

# BOOLEAN CSPs AND DIRECTED FLOW-AUGMENTATION

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## CSPs (Constraint Satisfaction Problems)

- A CSP problem defined by fixing a **domain**  $D$  and a **constraint language**  $\Gamma$  over  $D$ .
- An instance of CSP( $\Gamma$ ) is given as a set of **constraints**  $(X, R)$  over  $\Gamma$ .

*Constraint language*  $\Gamma$  over a domain  $D$

- a set of relations  $R$  over  $D$ , each relation  $R \subseteq D^r$  for finite  $r$  (*arity*).

A **constraint**  $(X, R)$  over a constraint language  $\Gamma$

- $X = (x_1, \dots, x_r)$  is an  $r$ -tuple of variables (*scope* of the constraint)
- $R \in \Gamma$
- *satisfied* by an assignment  $\alpha : X \rightarrow D$  if  $(\alpha(x_1), \dots, \alpha(x_r)) \in R$

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# CSP( $\Gamma$ ), EXAMPLES

	CSP	3-COLORING	2-SAT
Problem	domain $D$	$\{1, 2, 3\}$	$\{0, 1\}$
	constraint language $\Gamma$ , $R \subseteq D^r$ for each $R \in \Gamma$ of arity $r$	$\{\neq\}$	$\{\{0, 1\}^2 \setminus (a, b) : a, b \in \{0, 1\}\}$
Instance	variables $V$	vertices	variables
	constraints $(X, R)$ , $R \in \Gamma$ , $X \in V^r$	$\{(u, v), \neq\}_{uv \in E(G)}$	clauses

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## A NATURAL VARIANT OF SAT( $\Gamma$ ): MIN SAT( $\Gamma$ )

### MIN SAT( $\Gamma$ )

Input: A formula (i.e. a set of constraints)  $\mathcal{F}$  over  $\Gamma$ , where the domain of  $\Gamma$  is boolean, a non-negative integer  $k$ .

Question: is there a set of at most  $k$  constraints  $Z \subseteq \mathcal{F}$  such that  $\mathcal{F} - Z$  is satisfiable?

## $st$ -MIN-CUT AS MIN SAT( $\Gamma$ )

Alternative formulation of  $st$ -MIN-CUT: Given  $G = (V, E)$  with  $s, t \in V$  and integer  $k$ ,

- Variables  $V$ .
- $(s = 1)$  and  $(t = 0)$  as *crisp* constraints (i.e.  $k + 1$  copies).
- For every edge  $e = (s, v) \in E$ , a constraint  $(v = 1)$ .
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$k$ -MULTICOLORED CLIQUE (equivalent to  $k$ -CLIQUE):

- Input:  $G = (V_1 \uplus V_2 \uplus \dots \uplus V_k, E)$  with each  $V_i$  stable, integer  $k$ .
- Task: find a  $k$ -clique  $K$  (report NO if none exists).

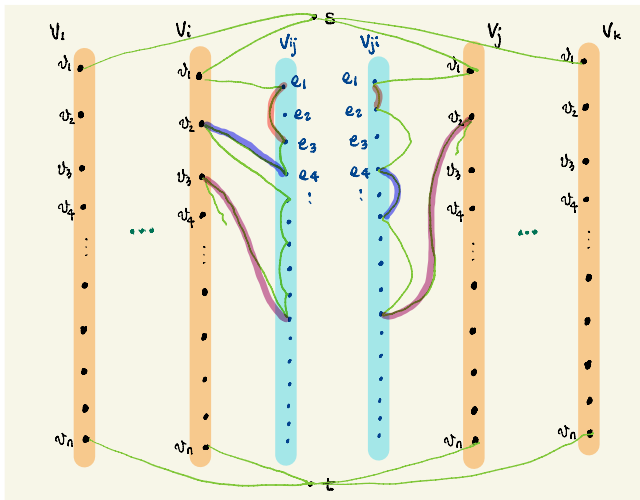
Alternative formulation as MIN SAT( $\Gamma$ ) with  $\Gamma = \{=, 0, 1, \text{DOUBLE EQUALITY}\}$

(Marx and Razgon 2009)



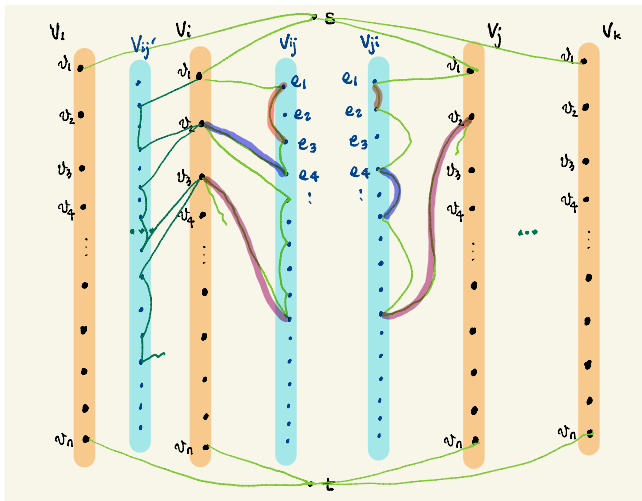
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Does the following instance of MIN SAT( $\Gamma$ ), where  $\Gamma = \{=, 0, 1, \text{DOUBLE EQUALITY}\}$ , admit a boolean assignment violating at most  $\binom{k}{2}$  constraints?



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# TOWARD FPT DICHOTOMY FOR MIN SAT( $\Gamma$ )

constraint type		Feasibility in P-time (Schaefer'78)	c-approx in FPT-time (BELM'18)	FPT
0/1-valid		trivially satisfiable		
bijunctive (2CNF)		Yes	Yes	Something interesting happens here
weakly neg/pos (horn/dual horn)	IHS-B		No	No
	o/w			
affine				
o/w		No		

# FPT DICHOTOMY FOR MIN SAT( $\Gamma$ )

K. KRATSCH, PILIPCZUK, WAHLSTRÖM 2021,22,23

## THEOREM

Let  $\Gamma$  be a finite boolean constraint language. Then parameterize by the number of unsatisfied constraints, one of the following holds.

- 1 WEIGHTED MIN SAT( $\Gamma$ ) is FPT.
- 2 MIN SAT( $\Gamma$ ) is FPT, but WEIGHTED MIN SAT( $\Gamma$ ) is W[1]-hard.
- 3 MIN SAT( $\Gamma$ ) is W[1]-hard.

The hard gist of the tractable cases critically rely on the flow-augmentation technique.

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0/1-valid		trivially satisfiable				
bijunctive (2CNF)	$2K_2$ -free Gaifman graph	Yes	Yes	Yes (even weighted)		
	o/w			No		
weakly neg/pos (horn/ dual horn)	$2K_2$ -free arrow graph			Yes	No	Yes
	o/w			No		No
o/w				No	No	
affine				No	No	
o/w			No	No		

## EXAMPLE OF A TRACTABLE CASE: $\ell$ -CHAIN SAT

### $\ell$ -CHAIN SAT

- Input: a formula  $\Phi$  as a set of constraints of the following form.

- $(x_1 \rightarrow x_2) \wedge (x_2 \rightarrow x_3) \wedge \dots \wedge (x_{\ell-1} \rightarrow x_\ell)$ ,
- or unary clauses, i.e.  $(x)$  or  $(\neg x)$

each constraint  $C$  has weight  $\omega(C)$ . Two integers  $k$  and  $W$ .

- Task: find a truth assignment  $V(\Phi) \rightarrow \{1, 0\}$  violating at most  $k$  constraints of weight at most  $W$ .

$$\Phi = \bigwedge_{i=1}^4 (x_i) \wedge \bigwedge_{i=1}^4 (\neg y_i) \wedge \bigwedge_{i=1}^4 C_i,$$

$$C_i = (x_i \rightarrow w_i) \wedge (w_i \rightarrow y_i) \wedge (y_i \rightarrow w_{i+1})$$

FPT in  $k + \ell$ ? Was THE bottleneck cut problem.  
Tractable case in both bijunctive and IHS-B languages.  
 $\ell = 1, 2$  is *st*-MIN-CUT.

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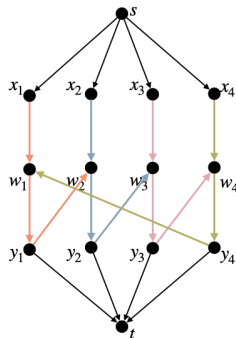
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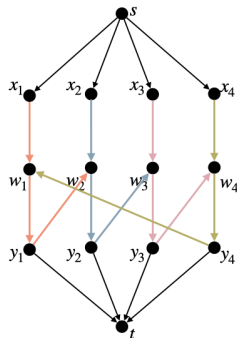
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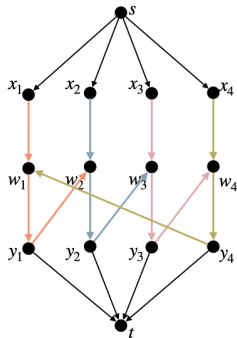
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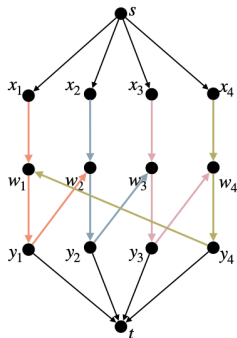
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## FLOW AUGMENTATION THEOREM (SIMPLE VERSION)

There exists a polynomial-time algorithm that, given

- a directed graph  $G$  with  $s, t \in V(G)$  and an integer  $k$ ,

returns

- a set  $A \subseteq V(G) \times V(G)$

such that for every minimal  $st$ -cut  $Z$  of size at most  $k$ , with probability  $2^{-O(k^4 \log k)}$

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When a sought solution  $Z$  is a minimal  $st$ -cut, then FLOW-AUGMENTATION lifts  $st$ -mincut size to match  $|Z|$  by adding (unbreakable) arcs in a way **not messing the solution**, with **high enough probability**.

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## WEIGHTED $\ell$ -CHAIN SAT

- Input: a directed graph  $G = (V, E)$  with  $s, t$ , a collection  $\mathcal{B}$  (bundles) of pairwise disjoint path of length at most  $b$  with weights  $\omega : \mathcal{B} \rightarrow \mathbb{Z}_+$ , integers  $k$  and  $W$ .
- Task: find a minimal  $st$ -cut  $Z \subseteq \bigcup \mathcal{B}$  violating at most  $k$  bundles of weight at most  $W$ .

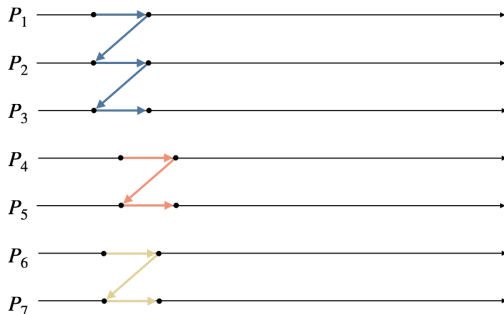


1. Invoke flow-augmentation; now  $Z$  is an  $st$ -mincut. Note  $\lambda(s, t) \leq bk$

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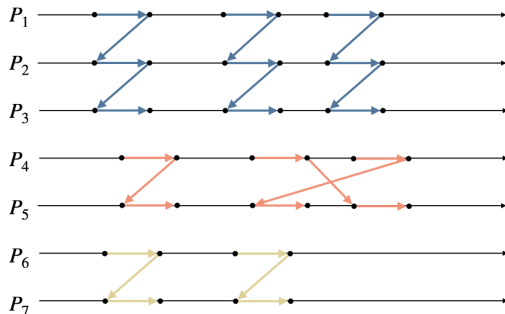


2. Guess how violated bundles overlay the flow paths.

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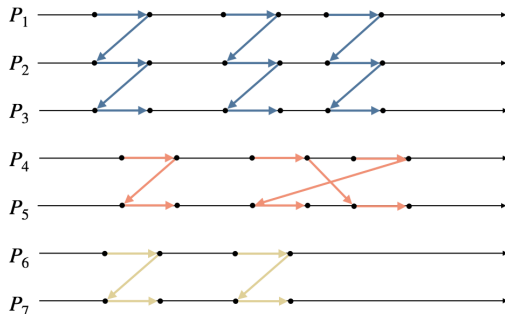
3. Consider (only) the bundles conforming the guess.



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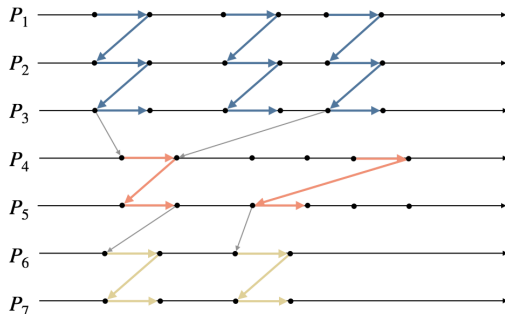


4. A bundle *crossed* by another bundle cannot be violated.

# ALGORITHM FOR $\ell$ -CHAIN SAT

## WEIGHTED $\ell$ -CHAIN SAT

- Input: a directed graph  $G = (V, E)$  with  $s, t$ , a collection  $\mathcal{B}$  (bundles) of pairwise disjoint path of length at most  $b$  with weights  $\omega : \mathcal{B} \rightarrow \mathbb{Z}_+$ , integers  $k$  and  $W$ .
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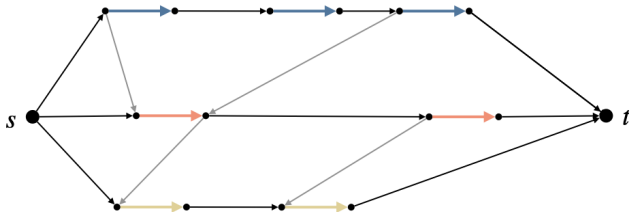


5. Bundles are *linearly ordered*.

# ALGORITHM FOR $\ell$ -CHAIN SAT

## WEIGHTED $\ell$ -CHAIN SAT

- Input: a directed graph  $G = (V, E)$  with  $s, t$ , a collection  $\mathcal{B}$  (bundles) of pairwise disjoint path of length at most  $b$  with weights  $\omega : \mathcal{B} \rightarrow \mathbb{Z}_+$ , integers  $k$  and  $W$ .
- Task: find a minimal  $st$ -cut  $Z \subseteq \bigcup \mathcal{B}$  violating at most  $k$  bundles of weight at most  $W$ .



6. *Compress* the bundles, obtain (Weighted)  $st$ -MINCUT instance.

## CONCLUDING REMARKS

- $2K_2$ -freeness allows one to use a similar argument in the more general cases.
- The presence of  $2K_2$  leads to a reduction in the spirit of the previous one ( $k$ -CLIQUE to MIN SAT( $\{=, 0, 1, \text{DOUBLE EQUALITY}\}$ )).
- *Flow-augmentation* looks like the missing tool in directed graph separation problems.
- It closed some dichotomies and long-standing open problems.
- Key open problem **Argh...it's closed recently by George Osipov and Marcin Pilipczuk:** SYMMETRIC MULTICUT.  
Directed graph  $G$ , unordered pairs of terminals  $\mathcal{T}$ , integer  $k$ . Delete  $k$  edges so that for every  $st \in \mathcal{T}$ ,  $s$  and  $t$  are not in the same strong component.