

# Local criterion for flatness.

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**Definition 1.** Let  $A$  be a ring with an ideal  $\mathfrak{a}$  and  $M$  be an  $A$ -module. We say that  $M$  is  $\mathfrak{a}$ -adically separated if the intersection  $\bigcap_{k \geq 0} \mathfrak{a}^k M$  is equal to zero. We say that  $M$  is  $\mathfrak{a}$ -adically ideal-separated if for every ideal  $I$  of  $A$  the module  $I \otimes_A M$  is  $\mathfrak{a}$ -adically separated.

**Theorem 2.** Let  $(A, \mathfrak{m}) \rightarrow (B, \mathfrak{n})$  be a local homomorphism of noetherian local rings and let  $M$  be a finitely generated  $B$ -module. Let  $\mathfrak{a} \subset \mathfrak{m}$  be an ideal in  $A$ . Then  $M$  is  $\mathfrak{a}$ -adically ideal-separated.

*Proof.* Choose an ideal  $I \subset A$ , then  $I$  is finitely generated, so there is a surjection  $A^{\oplus r} \rightarrow I$  of  $A$ -modules. Tensoring with  $M$  over  $A$  we get a surjection  $M^{\oplus r} \rightarrow I \otimes_A M$  of  $B$ -modules. Therefore the  $B$ -module  $I \otimes_A M$  is finitely generated, hence is  $\mathfrak{a}$ -adically separated by Krull's Intersection theorem, e.g. [10.17, Atiyah-Macdonald].  $\square$

**Lemma 3.** Let  $B$  be ring and  $\mathfrak{b}$  be an ideal of  $B$  such that  $\mathfrak{b}^2 = 0$ . Let  $N$  be a  $B$ -module, such that  $N/\mathfrak{b}$  is flat over  $B/\mathfrak{b}$  and the multiplication map  $\mathfrak{b} \otimes_B N \rightarrow N$  is injective. Then  $N$  is flat over  $B$ .

*Proof.* Let  $I \subseteq B$  be an ideal and consider the following diagram

$$\begin{array}{ccccccc}
 (I \cap \mathfrak{b}) \otimes_B N & \longrightarrow & I \otimes_B N & \longrightarrow & I/(I \cap \mathfrak{b}) \otimes_B N & \longrightarrow & 0 \\
 \downarrow m_1 & & \downarrow m_2 & & \downarrow m_3 & & \\
 0 \longrightarrow & (I \cap \mathfrak{b})N & \longrightarrow & IN & \longrightarrow & IN/(I \cap \mathfrak{b})N & \longrightarrow 0
 \end{array}$$

The top row comes from tensoring  $0 \rightarrow I \cap \mathfrak{b} \rightarrow I \rightarrow I/(I \cap \mathfrak{b}) \rightarrow 0$  with  $N$  and the vertical arrows denote multiplication. Since  $I/(I \cap \mathfrak{b})$  is naturally an ideal of  $B/\mathfrak{b}$  and  $N/\mathfrak{b}$  is flat over  $B/\mathfrak{b}$ , the homomorphism  $m_3$  is injective.

Since  $\mathfrak{b}^2 = 0$ , the  $B$ -modules  $I \cap \mathfrak{b}$  and  $\mathfrak{b}$  are in fact  $B/\mathfrak{b}$ -modules. Since  $N/\mathfrak{b}$  is flat over  $B/\mathfrak{b}$ , the morphism  $i : (I \cap \mathfrak{b}) \otimes_B N \rightarrow \mathfrak{b} \otimes_B N$  is injective. Also  $m' : \mathfrak{b} \otimes_B N \rightarrow N$  is injective by assumption. Therefore,  $m_1 = m' \circ i$  is injective and finally  $m_2$  is injective by the Snake Lemma. Thus  $I \otimes_B N \rightarrow N$  is an injection for every ideal  $I$ , so that  $N$  is flat over  $B$ .  $\square$

**Theorem 4** (Local criterion for flatness). Let  $A$  be a ring with an ideal  $\mathfrak{a}$  and  $M$  be an  $A$ -module. Consider the conditions

1.  $M$  is flat over  $A$ .
2.  $M/\mathfrak{a}$  is flat over  $A/\mathfrak{a}$  and the natural multiplication homomorphism  $\mathfrak{a} \otimes_A M \rightarrow M$  is injective.
3.  $M/\mathfrak{a}$  is flat over  $A/\mathfrak{a}$  and  $\mathrm{Tor}_1^A(M, A/\mathfrak{a}) = 0$ .
4.  $M/\mathfrak{a}$  is flat over  $A/\mathfrak{a}$  and  $\mathrm{Tor}_1^A(M, N) = 0$  for every  $A/\mathfrak{a}$ -module  $N$ .
5. for every  $k \geq 1$  the module  $M/\mathfrak{a}^k$  is flat over  $A/\mathfrak{a}^k$ .

Then  $1 \implies 2 \implies 3 \implies 4 \implies 5$ . Moreover, if  $M$  is  $\mathfrak{a}$ -adically ideal separated, then all these conditions are equivalent.

*Proof.*  $1 \implies 2$ . This implication follows from the fact that flatness is preserved by base change.

$2 \implies 3$ . From the short exact sequence  $0 \rightarrow \mathfrak{a} \rightarrow A \rightarrow A/\mathfrak{a} \rightarrow 0$  we get an exact sequence  $0 = \mathrm{Tor}_1^A(A, M) \rightarrow \mathrm{Tor}_1^A(A/\mathfrak{a}, M) \rightarrow \mathfrak{a} \otimes_A M \rightarrow M \rightarrow M/\mathfrak{a} \rightarrow 0$ . By assumption, the homomorphism  $\mathfrak{a} \otimes_A M \rightarrow M$  is injective, so that  $\mathrm{Tor}_1^A(A/\mathfrak{a}, M) = 0$ .

$3 \implies 4$ . Let  $N$  be an  $A/\mathfrak{a}$ -module and  $F$  be a free  $A/\mathfrak{a}$ -module with an epimorphism onto  $N$ , so that we get a sequence  $0 \rightarrow K \rightarrow F \rightarrow N \rightarrow 0$ . Then we obtain a sequence

$$\mathrm{Tor}_1^A(F, M) \rightarrow \mathrm{Tor}_1^A(N, M) \rightarrow K \otimes_A M \rightarrow F \otimes_A M$$

By assumption  $\mathrm{Tor}_1^A(F, M) = \mathrm{Tor}_1^A(\bigoplus A/\mathfrak{a}, M) = \bigoplus \mathrm{Tor}_1^A(A/\mathfrak{a}, M) = 0$ . Since  $M/\mathfrak{a}$  is a flat  $A/\mathfrak{a}$ -module and  $K, F$  are  $A/\mathfrak{a}$ -modules, the map  $K \otimes_A M \rightarrow F \otimes_A M$  is injective. Thus  $\mathrm{Tor}_1^A(N, M) = 0$ .

$4 \implies 5$ . For any  $k \geq 0$  let  $A_k := A/\mathfrak{a}^k$  and  $M_k := M/\mathfrak{a}^k$ . From the short exact sequence  $0 \rightarrow \mathfrak{a}^{k-1}/\mathfrak{a}^k \rightarrow A_k \rightarrow A_{k-1} \rightarrow 0$  we get an exact sequence

$$\mathrm{Tor}_1^A(\mathfrak{a}^{k-1}/\mathfrak{a}^k, M) \rightarrow \mathrm{Tor}_1^A(A_k, M) \xrightarrow{d_k} \mathrm{Tor}_1^A(A_{k-1}, M).$$

Now  $\mathrm{Tor}_1^A(\mathfrak{a}^k/\mathfrak{a}^{k+1}, M) = 0$  by assumption, so that  $d_k$  is injective for every  $k \geq 1$ . Since  $\mathrm{Tor}_1^A(A_1, M) = 0$ , we get that for every  $k \geq 1$  the module  $\mathrm{Tor}_1^A(A_k, M)$  is zero.

Now we proceed the proof by induction on  $k$ . The base case  $k = 1$  follows from assumptions. Suppose that  $M_k$  is flat over  $A_k$ . The homomorphism

$$m : \mathfrak{a}^k/\mathfrak{a}^{k+1} \otimes_A M \rightarrow M/\mathfrak{a}^{k+1}M$$

has kernel  $\mathrm{Tor}_1^A(A_k, M) = 0$ , thus is injective. Therefore,  $M_{k+1}$  is flat over  $A_{k+1}$  by Lemma 3 applied to  $B := A_{k+1}$ ,  $\mathfrak{b} := \mathfrak{a}^k/\mathfrak{a}^{k+1}$  and  $N := M_{k+1}$ .

$5 \implies 1$ . Let  $I \subseteq A$  be an ideal. Since  $M$  is  $\mathfrak{a}$ -adically separated, the morphism  $p : I \otimes_A M \rightarrow \prod_k I \otimes_A M_k$  is injective. Since  $M_k$  is flat over  $A_k$ , the homomorphism  $I \otimes M_k \rightarrow M_k$  is injective for every  $k$ , thus  $m : \prod_k I \otimes_A M_k \rightarrow \prod_k M_k$  is also injective, so that  $m \circ p : I \otimes_A M \rightarrow \prod_k M_k$  is injective. This homomorphism factors through  $I \otimes_A M \rightarrow M$ , so  $I \otimes_A M \rightarrow M$  is injective. Since  $I$  is arbitrary,  $M$  is flat over  $A$ .  $\square$

**Corollary 5.** *Let  $(A, \mathfrak{m}) \rightarrow (B, \mathfrak{n})$  be a local homomorphism of noetherian local rings and let  $M$  be a finitely generated  $B$ -module. Let  $\mathfrak{a} \subset \mathfrak{m}$  be an ideal in  $A$ . Then the conditions of Theorem 4 are equivalent.*

*Proof.* Directly from Theorem 2.  $\square$