

Covering Problems for Partial Words and for Indeterminate Strings

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ISAAC 2014

Jeonju, South Korea; December 15, 2014

Partial words

b b \diamond a b b \diamond a b b \diamond b a b b b \diamond \diamond

- \diamond — *don't care symbol (hole)*
- $\diamond \approx c$ for every $c \in \Sigma \cup \{\diamond\}$

Partial words

b b \diamond a \diamond
 \approx
b b \diamond a b b \diamond a b b \diamond b a b b b \diamond \diamond

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Indeterminate strings

a b c d a c a b c a b a c a b a b c
c b d c c d c d

- Symbols — non-empty subsets of Σ

Indeterminate strings

a b c d a c a b c a b a c a b a b c
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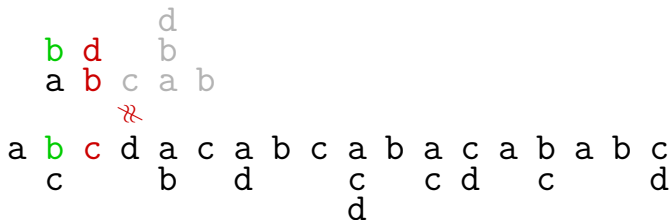
- Symbols — non-empty subsets of Σ
- Non-solid symbols — subsets of size at least 2

Indeterminate strings

b d d
a b c a b
 ⋈
a b c d a c a b c a b a c a b a b c
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- Symbols — non-empty subsets of Σ
- Non-solid symbols — subsets of size at least 2
- $A \approx B$ if $A \cap B \neq \emptyset$

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Covering a solid string

Definition (Apostolico, Farach, Iliopoulos; 1991)

A string S is a *cover* of a string T if each position in T lies within an occurrence of S .

a a b a a a a b a a b a a a b a a a b a a
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a a b a a
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- $S = \text{aabaa}$ is a cover.

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Diagram illustrating a string T (bottom row) and its cover S (top rows). The string T is `a a b a a a b a a b a a a b a a a b a a`. The cover S consists of occurrences of `a a b a` (shaded gray) and `a` (red) that together cover all positions in T .

- $S = \text{aaba}$ is not a cover.

Results for solid strings

Linear-time algorithms for natural problems:

1991; Apostolico et al. shortest cover

1992; Breslauer shortest cover (online)

1994; Moore & Smyth all covers

2002; Li & Smyth all covers (online)

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Numerous generalizations:

1992; Iliopoulos & Smyth k -covers

1993; Iliopoulos et al. seeds

2002; Sim et al. approximate covers

2012; Flouri et al. enhanced covers

2013; K. et al. partial covers

Covering partial words and indeterminate strings

Definition

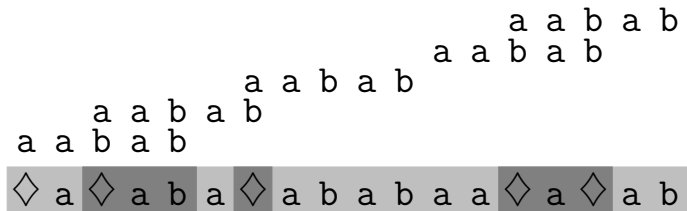
A *solid* string S is a cover of an *indeterminate* string T if each position in T lies within an occurrence of S .

Diagram illustrating a cover of an indeterminate string T by a solid string S . The indeterminate string T is shown as a sequence of characters with diamond symbols (\diamond) indicating positions where the string is indeterminate: $\diamond a \diamond a b a \diamond a b a b a a \diamond a \diamond a b$. The solid string S is shown as a sequence of characters: $a a b a b$. The diagram shows that every position in T is covered by at least one occurrence of S .

Covering partial words and indeterminate strings

Definition

A *solid* string S is a cover of an *indeterminate* string T if each position in T lies within an occurrence of S .



- $S = \mathbf{aabab}$ is a cover of T .

Results for partial words and indeterminate strings

Problem

Find a shortest cover of a given indeterminate string T .

n length of T

k number of non-solid symbols in T

σ alphabet size ($\sigma \leq n$)

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How to guess a cover? (in typical cases)

- Checking whether a solid string S is a cover is easy.
- We can afford verifying polynomially many candidates.

b b b a b b b b a b b b b a b
b b b a b b b b a b b b b a b
b b b a b b ◇ a b b b b a b b b ◇ ◇

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Attempts:

1. Guess $\ell = |S|$. Succeed if $T[1..\ell]$ is solid.

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2. Guess $\ell = |S|$ and $i \in Occ(S, T)$. Succeed if $T[i..i + \ell - 1]$ is solid.

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b b b a b b b b a b
b b \diamond a b b \diamond a b b \diamond b a b b b \diamond \diamond

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3. Guess $\ell = |S|$ and $i \in Occ(S, T)$. Succeed if $T[i..i + \ell - 1] \cap T[1..\ell]$ is solid.

Which covers could we miss?

a a b a b a a b a b
a a b a b a a b a b a a b a b
◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b

Which covers could we miss?

◇ a ◇ a b a a b a b
◇ a b a ◇ a a b a b a a b a b
◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b

Which covers could we miss?

a a b a b a a b a b
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◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b

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- If S was not found, then for each $i \in \text{Occ}(S, T)$ there exists j such that $T[1 + j] = T[i + j] = \diamond$.

Which covers could we miss?

◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b
◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b

- If S was not found, then for each $i \in Occ(S, T)$ there exists j such that $T[1 + j] = T[i + j] = \diamond$.
- Here *ambiguous* positions (\mathcal{A}) are: 1

Which covers could we miss?

◇ a ◇ a b a ◇ a b a b a a ◇ a ◇
◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b

- If S was not found, then for each $i \in Occ(S, T)$ there exists j such that $T[1 + j] = T[i + j] = \diamond$.
- Here *ambiguous* positions (\mathcal{A}) are: 1, 3

Which covers could we miss?

◇ a ◇ a b a ◇ a b a b a a ◇
◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b

- If S was not found, then for each $i \in \text{Occ}(S, T)$ there exists j such that $T[1 + j] = T[i + j] = \diamond$.
- Here *ambiguous* positions (\mathcal{A}) are: 1, 3, 5

Which covers could we miss?

◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b
◇ a ◇ a b a ◇ a b a ◇ a b a b a

- If S was not found, then for each $i \in Occ(S, T)$ there exists j such that $T[1 + j] = T[i + j] = \diamond$.
- Here *ambiguous* positions (\mathcal{A}) are: 1, 3, 5, 7

Which covers could we miss?

◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b
◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b

- If S was not found, then for each $i \in Occ(S, T)$ there exists j such that $T[1 + j] = T[i + j] = \diamond$.
- Here *ambiguous* positions (\mathcal{A}) are: 1, 3, 5, 7, 8

Which covers could we miss?

◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b
◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b

- If S was not found, then for each $i \in Occ(S, T)$ there exists j such that $T[1..j] = T[i..i+j-1] = \diamond$.
Moreover, $T[1..j] \approx T[i..i+j-1]$.
- Here *ambiguous* positions (\mathcal{A}) are: 1, 3, 5, 7, 8

Which covers could we miss?

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- Here *ambiguous* positions (\mathcal{A}) are: 1, 3, 5, 7, 8, 10

Which covers could we miss?

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◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b

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- Here *ambiguous* positions (\mathcal{A}) are: 1, 3, 5, 7, 8, 10, 12

Which covers could we miss?

◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b
◇ a ◇ a b

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- Here *ambiguous* positions (\mathcal{A}) are: 1, 3, 5, 7, 8, 10, 12, 14

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◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b
◇ a ◇

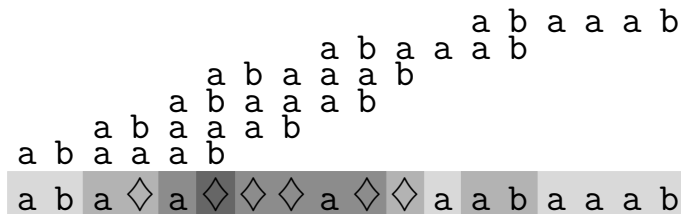
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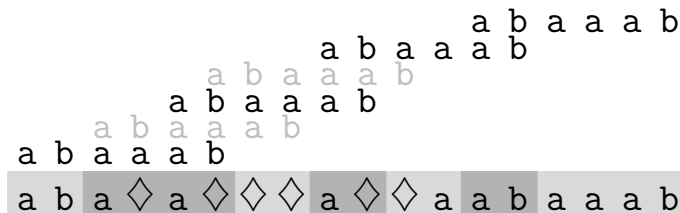
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Moreover, $T[1..j] \approx T[i..i+j-1]$.
- Here *ambiguous* positions (\mathcal{A}) are: 1, 3, 5, 7, 8, 10, 12, 14, and 16.
In general, there are at most $1 + \frac{k(k-1)}{2} = \mathcal{O}(k^2)$ ambiguous positions.

Covering set of a cover



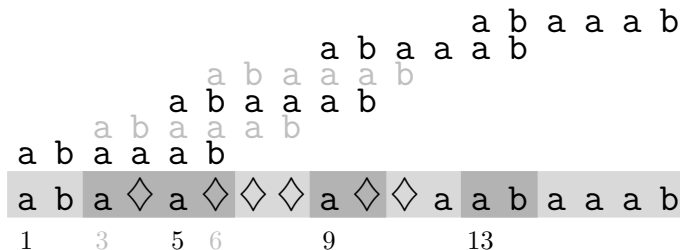
Covering set of a cover



Definition

A set $\mathcal{P} \subseteq \text{Occ}(S, T)$ is a *covering set* for S if every position in T is covered by at least one occurrence of S in \mathcal{P} .

Covering set of a cover

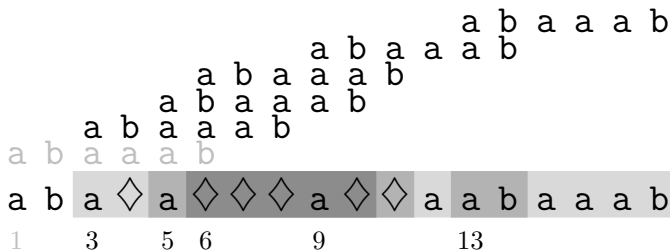


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When \mathcal{P} is a covering set?

Covering set of a cover



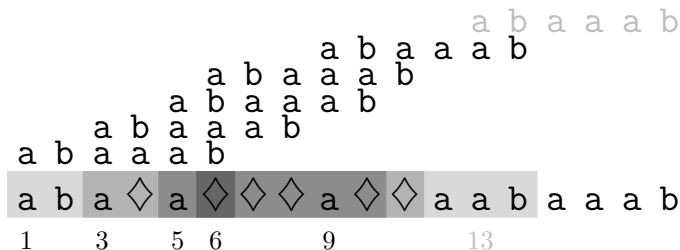
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When \mathcal{P} is a covering set?

- $\min \mathcal{P} = 1,$

Covering set of a cover



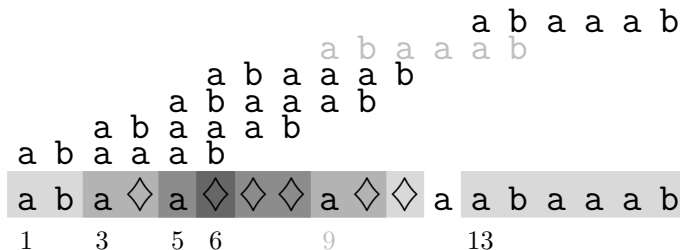
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- $\max \mathcal{P} = |T| - |S| + 1,$

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When \mathcal{P} is a covering set?

- $\min \mathcal{P} = 1$,
- $\max \mathcal{P} = |T| - |S| + 1$,
- $|q - p| \leq |S|$ for any two consecutive $p, q \in \mathcal{P}$.

How to check covering sets?

- We could miss covers S with $Occ(S, T) \subseteq \mathcal{A}$.
- We will find covers which admit a covering set $\mathcal{P} \subseteq \mathcal{A}$.
- There are $2^{\mathcal{O}(k^2)}$ possibilities for \mathcal{P} . How to check one?

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◇ a ◇ a b a ◇ a b a b a a ◇ a ◇ a b

i	ℓ	1	3	6	13	15
1						
3						
5						
7						
12						
14						
16						

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i	ℓ	1	3	6	13	15
1	18	◇	◇	◇	◇	◇
3						
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1	18	◇	◇	◇	◇	◇
3	10	◇	b	b	-	-
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$$|S| = 3 \quad 12 - 7 > 3 \quad \text{NO}$$

i	ℓ	1	3	6	13	15
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3	10	◇	b	b	-	-
5	8	b	◇	b	-	-
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i	ℓ	1	3	6	13	15
1	18	◇	◇	◇	◇	◇
3	10	◇	b	b	-	-
5	8	b	◇	b	-	-
7	8	◇	b	a	-	-
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$|S| = 7$ mismatch at position 6 **NO**

i	ℓ	1	3	6	13	15
1	18	◇	◇	◇	◇	◇
3	10	◇	b	b	-	-
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$$|S| = 5 \quad S[1] = a \quad S[3] = b \quad \text{YES}$$

i	ℓ	1	3	6	13	15
1	18	◇	◇	◇	◇	◇
3	10	◇	b	b	-	-
5	8	b	◇	b	-	-
7	8	◇	b	a	-	-
12	7	a	◇	b	-	-
14	5	◇	◇	-	-	-
16	3	◇	b	-	-	-

Do we need to verify all subsets?

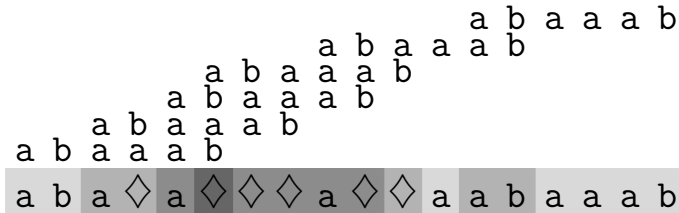
We could only miss covers S such that for each $i \in \text{Occ}(S, T)$ we have $T[1 + j] = T[i + j] = \diamond$ for some $j < |S|$.

- Every occurrence of $i \in \mathcal{P}$ covers a non-solid position.

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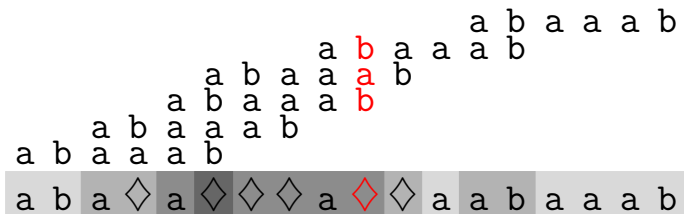
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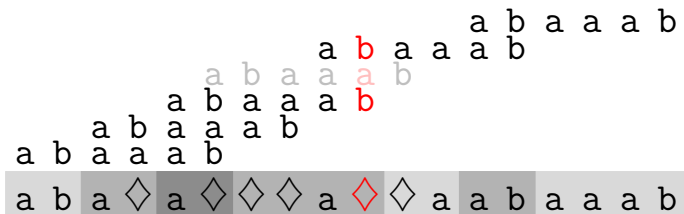
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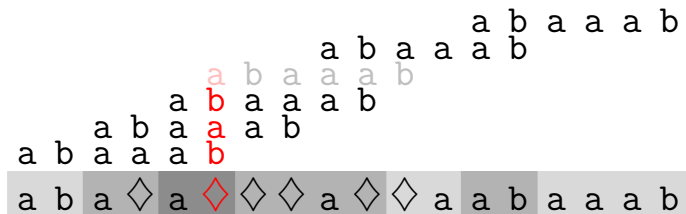
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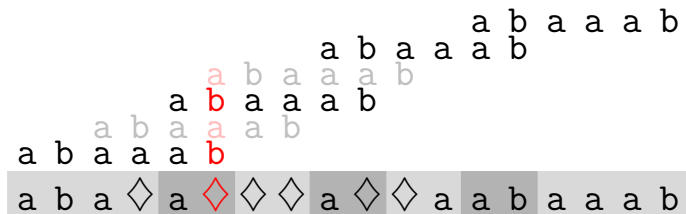
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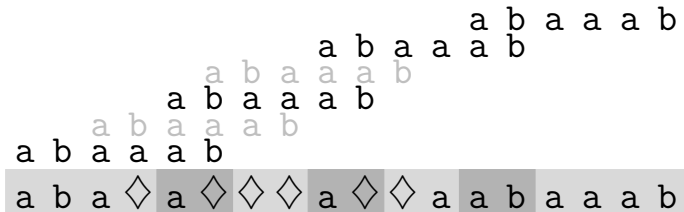
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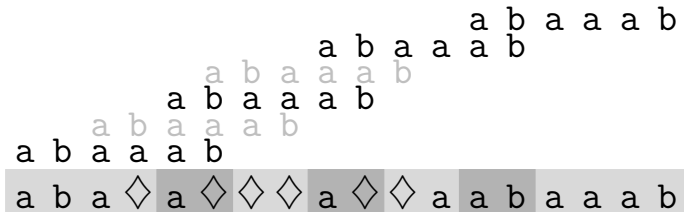


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- If \mathcal{P} is minimal, every position is covered by 1 or 2 occurrences $i \in \mathcal{P}$.
- It suffices to consider \mathcal{P} with $|\mathcal{P}| \leq 2k$,

$$\binom{|\mathcal{A}|}{\leq 2k} = \mathcal{O}(2^{2k \log |\mathcal{A}|}) = 2^{\mathcal{O}(k \log k)}.$$

How to fill few holes?

i	ℓ	1	3	6	13	15
1	18	◇	◇	◇	◇	◇
3	10	◇	b	b	-	-
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7	8	◇	b	a	-	-
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1. $\max \mathcal{P} = |T| - |S| + 1$ ($\mathcal{O}(|\mathcal{A}|)$ choices)

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In total:

- $\mathcal{O}(|\mathcal{A}|^{h+2}) = 2^{\mathcal{O}(h \log k)}$ for guessing,
- $\mathcal{O}(|\mathcal{A}|^{h+2}) = 2^{\mathcal{O}\left(\frac{k}{h} \log k\right)}$ for finding missed covers,
- $2^{\mathcal{O}(\sqrt{k} \log k)}$ for $h = \sqrt{k}$.

Conclusions and open problems

Our results:

- $\mathcal{O}(nk^4 + 2^{\mathcal{O}(k \log k)})$ -time algorithm,
- $\mathcal{O}(nk^4 + 2^{\mathcal{O}(\sqrt{k} \log k)})$ -time version for partial words,
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- Polynomial kernel?
 - For partial words, yes! (obtained through NP-hardness)

Thank you

Thank you for your attention!

Full version available at <http://arxiv.org/abs/1412.3696>.