

# Obstructions to bounded cutwidth

Jean-Florent Raymond

LIRMM and University of Warsaw

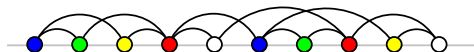
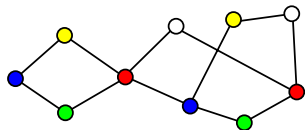
Thursday 13<sup>th</sup> April, 2017

Joint work with:

- Archontia Giannopoulou (TU Berlin);
- Michał Pilipczuk (University of Warsaw);
- Dimitrios M. Thilikos (LIRMM–CNRS); and
- Marcin Wrochna (University of Warsaw).

# Cutwidth

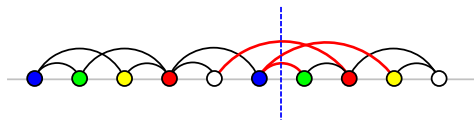
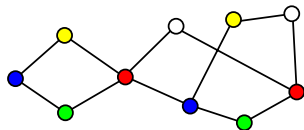
- *layout* of  $G$ : ordering of  $V(G)$ .



# Cutwidth

- *layout* of  $G$ : ordering of  $V(G)$ .
- the *width* of a layout  $\sigma$ :

$$\max_{i=1,2,\dots,n-1} \#(\text{edges with one endpoint} \leq i \text{ and second} > i).$$



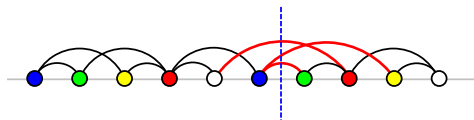
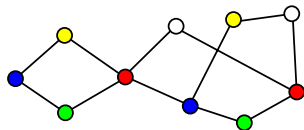
# Cutwidth

- *layout* of  $G$ : ordering of  $V(G)$ .
- the *width* of a layout  $\sigma$ :

$$\max_{i=1,2,\dots,n-1} \#(\text{edges with one endpoint} \leq i \text{ and second} > i).$$

- the *cutwidth* of  $G$ :

$$\text{ctw}(G) = \min \{ \text{width}(\sigma) : \sigma \text{ is a layout of } G \}.$$



# Cutwidth

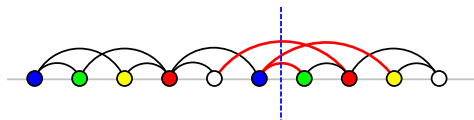
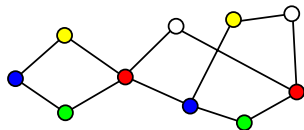
- *layout* of  $G$ : ordering of  $V(G)$ .
- the *width* of a layout  $\sigma$ :

$$\max_{i=1,2,\dots,n-1} \#(\text{edges with one endpoint} \leq i \text{ and second} > i).$$

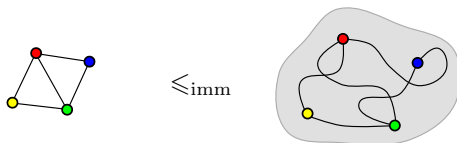
- the *cutwidth* of  $G$ :

$$\text{ctw}(G) = \min \{ \text{width}(\sigma) : \sigma \text{ is a layout of } G \}.$$

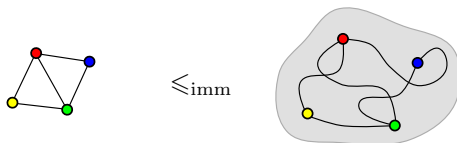
Class considered of this talk: graphs of *cutwidth*  $\leq k$ .



# Immersions



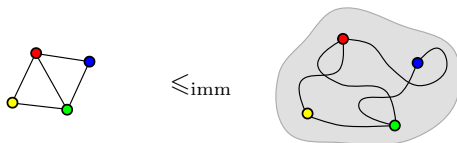
$\left\{ \begin{array}{l} \text{vertices} \\ \text{edges} \end{array} \right. \mapsto \begin{array}{l} \text{distinct vertices} \\ \text{edge-disjoint paths} \end{array}$



$\left\{ \begin{array}{l} \text{vertices} \\ \text{edges} \end{array} \right. \mapsto \begin{array}{l} \text{distinct vertices} \\ \text{edge-disjoint paths} \end{array}$

“Monotonicity” of cutwidth:

$$H \leq_{\text{imm}} G \Rightarrow \text{ctw}(H) \leq \text{ctw}(G).$$



$\left\{ \begin{array}{l} \text{vertices} \\ \text{edges} \end{array} \right. \mapsto \begin{array}{l} \text{distinct vertices} \\ \text{edge-disjoint paths} \end{array}$

“Monotonicity” of cutwidth:

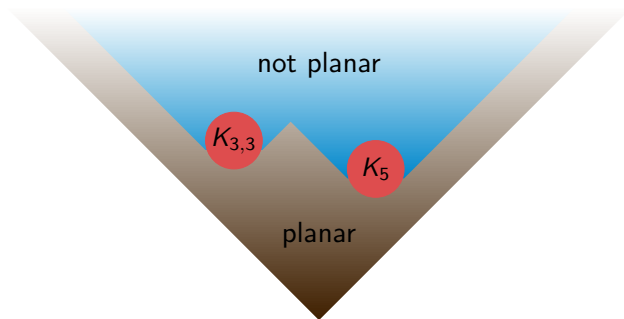
$$H \leq_{\text{imm}} G \Rightarrow \mathbf{ctw}(H) \leq \mathbf{ctw}(G).$$

$\{G, \mathbf{ctw}(G) \leq k\}$  is immersion-closed.



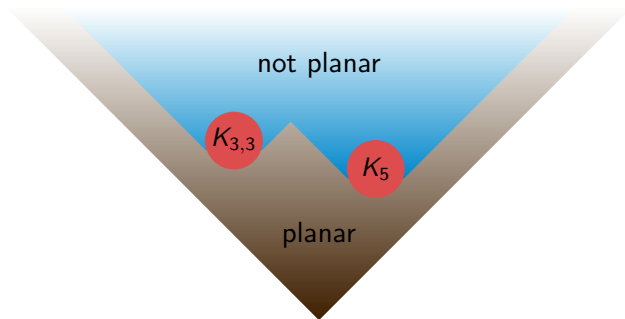
# Obstructions

$K_5$  and  $K_{3,3}$  are the *minor-obstructions* of planar graphs



# Obstructions

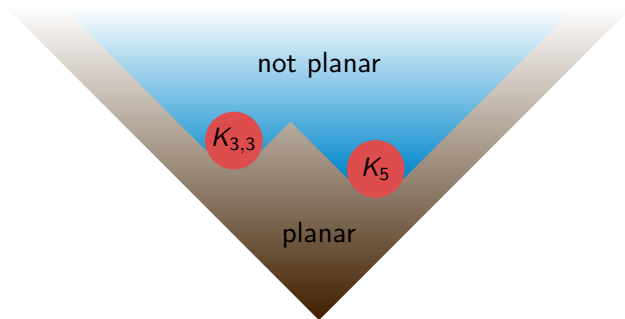
$K_5$  and  $K_{3,3}$  are the *minor-obstructions* of planar graphs



*obstruction* for a class = *minimal* element of its complementary

# Obstructions

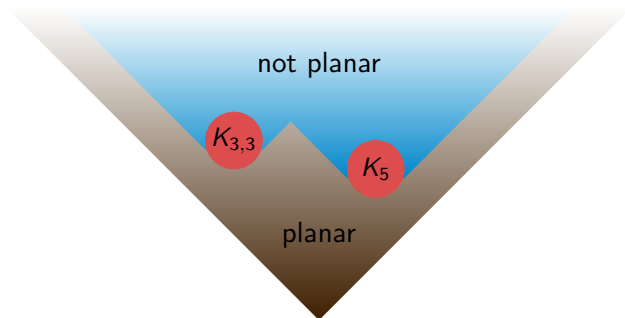
$K_5$  and  $K_{3,3}$  are the *minor-obstructions* of planar graphs



*obstruction* for a class = **minimal** element of its complementary  
(depends on the class and on the **order**)

# Obstructions

$K_5$  and  $K_{3,3}$  are the *minor-obstructions* of planar graphs



*obstruction* for a class = **minimal** element of its complementary  
(depends on the class and on the order)

The set of obstructions may be infinite!

# Obstructions for bounded cutwidth

What about obstructions of  $\{G, \text{ctw}(G) \leq k\}$ ?

Theorem (Robertson and Seymour, consequence of GM.XXII)

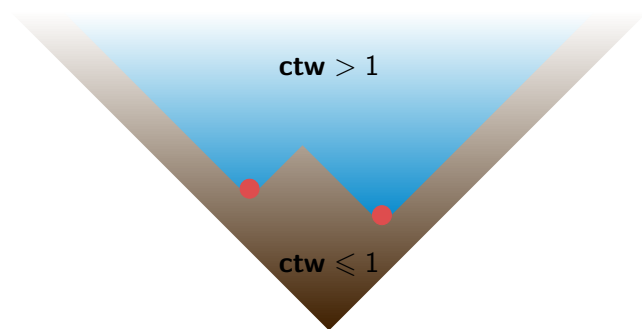
*For every  $k \in \mathbb{N}$ ,  $\{G, \text{ctw}(G) \leq k\}$ , has finitely many obstructions.*

# Obstructions for bounded cutwidth

What about obstructions of  $\{G, \text{ctw}(G) \leq k\}$ ?

Theorem (Robertson and Seymour, consequence of GM.XXII)

*For every  $k \in \mathbb{N}$ ,  $\{G, \text{ctw}(G) \leq k\}$ , has finitely many obstructions.*

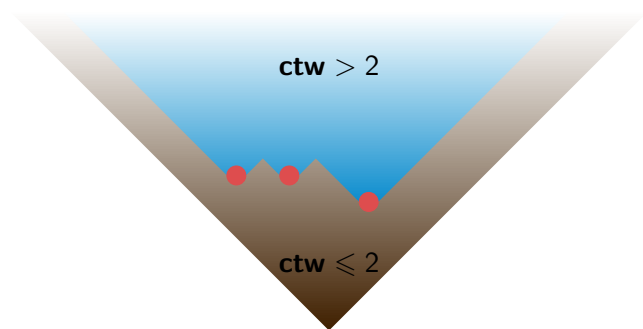


# Obstructions for bounded cutwidth

What about obstructions of  $\{G, \text{ctw}(G) \leq k\}$ ?

Theorem (Robertson and Seymour, consequence of GM.XXII)

*For every  $k \in \mathbb{N}$ ,  $\{G, \text{ctw}(G) \leq k\}$ , has finitely many obstructions.*

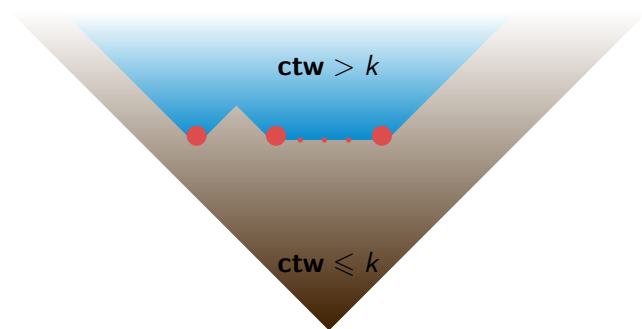


# Obstructions for bounded cutwidth

What about obstructions of  $\{G, \text{ctw}(G) \leq k\}$ ?

Theorem (Robertson and Seymour, consequence of GM.XXII)

*For every  $k \in \mathbb{N}$ ,  $\{G, \text{ctw}(G) \leq k\}$ , has finitely many obstructions.*



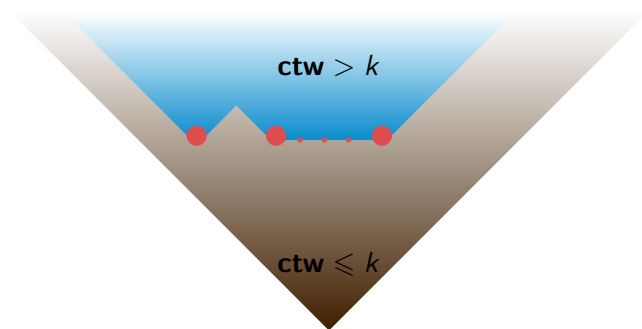


# Obstructions for bounded cutwidth

What about obstructions of  $\{G, \text{ctw}(G) \leq k\}$ ?

Theorem (Robertson and Seymour, consequence of GM.XXII)

*For every  $k \in \mathbb{N}$ ,  $\{G, \text{ctw}(G) \leq k\}$ , has finitely many obstructions.*



**How many?**

# Bounding the size of the obstructions

$s_k := \max$  size of an obstruction for **cutwidth**  $\leq k$

(immersion-min. graph with **ctw**  $> k$ )

# Bounding the size of the obstructions

$s_k := \max$  size of an obstruction for **cutwidth**  $\leq k$

(immersion-min. graph with **ctw**  $> k$ )

Govindan and Ramachandramurthi, 2001

$$\frac{1}{2}(3^{k-5} - 1) \leq s_k$$

# Bounding the size of the obstructions

$s_k := \max$  size of an obstruction for **cutwidth**  $\leq k$

(immersion-min. graph with **ctw**  $> k$ )

Govindan and Ramachandramurthi, 2001

$$\frac{1}{2}(3^{k-5} - 1) \leq s_k = 2^{O(k^3 \log k)}$$

Giannopoulou, Pilipczuk, R., Thilikos, Wrochna, 2016

# Bounding the size of the obstructions

$s_k := \max$  size of an obstruction for **cutwidth**  $\leq k$   
(immersion-min. graph with **ctw**  $> k$ )

Govindan and Ramachandramurthi, 2001

$$\frac{1}{2}(3^{k-5} - 1) \leq s_k = 2^{O(k^3 \log k)}$$

Giannopoulou, Pilipczuk, R., Thilikos, Wrochna, 2016

Results of Lagergren (1998):

- $G$  minor-obstruction for **pathwidth**  $\leq k \Rightarrow |G| = 2^{O(k^4)}$ ;
- $G$  minor-obstruction for **treewidth**  $\leq k \Rightarrow |G| = 2^{2^{O(k^5)}}$ .

# How to show that obstructions are small?

## General idea

If an obstruction is **too large**, some part of it is *redundant*.

# How to show that obstructions are small?

## General idea

If an obstruction is **too large**, some part of it is *redundant*.

We define an equivalence relation on boundaried subgraphs:

$$\text{[small blue rectangle]} \sim \text{[large blue rectangle]} \iff \forall \text{[grey rectangle]}, \text{ctw}(\text{[grey rectangle]} \cup \text{[small blue rectangle]}) = \text{ctw}(\text{[grey rectangle]} \cup \text{[large blue rectangle]})$$

# How to show that obstructions are small?

## General idea

If an obstruction is **too large**, some part of it is *redundant*.

We define an equivalence relation on boundaried subgraphs:

$$\text{[small blue box]} \sim \text{[large blue box]} \Leftrightarrow \forall \text{[grey box]}, \text{ctw}(\text{[grey box]} \text{ [small blue box]}) = \text{ctw}(\text{[grey box]} \text{ [large blue box]})$$

Let  $G$  be an obstruction of  $\{G, \text{ctw}(G) \leq k\}$ :



# How to show that obstructions are small?

## General idea

If an obstruction is **too large**, some part of it is *redundant*.

We define an equivalence relation on boundaried subgraphs:

$$\text{blue\_small} \sim \text{blue\_large} \iff \forall \text{grey\_small}, \text{ctw}(\text{grey\_small} \cup \text{blue\_small}) = \text{ctw}(\text{grey\_small} \cup \text{blue\_large})$$

Let  $G$  be an obstruction of  $\{G, \text{ctw}(G) \leq k\}$ :

- replace a subgraph with an equivalent one that is smaller;  
(this does not change the cutwidth)

# How to show that obstructions are small?

## General idea

If an obstruction is **too large**, some part of it is *redundant*.

We define an equivalence relation on boundaried subgraphs:

$$\text{blue\_blob} \sim \text{long\_blue\_blob} \iff \forall \text{grey\_blob}, \text{ctw}(\text{grey\_blob} \cup \text{blue\_blob}) = \text{ctw}(\text{grey\_blob} \cup \text{long\_blue\_blob})$$

Let  $G$  be an obstruction of  $\{G, \text{ctw}(G) \leq k\}$ :

- replace a subgraph with an equivalent one that is smaller;  
(this does not change the cutwidth)
- we prove that the obtained graph is an immersion of  $G$ ;

# How to show that obstructions are small?

## General idea

If an obstruction is **too large**, some part of it is *redundant*.

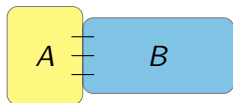
We define an equivalence relation on boundaried subgraphs:

$$\text{blue\_blob} \sim \text{long\_blue\_blob} \iff \forall \text{grey\_blob}, \text{ctw}(\text{grey\_blob} \cup \text{blue\_blob}) = \text{ctw}(\text{grey\_blob} \cup \text{long\_blue\_blob})$$

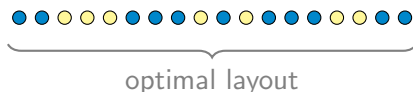
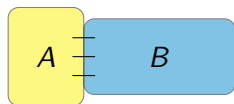
Let  $G$  be an obstruction of  $\{G, \text{ctw}(G) \leq k\}$ :

- replace a subgraph with an equivalent one that is smaller;  
(this does not change the cutwidth)
- we prove that the obtained graph is an immersion of  $G$ ;
- contradicts the minimality of  $G$ !

# Bounding the number of equivalence classes



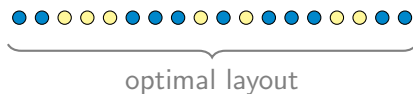
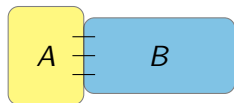
# Bounding the number of equivalence classes



## Key Lemma

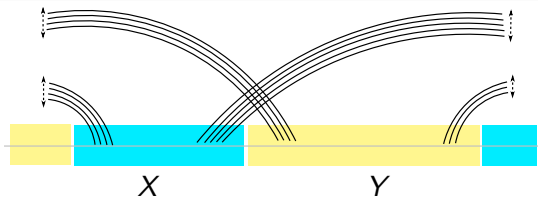
If  $\text{ctw}(G) \leq k$  and  $|E(A, B)| \leq \ell$ , then there exists an optimum-width layout of  $G$  with  $O(k\ell)$  blocks.

# Bounding the number of equivalence classes

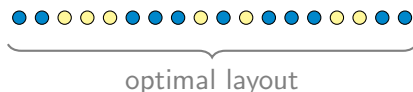
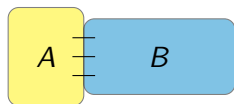


## Key Lemma

If  $\text{ctw}(G) \leq k$  and  $|E(A, B)| \leq \ell$ , then there exists an optimum-width layout of  $G$  with  $O(k\ell)$  blocks.



# Bounding the number of equivalence classes



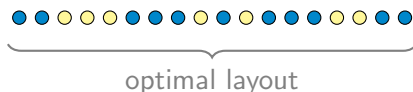
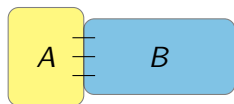
## Key Lemma

If  $\text{ctw}(G) \leq k$  and  $|E(A, B)| \leq \ell$ , then there exists an optimum-width layout of  $G$  with  $O(k\ell)$  blocks.



*Relevant* information about  $B$ :  $O(k\ell)$  numbers up to  $k$ .

# Bounding the number of equivalence classes



## Key Lemma

If  $\text{ctw}(G) \leq k$  and  $|E(A, B)| \leq \ell$ , then there exists an optimum-width layout of  $G$  with  $O(k\ell)$  blocks.



*Relevant* information about  $B$ :  $O(k\ell)$  numbers up to  $k$ .  
→ finite number of equivalence classes for fixed  $k, \ell$ .



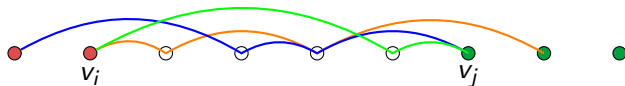
# Linked orderings

An ordering  $v_1 \dots, v_n$  of is *linked* if, for every  $i < j$  and every  $t$ ,

# Linked orderings

An ordering  $v_1 \dots, v_n$  of is *linked* if, for every  $i < j$  and every  $t$ ,

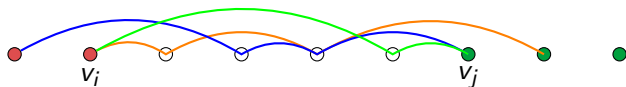
- either there are  $t$  edge-disj. paths from  $v_1, \dots, v_i$  to  $v_j, \dots, v_n$ ;



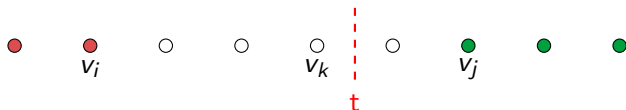
# Linked orderings

An ordering  $v_1 \dots, v_n$  of is *linked* if, for every  $i < j$  and every  $t$ ,

- either there are  $t$  edge-disj. paths from  $v_1, \dots, v_i$  to  $v_j, \dots, v_n$ ;



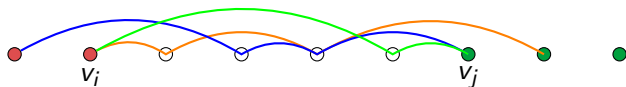
- or  $\exists k, i \leq k < j$  s.t.  $|E(\{v_1, \dots, v_k\}, \{v_{k+1}, \dots, v_n\})| < t$ .



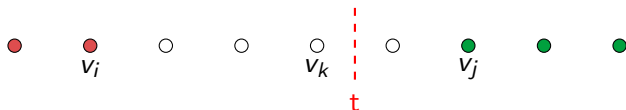
# Linked orderings

An ordering  $v_1 \dots, v_n$  of is *linked* if, for every  $i < j$  and every  $t$ ,

- either there are  $t$  edge-disj. paths from  $v_1, \dots, v_i$  to  $v_j, \dots, v_n$ ;



- or  $\exists k, i \leq k < j$  s.t.  $|E(\{v_1, \dots, v_k\}, \{v_{k+1}, \dots, v_n\})| < t$ .



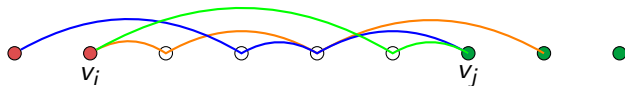
## Lemma

*Every graph has a linked ordering of optimal width.*

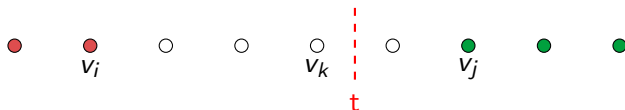
# Linked orderings

An ordering  $v_1 \dots, v_n$  of is *linked* if, for every  $i < j$  and every  $t$ ,

- either there are  $t$  edge-disj. paths from  $v_1, \dots, v_i$  to  $v_j, \dots, v_n$ ;



- or  $\exists k, i \leq k < j$  s.t.  $|E(\{v_1, \dots, v_k\}, \{v_{k+1}, \dots, v_n\})| < t$ .



## Lemma

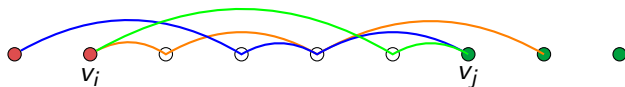
*Every graph has a linked ordering of optimal width.*

Proof: non-linked orderings can be *improved* without increasing the width.

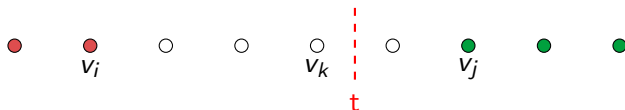
# Linked orderings

An ordering  $v_1 \dots, v_n$  of is *linked* if, for every  $i < j$  and every  $t$ ,

- either there are  $t$  edge-disj. paths from  $v_1, \dots, v_i$  to  $v_j, \dots, v_n$ ;



- or  $\exists k, i \leq k < j$  s.t.  $|E(\{v_1, \dots, v_k\}, \{v_{k+1}, \dots, v_n\})| < t$ .



## Lemma

*Every graph has a linked ordering of optimal width.*

Proof: non-linked orderings can be *improved* without increasing the width.

Similar notions: linked path decompositions, linked tree decompositions.

## Lemma

*If  $w$  is a word of length  $N^r$  over  $[r]$ , there is a  $p \in [r]$  s.t.:*

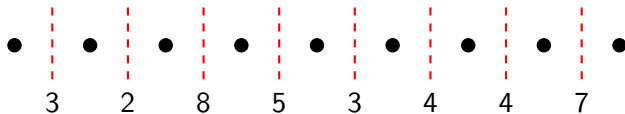
- *some subword  $u$  contains numbers  $\geq p$ ;*
- *$u$  contains  $p$  at least  $N$  times.*

# On words with repeating patterns

## Lemma

If  $w$  is a word of length  $N^r$  over  $[r]$ , there is a  $p \in [r]$  s.t.:

- some subword  $u$  contains numbers  $\geq p$ ;
- $u$  contains  $p$  at least  $N$  times.



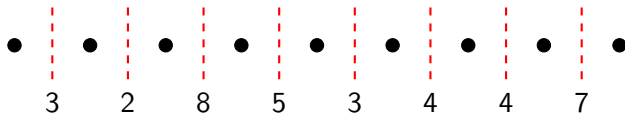


# On words with repeating patterns

## Lemma

If  $w$  is a word of length  $N^r$  over  $[r]$ , there is a  $p \in [r]$  s.t.:

- some subword  $u$  contains numbers  $\geq p$ ;
- $u$  contains  $p$  at least  $N$  times.



If  $|G| > N^r$ , some contiguous subsequence of  $v_1, \dots, v_n$  has cuts  $\geq p$  and  $\geq N$  cuts of size  $p$ .

# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k$

# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$

# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :



# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :

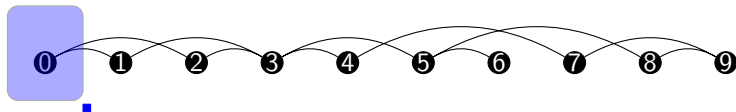


- assign a **type** to every prefix (“equivalence class for  $\text{ctw}$ ”)

# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :

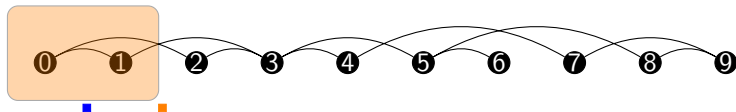


- assign a **type** to every prefix (“equivalence class for  $\text{ctw}$ ”)

# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :

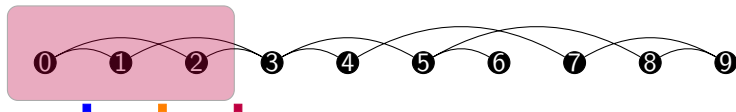


- assign a **type** to every prefix (“equivalence class for  $\text{ctw}$ ”)

# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :



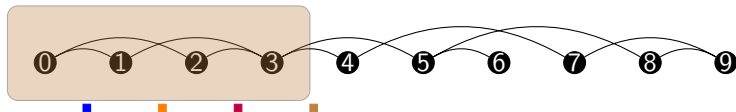
- assign a **type** to every prefix (“equivalence class for  $\text{ctw}$ ”)
- recall: there are **finitely many** different **types**



# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :

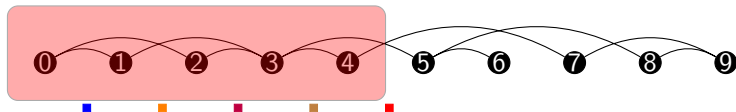


- assign a **type** to every prefix (“equivalence class for  $\text{ctw}$ ”)
- recall: there are **finitely many** different **types**

# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :

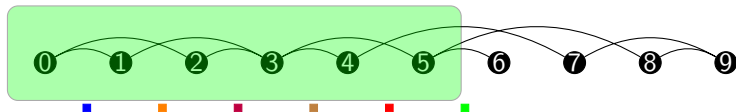


- assign a **type** to every prefix (“equivalence class for  $\text{ctw}$ ”)
- recall: there are **finitely many** different **types**

# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :

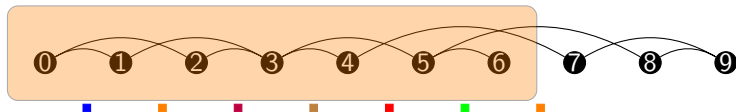


- assign a **type** to every prefix (“equivalence class for  $\text{ctw}$ ”)
- recall: there are **finitely many** different **types**
- if  $|G|$  is **large enough**, types will repeat

# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :

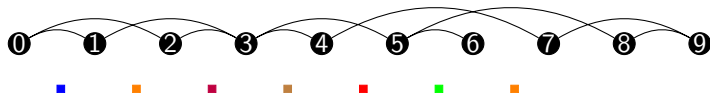


- assign a **type** to every prefix (“equivalence class for  $\text{ctw}$ ”)
- recall: there are **finitely many** different **types**
- if  $|G|$  is **large enough**, types will repeat

# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :



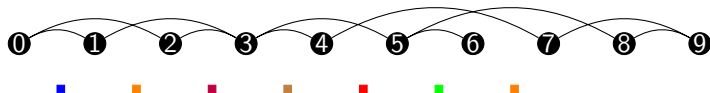
- assign a **type** to every prefix (“equivalence class for  $\text{ctw}$ ”)
- recall: there are **finitely many** different **types**
- if  $|G|$  is **large enough**, types will repeat
- shrink using edge-disjoint paths:



# Bounding the size of obstructions

Goal: show that obstructions for  $\text{ctw} \leq k$  are small.

- $G$  obstruction for  $\text{ctw} \leq k \Rightarrow \text{ctw}(G) = k + 1$
- consider a linked optimal ordering of  $G$ :



- assign a **type** to every prefix (“equivalence class for  $\text{ctw}$ ”)
- recall: there are **finitely many** different **types**
- if  $|G|$  is **large enough**, types will repeat
- shrink using edge-disjoint paths:



- this immersion of  $G$  has cutwidth  $k + 1$ : contradiction.

**Problem:** deciding given  $(G, k)$  if  $\mathbf{ctw}(G) \leq k$ .

- non-uniform, non-constructive FPT (by the finiteness of obstructions);

**Problem:** deciding given  $(G, k)$  if  $\text{ctw}(G) \leq k$ .

- non-uniform, non-constructive FPT (by the finiteness of obstructions);
- constructive FPT algorithm with running time  $2^{O(k^2)} \cdot n$ .  
(Thilikos, Bodlaender, and Serna)



**Problem:** deciding given  $(G, k)$  if  $\text{ctw}(G) \leq k$ .

- non-uniform, non-constructive FPT (by the finiteness of obstructions);
- constructive FPT algorithm with running time  $2^{O(k^2)} \cdot n$ .  
(Thilikos, Bodlaender, and Serna)

Theorem (Giannopoulou, Pilipczuk, R., Thilikos, Wrochna, 2016)

*The cutwidth of a graph can be computed in time  $2^{O(k^2 \log k)} \cdot n$ .*

**Problem:** deciding given  $(G, k)$  if  $\text{ctw}(G) \leq k$ .

- non-uniform, non-constructive FPT (by the finiteness of obstructions);
- constructive FPT algorithm with running time  $2^{O(k^2)} \cdot n$ .  
(Thilikos, Bodlaender, and Serna)

Theorem (Giannopoulou, Pilipczuk, R., Thilikos, Wrochna, 2016)

*The cutwidth of a graph can be computed in time  $2^{O(k^2 \log k)} \cdot n$ .*

Ingredients:

- equivalence classes of subgraphs w.r.t. cutwidth;
- DP on graphs of bounded cutwidth;
- “edge-removal” lemma.

# Computing cutwidth

**Problem:** deciding given  $(G, k)$  if  $\text{ctw}(G) \leq k$ .

- non-uniform, non-constructive FPT (by the finiteness of obstructions);
- constructive FPT algorithm with running time  $2^{O(k^2)} \cdot n$ .  
(Thilikos, Bodlaender, and Serna)

Theorem (Giannopoulou, Pilipczuk, R., Thilikos, Wrochna, 2016)

*The cutwidth of a graph can be computed in time  $2^{O(k^2 \log k)} \cdot n$ .*

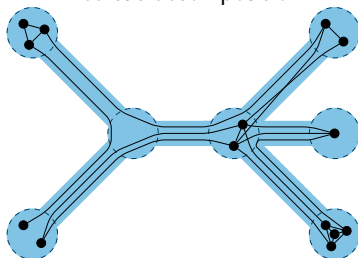
Ingredients:

- equivalence classes of subgraphs w.r.t. cutwidth;
- DP on graphs of bounded cutwidth;
- “edge-removal” lemma.

Slightly slower... but much easier!

# Extension to tree-like parameters

Tree-cut decomposition:



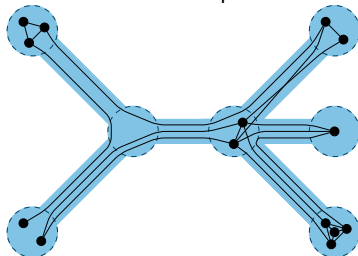
Associated parameter: **tcw**  
(tree-cut width)

Small **tcw** implies:

- *small* bags;
- *thin* edges;
- small number of *thick* neighbors.

# Extension to tree-like parameters

Tree-cut decomposition:



Associated parameter: **tcw**  
(tree-cut width)

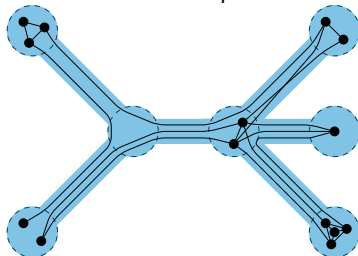
Small **tcw** implies:

- *small* bags;
- *thin* edges;
- small number of *thick* neighbors.

treewidth and minors  $\sim$  tree-cut width and immersions

# Extension to tree-like parameters

Tree-cut decomposition:



Associated parameter: **tcw**  
(tree-cut width)

Small **tcw** implies:

- *small* bags;
- *thin* edges;
- small number of *thick* neighbors.

treewidth and minors  $\sim$  tree-cut width and immersions

Hope for similar results in this context (work in progress).

Our contribution:

- a single exponential upper-bound on the size of the obstructions for  $\mathbf{ctw} \leq k$ ;
- a simpler FPT algorithm for cutwidth.

Our contribution:

- a single exponential upper-bound on the size of the obstructions for  $\mathbf{ctw} \leq k$ ;
- a simpler FPT algorithm for cutwidth.

**Thank you!**