

# Computer aided verification

## Lecture 3: $\omega$ -automata

# What are $\omega$ -automata useful for?

## Example:



**LTL  $\subseteq$   $\omega$ -automata**

# I. $\omega$ -automata

**Def.:**  $\omega$ -automaton (**Büchi automaton**)  $\mathcal{A} = \langle \Sigma, S, S_{\text{init}}, \sigma, F \rangle$

- $S_{\text{init}} \subseteq S$  nonempty subset of initial states
- $\sigma \subseteq S \times \Sigma \times S$  transition relation
- $F \subseteq S$  nonempty subset of accepting states

$\mathcal{A}$  is **deterministic** when  $|S_{\text{init}}| = 1$  i  $\forall s, a. |\sigma(s, a)| \leq 1$ .

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$\omega$ -words:  $w = a_0 a_1 a_2 \dots$

**Def.:** For  $w = a_0 a_1 a_2 \dots$ , **a run** of an automaton  $\mathcal{A}$  is  $r = s_0 s_1 s_2 \dots$  such that  $\forall i. (s_i, a_i, s_{i+1}) \in \sigma$ .

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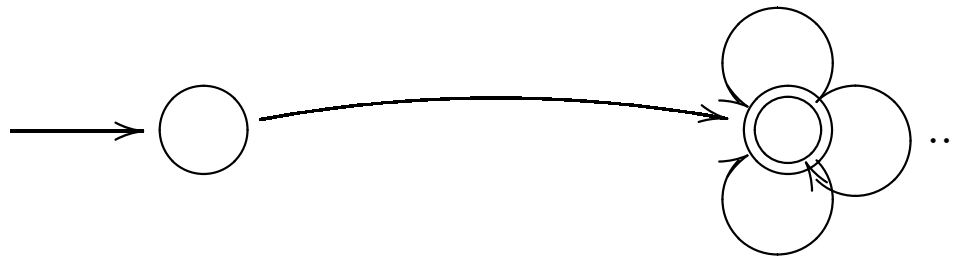
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**Def.:** A language is  $L \subseteq \Sigma^\omega$  is  **$\omega$ -regular** if  $L = L_\omega(\mathcal{A})$  for some  $\mathcal{A}$ .

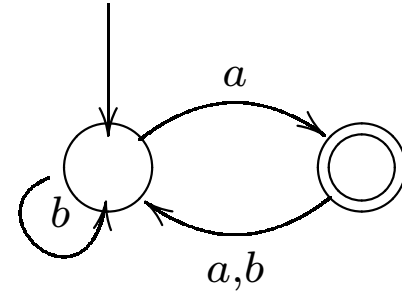
An **accepting** run looks like:



$$\Sigma = \{a, b\}$$

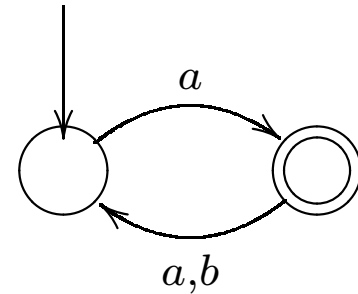
infinitely often  $a$

$$(b^* a)^\omega$$



odd(a)

$$(a (a + b))^\omega$$



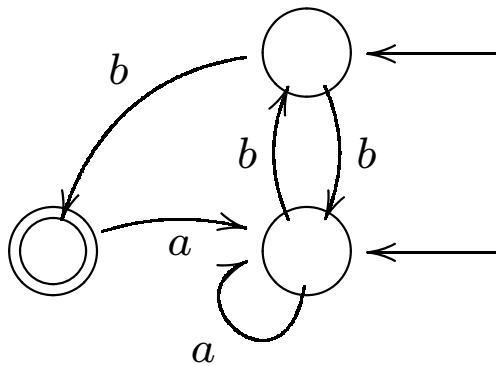
**Corollary:**  $LTL \subsetneq \omega\text{-automata}$

- infinitely often  $a$  and  $b$
- between any two consecutive  $a$ 's  
even number of  $b$ 's

$$b^* (aa^* bb(bb)^*)^\omega$$

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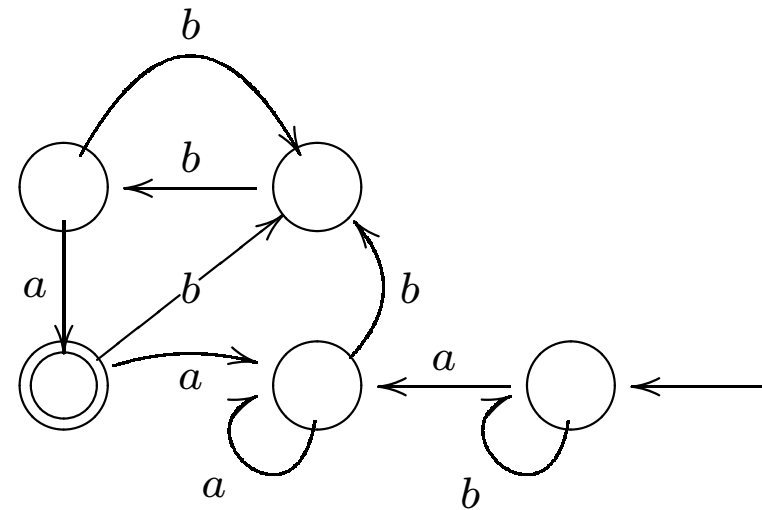
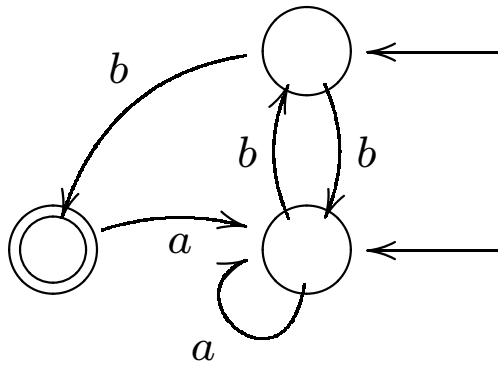
$$b^* (aa^* bb(bb)^*)^\omega$$



and what about de-  
terministic ?

- infinitely often  $a$  and  $b$
- between any two consecutive  $a$ 's even number of  $b$ 's

$$b^* (aa^* bb(bb)^*)^\omega$$



$$\Sigma = \{a, b\}$$

finitely often  $a$

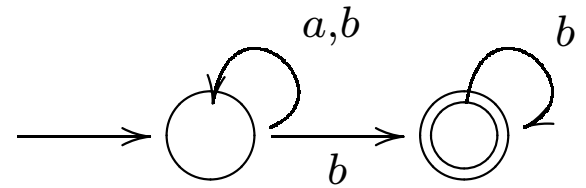
$$(b + a)^* b^\omega$$



$$\Sigma = \{a, b\}$$

finitely often  $a$

$$(b + a)^* b^\omega$$



and what about deterministic ?

**Tw:**  $\omega$ -regular languages are closed under  $\cup$ ,  $\cap$  and complementation.

$\vee, \wedge, \bar{\phantom{x}}, \neg$

$\mathcal{A}_1, \mathcal{A}_2 \mapsto \mathcal{A}$

$$(1) L_\omega(\mathcal{A}) = L_\omega(\mathcal{A}_1) \cup L_\omega(\mathcal{A}_2)$$

$$(2) L_\omega(\mathcal{A}) = L_\omega(\mathcal{A}_1) \cap L_\omega(\mathcal{A}_2)$$

$\mathcal{A} \mapsto \bar{\mathcal{A}}$

$$(3) L_\omega(\bar{\mathcal{A}}) = \Sigma^\omega \setminus L_\omega(\mathcal{A})$$

$$(2) \mathcal{A}_1, \mathcal{A}_2 \mapsto \mathcal{A}$$

$$L_\omega(\mathcal{A}) = L_\omega(\mathcal{A}_1) \cap L_\omega(\mathcal{A}_2)$$

?

$$(2) \mathcal{A}_1, \mathcal{A}_2 \mapsto \mathcal{A}$$

$$L_\omega(\mathcal{A}) = L_\omega(\mathcal{A}_1) \cap L_\omega(\mathcal{A}_2)$$

$$S = S_1 \times S_2 \times \{1, 2\}$$

$$S_{\text{init}} = S_{1,\text{init}} \times S_{2,\text{init}} \times \{1\}$$

$$F = F_1 \times S_2 \times \{1\}$$

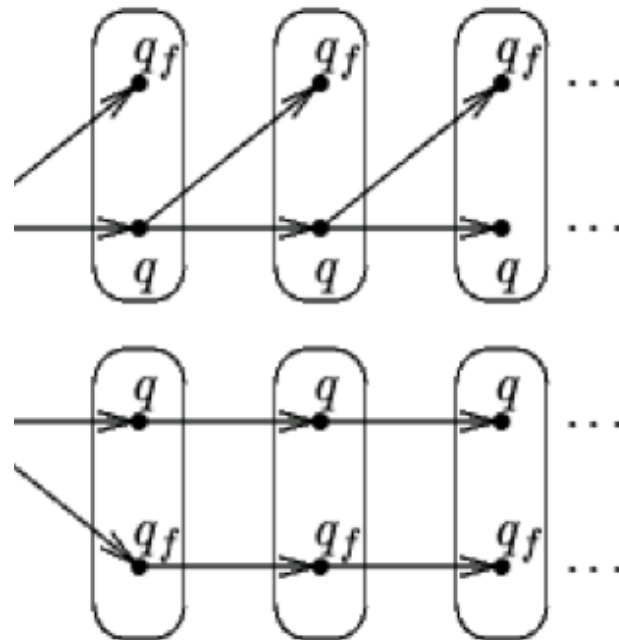
$$((s, t, i), a, (s', t', j)) \in \sigma \iff (s, a, s') \in \sigma_1, (t, a, t') \in \sigma_2,$$

$$j = \begin{cases} 2 & \text{if } i = 1, s \in F_1 \\ 1 & \text{if } i = 2, t \in F_2 \\ i & \text{otherwise} \end{cases}$$

(3)  $\mathcal{A} \mapsto \bar{\mathcal{A}}$

$$L_\omega(\bar{\mathcal{A}}) = \Sigma^\omega \setminus L_\omega(\mathcal{A})$$

– no determinization!



**Thm:**

$(a + b)^*b^\omega$  is not accepted by a deterministic automaton.

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**Dowód:** Assume  $L_\omega(\mathcal{A}) = (a + b)^*b^\omega$ ,  $\mathcal{A}$  deterministic.

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$w_0 = b^\omega$ . For some  $k_0$ ,  $\sigma(s_0, b^{k_0}) \in F$ .



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$w_1 = b^{k_0}ab^\omega$ . For some  $k_1$ ,  $\sigma(s_0, b^{k_0}ab^{k_1}) \in F$ .

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...

$\exists i < j$  such that  $\sigma(s_0, b^{k_0}ab^{k_1} \dots ab^{k_i}) = \sigma(s_0, b^{k_0}ab^{k_1} \dots ab^{k_j})$

## Thm:

$(a + b)^*b^\omega$  is not accepted by a deterministic automaton.

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...

$\exists i < j$  such that  $\sigma(s_0, b^{k_0}ab^{k_1} \dots ab^{k_i}) = \sigma(s_0, b^{k_0}ab^{k_1} \dots ab^{k_j})$

Thus  $\mathcal{A}$  accepts  $b^{k_0}ab^{k_1} \dots ab^{k_i}(a \dots ab^{k_j})^\omega$

contradiction!

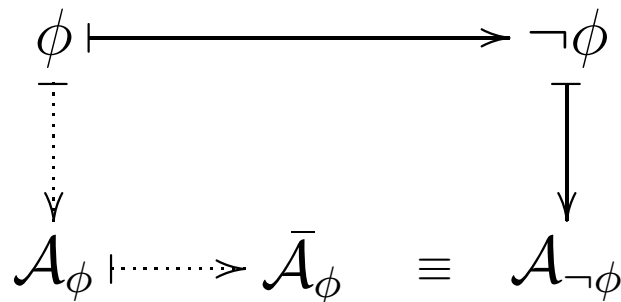
# Complementation (cont.)

$$(3) \mathcal{A} \mapsto \bar{\mathcal{A}}$$

$$L_\omega(\bar{\mathcal{A}}) = \Sigma^\omega \setminus L_\omega(\mathcal{A})$$

- no determinization
- a complex construction
- $|\bar{\mathcal{A}}| = 2^{\mathcal{O}(n \cdot \log n)}$ , where  $n = |\mathcal{A}|$

**Moral:** Better to avoid complementation



## Question:

How complementation is done if  $\mathcal{A}$  is **deterministic**?

$$\mathcal{A} \longmapsto \bar{\mathcal{A}}$$

$$F \longmapsto \bar{F} = Q \setminus F$$

$$L_\omega(\bar{\mathcal{A}}) = \Sigma^\omega \setminus L_\omega(\mathcal{A}) ?$$

## Question:

How complementation is done if  $\mathcal{A}$  is **deterministic**?

$$\mathcal{A} \dashrightarrow \bar{\mathcal{A}}$$

$$F \dashrightarrow \bar{F} = Q \setminus F$$

$$L_\omega(\bar{\mathcal{A}}) = \Sigma^\omega \setminus L_\omega(\mathcal{A}) ? \quad \mathbf{NO!}$$

**co-Büchi:** a run  $r = s_0 s_1 s_2 \dots$  is **accepting** when  $s_i \in \bar{F}$  for **almost all**  $i$  ( $\text{inf}(r) \subseteq \bar{F}$ ).

problem for finite automata	problem for $\omega$ -automata	complexity	cost of algorithm
$L(A) \neq \emptyset$	$L_\omega(A) \neq \emptyset$	<b>NLOGSPACE</b>	$\mathcal{O}(n)$
$L(A) = \Sigma^*$	$L_\omega(A) = \Sigma^\omega$	<b>PSPACE</b>	$2^{\mathcal{O}(n \cdot \log n)}$
$L(A) \subseteq L(B)$	$L_\omega(A) \subseteq L_\omega(B)$	<b>PSPACE</b>	$2^{\mathcal{O}(n \cdot \log n)}$

Lasso



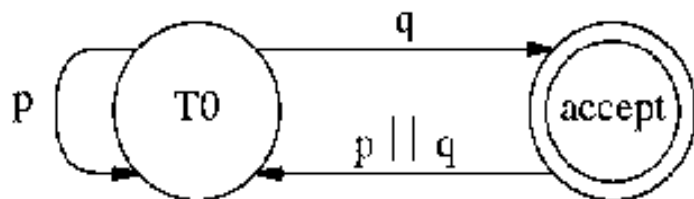
**Thm:**  $L_\omega(A) \neq \emptyset$  iff  $\mathcal{A}$  has a lasso.

## II. LTL $\mapsto$ BA

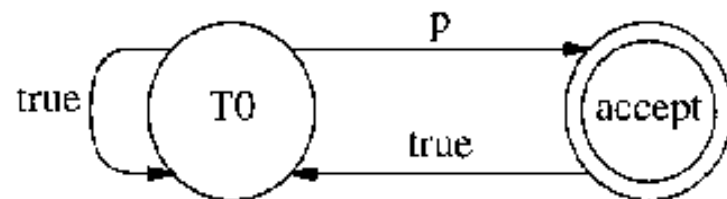


# SPIN – examples

```
$ spin -f "[ ](p U q)"
never {
T0:
    if
    :: (p) -> goto T0
    :: (q) -> goto accept
    fi;
accept:
    if
    :: ((p) || (q)) -> goto T0
    fi
}
```



```
$ spin -f "[ ]<>p"
never {
T0:
    if
    :: (true) -> goto T0
    :: (p) -> goto accept
    fi;
accept:
    if
    :: (true) -> goto T0
    fi
}
```



SPIN's doc

# Generalized $\omega$ -automata (GBA)

- $\{F_1, \dots, F_n\}$  instead of  $F$
- a run  $r$  is accepting when  $\forall i. \text{inf}(r) \cap F_i \neq \emptyset$

**Question:** Are generalized automata more expressive?

# Generalized $\omega$ -automata (GBA)

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**Question:** Are generalized automata more expressive?

$$\mathcal{A}_{F_1 \dots F_n} \mapsto \mathcal{A}_F$$

$$L_\omega(\mathcal{A}_{F_1 \dots F_n}) = L_\omega(\mathcal{A}_F) \subseteq L_\omega(\mathcal{A}_{F_1}) \cap \dots \cap L_\omega(\mathcal{A}_{F_n})$$

$$|\mathcal{A}_F| = \mathcal{O}(|\mathcal{A}_{F_1, \dots, F_n}| \cdot n)$$

- **SPIN:** LTL  $\mapsto$  GBA  $\mapsto$  BA
- **LTL2BA:** LTL  $\mapsto$  ABA  $\mapsto$  GBA'  $\mapsto$  BA
  
- On-the-fly verification

LTL<sup>+</sup> :

$$\phi := p \mid \neg p \mid \phi_1 \wedge \phi_2 \mid \phi_1 \vee \phi_2 \mid \mathbf{X} \phi \mid \phi_1 \mathbf{U} \phi_2 \mid \phi_1 \mathbf{R} \phi_2 \mid \\ \text{true} \mid \text{false}$$

**Intuition:**  $\phi \equiv \text{now}(\phi) \overset{\wedge}{\underset{\vee}{\rightleftharpoons}} \text{later}(\phi)$

$$\phi \mathbf{U} \psi \equiv \psi \vee (\phi \wedge \mathbf{X}(\phi \mathbf{U} \psi))$$

$$\phi \mathbf{R} \psi \equiv \psi \wedge (\phi \vee \mathbf{X}(\phi \mathbf{R} \psi))$$

(fixed points)

... do not think about tomorrow! :)

$\alpha \mapsto \text{today}(\alpha)$  – boolean formula over  $P \cup \bar{P} \cup \{X\phi : \phi \dots\}$

$$\bar{P} = \{\neg p : p \in P\}$$

$\text{today}(\alpha) = \alpha$ , gdy  $\alpha = p, \neg p, X\beta, \text{true}, \text{false}$

$\text{today}(\alpha \vee \beta) = \text{today}(\alpha) \vee \text{today}(\beta)$

$\text{today}(\alpha \wedge \beta) = \text{today}(\alpha) \wedge \text{today}(\beta)$

$\text{today}(\alpha \mathbf{U} \beta) = \text{today}(\beta) \vee (\text{today}(\alpha) \wedge X(\alpha \mathbf{U} \beta))$

$\text{today}(\alpha \mathbf{R} \beta) = \text{today}(\beta) \wedge (\text{today}(\alpha) \vee X(\alpha \mathbf{R} \beta))$

$$\alpha \mapsto \text{today}(\alpha) \mapsto \text{dnf}(\alpha) \subseteq \mathcal{P}(P \cup \bar{P} \cup \{X\phi : \phi \dots\})$$

$$\text{today}(\alpha) \equiv \bigvee_{X \in \text{dnf}(\alpha)} (\bigwedge X)$$

For example:

$$\begin{aligned} \text{dnf}(\alpha) &= \{\{\alpha\}\}, \quad \text{gdy } \alpha = p, \neg p, X\beta \\ \text{dnf}(\alpha \vee \beta) &= \text{dnf}(\alpha) \cup \text{dnf}(\beta) \\ \text{dnf}(\alpha \mathbf{U} \beta) &= \text{dnf}(\beta) \cup \text{dnf}(\alpha \wedge X(\alpha \mathbf{U} \beta)) \\ \text{dnf}(\text{true}) &= \{\emptyset\} && \bigwedge \emptyset \equiv \text{true} \\ \text{dnf}(\text{false}) &= \emptyset && \bigvee \emptyset \equiv \text{false} \end{aligned}$$

GBA  $\mathcal{A}_\phi = \langle \Sigma, S, S_{\text{pocz}}, \sigma, F \rangle$ :

$$- S = \mathcal{P}(P \cup \bar{P} \cup \{X\phi : \phi \dots\})$$

$$- \Sigma = \mathcal{P}(P)$$

$$- S_{\text{pocz}} = \text{dnf}(\phi)$$

$$- X \xrightarrow{A} Y \text{ iff}$$

$$- X \cap P \subseteq A$$

non-contradictory

$$- (X \cap \bar{P}) \cap A = \emptyset$$

X i A today

$$- Y \in \text{dnf}(\wedge \{\alpha \mid X\alpha \in X\})$$

possible tomorrow

$$- F = ?$$

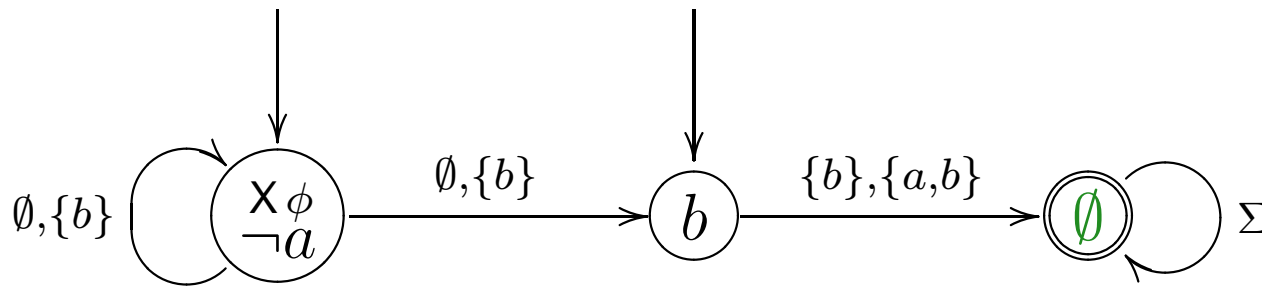


# LTL $\mapsto$ GBA (example 1)

$$\phi = \neg a \mathbf{U} b$$

$$S = \mathcal{P}(a, \neg a, b, \neg b, \mathbf{X}(\neg a \mathbf{U} b))$$

$$\Sigma = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$$



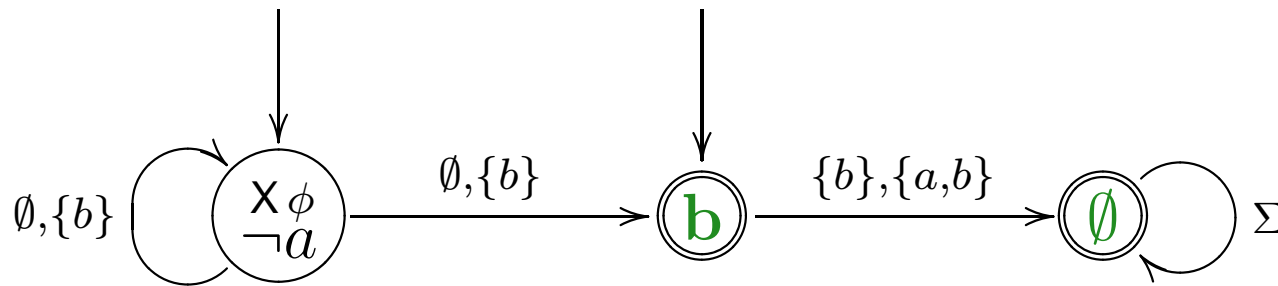
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$$S = \mathcal{P}(a, \neg a, b, \neg b, \mathbf{X}(\neg a \mathbf{U} b))$$

$$\Sigma = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$$



$$F = \{\{\emptyset, \{b\}\}\}$$

$$- F_i = \{A \mid \alpha_i \mathbf{U} \beta_i \notin A \vee \beta_i \in A\}, \quad i = 1, \dots, n$$

$$\{\alpha_i \mathbf{U} \beta_i \mid i = 1, \dots, n\} \subseteq \text{subformula}(\phi)$$

$$- F_i = \{X \in S \mid \alpha_i \mathbf{U} \beta_i \notin \text{cons}(X) \vee \beta_i \in \text{cons}(X)\}$$

$$X \subseteq \text{cons}(X)$$

$$\alpha \vee \beta \in \text{cons}(X) \quad \text{jeśli} \quad \alpha \in \text{cons}(X) \text{ lub } \beta \in \text{cons}(X)$$

$$\alpha \wedge \beta \in \text{cons}(X) \quad \text{jeśli} \quad \alpha \in \text{cons}(X) \text{ i } \beta \in \text{cons}(X)$$

$$\alpha \mathbf{U} \beta \in \text{cons}(X) \quad \text{jeśli} \quad \beta \vee (\alpha \wedge \mathbf{X}(\alpha \mathbf{U} \beta)) \in \text{cons}(X)$$

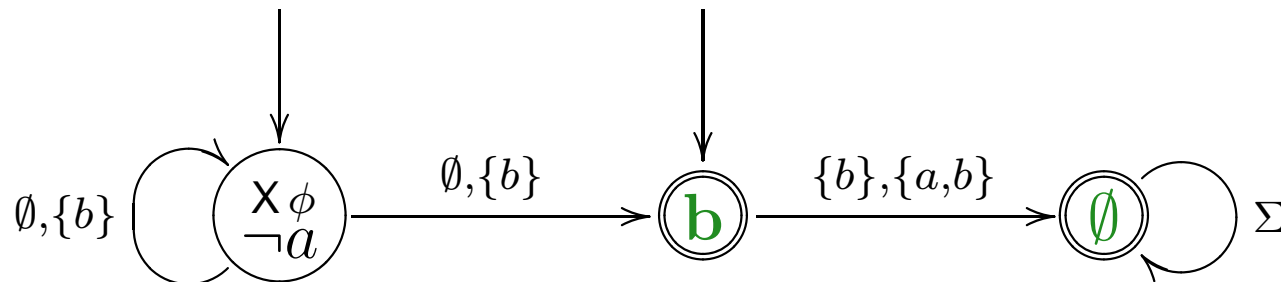
$$\alpha \mathbf{R} \beta \in \text{cons}(X) \quad \text{jeśli} \quad \beta \wedge (\alpha \vee \mathbf{X}(\alpha \mathbf{R} \beta)) \in \text{cons}(X)$$

# LTL $\mapsto$ GBA (example 1 cont.)

$$\phi = \neg a \mathbf{U} b$$

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$$\Sigma = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$$



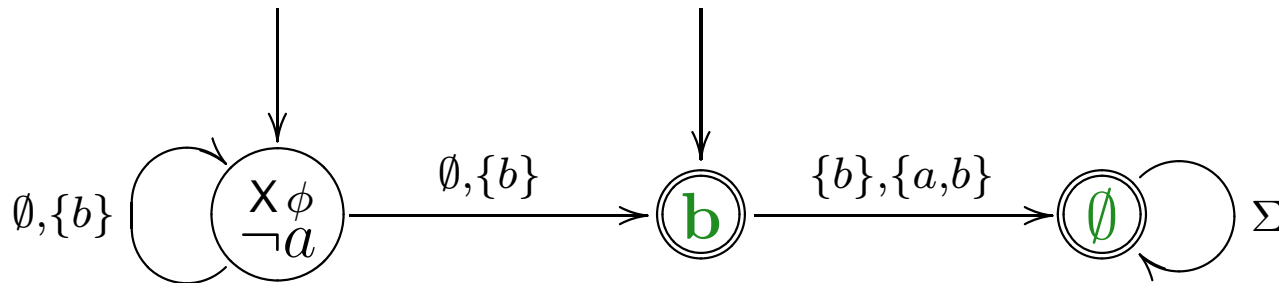
Can automaton  $\mathcal{A}_\phi$  be smaller?

# LTL $\mapsto$ GBA (example 1 cont.)

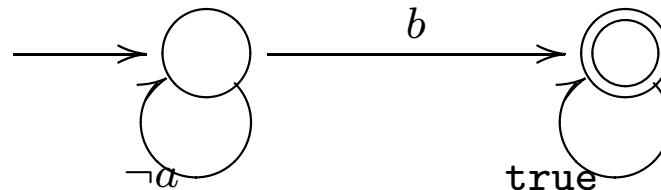
$$\phi = \neg a \mathbf{U} b$$

$$S = \mathcal{P}(a, \neg a, b, \neg b, X(\neg a \mathbf{U} b))$$

$$\Sigma = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$$



Can automaton  $\mathcal{A}_\phi$  be smaller? **YES!**



# LTL $\mapsto$ GBA (example 2)

$$\theta = \neg \mathbf{G} (q \implies \mathbf{F} r) \equiv \mathbf{F} (q \wedge \mathbf{G} \neg r)$$

$$\text{dnf}(\mathbf{F} \alpha) = \text{dnf}(\alpha) \cup \{\{\mathbf{X} \mathbf{F} \alpha\}\}$$

$$\mathbf{F} \alpha \equiv \alpha \vee \mathbf{X} \mathbf{F} \alpha$$

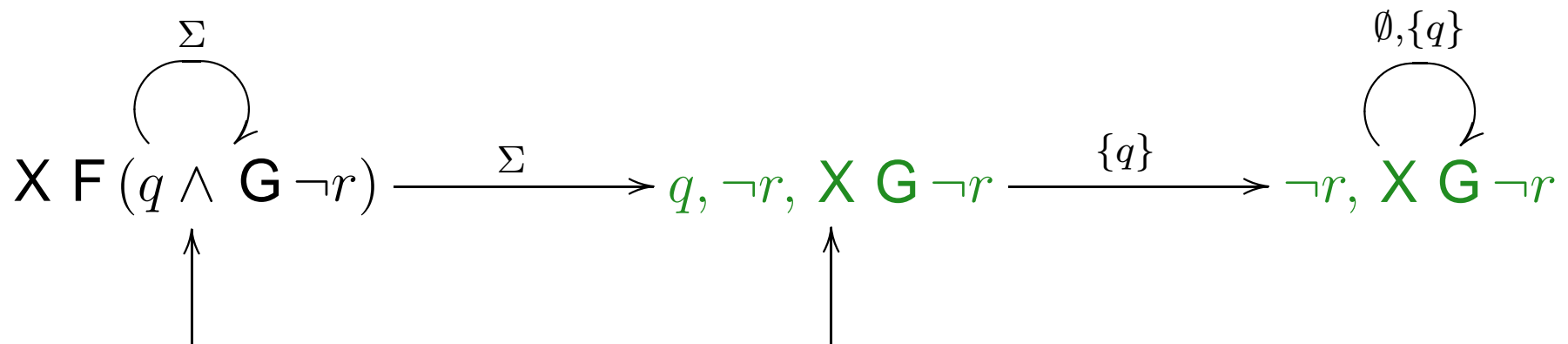
$$\text{dnf}(\mathbf{G} \alpha) = \text{dnf}(\alpha \wedge \mathbf{X} \mathbf{G} \alpha)$$

$$\mathbf{G} \alpha \equiv \alpha \wedge \mathbf{X} \mathbf{G} \alpha$$

$$S = \mathcal{P}(q, \neg q, r, \neg r, \mathbf{X}(\mathbf{F}(q \wedge \mathbf{G} \neg r)), \mathbf{X} \mathbf{G} \neg r)$$

**F = ?**

$$\text{dnf}(\mathbf{F}(q \wedge \mathbf{G} \neg r)) = \{\{\mathbf{X} \mathbf{F}(q \wedge \mathbf{G} \neg r)\}, \{q, \neg r, \mathbf{X} \mathbf{G} \neg r\}\}$$



# LTL $\mapsto$ GBA (example 2)

$$\theta = \neg(\mathbf{G F} p \implies \mathbf{G}(q \implies \mathbf{F} r)) \equiv \mathbf{G F} p \wedge \mathbf{F}(q \wedge \mathbf{G} \neg r)$$

$$\text{dnf}(\mathbf{F}(q \wedge \mathbf{G} \neg r)) = \mathbf{X F}(q \wedge \mathbf{G} \neg r) \vee (q \wedge \neg r \wedge \mathbf{X G} \neg r)$$

$$\begin{aligned} \text{dnf}(\mathbf{G F} p) &= \text{dnf}((p \vee \mathbf{X F} p) \wedge \mathbf{X G F} p) = \\ & \quad (p \wedge \mathbf{X G F} p) \vee (\mathbf{X F} p \wedge \mathbf{X G F} p) \end{aligned}$$

$$\text{dnf}(\mathbf{G F} p \wedge \mathbf{F}(q \wedge \mathbf{G} \neg r)) = \dots \vee \dots \vee \dots \vee \dots$$

$$\mathbf{X F}(q \wedge \mathbf{G} \neg r), p, \mathbf{X G F} p \qquad q, \neg r, \mathbf{X G} \neg r, p, \mathbf{X G F} p$$

$$\mathbf{X F}(q \wedge \mathbf{G} \neg r), \mathbf{X F} p, \mathbf{X G F} p \qquad q, \neg r, \mathbf{X G} \neg r, \mathbf{X F} p, \mathbf{X G F} p$$

# LTL $\mapsto$ GBA (example 2)

$$\theta_n = \neg((\mathbf{G F} p_1 \wedge \dots \wedge \mathbf{G F} p_n) \implies \mathbf{G}(q \implies \mathbf{F} r)) \equiv$$
$$\mathbf{G F} p_1 \wedge \dots \wedge \mathbf{G F} p_n \wedge \mathbf{F}(q \wedge \mathbf{G} \neg r)$$





# LTL $\mapsto$ GBA (example 2)

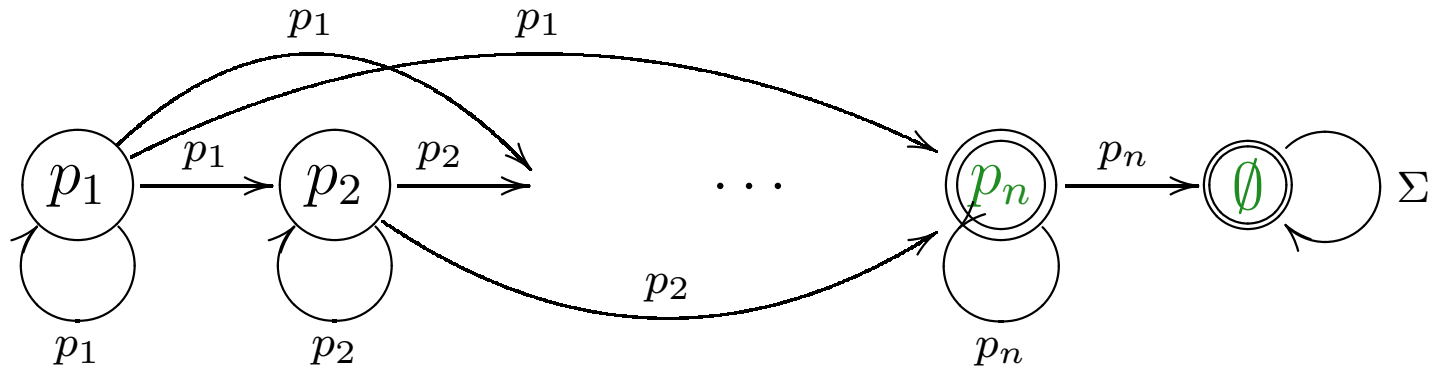
$$\theta_n = \neg((G F p_1 \wedge \dots \wedge G F p_n) \implies G(q \implies F r))$$

	Spin		Wring		EQLTL	LTL2BA-		LTL2BA	
	time	space	time	space	time	time	space	time	space
$\theta_1$	0.18	460	0.56	4,100	16	0.01	9	0.01	9
$\theta_2$	4.6	4,200	2.6	4,100	16	0.01	19	0.01	11
$\theta_3$	170	52,000	16	4,200	18	0.01	86	0.01	19
$\theta_4$	9,600	970,000	110	4,700	25	0.07	336	0.06	38
$\theta_5$			1,000	6,500	135	0.70	1,600	0.37	48
$\theta_6$			8,400	13,000	N/A	12	8,300	4.0	88
$\theta_7$			72,000 <sup>†</sup>	43,000 <sup>†</sup>		220	44,000	32	175
$\theta_8$						4,200	260,000	360	250
$\theta_9$						97,000	1,600,000	3,000	490
$\theta_{10}$								36,000	970

[Gastin, Oddoux 2001]

# LTL $\mapsto$ GBA (example 3)

$$\phi_n = p_1 \mathbf{U} (p_2 \mathbf{U} (\dots \mathbf{U} p_n) \dots)$$

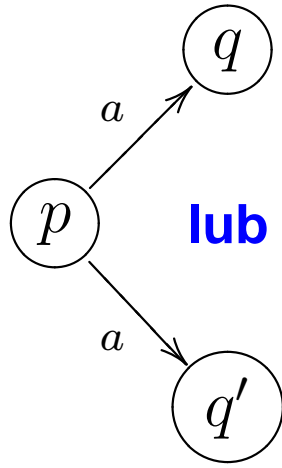


$$\theta_n = \neg(p_1 \mathbf{U} (p_2 \mathbf{U} (\dots \mathbf{U} p_n) \dots))$$



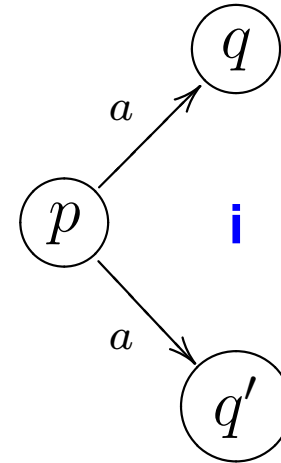
# III. LTL $\mapsto$ ABA

# Alternation (ABA)



$$\sigma(p, a) = q \vee q'$$

$$(p, a, q), (p, a, q') \in \sigma$$



$$\sigma(p, a) = q \wedge q'$$

—

**Np.:**  $\sigma(p, a) = p_1 \vee p_2 \wedge p_3$  (DNF)

**Question:** run = ?

ABA  $\mathcal{A}_\phi = \langle \Sigma, S, S_{\text{pocz}}, \sigma, F \rangle$ :

- $S$  = modal subformula ( $\mathbf{X} \alpha$ ,  $\alpha \mathbf{U} \beta$ ,  $\alpha \mathbf{R} \beta$ )  
and literals ( $p$ ,  $\neg p$ )
- $S_{\text{init}} = \text{today}(\phi)$
- $\sigma : S \times \Sigma \rightarrow \mathbf{Bool}^+(S)$

$\sigma(p, A) = \text{true}$ , if  $p \in A$ , otherwise false

$\sigma(\neg p, A) = \text{true}$ , if  $p \notin A$ , otherwise false

$\sigma(\mathbf{X} \alpha, A) = \alpha$  **!!!**

$\sigma(\alpha \mathbf{U} \beta, A) = \sigma(\beta, A) \vee (\sigma(\alpha, A) \wedge \alpha \mathbf{U} \beta)$

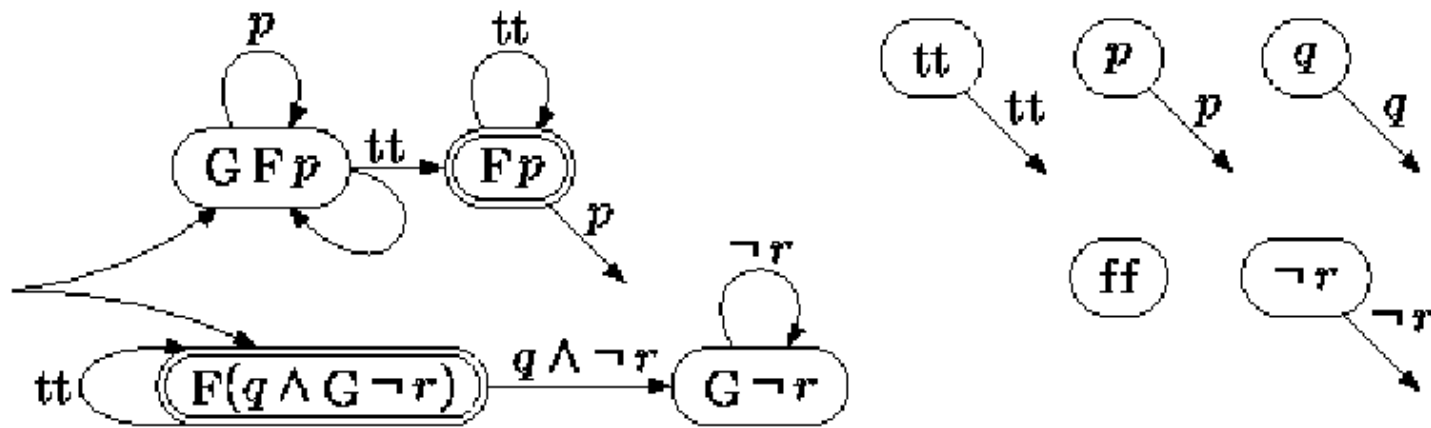
$\sigma(\alpha \mathbf{R} \beta, A) = \sigma(\beta, A) \wedge (\sigma(\alpha, A) \vee \alpha \mathbf{R} \beta)$

$\sigma(\mathbf{F} \alpha, A) = \sigma(\alpha, A) \vee \mathbf{F} \alpha$

$\sigma(\mathbf{G} \alpha, A) = \sigma(\alpha, A) \wedge \mathbf{G} \alpha$

# LTL $\mapsto$ ABA (example)

$$\phi = \neg(\mathbf{G F} p \implies \mathbf{G}(q \implies \mathbf{F} r)) \equiv \mathbf{G F} p \wedge \mathbf{F}(q \wedge \mathbf{G} \neg r)$$



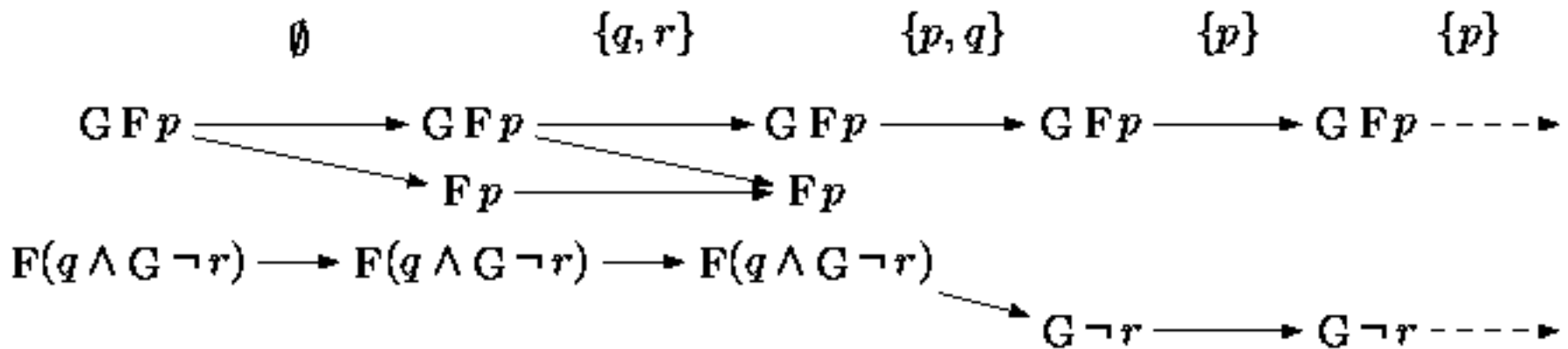
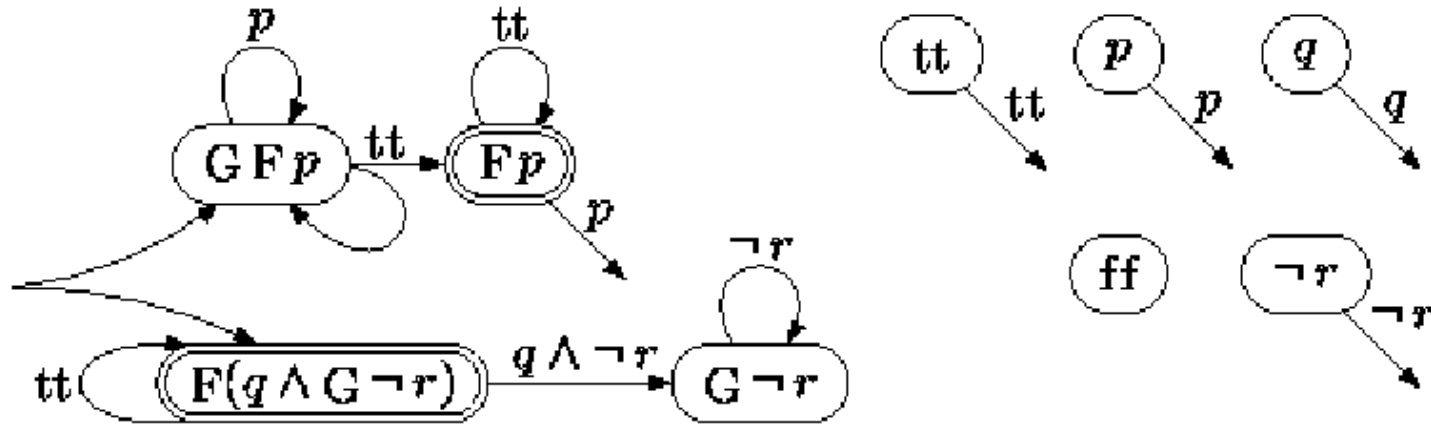
[Gastin, Oddoux 2001]

$$\text{dnf}(\mathbf{F}(q \wedge \mathbf{G} \neg r)) = \mathbf{X F}(q \wedge \mathbf{G} \neg r) \vee (q \wedge \neg r \wedge \mathbf{X G} \neg r)$$

$$\text{dnf}(\mathbf{G F} p) = (p \wedge \mathbf{X G F} p) \vee (\mathbf{X F} p \wedge \mathbf{X G F} p)$$

# LTL $\mapsto$ ABA (example)

$$\phi = \neg(\mathbf{G F} p \implies \mathbf{G}(q \implies \mathbf{F} r)) \equiv \mathbf{G F} p \wedge \mathbf{F}(q \wedge \mathbf{G} \neg r)$$



[Gastin, Oddoux 2001]

ABA  $\mathcal{A}_\phi = \langle \Sigma, S, S_{\text{init}}, \sigma, F \rangle$ :

–  $S =$  modal subformula ( $X\alpha, \alpha U \beta, \alpha R \beta$ )  
and literals ( $p, \neg p$ )

–  $S_{\text{init}} = \text{today}(\phi)$

–  $\sigma : S \times \Sigma \rightarrow \text{Bool}^+(S)$

...

–  $F = \{\alpha R \beta\}$