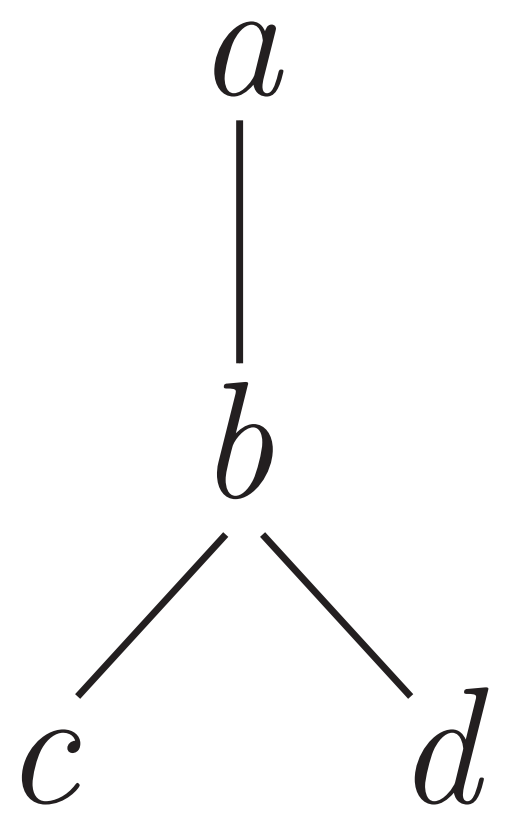
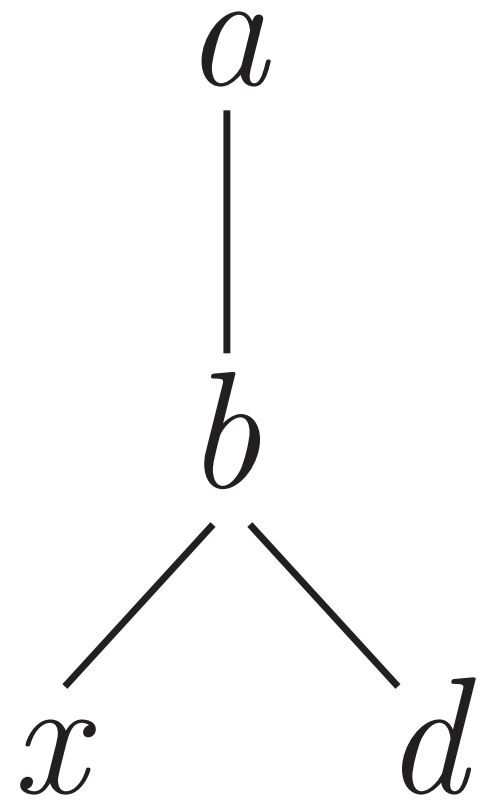
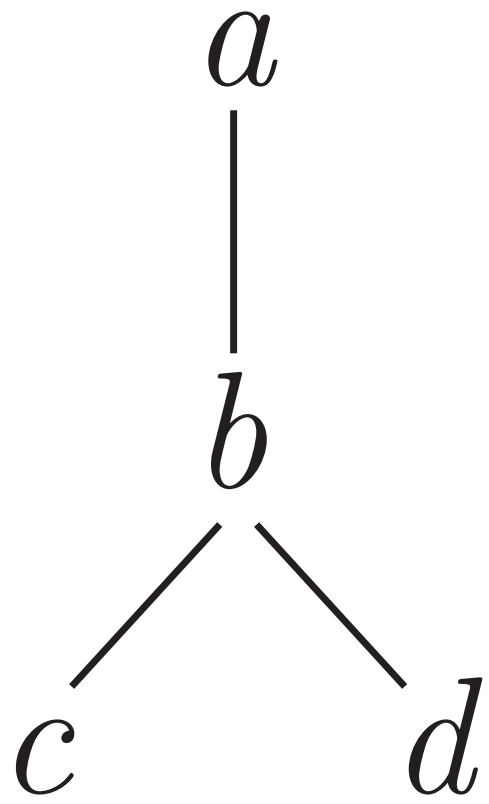
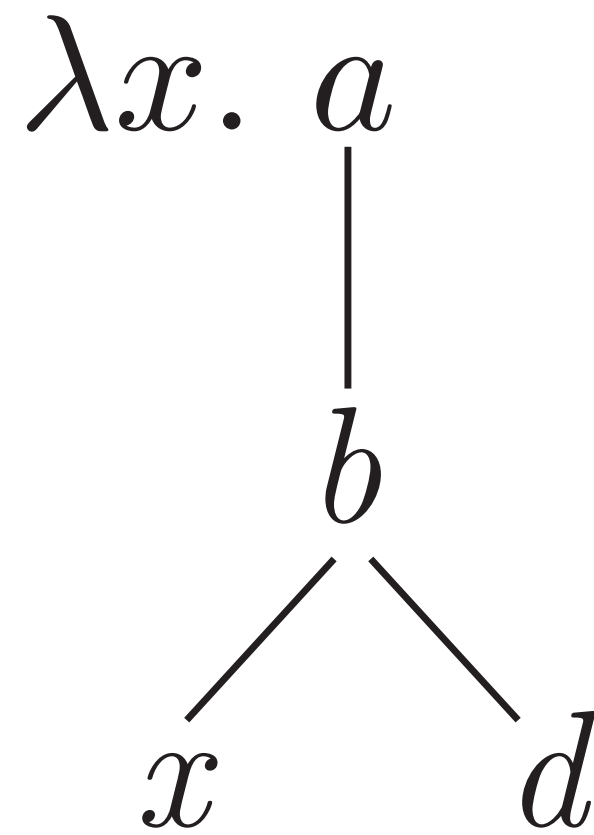
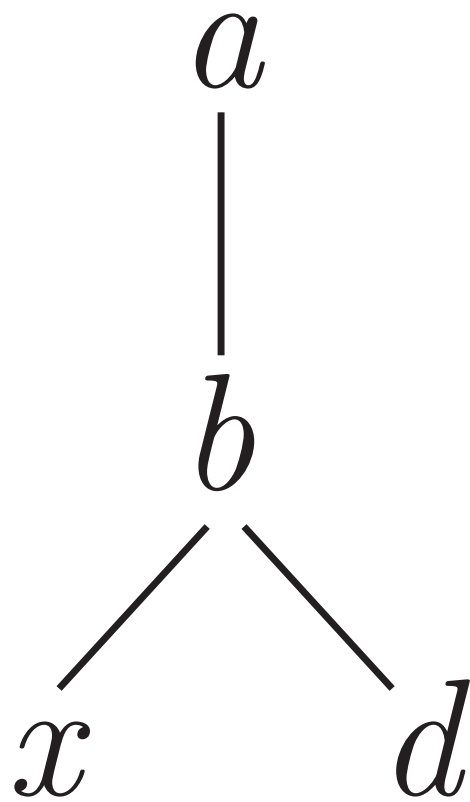


Lambda Y  
calculus

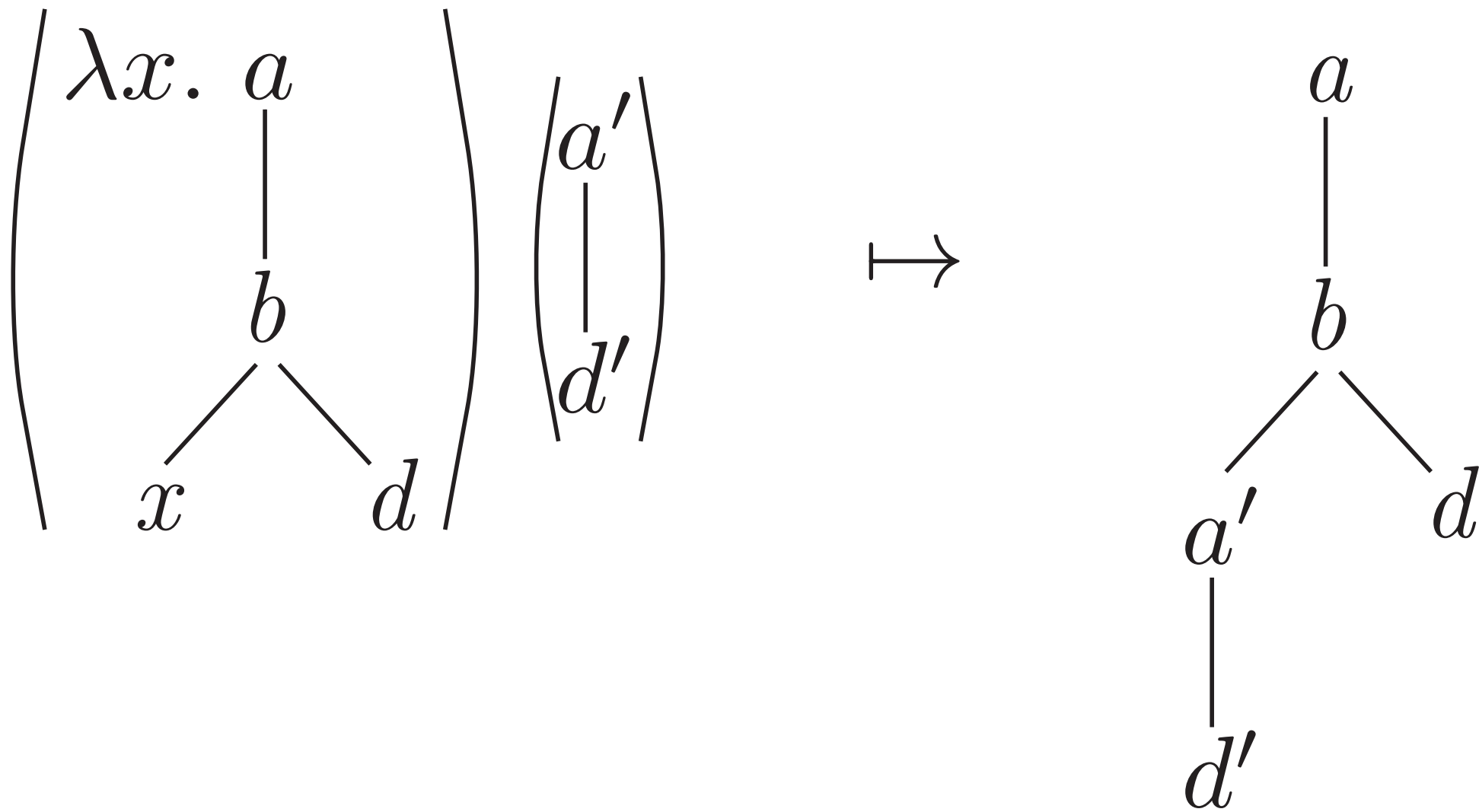




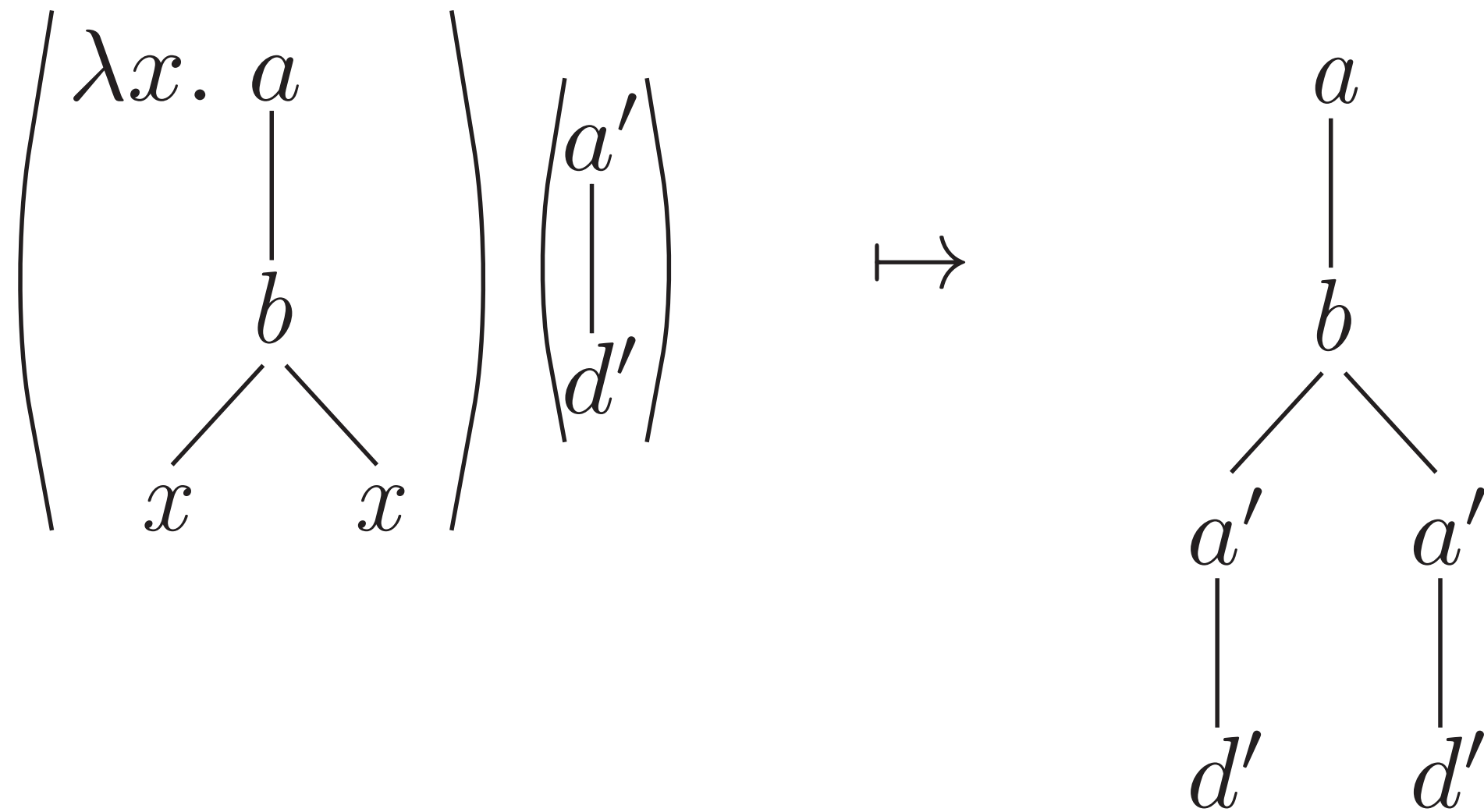
$x$  a variable



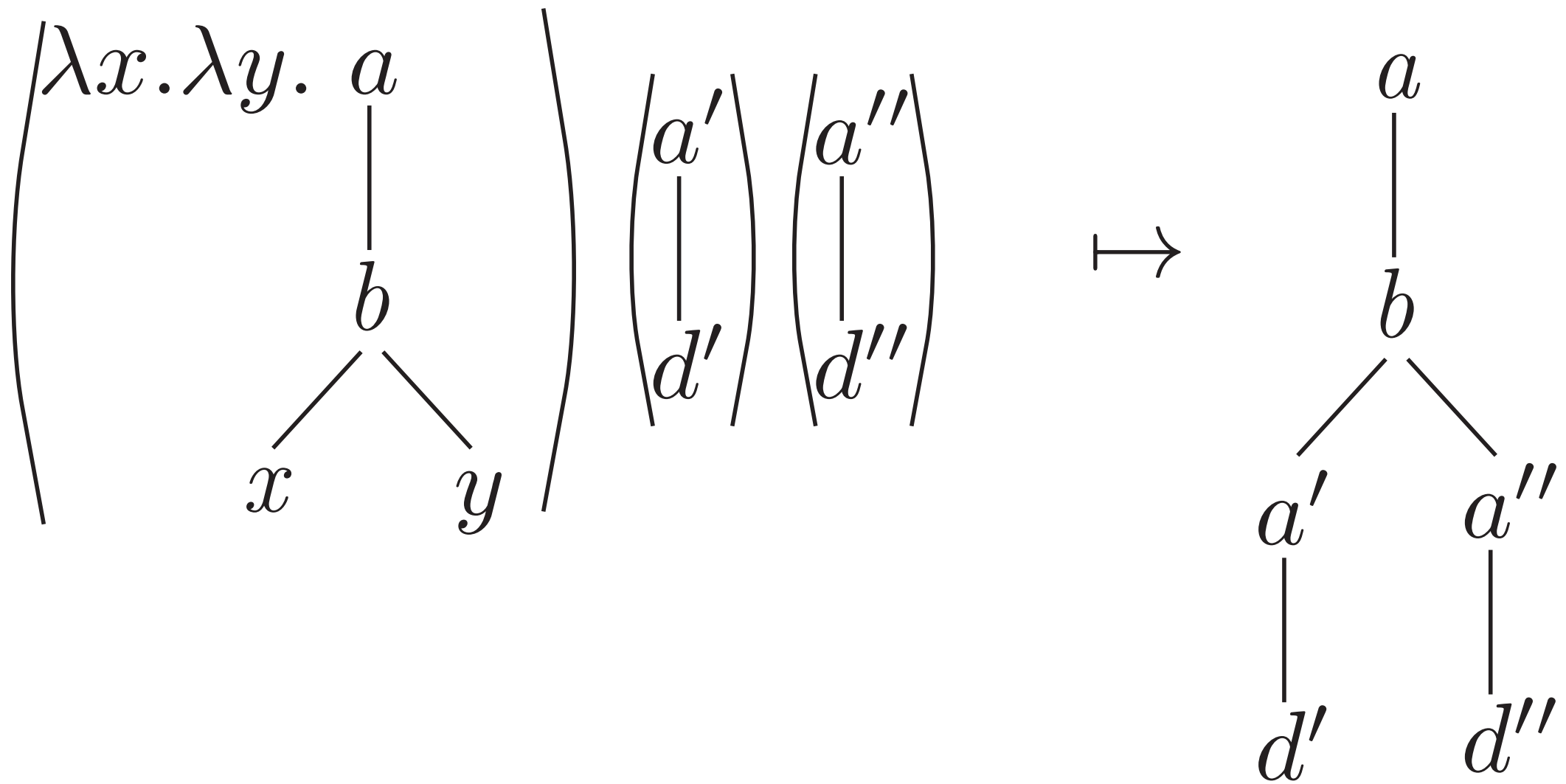
A context



Application of a context to a tree

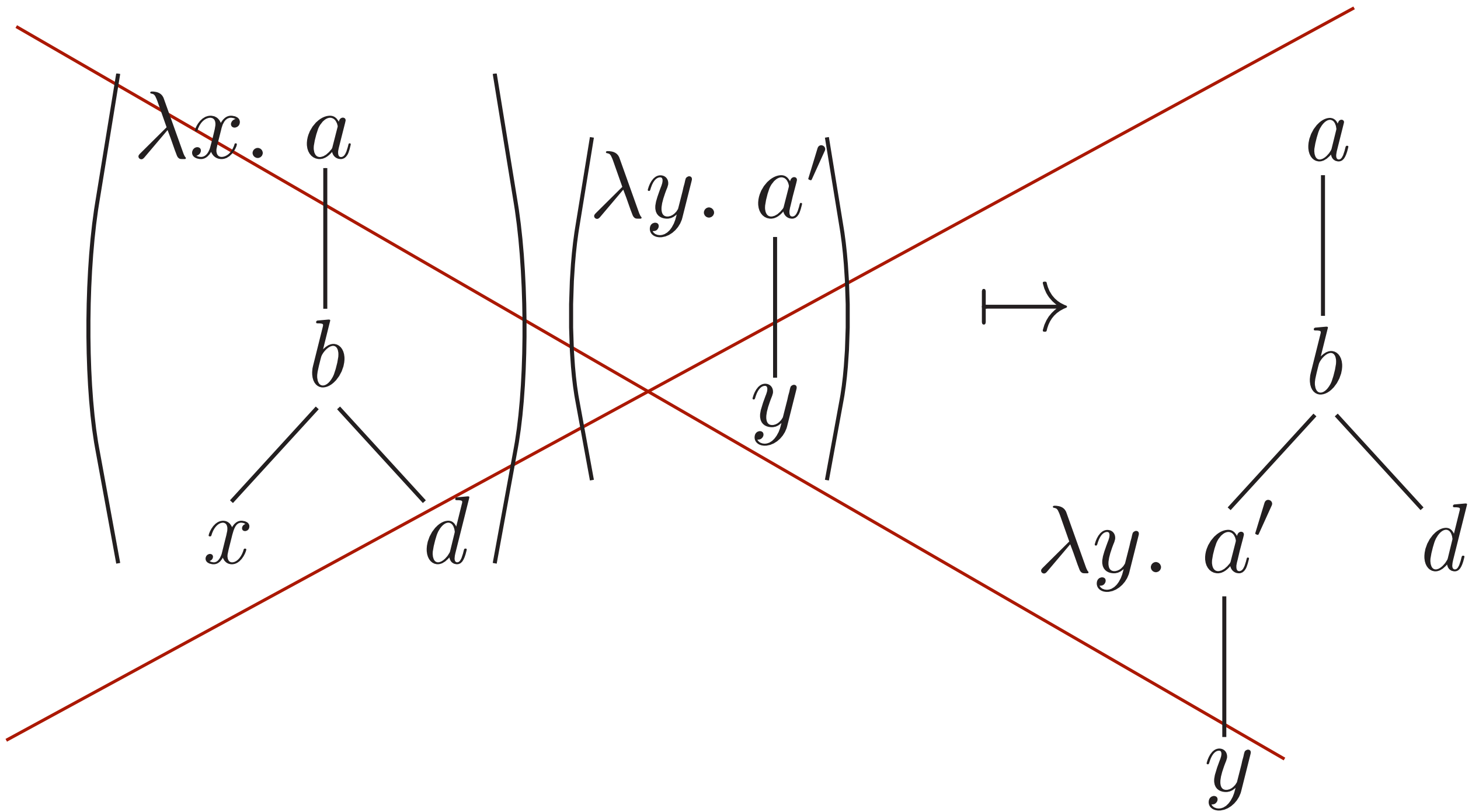


Application of a context with two holes



Application of a context with two distinct holes

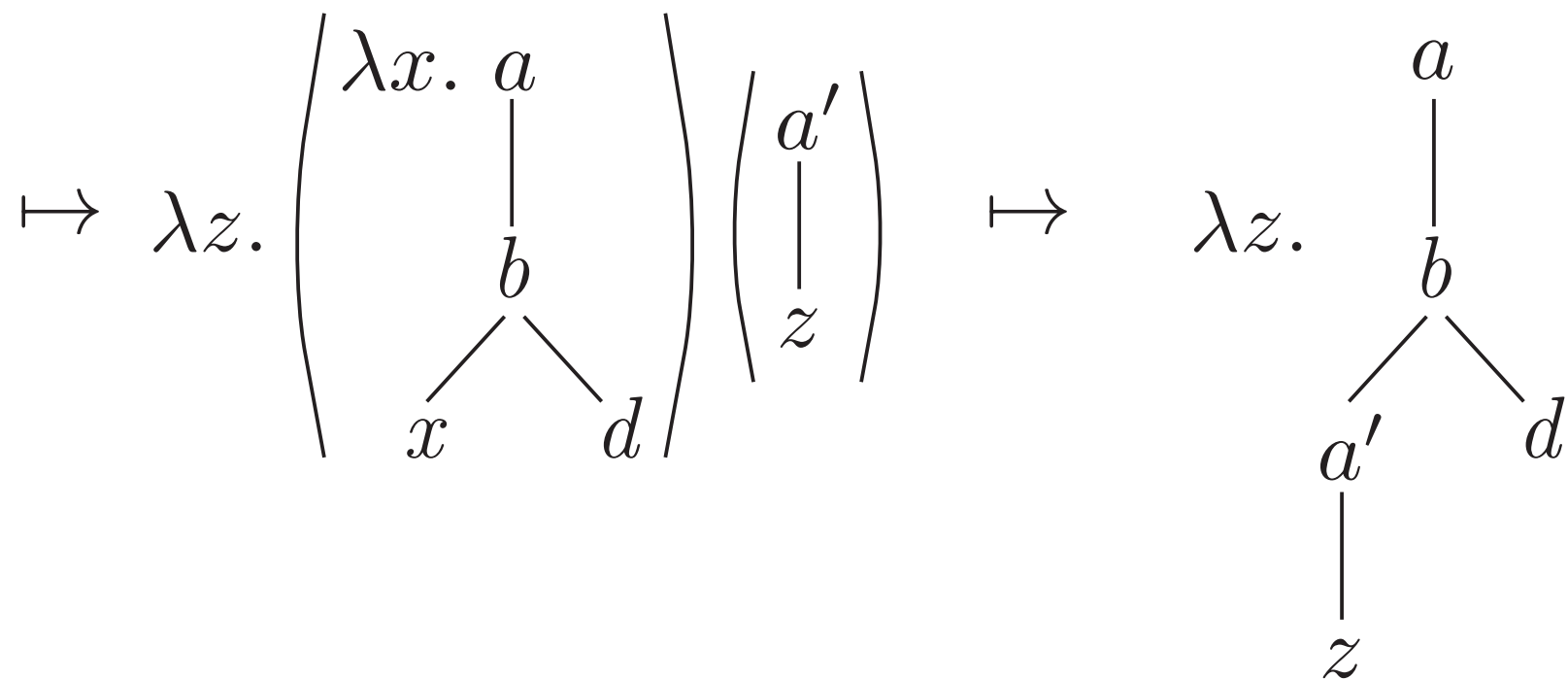
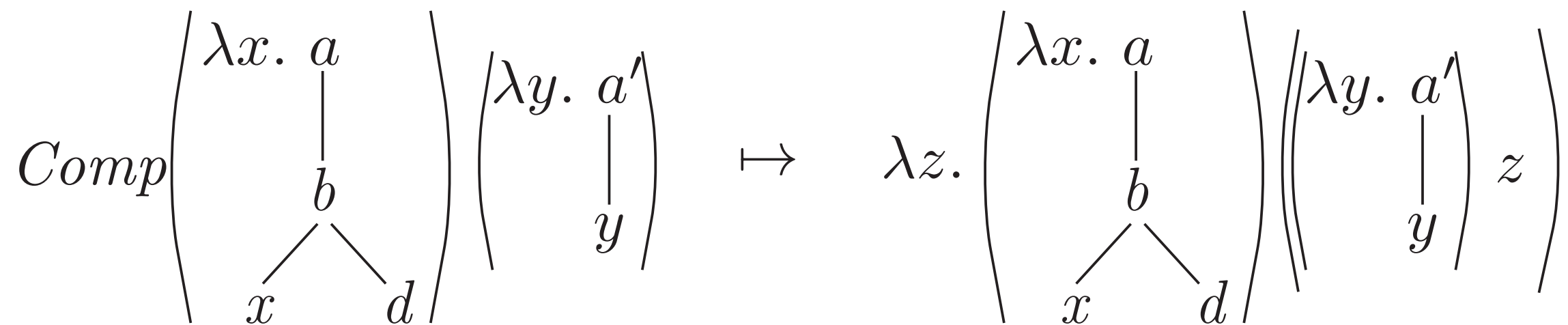
How to compose contexts?





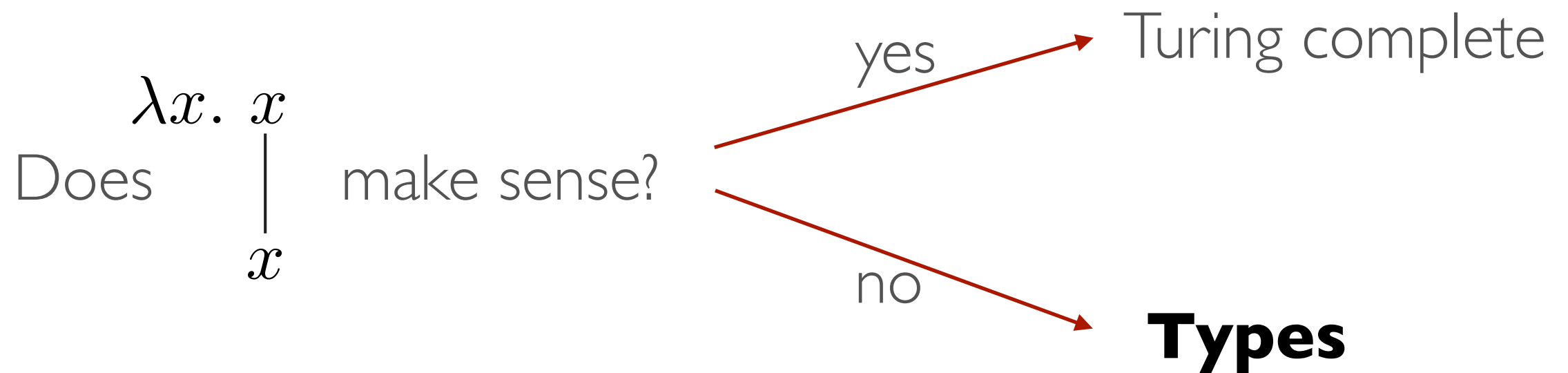
How to compose contexts?

$$Comp \equiv \lambda p. \lambda q. \lambda z. p(q(z))$$

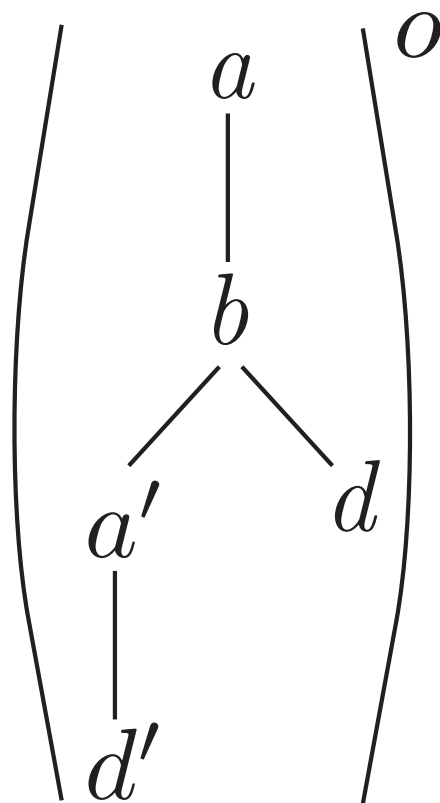
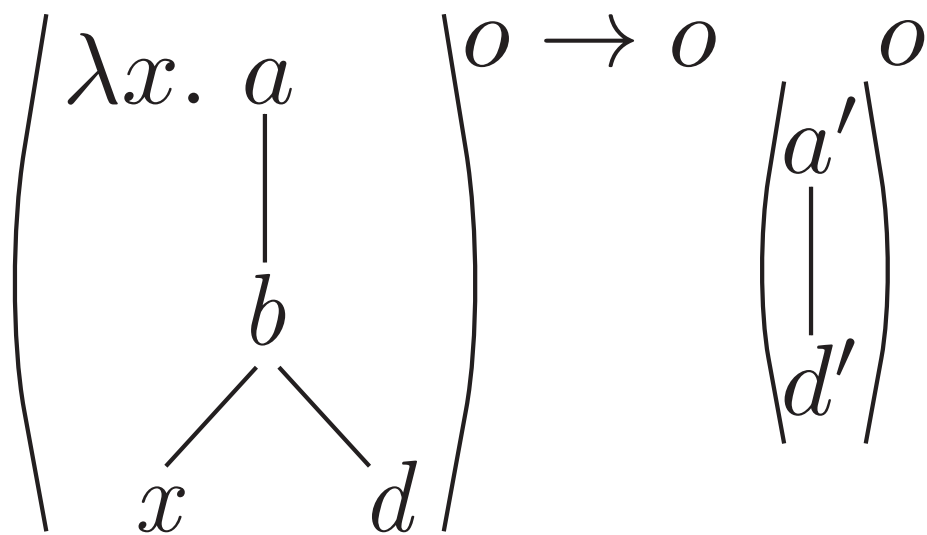
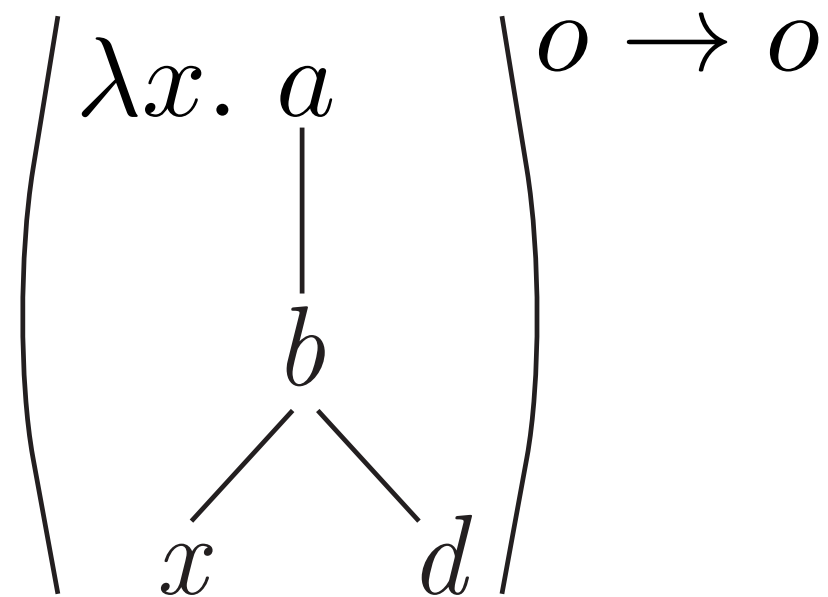
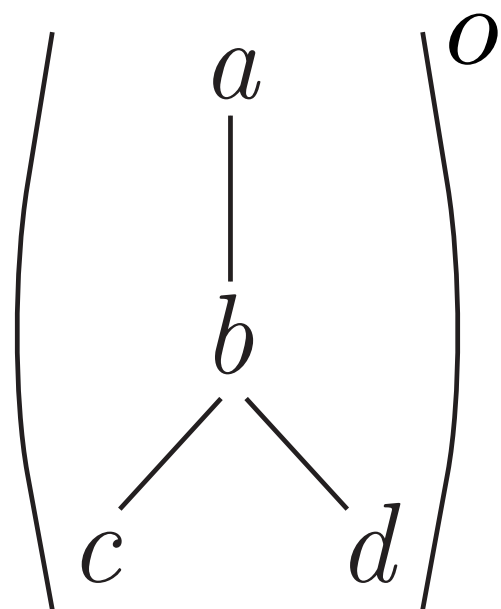


Can we apply anything to anything?

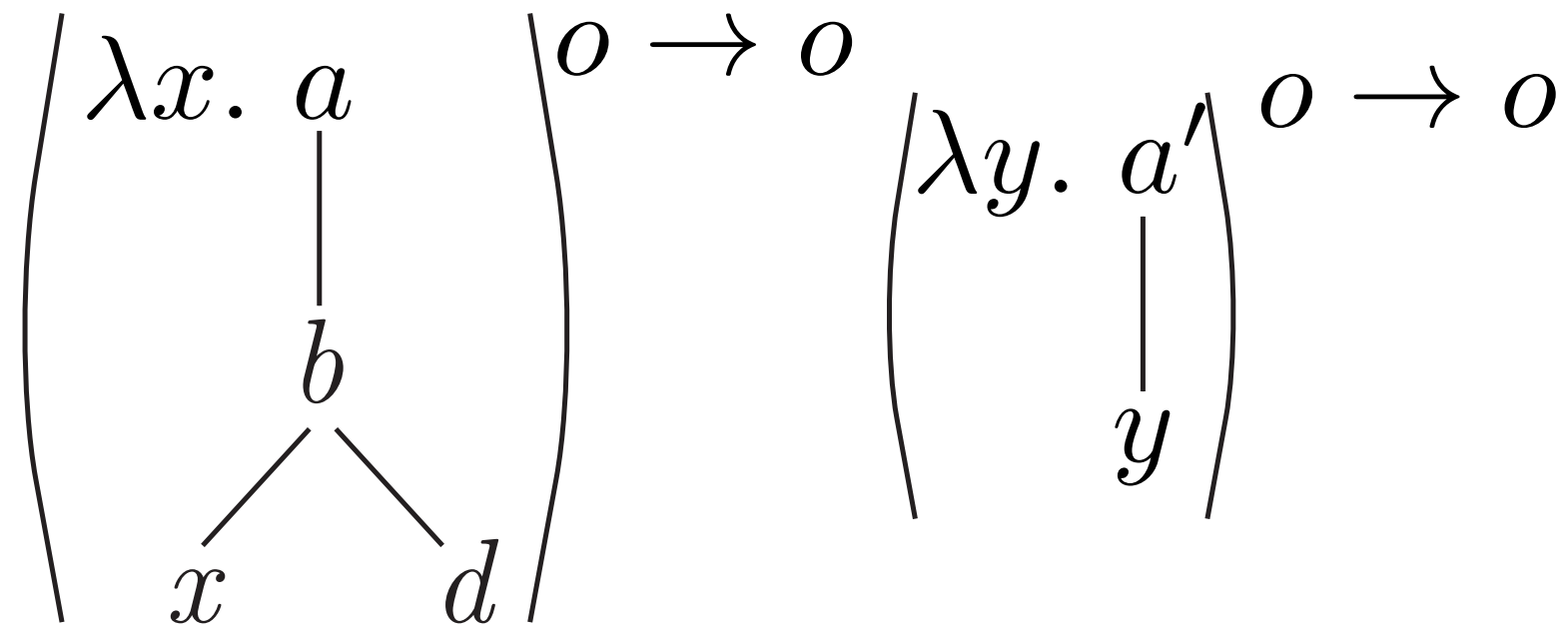
Or, shall we distinguish between trees and contexts?



# Types (simple types)



The problematic application is not well-typed:



Typing the composition of contexts:

$$Comp \equiv \lambda p^{o \rightarrow o}. \lambda q^{o \rightarrow o}. \lambda z^o. p(q(z)) : (o \rightarrow o) \rightarrow (o \rightarrow o) \rightarrow o \rightarrow o$$

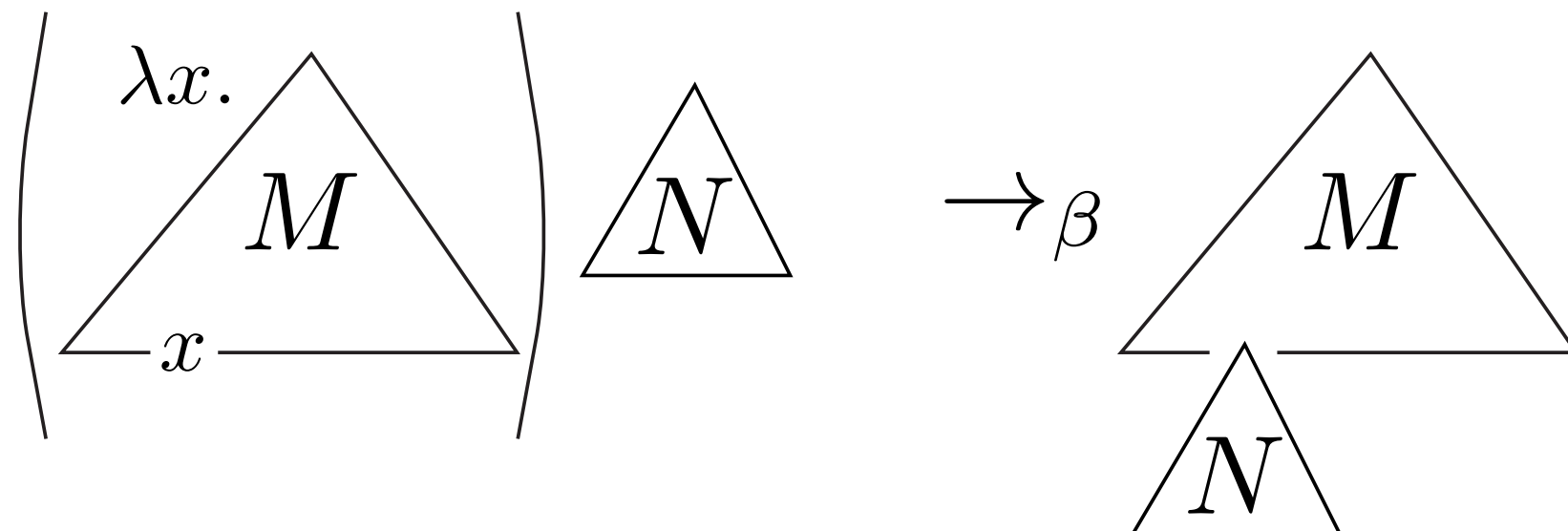
# $\lambda$ -calculus (simply typed)

**Types:**  $o, A \rightarrow B$

**Terms:**  $c, x, MN, \lambda x.M$

**Typed terms:**  $c^A, x^A, (M^{(A \rightarrow B)}N^A)^B, (\lambda x^A.M^B)^{A \rightarrow B}$

**$\beta$ -reduction:**  $(\lambda x.M)N \rightarrow_{\beta} M[N/x]$



**$\beta$ -reduction:**  $(\lambda x.M)N \rightarrow_{\beta} M[N/x]$

Example:

$$(\lambda f^{\circ \rightarrow \circ} \lambda x^{\circ}. f(fx))ad \rightarrow_{\beta} (\lambda x^{\circ}. a(ax))d \rightarrow_{\beta} a(a(d))$$

**$\beta$ -reduction:**  $(\lambda x.M)N \rightarrow_{\beta} M[N/x]$

Example:

$$(\lambda f^{\circ \rightarrow \circ} \lambda x^{\circ}. f(fx))ad \rightarrow_{\beta} (\lambda x^{\circ}. a(ax))d \rightarrow_{\beta} a(a(d))$$

Substitution should avoid variable capture (as in logic) :

$$(\lambda x. \lambda y. x)y \rightarrow_{\beta} \lambda z. y$$

and not  $\lambda y. y$

## Example (QBF)

- $\text{tt} = \lambda xy. x$ ,       $\text{ff} = \lambda xy. y$ ,      They are of type  $0 \rightarrow 0 \rightarrow 0$ .
- $\text{and} = \lambda b_1 b_2 xy. b_1(b_2 xy)y$ ,       $\text{or} = \lambda b_1 b_2 xy. b_1 x(b_2 xy)$ ,
- $\text{neg} = \lambda bxy. byx$
- $\text{All} = \lambda f. \text{and}(f \text{tt})(f \text{ff})$ ,       $\text{Exists} = \lambda f. \text{or}(f \text{tt})(f \text{ff})$ .

**QBF to terms** Every *QBF* formula  $\alpha$  can be translated to a term  $M_\alpha$ :

$$\forall x. \exists y. x \wedge \neg y \quad \mapsto \quad \text{All}(\lambda x. \text{Exists}(\lambda y. \text{and } x (\text{neg } y)))$$

**Fact** For every QBF sentence  $\alpha$ :

$$\alpha \text{ is true} \quad \text{iff} \quad M_\alpha \text{ evaluates to } \text{tt}.$$



- Early beginning with Frege (1893) and Schönfinkel (1924).
- Conceived by Church (1932-1933) as part of a general theory of functions and logic.
- General theory shown inconsistent by Kleene & Rosner (1936), but the functional part has become successful.
- All computable functions are representable in lambda-calculus  
Kleene & Rosner (1936), Turing (1937).  
Equivalence of two lambda-terms is the first known undecidable problem.
- Typed version has been introduced by Curry (1936), and Church (1940).
- In the 60-ties Scott gives mathematical semantics to the calculus.
- Applications to functional languages, and to linguistics start.

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It's not even past »

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« The past is never dead.  
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« The past is a foreign country:  
they do things differently there »

# Every term computes to a unique result

$$\beta\text{-reduction: } (\lambda x.M)N \rightarrow_{\beta} M[N/x]$$

**Df:** A term is in  $\beta$ -normal form if it does not have  $\beta$ -redexes.

$\lambda f.\lambda x. f(fx)$  is in the normal form.

$\lambda f. (\lambda x. f(fx))y$  is not.

Reduction preserves typing

# Every term computes to a unique result

$$\beta\text{-reduction: } (\lambda x.M)N \rightarrow_{\beta} M[N/x]$$

**Df:** A term is in  $\beta$ -normal form if it does not have  $\beta$ -redexes.

**Thm** [Curry'36, Church'40, Turing, Tait'67]:

Suppose  $M \rightarrow_{\beta}^* N$  then:

- $N$  has the same type as  $M$  (type preservation),
- if  $N$  is in the normal form then  $N$  uniquely determined (confluence),
- for every  $M$  there is  $N$  in the normal form with  $M \rightarrow_{\beta}^* N$  (strong normalisation).

A reduction sequence can be long

$$D \equiv \lambda f^{o \rightarrow o} \lambda x^o . f(fx) : (o \rightarrow o) \rightarrow o \rightarrow o$$

$$D(D(Da))d \rightarrow_{\beta} D(Da^2)d \rightarrow_{\beta} D(a^4)d \rightarrow_{\beta} a^8d$$

Or even very long

Let  $\tau_1 \equiv o \rightarrow o$ .

$$D_2 \equiv \lambda f^{\tau_1 \rightarrow \tau_1} \lambda x^{\tau_1} . f(fx) : (\tau_1 \rightarrow \tau_1) \rightarrow \tau_1 \rightarrow \tau_1$$

Let  $\tau_k \equiv \tau_{k-1} \rightarrow \tau_{k-1}$ .

$$D_{k+1} \equiv \lambda f^{\tau_k \rightarrow \tau_k} \lambda x^{\tau_k} . f(fx) : (\tau_k \rightarrow \tau_k) \rightarrow \tau_k \rightarrow \tau_k$$

$$(((\dots ((D_{k+1}D_k)D_{k-1}) \dots)D_1)a)d \rightarrow^* a^{Tower(k+1)}c$$

# How difficult it is to get the normal form?

Consider  $bool \equiv o \rightarrow o \rightarrow o$ .

We have  $true \equiv \lambda x.\lambda y.x : bool$ , and  $false \equiv \lambda x.\lambda y.y : bool$

*Order* of a type:

$$Ord(o) = 0 \quad Ord(A \rightarrow B) = \max(Ord(A) + 1, Ord(B)).$$

*Order* of a term: maximal order of a type of a sub-term.

**Bool-red**( $r$ ): Given  $M : bool$  of order  $r$  decide if  $M \rightarrow_{\beta}^* true$ .

**Thm** [Terui]:

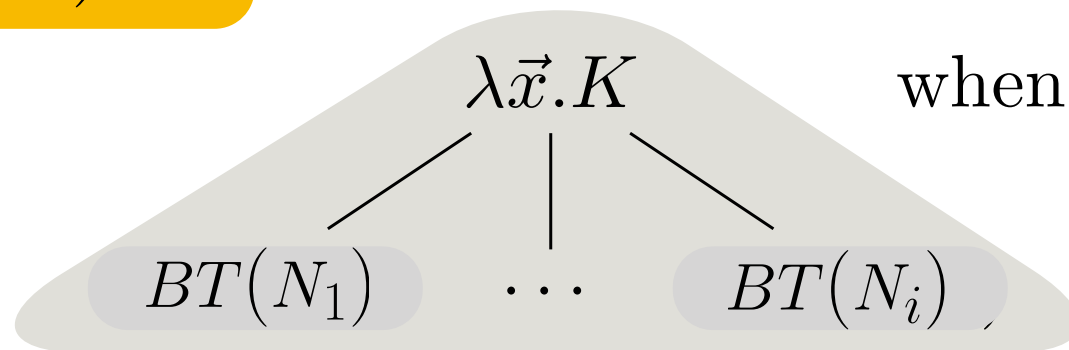
Problem Bool-red( $2r + 2$ ) is  $r$ -EXPTIME-complete.

Problem Bool-red( $2r + 3$ ) is  $r$ -EXPSPACE-complete.

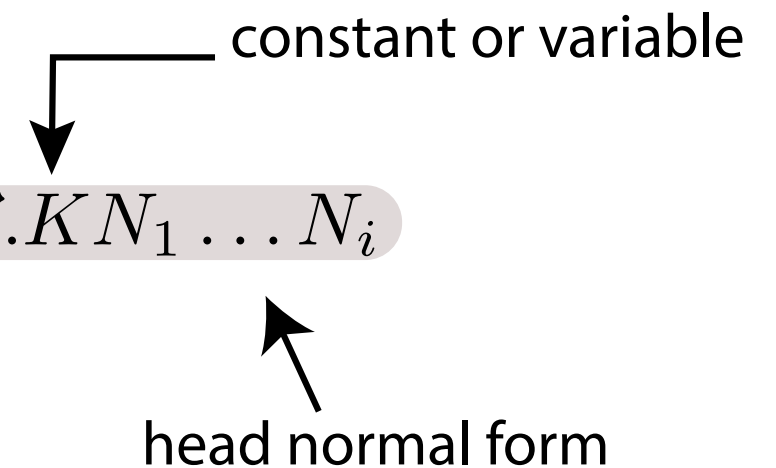
# Böhm tree of a term

(evaluation of a term to a normal form)

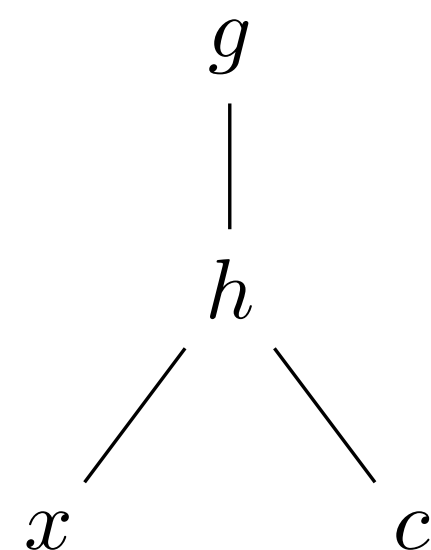
$BT(M)$  is



when  $M \rightarrow_{\beta}^* \lambda \vec{x}. K N_1 \dots N_i$



Evaluation tree of  $(\lambda y. g (hxy)) c$  is

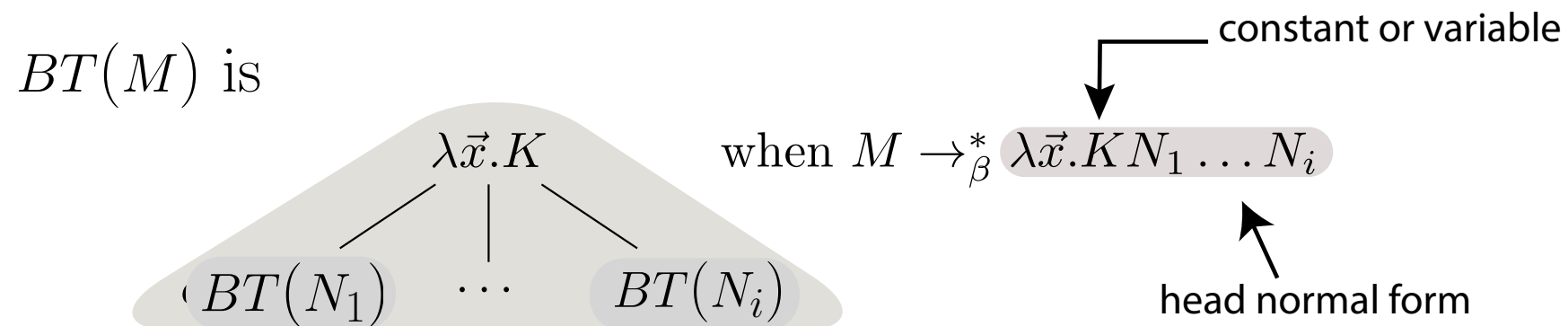




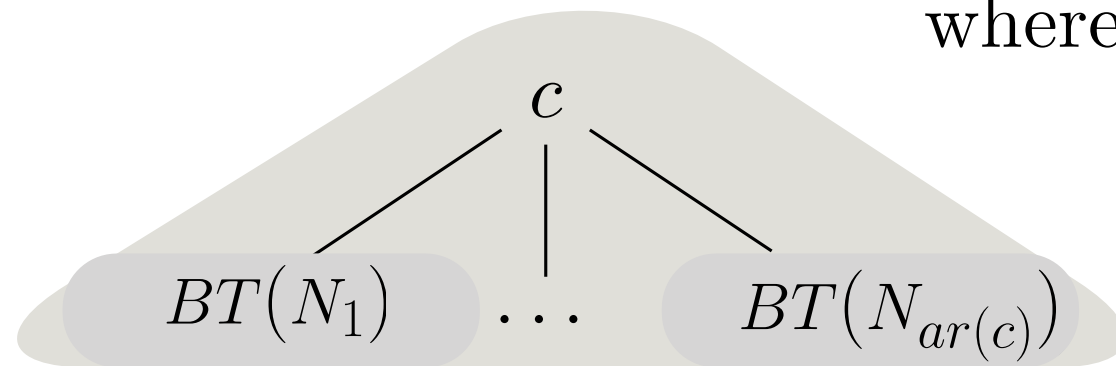
The unique result is (in some cases) a ranked tree

**Tree signature:**

All constants of have type of the form  $o \rightarrow \dots \rightarrow o \rightarrow o$ , or just  $o$ .



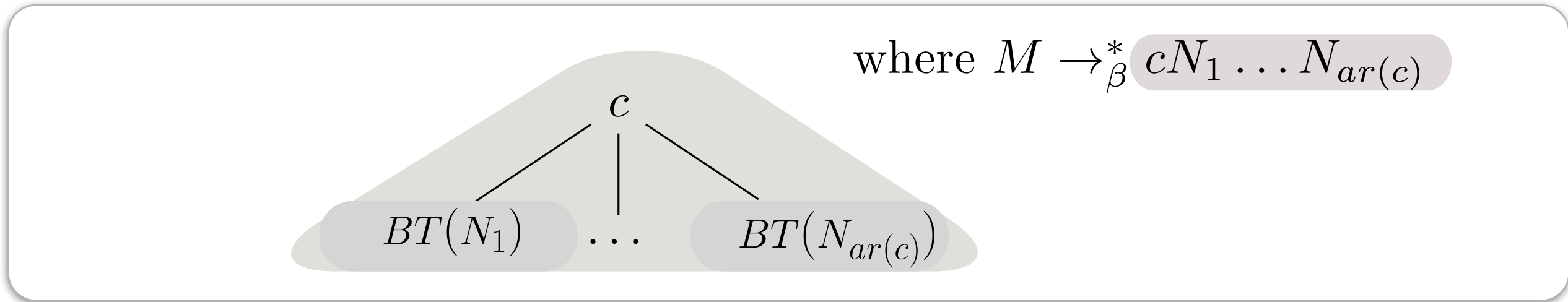
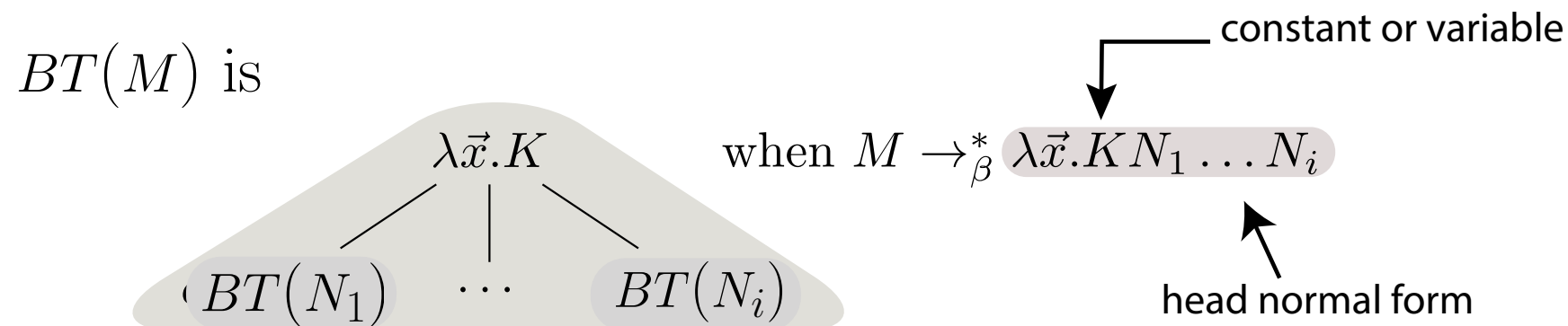
where  $M \rightarrow_{\beta}^* c N_1 \dots N_{ar(c)}$



The unique result is (in some cases) a ranked tree

**Tree signature:**

All constants of have type of the form  $o \rightarrow \dots \rightarrow o \rightarrow o$ , or just  $o$ .



**Cor:** A normal form of a term  $M : 0$  over a tree signature is a finite ranked tree.

A simply-typed  $\lambda$ -term evaluates to a  
finite ranked tree

$$M \rightarrow_{\beta}^* BT(M)$$

Infinite computations are obtained by adding a  
fix-point operator

# $\lambda Y$ -calculus (simply typed)

**Types:**  $0, \alpha \rightarrow \beta$

**Typed terms:**  $c^A, x^A, (M^{(A \rightarrow B)} N^A)^B, (\lambda x^A. M^B)^{A \rightarrow B}, (Y x^A. M^A)^A$

**$\delta$ -reduction:**  $(Y x. M) \rightarrow_{\delta} M[Y x. M/x]$

For example:

$$Y x. a(x) \rightarrow_{\delta} a(Y x. a(x)) \rightarrow_{\delta} aa(Y x. a(x)) \rightarrow_{\delta} \dots$$

The Böhm tree of  $Y x. ax$  is the infinite sequence  $aa\dots$

The Böhm tree of  $Yx.ax$  is the infinite sequence  $aa\dots$

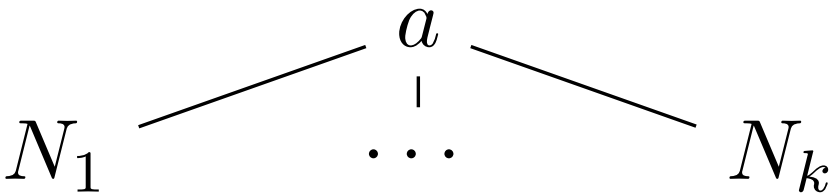
$$Yx.a(x) \rightarrow_{\delta} a(Yx.a(x)) \rightarrow_{\delta} aa(Yx.a(x)) \rightarrow_{\delta} \dots$$

What is the Böhm tree of  $Yx.x$ ?

$$Yx.x \rightarrow_{\delta} Yx.x \rightarrow_{\delta} \dots$$

By convention we say that it is  $\Omega$ .

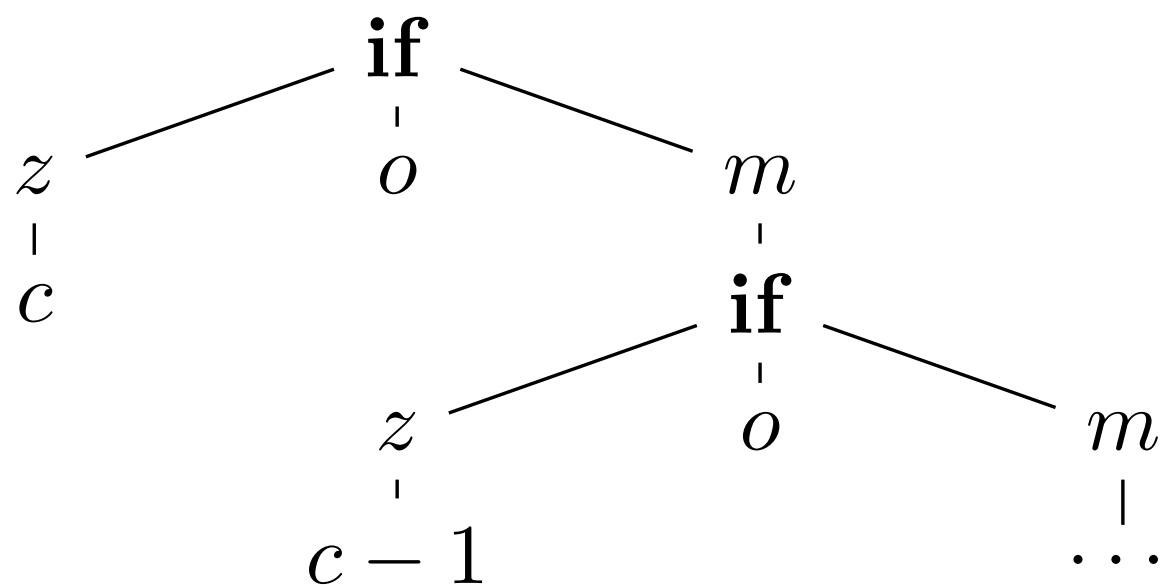
# Evaluation tree of a term (Böhm tree)

- If  $M \rightarrow_{\beta\delta}^* aN_1 \dots N_k$  then  $\text{BT}(M) =$ 

- otherwise  $\text{eval}(M) = \Omega$ .

$Fct(x) \equiv \text{if } x = 0 \text{ then } 1 \text{ else } Fct(x - 1) \cdot x .$

$Fct \equiv YF. \lambda x. \text{if } - \text{ then } - \text{ else}(z(x), o, m(F(x - 1), x))$

$\text{eval}(Fct(c))$  is



# Recursive schemes

Hierarchical equations

$$\begin{aligned} X_n &=_{\nu} \alpha_n(\vec{X}) \\ &\vdots \\ X_1 &=_{\mu} \alpha_1(\vec{X}) \end{aligned}$$

Recursive schemes

$$\begin{aligned} F_n &= \lambda \vec{x}_n. M_n \\ &\vdots \\ F_1 &= \lambda \vec{x}_1. M_1 \end{aligned}$$

$M_i$  has no  $\lambda$ , is of type  $o$ , and contains only variables  $\vec{x}_i \cup \{F_1, \dots, F_n\}$ .

Computation rule

$$F_i \vec{N} \rightarrow M_i[\vec{N}/\vec{x}_i]$$