

# 35/44-approximation for Asymmetric maxTSP with Triangle Inequality

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**Abstract.** We describe a new approximation algorithm for the asymmetric maxTSP with triangle inequality. Our algorithm achieves approximation factor  $35/44$  which improves on the previous  $10/13$  factor of Kaplan et al. [5].

## 1 Introduction

The Traveling Salesman Problem and its variants are among the most intensively researched problems in computer science and arise in a variety of applications. In its classical version, given a set of vertices  $V$  and a symmetric weight function  $w : V^2 \rightarrow \mathbb{R}$  one has to find a Hamiltonian cycle of minimum weight. This problem is probably the most widely known example of an inapproximable NP-hard problem. However, there is a lot of research on approximation of several natural variants of TSP. These variants are still NP-hard, but allow approximation. One of the most important problems in this category is the maximization version (maxTSP for short), where  $w$  is assumed to have only nonnegative values (otherwise minTSP would reduce to it). There are several variants of maxTSP, for example the weight function can be symmetric or asymmetric, it can satisfy the triangle inequality or not, etc. (For some results on maxTSP variants see e.g. [3, 4, 6, 8]).

In this paper, we are concerned with the variant, where the weight function is asymmetric (in other words, the graph is directed) and satisfies the triangle inequality. This variant is often called *the semimetric maxTSP*.

The first approximation algorithm for this problem was proposed by Kostochka and Serdyukov [9] in 1985 and had approximation ratio of  $3/4$ . Quite recently, Kaplan, Lewenstein, Shafir and Sviridenko [5] provided a very general and powerful framework for approximating asymmetric TSP variants and gave improved approximation ratios for 3 different problems:  $\frac{4}{3} \log_3 n$  for semimetric minTSP,  $\frac{10}{13}$  for semimetric maxTSP and  $\frac{2}{3}$  for asymmetric maxTSP. Using a more elaborate analysis and slightly different algorithm, Feige and Singh [2] were later able to achieve an approximation factor of  $\frac{2}{3} \log_2 n$  for semimetric minTSP.

In this paper we show that in the case of semimetric maxTSP the ideas of Kaplan et al. can be combined with a new patching procedure yielding an approximation factor of  $35/44$ .

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**Overview of the paper** The semimetric max-TSP approximation algorithm of Kaplan et al. combines two ideas: Kostochka and Serdyukov’s “patching” algorithm for the same problem and a new framework based on pairs of cycle covers. In Section 2 we briefly review both ideas and the way they can be combined.

In Section 3 we introduce a new patching procedure based on Kaplan et al.’s framework. This immediately leads to a relatively simple  $11/14$ -approximation for semimetric maxTSP. In Section 4 we describe a more elaborate patching method which improves the approximation ratio to  $35/44$  by lowerbounding the weight of almost every edge used to form a Hamiltonian cycle.

## 2 Preliminaries

Throughout the remainder of this paper we assume all graphs to be directed and weighted with a nonnegative weight function satisfying the triangle inequality.

### 2.1 Kostochka and Serdyukov’s Algorithm

Many approximation algorithms for TSP problems begin with finding a minimum/maximum cycle cover and then patch it to produce a Hamiltonian cycle. The following theorem shows how this is done in Kostochka and Serdyukov’s algorithm.

**Theorem 1.** *Let  $C_1, \dots, C_k$  be a cycle cover in a directed weighted graph  $G$  with edge weights satisfying the triangle inequality. Let  $m_i$  be the number of edges in  $C_i$  and let  $w_i = w(C_i)$  be the weight of  $C_i$ . Given the cycle cover  $C_1, \dots, C_k$ , we can find a Hamiltonian cycle of weight*

$$\sum_{i=2}^k \left(1 - \frac{1}{2m_i}\right) w_i$$

*in polynomial time.*

A slightly weaker version of the above theorem is due to Kostochka and Serdyukov [7]. The version in this paper is taken from Kaplan et al. [5].

Maximum weight cycle cover (possibly containing 2-cycles) can be found in polynomial time. Such cover has weight at least as large as the maximum weight Hamiltonian cycle. From Theorem 1 it follows that

**Theorem 2.** *There exists a  $3/4$ -approximation algorithm for semimetric maxTSP.*

### 2.2 The Algorithm of Kaplan et al.

The 2-cycles are the obvious bottleneck of this approach. If we could find, in polynomial time, a maximum weight cycle cover with no 2-cycles, we would get a  $5/6$ -approximation algorithm. Unfortunately, finding such a cover is an NP-hard problem (see e.g. [1]).

Kaplan et al. [5] proposed the following alternative approach.

**Theorem 3.** Let  $G = (V, E)$  be a directed weighted graph. We can find in polynomial time a pair of cycle covers  $C_1, C_2$  such that:

- (i)  $C_1$  and  $C_2$  share no 2-cycles,
- (ii) total weight  $w(C_1) + w(C_2)$  of the two covers is at least  $2OPT$ , where  $OPT$  is the weight of the maximum weight Hamiltonian cycle in  $G$ .

We will call such pairs of cycle covers *nice pairs of cycle covers*.

**Observation 1 (Kaplan et al.)** In the above theorem, we can assume that the graph consisting of all the 2-cycles of  $C_1$  and  $C_2$  does not contain oppositely oriented cycles. For if it does contain such cycles, say  $C$  and its opposite  $\hat{C}$ , we can remove all the 2-cycles forming  $C$  and  $\hat{C}$  from  $C_1$  and  $C_2$  and instead add  $C$  to  $C_1$  and  $\hat{C}$  to  $C_2$ .

**Theorem 4.** There exists a  $10/13$ -approximation algorithm for semimetric  $\max TSP$ .

The proof of the above theorem can be found in [5]. Since our approach extends that of Kaplan et al., we include it here for completeness. Let us first introduce a few definitions. A *bipath* is a pair of oppositely oriented paths, i.e. a path and its opposite. As a special case, a *biedge* is a single edge together with its opposite edge. A *bicycle* is a pair of oppositely oriented cycles. Finally, a *Hamiltonian bicycle* is a pair of oppositely oriented Hamiltonian cycles.

*Proof (of Theorem 4).* Let  $C_1, C_2$  be a nice pair of cycle covers. Applying Theorem 1 to  $C_1$  and  $C_2$ , we get two Hamiltonian cycles  $H_1, H_2$  with total weight  $w(H_1) + w(H_2) \geq \frac{3}{4}W_2 + \frac{5}{6}W_{3+}$ , where  $W_2$  is the total weight of 2-cycles in  $C_1$  and  $C_2$  and  $W_{3+}$  is the total weight of all the other cycles.

Another way to construct a Hamiltonian cycle using  $C_1$  and  $C_2$  is to consider the graph  $H$  consisting of all the 2-cycles of  $C_1$  and  $C_2$ . It follows from Observation 1 that  $H$  is a sum of disjoint bipaths. We can patch these bipaths arbitrarily to get a Hamiltonian bicycle  $\hat{H}$  of weight  $w(\hat{H}) \geq W_2$ .

Picking the heaviest cycle out of  $H_1, H_2$  and the two cycles of  $\hat{H}$  gives a Hamiltonian cycle of weight at least

$$\frac{1}{2} \max \left\{ \frac{3}{4}W_2 + \frac{5}{6}W_{3+}, W_2 \right\}$$

Since  $W_2 + W_{3+} \geq 2OPT$ , easy calculation (or solving a corresponding LP) shows that the weight of this heaviest cycle is at least  $\frac{10}{13}OPT$ .  $\square$

### 3 Spanning Bitrees and 11/14-approximation

Kaplan et al.'s algorithm (see Theorem 4) balances two solutions. The first one is based on Kostochka and Serdyukov's algorithm and the second one on Kaplan et al.'s approach of constructing a nice pair of cycle covers. However, from these cycle covers they pick only the 2-cycles. The basic idea of our approach is to partially incorporate longer cycles into this second solution by constructing additional bipaths and/or extending existing ones.

*Remark 1.* Cycles of length  $> 2$  do not contain pairs of opposite edges. Hence, not all the new bipath edges will belong to some cycle.

Let  $P$  be a family of disjoint bipaths. We say that set of biedges  $S$  is *allowed* w.r.t.  $P$ , if  $S$  is disjoint from  $P$  and the edge sum of  $P$  and  $S$  is a family of disjoint bipaths (e.g. adding  $S$  does not create a bicycle in  $P$ ). We call a biedge  $e$  *allowed* w.r.t  $P$  if  $\{e\}$  is allowed w.r.t.  $P$ . If a biedge is not allowed, we call it *forbidden*.

The following is the skeleton of the algorithm, that we will develop in the remainder of the paper:

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**Algorithm 3.1** MAIN ALGORITHM

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- 1: Let  $C_1, C_2$  be a nice pair of cycle covers
  - 2: Let  $P$  be the family of bipaths constructed in Kaplan et al.'s Algorithm
  - 3: Mark all 2-cycles as *processed*
  - 4: **for** all unprocessed cycles  $C$  in  $C_1$  and  $C_2$  **do**
  - 5:     use  $C$  to construct a heavy set  $S$  of biedges, allowed w.r.t.  $P$
  - 6:      $P := P \cup S$
  - 7:     mark  $C$  as processed
  - 8: arbitrarily patch  $P$  to a Hamiltonian bicycle
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Let the degree  $\deg_P(v)$  of a vertex  $v$  in a family  $P$  of bipaths is the number of biedges incident with  $v$  (and not the number of edges). The set  $S$  in the above algorithm will always be chosen in such a way that the following is satisfied:

**Invariant 1** For any vertex  $v$ ,  $\deg_P(v)$  is not greater than the number of processed cycles containing  $v$ .

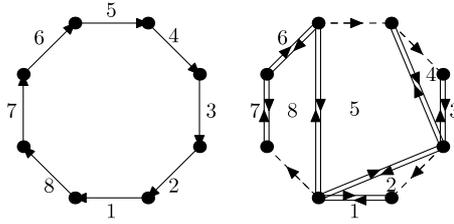
How do we construct a heavy set of biedges  $S$  using a cycle  $C$ ? In this section,  $S$  will contain only a single biedge  $e$  with both ends in  $C$ . When choosing  $S = \{e\}$ , we could pick  $e$  to be any of the biedges allowed w.r.t.  $P$ . However, we want  $e$  to have a large weight.

Let *bitree* be a connected set of biedges with no bicycles. Let  $C$  be a cycle and let the vertices of  $C$  be numbered  $1, \dots, k$  along the cycle. A bitree  $T$  is *plane* w.r.t.  $C$  if  $T$  does not contain two biedges  $u_1u_2, v_1v_2$  such that  $u_1 < v_1 < u_2 < v_2$  (intuitively, this means that if we make a planar drawing of  $C$ , we can complete it to a planar drawing of  $C \cup T$ ). We say that  $T$  is a *plane spanning bitree* of  $C$  if  $T$  is plane w.r.t.  $C$  and connects all vertices of  $C$ . Plane spanning bitrees are interesting because they have large weight.<sup>1</sup>

**Lemma 1.** Let  $C$  be a cycle, and let  $T$  be a plane spanning bitree of  $C$ . Then  $w(T) \geq w(C)$ .

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<sup>1</sup> All the plane spanning bitrees we use in this paper are in fact bipaths. We believe, however, that the more general setting might be beneficial in attempts to improve the results of this paper.

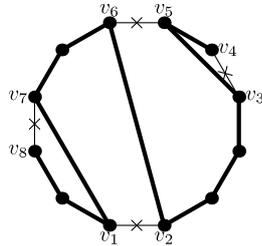


**Fig. 1.** The proof idea of Lemma 1

*Proof.* The proof relies on the triangle inequality. The weight of every edge of  $C$  is upperbounded by the weight of a certain path in  $T$ . Figure 1 shows how this is done. The solid paths incident to a region marked with number  $i$  upperbound the weight of the cycle edge  $i$ .  $\square$

**Observation 2** Consider an execution of the Main Algorithm and let  $C$  be an unprocessed cycle. If  $P$  satisfies Invariant 1, then the set of biedges that have both endpoints in  $C$  and are forbidden w.r.t  $P$  forms a matching.

**Lemma 2.** Consider an execution of the Main Algorithm, let  $C$  be an unprocessed cycle, and let  $P$  satisfy Invariant 1. Then, there exists  $T$ , a plane spanning bitree w.r.t.  $C$  (in fact, a bipath), whose all biedges are allowed w.r.t  $P$ .



**Fig. 2.** Finding a plane bipath avoiding forbidden edges.

*Proof.* The path  $T$  is constructed as follows. First, for each edge  $(u, v)$  of cycle  $C$  put biedge  $uv$  in  $T$  whenever it is allowed. Note that at this point  $T$  already contains all vertices of  $C$  (because forbidden biedges with endvertices on  $C$  form a matching). Let  $k$  be the number of forbidden biedges corresponding to edges in  $E(C)$ . If  $k = 0$  we remove any biedge from  $T$  and we are done. Otherwise enumerate the endvertices of the  $k$  biedges on  $C$  from  $v_1$  to  $v_{2k}$  along the cycle  $C$ . Finally, for every  $i = 1, \dots, k - 1$  add edge  $v_i v_{2k-i}$  to  $T$ . (See Fig. 2). All these edges are allowed since their endvertices are endvertices of distinct forbidden edges and forbidden edges with ends on  $C$  form

a matching. Also,  $T$  forms a path, since all its vertices are of degree 2 except for two vertices,  $v_k$  and  $v_{2k}$ , which are of degree 1. Finally, path  $T$  is plane: the only edges that may cross are chords of  $C$ , however, for any pair of such distinct chords  $v_i v_{2k-i}$ ,  $v_j v_{2k-j}$  either  $i < j < 2k - j < 2k - i$  or  $j < i < 2k - i < 2k - j$ . This proves the claim.

**Theorem 5.** *Let  $C_1$  and  $C_2$  be a nice pair of cycle covers of  $G$ . Then, there exists a Hamiltonian bicycle in  $G$  with weight at least*

$$\sum_{i=2}^{\infty} \frac{W_k}{k-1},$$

where  $W_k$  is the total weight of  $k$ -cycles in  $C_1$  and  $C_2$ .

*Proof.* We use the Main Algorithm. When processing a cycle  $C$  of length  $k$ , we use Lemma 2 to construct  $T$ , a plane spanning bitree w.r.t  $C$ , whose all biedges are allowed w.r.t  $P$ . Then we set  $S = \{e\}$ , where  $e$  is the heaviest biedge of  $T$ . By Lemma 1  $w(e) \geq \frac{w(C)}{k-1}$ , which proves the claim.  $\square$

**Theorem 6.** *There exists a 11/14-approximation algorithm for semimetric maxTSP.*

*Proof.* The proof is similar to the proof of Theorem 4. Again, we construct a nice pair of cycle covers  $C_1, C_2$  and use Theorem 1 to get two Hamiltonian cycles  $H_1, H_2$  with total weight

$$w(H_1) + w(H_2) \geq \sum_{i=2}^{\infty} \left(1 - \frac{1}{2k}\right) W_k.$$

Next, we use Theorem 5 to get two more Hamiltonian cycles  $H_3, H_4$  with total weight

$$w(H_3) + w(H_4) \geq \sum_{i=2}^{\infty} \frac{1}{k-1} W_k.$$

Picking the heaviest cycle out of all the  $H_i$  gives a Hamiltonian cycle  $H$  of weight at least

$$w(H) \geq \frac{1}{2} \max \left\{ \sum_{i=2}^{\infty} \left(1 - \frac{1}{2k}\right) W_k, \sum_{i=2}^{\infty} \frac{1}{k-1} W_k \right\}.$$

From  $\sum_{i=2}^{\infty} W_k \geq 2\text{OPT}$ , it follows that  $w(H) \geq \frac{11}{14}\text{OPT}$ . This can be proved by solving a certain LP. Due to space limitations we defer these considerations to Appendix A.  $\square$

## 4 Making Ends Meet and 35/44-approximation

In this section we introduce two improvements. First, we will add more than one biedge to the family  $P$  of bipaths, while processing a single cycle  $C$ . This is possible if  $C$  is long enough.

In the last step of the algorithm from the previous section we construct a Hamiltonian cycle by patching the bipaths with arbitrary edges. The endvertices of these edges could belong to distinct cycles and we do not lowerbound their weight in any way. The second improvement we are going to present here is to partially incorporate the patching process into the main algorithm in order to be able to lowerbound this weight. We use this approach for processing short cycles.

### Long cycles

**Lemma 3.** *Let  $P$  be a family of disjoint bipaths satisfying Invariant 1 and let  $C$  be an unprocessed cycle of length at least 5. Then there exists an allowed family of biedges  $S$ , such that*

- (i) *after processing  $C$ , the family  $P \cup S$  satisfies Invariant 1,*
- (ii)  *$w(S) \geq \frac{1}{4}w(C)$ ,*
- (iii) *if  $|C| \leq 7$  then  $w(S) \geq \frac{1}{3}w(C)$ ,*
- (iv) *if  $|C| = 5$  then  $w(S) \geq \frac{1}{2}w(C)$ .*

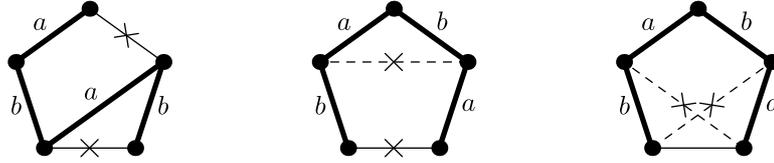
*Proof.* In order to keep Invariant 1 satisfied, we make  $S$  a set of vertex-disjoint allowed biedges with endvertices in  $C$ . Let  $Q$  be the plane bipath spanning  $C$  with no forbidden biedges, which exists by Lemma 2. We color the edges of  $Q$  with two colors:  $a$  and  $b$ , so that incident biedges get distinct colors. Adding all biedges of one color, say  $a$ , to  $P$  may create one or more bicycles (note that such a bicycle contains at least two biedges from  $Q$ ). For each such bicycle we pick one biedge from  $Q$  and we recolor it to a new color  $c$ . Similarly, we recolor some biedges from  $b$  to  $d$ .

It is clear that each of the four color classes is an allowed family of biedges. Let  $S$  be the heaviest of these four sets. Clearly  $w(S) \geq \frac{1}{4}w(Q)$ . Since  $w(Q) \geq w(C)$  by Lemma 1, we get (ii).

Now assume that  $|C| \leq 7$ . We find the bipath  $Q$  and we 2-color it as before. Now suppose that adding all the biedges of one color, say  $a$ , to  $P$  gives a bicycle. Since there are at most 3 biedges colored  $a$  and any bicycle contains at least 2 such biedges, we can only get one such bicycle. Similarly, at most one bicycle is formed by  $P$  and biedges colored  $b$ . Suppose that both bicycles exist (the remaining cases are trivial). We need to recolor one (colored) biedge from each cycle to a new color, so that the recolored edges are not adjacent.

Let us start at one end of  $Q$  and go along  $Q$  until we encounter a colored cycle biedge. Assume w.l.o.g. that it's color is  $a$ . Then, we can recolor both this biedge and the furthest  $b$  biedge to a new color  $c$ . Clearly, each of the three color classes is an allowed family of biedges. As before, we let  $S$  be the heaviest of them, obtaining  $w(S) \geq \frac{1}{3}w(C)$ .

Finally, consider the case of  $|C| = 5$ . W.l.o.g. we can assume that there are two forbidden biedges with endvertices on  $C$  (if not, we can just "forbid" additional biedges). Figure 3 shows all three possible configurations of these biedges together with our choice of the bipath  $Q$  in each case. As before, we 2-color  $Q$ , and then set  $S$  to be the heavier of the two color classes. This gives  $w(S) \geq \frac{1}{2}w(C)$ . Observe that in each case both color classes contain a biedge with an endvertex not adjacent to a forbidden biedge. Such a biedge cannot be a part of a bicycle in  $P \cup S$ , and hence there is no bicycle in  $P \cup S$ , so  $S$  is allowed.  $\square$



**Fig. 3.** Coloring a bipath spanning a 5-cycle. Crossed out edges are forbidden.

**Short cycles** To get the approximation ratio better than  $11/14$  we need to extract more weight from the 3- and 4-cycles when constructing the bipaths in the Main Algorithm. Unfortunately, it turns out that it is impossible to take more than one edge from *each* such cycle. Note however, that when only a single bidge is put into  $P$  when processing a cycle  $C$ , at least one vertex of  $C$  becomes a *loose end*, i.e. a vertex  $v$  such that  $\deg_P(v)$  is smaller than the number of processed cycles containing  $v$ .

*Remark 2.* If  $\deg_P(v) = 0$  and both cycles containing  $v$  have already been processed, we consider  $v$  to be *two* loose ends.

We can link loose ends from distinct cycles without violating Invariant 1. Surprisingly, it is possible to lowerbound the weight of such links.

First let us see how loose ends are created.

**Lemma 4.** *Let  $P$  be a family of disjoint bipaths satisfying Invariant 1 and let  $C$  be an unprocessed  $k$ -cycle. Then there exists an allowed family of bidges  $S$  such that*

- (i)  $w(S) \geq \frac{1}{k-1}w(C)$ ,
- (ii) after processing  $C$  family  $P \cup S$  satisfies Invariant 1, and
- (iii) the number of loose ends increases by  $k - 2$ .

*Proof.* We use the approach described in the previous section, i.e.  $S = \{e\}$  where  $e$  is the heaviest bidge of the plane spanning bipath of  $C$ . All the vertices of  $C$  except for the two endvertices of  $e$  become loose ends.  $\square$

The following two lemmas show how loose ends can be used to extract more weight from 3-cycles and 4-cycles.

**Lemma 5.** *Let  $P$  be a family of disjoint bipaths satisfying Invariant 1 with at least 2 loose ends and let  $C$  be an unprocessed 3-cycle. Then there exists an allowed family of bidges  $S$  such that*

- (i)  $w(S) \geq \frac{3}{4}w(C)$ ,
- (ii) after processing  $C$ , the family  $P \cup S$  satisfies Invariant 1, and
- (iii) the number of loose ends decreases by 1.

*Proof.* Our plan here is to make  $S$  contain one bidge with both endvertices in  $C$  and one bidge linking the remaining vertex of  $C$  with one of the loose ends. This obviously satisfies (ii) and (iii). We only need to guarantee that  $S$  is allowed and that it has weight

at least  $\frac{3}{4}w(C)$ . We consider one of the following two cases, depending on whether or not there exists a loose end  $v$  that is not connected to  $C$  with a bipath in  $P$  (this bipath might have length 0 in which case one of the vertices of  $C$  is a loose end).

*Case 1.* There exists such  $v$ . Let  $a, b, c$  be the vertices of  $C$  and suppose  $Q = abc$  is a plane spanning bipath of  $C$  with no forbidden edges. Consider two possibilities for  $S$ :  $S_1 = \{ab, cv\}$  ( $ab$  and  $cv$  denote biedges here) and  $S_2 = \{bc, av\}$ . Both are allowed. For example, if we add  $S_1$  to  $P$ ,  $cv$  lies on a bipath (not a bicycle) because  $v$  is not connected with  $C$  in  $P$ , and  $ab$  by itself cannot form a bicycle because it is allowed as a biedge of  $Q$ . Similar argument works for  $S_2$ . We also have

$$\begin{aligned} w(S_1) + w(S_2) &= w(ab) + w(bc) + w(cv) + w(va) \geq w(ab) + w(bc) + w(ca) \geq \\ &\geq \frac{1}{2}[(w(ab) + w(bc)) + (w(bc) + w(ca)) + (w(ca) + w(ab))] \geq \frac{3}{2}w(C), \end{aligned}$$

where the second inequality follows from the triangle inequality and the last inequality follows from Lemma 1.

Taking  $S$  to be the heavier of  $S_1$  and  $S_2$  we get the required lower bound of  $\frac{3}{4}w(C)$ .

*Case 2.* Such  $v$  does not exist, so we have two loose ends  $u, v$  connected to two different vertices of  $C$ , say  $u$  connected to  $a$ , and  $v$  connected to  $b$ . Let  $c$  be the remaining vertex of  $C$ . Notice that all biedges of  $C$  are allowed. For if any of them, call it  $xy$ , were not allowed, then  $x$  and  $y$  would be connected with a bipath in  $P$ , and that cannot happen, since we know that either the bipath starting in  $x$  or the bipath starting in  $y$  ends in a loose end.

Consider the two solutions defined in the previous case:  $S_1 = \{ab, cv\}$  and  $S_2 = \{bc, av\}$ . They are both allowed. For example, adding  $S_1$  to  $P$  forms a bipath  $\dots cv \dots ba \dots u$  ending in a loose end  $u$ , so no bicycles are formed. Similar argument works for  $S_2$ . The weight argument is the same as in Case 1.  $\square$

**Lemma 6.** *Let  $P$  be a family of disjoint bipaths satisfying Invariant 1 with at least 2 loose ends and let  $C$  be an unprocessed 4-cycle. Then there exists an allowed family of biedges  $S$  such that*

- (i)  $w(S) \geq \frac{1}{2}w(C)$ ,
- (ii) after processing  $C$ , the family  $P \cup S$  satisfies Invariant 1, and
- (iii) the number of loose ends does not change.

*Proof.* Our plan is to make  $S$  contain two biedges with both endvertices on  $C$  or one biedge with both endvertices on  $C$  and one biedge linking a vertex of  $C$  with one of the loose ends. This satisfies (ii) and (iii) and again we only need to guarantee that  $S$  is allowed and that it has weight at least  $\frac{1}{2}w(C)$ . We consider the same two cases as in the previous lemma.

*Case 1.* There exists a loose end  $v$  not connected to  $C$  in  $P$ .

Let  $C = abcd$  and let  $Q$  be a plane spanning bipath of  $C$  with no forbidden edges. We consider all solutions of the following form: a biedge of  $Q$  and a biedge connecting one of the remaining vertices of  $C$  and  $v$ . There are six such solutions since  $Q$  has 3 edges and there are always 2 remaining vertices. All these solutions are allowed. That

is because the bipath edge is allowed by itself, and the linking edge cannot form a cycle in  $P$  since  $v$  is not connected with  $C$  in  $P$ .

Let us now bound the total weight of these six solutions. Consider a pair of solutions corresponding to a single biedge of  $Q$ , say  $xy$ . The total weight of these two solutions is  $2w(xy) + w(vz) + w(vw) \geq 2w(xy) + w(zw)$  (by triangle inequality), where  $z, w$  are the two remaining vertices. So we get twice the weight of the bipath biedge and the weight of the complementary biedge. Now, notice that for any plane spanning bipath  $Q$  of a 4-cycle, the complementary biedges of biedges of  $Q$  also form a plane spanning bipath. It follows from Lemma 1 that the total weight of all six solutions is at least  $3w(C)$ . Taking  $S$  to be the heaviest of the six solutions gives the required lower bound of  $\frac{1}{2}w(C)$ .

*Case 2.* Such  $v$  does not exist, so we have two loose ends  $u, v$  connected to two different vertices of  $C$ . Let  $C = abcd$ . We have two cases.

*Case 2a.*  $v$  and  $u$  are connected to two successive cycle vertices, say  $u$  is connected to  $a$  and  $v$  is connected to  $b$ . Consider two solutions:  $S_1 = \{da, bc\}$  and  $S_2 = \{ab, cv\}$  (here  $cv$  is a dummy biedge, added only to keep the number of loose ends constant for simplicity). Both solutions are allowed, because if we add any of them to  $P$ , each of the added biedges lies on a bipath ending in a loose end.

Also  $w(S_1) + w(S_2) \geq w(C)$  by Lemma 1, because  $\{da, bc, ab\}$  is a plane spanning bitree of  $C$ .

*Case 2b.*  $v$  and  $u$  are connected to opposite cycle vertices, say  $u$  is connected to  $a$  and  $v$  is connected to  $c$ .

Consider two solutions:  $S_1 = \{ab, cd\}$  and  $S_2 = \{ad, bc\}$ . The rest of the argument is the same as in the previous Case 2a.  $\square$

For technical reasons, that will become clear in the proof of Theorem 7, the very last cycle needs to be processed even more effectively. This is possible, because when processing the last cycle we can make  $P$  a Hamiltonian bicycle. To deal with this special case we use the following lemmas (proofs are similar to the proofs of the previous two lemmas – see Appendix B).

**Lemma 7.** *Let  $P$  be a family of disjoint bipaths satisfying Invariant 1 with exactly 1 loose end. Assume that all cycles have been processed but one 3-cycle  $C$ . Then there exists an allowed family of biedges  $S$  such that*

- (i)  $P \cup S$  is a Hamiltonian bicycle,
- (ii)  $w(S) \geq \frac{3}{4}w(C)$ .

**Lemma 8.** *Let  $P$  be a family of disjoint bipaths satisfying Invariant 1 with exactly 2 loose ends. Assume that all cycles have been processed but one 4-cycle  $C$ . Then there exists an allowed family of biedges  $S$  such that*

- (i)  $P \cup S$  is a Hamiltonian bicycle,
- (ii)  $w(S) \geq \frac{2}{3}w(C)$ .

**Lemma 9.** *Let  $P$  be a family of disjoint bipaths satisfying Invariant 1 with no loose ends. Assume that all cycles have been processed but one 4-cycle  $C$ . Then there is an allowed family of bidedges  $S$  such that*

- (i)  $P \cup S$  is a Hamiltonian bicycle,
- (ii)  $w(S) \geq \frac{1}{2}w(C)$ .

### Putting It All Together

**Theorem 7.** *Let  $C_1$  and  $C_2$  be a nice pair of cycle covers of  $G$ . Then, there exists a Hamiltonian bicycle in  $G$  with weight at least*

$$W_2 + \frac{5}{8}W_3 + \frac{1}{2}W_4 + \frac{1}{2}W_5 + \frac{1}{3}W_6 + \frac{1}{3}W_7 + \frac{1}{4}W_{8+},$$

where  $W_k$  is the total weight of  $k$ -cycles in  $C_1$  and  $C_2$  and  $W_{8+}$  is the total weight of cycles of length at least 8 in  $C_1$  and  $C_2$ .

*Proof.* We use the Main Algorithm and process all the long (i.e. of length at least 5) cycles before the 3- and 4-cycles. Long cycles are processed using Lemma 3. As a result we get a family  $P$  of bipaths satisfying Invariant 1 and such that  $w(P) \geq \frac{1}{2}W_5 + \frac{1}{3}W_6 + \frac{1}{3}W_7 + \frac{1}{4}W_{8+}$ . Depending on the number of loose ends in  $P$  at this point, we continue the execution of the algorithm in one of the following ways.

*Case 1.* There are at least 2 loose ends. Then we first process 4-cycles, in any order, using Lemma 6 for each cycle. Note that  $w(P)$  increases by at least  $\frac{1}{2}W_4$  during this phase. Next we process 3-cycles in order of decreasing weight. First 3-cycle  $A$  is processed using Lemma 5. As a result the number of loose ends drops by 1 and  $W(P)$  increases by  $\frac{3}{4}w(A)$ . Then we process the second 3-cycle  $B$  using Lemma 4. We get one 1 loose end and  $W(P)$  increases by  $\frac{1}{2}w(B)$ . We process all the 3-cycles in this way, alternating between Lemmas 5 and 4. It is clear that overall  $W(P)$  increases by at least  $\frac{5}{8}W_3$ , hence after patching  $P$  to a Hamiltonian bicycle we get its total weight as claimed.

*Case 2.* There are no loose ends. Note that, when a cycle  $C$  is processed, the number of loose ends increases by  $|C| - 2|S|$ . Hence, at any time, the parity of the number of loose ends equals the parity of the sum of lengths of the processed cycles. It follows that if there are no loose ends then the sum of lengths of the processed cycles is even. On the other hand, the sum of lengths of all cycles in  $C_1$  and  $C_2$  is  $2n$ , hence also the sum of lengths of the unprocessed cycles is even. It implies that the number of 3-cycles is even. Now we will consider several subcases regarding the number of 3-cycles and 4-cycles.

*Case 2a.* There are at least two 4-cycles. Then we start by processing the lightest 4-cycle using Lemma 4. This gives us 2 loose ends. Next, all 3-cycles and all but one remaining 4-cycles are processed using the algorithm from Case 1. Again, since the number of 3-cycles is even, we still have 2 loose ends when this phase is finished. It follows that the remaining 4-cycle can be processed using Lemma 8. We see that in total  $w(P)$  increases by  $\frac{1}{3}$  of the weight of the lightest 4-cycle,  $\frac{2}{3}$  of the weight of some

other 4-cycle,  $\frac{1}{2}$  of the weight of all the other 4-cycles and by  $\frac{5}{8}W_3$ , which is at least  $\frac{5}{8}W_3 + \frac{1}{2}W_4$ , as required.

*Case 2b.* There are at least four 3-cycles. Then we start by processing the two lightest 3-cycles using Lemma 4. This gives us 2 loose ends and  $w(P)$  increases by  $\frac{1}{2}$  of the weight of these 3-cycles. Next, all 4-cycles and all but two remaining 3-cycles are processed using the algorithm from Case 1. This increases  $w(P)$  by  $\frac{5}{8}$  of the weight of the triangles processed in this phase and by  $\frac{1}{2}W_4$ . Note that since the number of 3-cycles is even, we still have 2 loose ends after this phase is finished. First of the remaining two 3-cycles is processed using Lemma 5 and the second one using Lemma 7.  $w(P)$  increases by  $\frac{3}{4}$  of their weight. During the processing of all short cycles  $w(P)$  increases by at least  $\frac{5}{8}W_3 + \frac{1}{2}W_4$ , as required.

*Case 2c.* There are two 3-cycles and one 4-cycle. Then we consider two methods of processing these cycles and we choose the more profitable one. Method 1: process the 3-cycles using Lemma 4 and obtaining 2 loose ends, then process the 4-cycle using Lemma 8. In this case  $w(P)$  increases by  $\frac{1}{2}W_3 + \frac{2}{3}W_4$ . Method 2: process the 4-cycle using Lemma 4 and obtaining 2 loose ends, then process the 3-cycles using Lemma 5 for the first one and Lemma 7 for the second one. In this case  $w(P)$  increases by  $\frac{3}{4}W_3 + \frac{1}{3}W_4$ . Clearly the better method gives us  $\max\{\frac{1}{2}W_3 + \frac{2}{3}W_4, \frac{3}{4}W_3 + \frac{1}{3}W_4\} \geq \frac{5}{8}W_3 + \frac{1}{2}W_4$ , as required.

*Case 2d.* There are no 3-cycles and there is one 4-cycle. Then we just apply Lemma 9.

*Case 2e.* There are two 3-cycles and no 4-cycles. We process the lighter 3-cycle  $A$  using Lemma 4 which gives us 1 loose end. Then the second 3-cycle  $B$  can be processed using Lemma 7. This increases  $w(P)$  by at least  $\frac{1}{2}w(A) + \frac{3}{4}w(B) \geq \frac{5}{8}W_3$  as required.

*Case 3.* There is exactly one loose end. By the parity argument from Case 2., the number of 3-cycles is odd. We can treat the single loose end as an imaginary 3-cycle  $I$  of weight 0. This way the number of 3-cycles becomes even and we again arrive at Case 2. Note that in the algorithms from subcases 2a, 2b and 2e the imaginary triangle would be processed using Lemma 4. If we just do nothing while processing  $I$  we get the same effect:  $w(P)$  grows by  $\frac{1}{2}w(I) = 0$  and we get an additional loose end. Case 2d does not apply since we do have 3-cycles. The only case left is a counterpart of Case 2c: there is one 3-cycle and one 4-cycle. Similarly to Case 2c we consider 2 methods and we choose the more profitable one. Method 1 is: process the 3-cycle using Lemma 4 obtaining the second loose end and then process the 4-cycle using Lemma 8. Method 2 is: process the 4-cycle using Lemma 4 obtaining two more loose ends and then process the 3-cycle using Lemma 5. Performing the same calculations as in Case 2c, we see that  $w(P)$  increases by at least  $\frac{5}{8}W_3 + \frac{1}{2}W_4$ , as required.  $\square$

**Theorem 8.** *There exists a  $35/44$ -approximation algorithm for semimetric maxTSP.*

*Proof.* Similarly to the algorithm in Theorem 6, our algorithm chooses the heaviest of the four Hamiltonian cycles: two constructed by Kostochka and Serdukov's algorithm and the two cycles of the bicycle from Theorem 7. Again, by simple LP reasoning, one can show that the resulting cycle has weight  $\geq \frac{35}{44}\text{OPT}$ . (See Appendix A).  $\square$

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## A Using LPs to compute the approximation factors

In this appendix we justify the approximation factors claimed in Theorem 6 and Theorem 8. Let us start with Theorem 6. We have

$$w(H) \geq \frac{1}{2} \max \left\{ \sum_{i=2}^{\infty} \left(1 - \frac{1}{2k}\right) W_k, \sum_{i=2}^{\infty} \frac{1}{k-1} W_k \right\}$$

and  $\sum_{i=2}^{\infty} W_k \geq 2\text{OPT}$  and we want to know the minimum possible value of  $w(H)$ .

First of all, let us substitute both infinite sums with the following finite sums

$$w(H) \geq \max \left\{ \frac{3}{8}W_2 + \frac{5}{12}W_3 + \frac{7}{16}W_4 + \frac{9}{20}W_5 + \frac{11}{24}W_{6+}, \right. \\ \left. \frac{1}{2}W_2 + \frac{1}{4}W_3 + \frac{1}{6}W_4 + \frac{1}{8}W_5 \right\}$$

Consider the following linear program computing the minimum value of  $w(H)$

minimize  $W$  with

$$W \geq \frac{3}{8}W_2 + \frac{5}{12}W_3 + \frac{7}{16}W_4 + \frac{9}{20}W_5 + \frac{11}{24}W_{6+},$$

$$W \geq \frac{1}{2}W_2 + \frac{1}{4}W_3 + \frac{1}{6}W_4 + \frac{1}{8}W_5,$$

$$W_1 + W_2 + W_3 + W_4 + W_5 + W_{6+} = 2\text{OPT},$$

$$W_i \geq 0.$$

We could simply solve this program using an LP solver, but it is actually quite easy to do it by hand. The following two observations follow from basic LP theory

- at optimum, both inequalities are tight, and in particular their right hand sides (RHS) are equal,
- there exists an optimum solution with  $W$  and only two of the  $W_i$  nonzero.

If  $W_2 = 0$ , then RHS of the first constraint is  $\geq \frac{5}{6}\text{OPT}$ , which is definitely bigger than optimum value. Thus, it is enough to consider the case where  $W_2$  and one other  $W_i$  is nonzero. For each of these cases we need to equate RHSs of both inequality constraints, compute the exact values of the non-zero  $W_i$  and see what value of  $W$  this gives.

In case of the program we are considering here, we get the smallest value of  $W$  when  $W_2$  and  $W_3$  are the non-zero variables. We then have

$$\frac{3}{8}W_2 + \frac{5}{12}W_3 = \frac{1}{2}W_2 + \frac{1}{4}W_3$$

which gives  $3W_2 = 4W_3$ .

Since  $W_2 + W_3 = 2\text{OPT}$ , we get  $W_2 = \frac{4}{7}(W_2 + W_3) = \frac{8}{7}\text{OPT}$  and  $W_3 = \frac{6}{7}\text{OPT}$ . Hence

$$W = \frac{1}{2}W_2 + \frac{1}{4}W_3 = \left(\frac{4}{7} + \frac{3}{14}\right)\text{OPT} = \frac{11}{14}\text{OPT}.$$

Similar reasoning leads to the approximation factor of  $\frac{35}{44}$  in Theorem 8. In this case we solve the following linear program

minimize  $W$  with

$$\begin{aligned} W &\geq \frac{3}{8}W_2 + \frac{5}{12}W_3 + \frac{7}{16}W_4 + \frac{9}{20}W_5 + \frac{11}{24}W_6 + \frac{13}{28}W_7 + \frac{15}{32}W_{8+}, \\ W &\geq \frac{1}{2}W_2 + \frac{5}{16}W_3 + \frac{1}{4}W_4 + \frac{1}{4}W_5 + \frac{1}{6}W_6 + \frac{1}{6}W_7 + \frac{1}{8}W_{8+}, \\ W_1 + W_2 + W_3 + W_4 + W_5 + W_6 + W_7 + W_{8+} &= 2\text{OPT}, \\ W_i &\geq 0. \end{aligned}$$

The minimum value is again achieved for  $W_2$  and  $W_3$  nonzero.

## B Proofs of the Technical Lemmas

**Lemma 7.** *Let  $P$  be a family of disjoint bipaths satisfying Invariant 1 with exactly 1 loose end. Assume that all cycles have been processed but one 3-cycle  $C$ . Then there exists an allowed family of bidedges  $S$  such that*

- (i)  $P \cup S$  is a Hamiltonian bicycle,
- (ii)  $w(S) \geq \frac{3}{4}w(C)$ .

*Proof.* Let  $C = abc$ . All vertices of  $G$  have degree 2 in  $P$  except for  $a, b, c$  and the loose end  $v$ , which all have degree 1 (it might happen that  $v$  is one of  $a, b, c$ , then it has degree 0). It easily follows that two of the vertices of  $C$ , say  $a$  and  $b$  are connected with a bipath in  $P$  and similarly  $c$  and  $v$  are connected with a bipath in  $P$  (it might happen that  $c$  and  $v$  are the same vertex). We consider two solutions:  $S_1 = \{ac, bv\}$  and  $S_2 = \{bc, av\}$ . It's easy to see that both solutions complete  $P$  to a Hamiltonian bicycle.

An argument similar to the one in the proof of Lemma 5 gives a lower bound of  $\frac{3}{4}w(C)$  on the weight of the heavier of  $S_1$  and  $S_2$  which ends the proof.

**Lemma 8.** *Let  $P$  be a family of disjoint bipaths satisfying Invariant 1 with exactly 2 loose ends. Assume that all cycles have been processed but one 4-cycle  $C$ . Then there exists an allowed family of bidges  $S$  such that*

- (i)  $P \cup S$  is a Hamiltonian bicycle,
- (ii)  $w(S) \geq \frac{2}{3}w(C)$ .

*Proof.* Let  $C = abcd$  and  $u, v$  be the loose ends. By analyzing the degrees of all vertices of  $G$  in  $P$  we arrive in one of the following two cases.

*Case 1.*  $u$  and  $v$  are connected to two vertices of  $C$  in  $P$ . The vertices  $u$  and  $v$  are connected to may either be successive or opposite vertices on  $C$ .

*Case 1a.*  $u$  and  $v$  are connected to two successive vertices on  $C$ , say  $u$  is connected to  $a$  and  $v$  is connected to  $b$ . In this case  $c$  and  $d$  are also connected with a bipath in  $P$ . We consider four solutions  $S_1 = S_2 = \{ad, bc, uv\}$ ,  $S_3 = \{ab, cu, dv\}$  and  $S_4 = \{ab, cv, du\}$ . It can easily be checked that each of these solutions completes  $P$  to a Hamiltonian bicycle.

The total weight of the four solutions is

$$\begin{aligned} \sum_{i=1}^4 w(S_i) &\geq 2w(ab) + 2w(bc) + 2w(ad) + w(cu) + w(du) + w(cv) + w(dv) \geq \\ &\geq 2(w(ab) + w(bc) + w(cd) + w(da)) \geq \frac{8}{3}w(C), \end{aligned}$$

where the last inequality follows from the following consequence of Lemma 1

$$\begin{aligned} 3(w(ab) + w(bc) + w(cd) + w(da)) &= \\ &= (w(ab) + w(bc) + w(cd)) + (w(ab) + w(bc) + w(da)) + \\ &+ (w(ab) + w(cd) + w(da)) + (w(bc) + w(cd) + w(da)) \geq 4w(C). \end{aligned}$$

We make  $S$  the heaviest of the four solutions and get  $w(S) \geq \frac{2}{3}w(C)$ .

*Case 1b.*  $u$  and  $v$  are connected to two opposite vertices on  $C$ , say  $u$  is connected to  $a$  and  $v$  is connected to  $c$ . In this case  $b$  and  $d$  are also connected with a bipath in  $P$ . We consider two solutions:  $S_1 = \{ab, cd, uv\}$  and  $S_2 = \{ad, bc, uv\}$  (we only need the  $uv$  bidges to close the bicycle). Again, it's easy to see that both solutions complete  $P$  to a Hamiltonian bicycle. Their total weight is

$$w(S_1) + w(S_2) \geq w(ab) + w(bc) + w(cd) + w(da) \geq \frac{4}{3}w(C)$$

using the reasoning from case 1a. Making  $S$  the heavier of the two solutions we get  $w(S) \geq \frac{2}{3}w(C)$ .

*Case 2.*  $u$  and  $v$  are connected with a bipath in  $P$ . Again we have two cases.

*Case 2a.* Pairs of successive vertices of  $C$  are connected with bipaths in  $P$ , say  $a$  is connected with  $b$  and  $c$  with  $d$ .

We consider six solutions:  $S_1 = S_2 = \{ad, bu, cv\}$ ,  $S_3 = S_4 = \{ad, bv, cu\}$ ,  $S_5 = \{ac, bu, dv\}$ ,  $S_6 = \{ac, bv, du\}$ . Again, it can easily be verified that each of these solutions completes  $P$  to a Hamiltonian cycle.

Their total weight is at least

$$\begin{aligned} & 4w(ad) + 2(w(bu) + w(cu) + w(bv) + w(cv)) + \\ & + 2w(ac) + w(bu) + w(du) + w(bv) + w(dv) \geq \\ & \geq 4w(ad) + 4w(bc) + 2w(ac) + 2w(bd) \geq 4w(C), \end{aligned}$$

where the last inequality follows from the following corollary of Lemma 1

$$\begin{aligned} & 2w(ad) + 2w(bc) + w(ac) + w(bd) = \\ & = (w(ad) + w(ac) + w(bc)) + (w(ad) + w(bd) + w(bc)) \geq 2w(C). \end{aligned}$$

Making  $S$  the heaviest of the six solutions gives  $w(S) \geq \frac{2}{3}w(C)$ .

*Case 2b.*  $a$  and  $c$  are connected with a bipath in  $P$ , and the same goes for  $b$  and  $d$ .

We consider four solutions:  $S_1 = \{ab, cu, dv\}$ ,  $S_2 = \{ab, cv, du\}$ ,  $S_3 = \{ad, bu, cv\}$ , and  $S_4 = \{ad, bv, cu\}$ . Again, each of these completes  $P$  to a Hamiltonian bicycle.

The total weight of these four solutions is

$$\begin{aligned} & \sum_{i=1}^4 w(S_i) = 2w(ab) + w(cu) + w(du) + w(cv) + w(dv) + 2w(ad) + \\ & + w(bu) + w(cu) + w(bv) + w(cv) \geq 2(w(ab) + w(bc) + w(cd) + w(da)) \end{aligned}$$

which we already know is at least  $\frac{8}{3}w(C)$ . Thus, making  $S$  the heaviest of the 4 solutions gives  $w(S) \geq \frac{2}{3}w(C)$ .

**Lemma 9.** *Let  $P$  be a family of disjoint bipaths satisfying Invariant 1 with no loose ends. Assume that all cycles have been processed but one 4-cycle  $C$ . Then there is an allowed family of biedges  $S$  such that*

- (i)  $P \cup S$  is a Hamiltonian bicycle,
- (ii)  $w(S) \geq \frac{1}{2}w(C)$ .

*Proof.* Let  $C = abcd$ . Again, by analyzing the degrees of all vertices of  $G$  in  $P$ , we conclude that  $P$  consists of two bipaths, pairing the vertices of  $C$ . We have two cases.

*Case 1.* The paired vertices are neighbors on  $C$ , say  $a$  and  $b$  are connected with a bipath in  $P$ , and  $c$  and  $d$  are. In this case we consider solutions:  $S_1 = \{ac, bd\}$  and  $S_2 = \{ad, bc\}$ . They both complete  $P$  to a Hamiltonian bicycle. Also, since  $S_1 \cup S_2$

contains a plane spanning bitree of  $C$ , their total weight is at least  $w(C)$ , and so the heavier of them has weight at least  $\frac{1}{2}w(C)$ .

*Case 2.* The paired vertices are not neighbors, i.e.  $a$  and  $c$  are connected in  $P$  and  $b$  and  $d$  are. The reasoning is the same, only this time we use  $S_1 = \{ab, cd\}$  and  $S_2 = \{ad, cb\}$ .