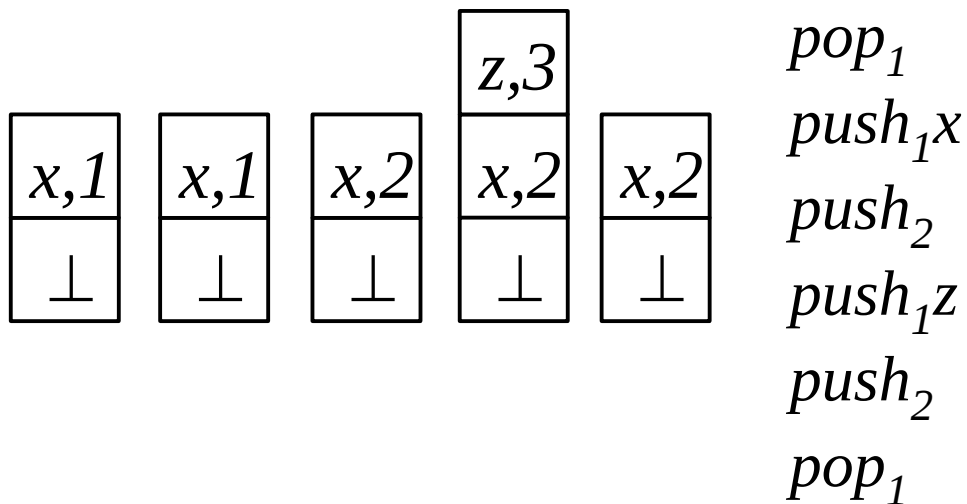




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