

# 1 Computing measures of weak-MSO definable sets 2 of trees

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## 12 — Abstract —

13 This work addresses the problem of computing measures of recognisable sets of infinite trees.  
14 An algorithm is provided to compute the probability measure of a tree language recognisable by  
15 a weak alternating automaton, or equivalently definable in weak monadic second-order logic. The  
16 measure is the uniform coin-flipping measure or more generally it is generated by a branching  
17 stochastic process. The class of tree languages in consideration, although smaller than all regular  
18 tree languages, comprises in particular the languages definable in the alternation-free  $\mu$ -calculus or  
19 in temporal logic CTL. Thus, the new algorithm may enhance the toolbox of probabilistic model  
20 checking.

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26 **1 Introduction**

27 The non-emptiness problem asks if an automaton accepts at least one object. From a logical  
 28 perspective, it is an instance of the consistency question: does a given specification have  
 29 a model? Sometimes it is also relevant to ask a quantitative version of this question:  
 30 whether a *non-negligible* set of models satisfy the specification. When taken to the realm  
 31 of probability theory, this boils down to estimating the probability that a random object  
 32 is accepted by a given automaton. In this paper, models under consideration are infinite  
 33 binary trees labelled by a finite alphabet. Our main problem of interest is the following.

34  $\triangleright$  **Problem 1.** Given a regular tree language  $L$ , compute the probability that a randomly  
 35 generated tree belongs to  $L$ .

36 In other words, we ask for the probability measure of  $L$ . Here, the tree language  $L$  might  
 37 be given by a formula of monadic second-order logic, but for complexity reasons it is more  
 38 suitable to present it by a tree automaton or by a formula of modal  $\mu$ -calculus, see e.g. [9, 13].  
 39 By default, the considered measure is the uniform *coin-flipping* measure, where each letter  
 40 is chosen independently at random; but also more specific measures are of interest. If the  
 41 computed probability is rational then it can be represented explicitly, but the measure can be  
 42 irrational, see e.g. [15], and may require more complex representation. One of the possible  
 43 choices, exploited in this paper, is a formula over the field of reals  $\mathbb{R}$ .

44 Chen et al. [6] addressed Problem 1 in the case where the tree language  $L$  is recognised  
 45 by a deterministic top-down automaton and the measure is induced by a stochastic branching  
 46 process, which then makes also a part of the input data. Their algorithm compares the  
 47 probability with any given rational number in polynomial space and with 0 or 1 in polynomial  
 48 time. The limitations of this result come from the deterministic nature of the considered  
 49 automata: deterministic top-down tree automata are known to have limited expressive power  
 50 within all regular tree languages.

51 Michalewski and Mio [15] stated Problem 1 explicitly and solved it for languages  $L$  given  
 52 by so-called *game automata* and the coin-flipping measure. This class of automata subsumes  
 53 deterministic ones and captures some important examples including the game languages,  
 54 cf. [10], but even here the strength of non-determinism is limited; in particular, the class is  
 55 not closed under finite union. The algorithm from [15] reduces the problem to computing the  
 56 value of a Markov branching play, and uses Tarski's decision procedure for the theory of reals.  
 57 These authors also discover that the measure of a regular tree language can be irrational,  
 58 which stays in contrast with the case of  $\omega$ -regular languages, i.e. regular languages of infinite  
 59 words, where the coin-flipping measure is always rational, cf. [5].

60 Another step towards a solution to Problem 1 was made by the second author of the  
 61 present article, who proposed an algorithm to compute the coin-flipping measure of tree  
 62 languages definable in fragments of first-order logic [20]. This work is subsumed in a re-  
 63 port [21] (accepted for publication in a journal) co-authored with the third author, where  
 64 a new class of languages  $L$  is also resolved: tree languages recognised by safety automata,  
 65 i.e. non-deterministic automata with a trivial accepting condition.

66 An analogue of Problem 1 can be stated for  $\omega$ -regular languages. As noted by [6], the  
 67 problem then reduces to a well-known question in verification solved by Courcoubetis and  
 68 Yannakakis [8] already in the 1990s, namely whether a run of a finite-state Markov chain  
 69 satisfies an  $\omega$ -regular property. The algorithm runs in single-exponential time w.r.t. the  
 70 automaton (and linear w.r.t. the Markov chain). A related question was also studied  
 71 by Staiger [24], who gave an algorithm to compute Hausdorff dimension and Hausdorff  
 72 measure of a given  $\omega$ -regular language.

73 In general, Problem 1 remains unsolved. At first sight, one may even wonder if it is  
 74 well-stated, as regular tree languages need not in general be Borel, cf. [18]. However, due  
 75 to [12, 16], we know that regular languages of trees are always universally measurable.

76 In the present paper, we solve Problem 1 in the case where the language  $L$  is recognised  
 77 by a weak alternating automaton or, equivalently, defined by a formula of weak monadic  
 78 second-order logic, cf. [17]. The class of tree languages in consideration is incomparable with  
 79 the one considered by Michalewski and Mio [15], but subsumes those considered in [20, 21].  
 80 Yet another presentation of this class can be given in terms of alternation-free fragment of  
 81 modal  $\mu$ -calculus, see [1] for details. This fragment is known to be useful in verification and  
 82 model checking, in particular, temporal logic CTL embeds into this fragment.

83 We consider the coin-flipping measure as our primary case, but we also show how  
 84 to extend our approach to measures generated by stochastic branching processes, as in [6].  
 85 The computed probability is presented by a first-order formula in prenex normal form over  
 86 the field of reals. The provided formula is exponential in the size of the automaton and  
 87 polynomial in the size of the branching process. Moreover, the quantifier alternation of the  
 88 computed formula is constant (equal 4). Combined with the known decision procedures for  
 89 the theory of reals, this gives the following.

90 ► **Theorem 2.** *There is an algorithm that inputs a weak alternating parity automaton  $\mathcal{A}$ ,*  
 91 *a branching process  $\mathcal{P}$ , and a rational number  $q$  encoded in binary; and decides if the measure*  
 92 *generated by  $\mathcal{P}$  of the language recognised by  $\mathcal{A}$  is equal, smaller, or greater than  $q$ . The*  
 93 *algorithm works in time polynomial in  $q$ , doubly exponential in  $\mathcal{A}$ , and singly exponential*  
 94 *in  $\mathcal{P}$ .*

95 Similarly to the approach taken in [21], we reduce the problem to computation of an ap-  
 96 propriate probability distribution over the powerset of the automaton's states. To do so, we  
 97 consider the set of all such distributions  $\mathcal{DP}(Q)$  with a suitable ordering  $\preceq$ . The structure is  
 98 in fact a finitary case of a probabilistic powerdomain introduced by Saheb-Djahromi [22]  
 99 (see also [14]), but we do not exploit category-theoretic concepts in this paper. The key step  
 100 is an approximation of the target language  $L$  by two families of tree languages representing  
 101 safety and reachability properties, respectively. Then we can apply fixed-point constructions  
 102 thanks to a kind of synergy between the order and topological properties of  $\mathcal{DP}(Q)$ .

## 103 2 Trees, topology, and measure

104 The set of natural numbers  $\{0, 1, 2, \dots\}$  is denoted by  $\mathbb{N}$ , or by  $\omega$  whenever we treat it as  
 105 an ordinal. A finite non-empty set  $A$  is called an *alphabet*. By  $\mathcal{P}(X)$  we denote the family of  
 106 all subsets of a set  $X$ . The set of *finite words* over an alphabet  $A$  (including the *empty word*  
 107  $\varepsilon$ ) is denoted by  $A^*$ , and the set of  $\omega$ -words by  $A^\omega$ . The *length* of a finite word  $w \in A^*$  is  
 108 denoted by  $|w|$ . A *full infinite binary tree* over an alphabet  $A$  (or simply a *tree* if confusion  
 109 does not arise) is a mapping  $t: \{\mathsf{L}, \mathsf{R}\}^* \rightarrow A$ . The set of all such trees, denoted by  $\text{Tr}_A$ , can  
 110 be equipped with a topology induced by a metric

$$111 \quad d(t_1, t_2) = \begin{cases} 0 & \text{if } t_1 = t_2 \\ 2^{-n} \text{ with } n = \min\{|w| \mid t_1(w) \neq t_2(w)\} & \text{otherwise.} \end{cases}$$

112 It is well-known that this topology coincides with the product topology on  $A^\omega$ , where  $A$   
 113 is a discrete topological space. The topology can be generated by a basis consisting of all the  
 114 sets  $U_f$ , where  $f: \text{dom}(f) \rightarrow A$  is a function with a finite domain  $\text{dom}(f) \subset \{\mathsf{L}, \mathsf{R}\}^*$ , and  $U_f$   
 115 consists of all trees  $t$  that coincide with  $f$  on  $\text{dom}(f)$ . If  $A$  has at least 2 elements then this  
 116 topology is homeomorphic to the Cantor discontinuum  $\{0, 1\}^\omega$  (see, e.g. [19]).

117 The set of trees can be further equipped with a probabilistic measure  $\mu_0$ , which is the stand-  
 118 ard Lebesgue measure on the product space defined on the basis by  $\mu_0(U_f) = |A|^{-|\text{dom}(f)|}$ .

119 We note a useful property of this measure, which intuitively amounts to saying that  
 120 events happening in incomparable nodes are independent. For  $t \in \text{Tr}_A$  and  $v \in \{\mathsf{L}, \mathsf{R}\}^*$ , the  
 121 subtree of  $t$  induced by  $v$  is a tree  $t|_v \in \text{Tr}_A$  defined by  $t|_v(w) = t(vw)$ , for  $w \in \{\mathsf{L}, \mathsf{R}\}^*$ .

122 ► **Remark 3.** If  $v_1, \dots, v_k \in \{\mathsf{L}, \mathsf{R}\}^*$  are pairwise incomparable nodes (i.e., none is a prefix of  
 123 another) and  $V_1, \dots, V_k \subseteq \text{Tr}_A$  are Borel sets then

$$124 \quad \mu_0(\{t \in \text{Tr}_A \mid t|_{v_i} \in V_i \text{ for } i = 1, \dots, k\}) = \mu_0(V_1) \cdot \dots \cdot \mu_0(V_k). \quad (1)$$

125 We refer to e.g. [12] for more detailed considerations of measures on sets of infinite trees.

### 126 **3 Tree automata and games**

127 An *alternating parity automaton* over infinite trees can be presented as a tuple  $\mathcal{A} =$   
 128  $\langle A, Q, q_{\mathsf{I}}, \delta, \Omega \rangle$ , where  $A$  is a finite alphabet;  $Q$  a finite set of *states*;  $q_{\mathsf{I}} \in Q$  an *initial*  
 129 *state*;  $\delta: Q \times A \rightarrow \text{BC}^+(\{\mathsf{L}, \mathsf{R}\} \times Q)$  a *transition function* that assigns to a pair  $(q, a) \in Q \times A$   
 130 a finite positive Boolean combination of pairs  $(d, q') \in \{\mathsf{L}, \mathsf{R}\} \times Q$ ; and finally  $\Omega: Q \rightarrow \mathbb{N}$  is  
 131 a *priority mapping*.

132 In this paper, we assume that automata are *weak*, i.e. the priorities  $\Omega(q)$  are non-increasing  
 133 along transitions. More precisely, if  $(d, q')$  is an atom that appears in the formula  $\delta(q, a)$   
 134 then  $\Omega(q) \geq \Omega(q')$ . Given  $n \in \mathbb{N}$ , we denote by  $Q_{<n}$  and  $Q_{\geq n}$  the subsets of  $Q$  consisting  
 135 of those states whose priority is respectively strictly smaller or greater than  $n$ .

136 The semantics of an automaton can be given in a terms of a game played by two players  
 137  $\exists$  and  $\forall$  over a tree  $t$  in  $\text{Tr}_A$  from a state  $p \in Q$ . Let  $\Gamma$  be the set of all sub-formulae  
 138 of the formulae in  $\delta(q, a)$ , for all  $(q, a) \in Q \times A$ . The set of *positions* of the game is the  
 139 set  $(Q \sqcup \Gamma) \times \{\mathsf{L}, \mathsf{R}\}^*$  and the *initial position* is  $(p, \varepsilon)$ . The positions of the form  $(q, v)$ ,  
 140  $(\phi_1 \vee \phi_2, v)$ , and  $((d, q), v)$  are controlled by  $\exists$ , while the positions of the form  $(\phi_1 \wedge \phi_2, v)$  are  
 141 controlled by  $\forall$ . The edges connect the following types of positions:

- 142 ■  $(q, v)$  and  $(\delta(q, t(v)), v)$ ,
- 143 ■  $(\phi_1 \vee \phi_2, v)$  and  $(\phi_i, v)$  for  $i = 1, 2$ ,
- 144 ■  $(\phi_1 \wedge \phi_2, v)$  and  $(\phi_i, v)$  for  $i = 1, 2$ ,
- 145 ■  $((d, q), v)$  and  $(q, v \cdot d)$ .

146 We assume that every formula in the image  $\delta(Q \times A)$  is non-trivial and, thus, every position  
 147 is a source of some edge.

148 The directed graph described above forms the *arena* of our game that we denote by  $\mathcal{G}(t, p)$ .  
 149 A *play* in the arena is any infinite path starting from the initial position  $(p, \varepsilon)$ . We call the  
 150 positions of the form  $(q, v)$  *state positions*. Given a play  $\pi$ , the *states* of the play denoted  
 151  $\text{states}(\pi)$  is the sequence of states  $(q_0, q_1, \dots) \in Q^\omega$  such that the successive state positions  
 152 visited during  $\pi$  are  $(q_i, v_i)$ , for  $i = 0, 1, \dots$ , and some  $(v_i)_{i \in \omega}$ .

153 To complete the definition of the game, we specify a winning criterion for  $\exists$ . The default  
 154 is the *parity condition*, but we will also consider other criteria. Let

$$155 \quad \text{Runs} \stackrel{\text{def}}{=} \{ \rho \in Q^\omega \mid \forall i \in \omega. \Omega(\rho(i)) \geq \Omega(\rho(i+1)) \}$$

156 be the set that contains all sequences of states that induce non-increasing sequences of  
 157 priorities. Notice that since  $\mathcal{A}$  is weak, only such sequences may arise in the game. In general,

158 a *winning condition* is any set  $W \subseteq \text{Runs}$ . That is, a play  $\pi$  is *winning* for  $\exists$  with respect  
 159 to  $W$  if, and only if,  $\text{states}(\pi) \in W$ . The game with a winning set  $W$  is denoted by  $\mathcal{G}(t, p, W)$ .

160 The *parity condition*  $W_P \subseteq \text{Runs}$  for a weak automaton amounts to:  $(q_0, q_1, \dots) \in W_P$   
 161 if  $\lim_{i \rightarrow \infty} \Omega(q_i) \equiv 0 \pmod{2}$ , i.e. the limit priority of states visited in a play is even. Let  
 162  $L(\mathcal{A}, p)$  be the set of trees such that  $\exists$  has a winning strategy in  $\mathcal{G}(t, p, W_P)$ . Then, the  
 163 language of an automaton  $\mathcal{A}$  is the set  $L(\mathcal{A}) \stackrel{\text{def}}{=} L(\mathcal{A}, q_I)$ , where  $q_I$  is the initial state of  $\mathcal{A}$ .

164 As mentioned above, we will consider games with various winning criteria. The following  
 165 simple observation is useful.

166 ► **Remark 4.** If  $W \subseteq W' \subseteq \text{Runs}$  then the following implication holds: if  $\exists$  wins  $\mathcal{G}(t, p, W)$   
 167 then  $\exists$  wins also  $\mathcal{G}(t, p, W')$ .

168 Since the winning criteria in consideration will always be  $\omega$ -regular languages of infinite  
 169 words, we implicitly rely on the following classical fact (cf. [13]).

170 ► **Proposition 5.** *Games on graphs with  $\omega$ -regular winning conditions are finite memory*  
 171 *determined.*

172 We will also use the following fact, cf. e.g. [17, 23].

173 ► **Proposition 6.** *For a weak alternating parity automaton  $\mathcal{A}$ , all tree languages  $L(\mathcal{A}, p)$  are*  
 174 *Borel and, consequently, measurable with respect to the uniform measure  $\mu_0$  (and also any*  
 175 *other Borel measure on  $\text{Tr}_A$ ).*

176 Note that measurability holds for non-weak automata as well [12].

## 177 4 Approximations

178 For the sake of this section we fix a weak alternating parity automaton  $\mathcal{A}$ . Our aim  
 179 is to provide some useful approximations for the tree languages  $L(\mathcal{A}, p)$ . The approximations  
 180 are simply some families of tree languages indexed by states  $p \in Q$ . Those families, called  
 181 *Q-indexed families*, or *Q-families* for short, are represented by functions  $\mathcal{L}: Q \rightarrow \mathcal{P}(\text{Tr}_A)$ .  
 182 By the construction, we will guarantee that the tree languages  $\mathcal{L}(q)$  will themselves be  
 183 recognisable by some weak alternating automata. Each *Q-family* naturally possesses a dual  
 184 representation by a mapping  $\text{Tr}_A \rightarrow \mathcal{P}(Q)$  that we denote by the same letter (but with  
 185 different brackets)

$$186 \quad \mathcal{L}[t] \stackrel{\text{def}}{=} \{q \in Q \mid t \in \mathcal{L}(q)\} \in \mathcal{P}(Q).$$

187 If  $\rho \in \text{Runs} \subseteq Q^\omega$  is an infinite sequence of states then  $\lim_{i \rightarrow \infty} \Omega(\rho(i))$  (denoted by  $\text{limit}(\rho)$ )  
 188 exists, because by the definition of  $\text{Runs}$  the priorities are non-increasing and bounded.  
 189 Recall that  $W_P \subseteq \text{Runs}$  is the set of runs satisfying the parity condition, i.e.  $W_P = \{\rho \in$   
 190  $\text{Runs} \mid \text{limit}(\rho) \equiv 0 \pmod{2}\}$ . For  $i, n \in \mathbb{N}$ , consider the following subsets of  $\text{Runs}$ :

$$191 \quad S_i^n \stackrel{\text{def}}{=} W_P \cup \{\rho \in \text{Runs} \mid \Omega(\rho(i)) \geq n\},$$

$$192 \quad S_\infty^n \stackrel{\text{def}}{=} W_P \cup \{\rho \in \text{Runs} \mid \text{limit}(\rho) \geq n\},$$

$$193 \quad R_i^n \stackrel{\text{def}}{=} W_P \cap \{\rho \in \text{Runs} \mid \Omega(\rho(i)) < n\},$$

$$194 \quad R_\infty^n \stackrel{\text{def}}{=} W_P \cap \{\rho \in \text{Runs} \mid \text{limit}(\rho) < n\}.$$

196 Connotatively, the name of the sets  $S_i^n$  comes from the condition of *safety*, while the sets  $R_i^n$   
 197 are named after *reachability*. More precisely,  $S_i^n$  is an over-approximation of  $W_P$ , that

198 makes  $\exists$  win also if she manages to reach a priority  $\geq n$  in the  $i$ th visited node of a given  
 199 tree. Analogously,  $R_i^n$  is an under-approximation of  $W_P$  that makes  $\forall$  win in the above case.

200 Based on the above definitions, we define the respective  $Q$ -families. For  $p \in Q$ , let  $S_i^n(p)$ ,  
 201  $S_\infty^n(p)$ ,  $\mathcal{R}_i^n(p)$ , and  $\mathcal{R}_\infty^n(p)$  be the sets of trees such that  $\exists$  has a winning strategy in the  
 202 game  $\mathcal{G}(t, p, W)$ , where  $W$  is respectively  $S_i^n$ ,  $S_\infty^n$ ,  $R_i^n$ , and  $R_\infty^n$ . Figure 1 below depicts the  
 203 way these  $Q$ -families are used in the general construction.

204 It is easy to see that all the tree languages above can be recognised by weak parity  
 205 alternating automata.

206 ► **Lemma 7.** *For every  $n \in \mathbb{N}$  and  $i \in \mathbb{N}$ , we have*

$$207 \quad S_i^n \supseteq S_{i+1}^n \supseteq S_\infty^n \text{ and } R_i^n \subseteq R_{i+1}^n \subseteq R_\infty^n.$$

208 *Analogously, for every  $p \in Q$ ,*

$$209 \quad \mathcal{S}_i^n(p) \supseteq \mathcal{S}_{i+1}^n(p) \supseteq \mathcal{S}_\infty^n(p) \text{ and } \mathcal{R}_i^n(p) \subseteq \mathcal{R}_{i+1}^n(p) \subseteq \mathcal{R}_\infty^n(p).$$

210 **Proof.** The first property follows directly from the definition of Runs, which guarantees that  
 211  $\Omega(\rho(0)) \geq \Omega(\rho(1)) \geq \dots \geq \text{limit}(\rho)$ . Then, the second property follows from Remark 4. ◀

212 It is straightforward to see that  $S_\infty^n = \bigcap_{i \in \mathbb{N}} S_i^n$  and  $R_\infty^n = \bigcup_{i \in \mathbb{N}} R_i^n$ . However, it is not clear  
 213 that these equalities imply the desired properties for the respective sets of trees. Lemma 9  
 214 below implies that it is the case. The proof relies on combinatorics of binary trees, namely  
 215 on König's Lemma.

216 ► **Lemma 8.** *Take  $n \in \mathbb{N}$  and  $p \in Q$ . Let  $B_\infty^n = \{\rho \in \text{Runs} \mid \text{limit}(\rho) < n\}$  and, for  $i \in \mathbb{N}$ ,  
 217 let  $B_i^n = \{\rho \in \text{Runs} \mid \Omega(\rho(i)) < n\}$ . If  $\sigma$  is a winning strategy of  $\exists$  in  $\mathcal{G}(t, p, B_\infty^n)$  then there  
 218 exists a number  $J \in \mathbb{N}$ , such that  $\sigma$  is actually winning in  $\mathcal{G}(t, p, B_J^n)$ . An analogous property  
 219 holds if  $\sigma$  is a winning strategy for  $\forall$ .*

220 **Proof.** Let  $\sigma$  be a winning strategy of  $\exists$  in  $\mathcal{G}(t, p, B_\infty^n)$  (the case of  $\forall$  is completely analogous).  
 221 Let  $T \subseteq (Q \times \{L, R\})^*$  be the set of sequences  $(q_i, d_i)_{i \leq \ell}$ , with  $q_0 = p$ , such that there exists  
 222 a play consistent with  $\sigma$  that visits all the positions  $(q_i, d_0 \dots d_{i-1})$  for  $i = 0, 1, \dots, \ell$ , and  
 223 additionally  $\Omega(q_\ell) \geq n$ . Observe that  $T$  is prefix-closed. Thus, we can treat  $T$  as a tree.  
 224 Moreover, as  $Q \times \{L, R\}$  is finite,  $T$  is finitely branching. If  $T$  is finite then there exists  $J$  such  
 225 that all the sequences in  $T$  have length at most  $J$ . In that case  $\sigma$  is winning in  $\mathcal{G}(t, p, B_J^n)$ ,  
 226 and we are done.

227 For the sake of contradiction, suppose that  $T$  is infinite. Apply König's Lemma to obtain  
 228 an infinite path  $(q_i, d_i)_{i \in \omega}$  in  $T$ . By the definition of  $T$ , it implies that there exists an infinite  
 229 play consistent with  $\sigma$  such that  $(q_i)_{i \in \omega}$  is the sequence of states visited during the play. But  
 230 this is a contradiction, because  $\text{limit}((q_i)_{i \in \omega}) \geq n$  by the definition of  $T$  and, therefore, the  
 231 considered play is losing for  $\exists$ . ◀

232 ► **Lemma 9.** *Using the above notions, for every state  $p \in Q$ , we have*

$$233 \quad \mathcal{S}_\infty^n(p) = \bigcap_{i \in \mathbb{N}} \mathcal{S}_i^n(p) \text{ and } \mathcal{R}_\infty^n(p) = \bigcup_{i \in \mathbb{N}} \mathcal{R}_i^n(p).$$

234 **Proof.** Consider the first claim and take a tree  $t \in \text{Tr}_A$  such that for every  $i \in \mathbb{N}$  we  
 235 have  $t \in \mathcal{S}_i^n(p)$ . We need to prove that  $t \in \mathcal{S}_\infty^n(p)$ . Assume contrarily, that  $t \notin \mathcal{S}_\infty^n(p)$ .  
 236 By determinacy, see Proposition 5, it means that there exists a strategy  $\sigma'$  of  $\forall$  such  
 237 that for every play  $\pi$  consistent with  $\sigma'$ , we have  $\text{limit}(\text{states}(\pi)) < n$  and  $\text{limit}(\text{states}(\pi))$   
 238 is odd. Hence, in particular,  $\sigma'$  is winning for  $\forall$  in  $\mathcal{G}(t, p, B_\infty^n)$ . Therefore, by Lemma 8,

we know that there exists a number  $J \in \mathbb{N}$  such that, for every  $\pi$  consistent with  $\sigma'$  with states  $(\pi) = (q_0, q_1, \dots)$ , we have  $\Omega(q_J) < n$ . Therefore, the strategy  $\sigma'$  witnesses that  $t \notin \mathcal{S}_J^n(p)$ , a contradiction.

We now prove the second claim. Take a tree  $t \in \mathcal{R}_\infty^n(p)$ . We need to prove that  $t \in \mathcal{R}_i^n(p)$  for some  $i \in \mathbb{N}$ . Let  $\sigma$  be a strategy of  $\exists$  witnessing that  $t \in \mathcal{R}_\infty^n(p)$ . Again, Lemma 8 guarantees that there exists a number  $J \in \mathbb{N}$  such that if  $\pi$  is a play consistent with  $\sigma$  with states  $(\pi) = (q_0, q_1, \dots)$  then  $\Omega(q_J) < n$ . Thus,  $t \in \mathcal{R}_J^n(p)$ . ◀

The following lemma provides another characterisation of the above  $Q$ -families.

► **Lemma 10.** *For each  $p \in Q$ , we have  $\mathcal{S}_i^0(p) = \text{Tr}_A$  and  $\mathcal{R}_i^0(p) = \emptyset$ . Take  $n > 0$ . If  $\Omega(p) \geq n$  then  $\mathcal{S}_0^n(p) = \text{Tr}_A$  and  $\mathcal{R}_0^n(p) = \emptyset$ . If  $\Omega(p) < n$  then*

$$\begin{aligned} \text{L}(\mathcal{A}, p) &= \mathcal{S}_0^n(p), & \text{L}(\mathcal{A}, p) &= \mathcal{R}_0^n(p), \\ \text{L}(\mathcal{A}, p) &= \mathcal{S}_\infty^{n-1}(p) \quad \text{for odd } n, & \text{L}(\mathcal{A}, p) &= \mathcal{R}_\infty^{n-1}(p) \quad \text{for even } n. \end{aligned}$$

**Proof.** The cases of  $n = 0$  are trivial. The first two claims in the case  $\Omega(p) \geq n$  follow directly from the definitions. Take  $p$  such that  $\Omega(p) < n$ . Notice that in that case the sequence of states  $\rho$  in a play in  $\mathcal{G}(t, p)$  satisfies

$$\begin{aligned} \rho \in W_P &\iff \rho \in S_0^n, & \rho \in W_P &\iff \rho \in R_0^n, \\ \rho \in W_P &\iff \rho \in S_\infty^{n-1} \quad \text{for odd } n, & \rho \in W_P &\iff \rho \in R_\infty^{n-1} \quad \text{for even } n. \end{aligned}$$

where the first two equivalences follow from the fact that  $\Omega(\rho(0)) = \Omega(p) < n$ . The last two equivalences can be derived from the fact that  $\text{limit}(\rho) \leq \Omega(p) < n$ . First, we have  $\text{limit}(\rho) \geq n-1 \iff \text{limit}(\rho) = n-1$ . Thus, if  $n$  is odd and  $\text{limit}(\rho) \geq n-1$  then we know that  $\text{limit}(\rho)$  is even. Analogously, if  $n$  is even then  $n-1$  is odd and the fact that  $\text{limit}(\rho)$  is even guarantees that  $\text{limit}(\rho) < n-1$ .

Clearly, the above equivalences imply that, under the assumption of the lemma, a strategy winning for the condition  $W_P$  is winning for the respective conditions and vice-versa. ◀

Our aim now is to define a function  $\Delta: \mathbb{P}(Q) \times A \times \mathbb{P}(Q) \rightarrow \mathbb{P}(Q)$  that will allow us to form equations over  $Q$ -families. An ordered pair of sets of states  $P_L, P_R \in \mathbb{P}(Q)$  induces a *valuation*  $v_{P_L, P_R}$  to the atoms in  $\{\mathbb{L}, \mathbb{R}\} \times Q$  defined by:  $v_{P_L, P_R}(d, p)$  is true if  $p \in P_d$ . Now, consider additionally a letter  $a \in A$  and put

$$\Delta(P_L, a, P_R) = \{q \in Q \mid v_{P_L, P_R} \models \delta(q, a)\}.$$

Equivalently,  $q \in \Delta(P_L, a, P_R)$  if  $\exists$  can play the finite game represented by  $\delta(q, a)$  in such a way to reach only such atoms  $(d, p)$  that satisfy  $p \in P_d$ .

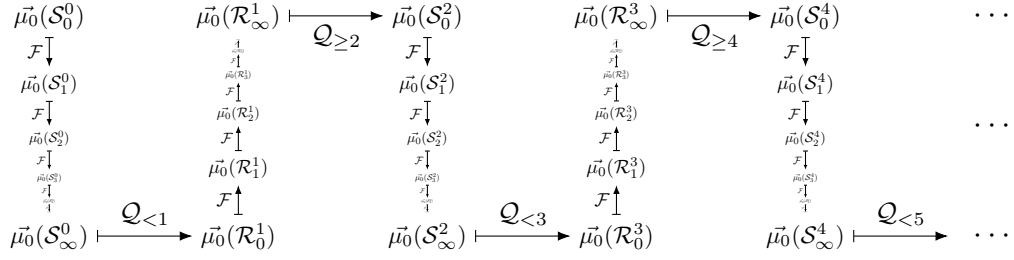
► **Lemma 11.** *The function  $\Delta: \mathbb{P}(Q) \times A \times \mathbb{P}(Q) \rightarrow \mathbb{P}(Q)$  is monotone, i.e. if  $P_L \subseteq P'_L$  and  $P_R \subseteq P'_R$  then  $\Delta(P_L, a, P_R) \subseteq \Delta(P'_L, a, P'_R)$ .*

**Proof.** It follows directly from the fact that the Boolean formulae in  $\delta(q, a)$  are positive. ◀

Recall that  $t \upharpoonright_v \in \text{Tr}_A$  denotes the subtree of  $t$  induced by a node  $v$ , cf. Section 2. The following lemma shows how to increase the index  $i$  of the above  $Q$ -families  $\mathcal{S}_i^n$  and  $\mathcal{R}_i^n$ .

► **Lemma 12.** *Take  $n \in \mathbb{N}$ ,  $i \in \mathbb{N}$ , and a tree  $t \in \text{Tr}_A$ . Then we have:*

$$\begin{aligned} \mathcal{S}_{i+1}^n[t] &= \Delta(\mathcal{S}_i^n[t \upharpoonright_{\mathbb{L}}], t(\varepsilon), \mathcal{S}_i^n[t \upharpoonright_{\mathbb{R}}]), \\ \mathcal{R}_{i+1}^n[t] &= \Delta(\mathcal{R}_i^n[t \upharpoonright_{\mathbb{L}}], t(\varepsilon), \mathcal{R}_i^n[t \upharpoonright_{\mathbb{R}}]). \end{aligned}$$



■ **Figure 1** A schematic presentation of the relationship between the distributions used in the proof. The vertical axis represents the order  $\preceq$ , i.e.  $\vec{\mu}_0(S_0^0) \succeq \vec{\mu}_0(S_0^0)$ . The edges marked  $\mathcal{F}$ ,  $Q_{<n}$ , and  $Q_{\geq n}$  represent applications of the respective operations. The vertical convergence is understood in terms of pointwise limits in  $\mathbb{R}^{P(Q)}$ .

277 The proof of this lemma is based on a standard technique of merging strategies: the  
 278 game  $\mathcal{G}(t, p)$  can be split into a finite game corresponding to the formula  $\delta(p, t(\varepsilon))$  that leads  
 279 to the sub-games  $\mathcal{G}(t|_L, p_L)$  and  $\mathcal{G}(t|_R, p_R)$  for some states  $p_L, p_R \in Q$ .

280 **Proof.** Take a play  $\pi$  in the arena  $\mathcal{G}(t, p)$  for some state  $p \in Q$ . Recall that, by the definition  
 281 of the game, the initial position of the play is  $(p, \varepsilon)$  and the next state position will have  
 282 the form  $(q, d)$ , for some  $q \in Q$  and  $d \in \{L, R\}$ . Consider the suffix of the play  $\pi$  starting  
 283 from that position. Clearly, this suffix induces a play, say  $\pi'$ , in the arena  $\mathcal{G}(t|_d, q)$ , starting  
 284 from the position  $(q, \varepsilon)$  (technically, to satisfy our definition, we need also to replace every  
 285 position  $(\alpha, dv)$  by  $(\alpha, v)$  in the original play). Moreover, the sequence of states visited by  $\pi'$ ,  
 286 states  $(\pi')$ , is a suffix of the sequence states  $(\pi)$  obtained by removing just the first element.  
 287 By the definition of  $S_i^n$  and  $R_i^n$  we have therefore

$$288 \text{states}(\pi) \in S_{i+1}^n \iff \text{states}(\pi') \in S_i^n, \text{ and } \text{states}(\pi) \in R_{i+1}^n \iff \text{states}(\pi') \in R_i^n. \quad (2)$$

289 We will now provide the proof for  $S_{i+1}^n$ , the case of  $R_{i+1}^n$  is analogous. Let  $P_L$  and  $P_R$   
 290 equal respectively  $S_i^n[t|_L]$  and  $S_i^n[t|_R]$ . Put  $a = t(\varepsilon)$ . Recall that by the duality of the two  
 291 representations of  $Q$ -families,  $p \in S_{i+1}^n[t]$  iff  $t \in S_{i+1}^n(p)$ . So we need to prove that for every  
 292  $p \in Q$  we have  $t \in S_{i+1}^n(p)$  if and only if  $p \in \Delta(P_L, a, P_R)$ .

293 Assume that  $t \in S_{i+1}^n(p)$ . Take a strategy  $\sigma$  witnessing that. Notice that if a position of  
 294 the form  $(q, d)$  can be reached by  $\sigma$  then by (2) we know that  $t|_d \in S_i^n(q)$ , i.e.  $q \in P_d$ . Thus,  
 295 the strategy  $\sigma$  witnesses that  $p \in \Delta(P_L, a, P_R)$ .

296 For the opposite direction, assume that  $p \in \Delta(P_L, a, P_R)$ . This means that there exists a  
 297 finite strategy of  $\exists$  that allows her to resolve the formula  $\delta(p, a)$  in such a way that for every  
 298 atom  $(d, q)$  that can be reached by this strategy, we have  $(d, q) \in P_d$ . The last means that  $\exists$   
 299 has a winning strategy in the game  $\mathcal{G}(t|_d, q, S_i^n)$ . Now we can combine all above strategies  
 300 in a strategy in the game  $\mathcal{G}(t, p, S_{i+1}^n)$ , which by Equation (2) is again winning for  $\exists$ . Hence,  
 301  $t \in S_{i+1}^n(p)$ , as desired. ◀

## 5 Measures and distributions

303 Following an approach started in [21], we transfer the problem of computing measures  
 304 of tree languages  $L(\mathcal{A}, p)$  to computing a suitable probability distribution on the sets of the  
 305 automaton states. We start with a general construction. For a finite set  $X$ , consider the set  
 306 of probability distributions over  $X$ ,  $\mathcal{DX} \stackrel{\text{def}}{=} \{\alpha : X \rightarrow [0, 1] \mid \sum_{x \in X} \alpha(x) = 1\}$ . Observe that,  
 307 if  $X$  is partially ordered by a relation  $\leq$  then  $\mathcal{DX}$  is partially ordered by a relation  $\preceq$  defined



308 as follows:  $\alpha \preceq \beta$  if for every upward-closed<sup>1</sup> set  $U \subseteq X$ , we have  $\sum_{x \in U} \alpha(x) \leq \sum_{x \in U} \beta(x)$ .  
 309 In this article, we are interested in  $\langle X, \preceq \rangle$  being the powerset  $\mathbf{P}(Q)$  ordered by inclusion  $\subseteq$ .

310 ► **Remark 13.** The relation  $\preceq$  is a partial order on  $\mathcal{DX}$  (as an intersection of a finite family  
 311 of partial orders).

312 Every  $Q$ -family  $\mathcal{L}$  for a weak alternating automaton  $\mathcal{A}$  induces naturally a member  
 313 of  $\mathcal{DP}(Q)$ , which is a distribution  $\vec{\mu}_0(\mathcal{L})$  defined by

$$314 \quad \vec{\mu}_0(\mathcal{L})(P) = \mu_0\{t \in \text{Tr}_A \mid \mathcal{L}[t] = P\}.$$

315 Here  $\mu_0$  is the uniform probability measure on  $\text{Tr}_A$ . The sets in consideration are measurable  
 316 thanks to Proposition 6.

317 Note that if the language family is exactly  $\mathcal{L}(q) = L(\mathcal{A}, q)$  then the probability assigned  
 318 to a set of states  $P$  amounts to the probability that a randomly chosen tree, with respect  
 319 to  $\mu_0$ , is accepted precisely from the states in  $P$ .

320 ► **Lemma 14.** *If for each  $q \in Q$  we have  $\mathcal{L}(q) \subseteq \mathcal{L}'(q)$  then  $\vec{\mu}_0(\mathcal{L}) \preceq \vec{\mu}_0(\mathcal{L}')$  in  $\mathcal{DP}(Q)$ .*

321 **Proof.** Take any upward-closed family  $U \subseteq \mathbf{P}(Q)$ . Then

$$322 \quad \sum_{P \in U} \vec{\mu}_0(\mathcal{L})(P) = \sum_{P \in U} \mu_0\{t \in \text{Tr}_A \mid \mathcal{L}[t] = P\} = \mu_0\{t \in \text{Tr}_A \mid \mathcal{L}[t] \in U\} \leq$$

$$323 \quad \leq \mu_0\{t \in \text{Tr}_A \mid \mathcal{L}'[t] \in U\} = \sum_{P \in U} \mu_0\{t \in \text{Tr}_A \mid \mathcal{L}'[t] = P\} = \sum_{P \in U} \vec{\mu}_0(\mathcal{L}')(P),$$

324 where the middle inequality follows from the assumption that  $\mathcal{L}(q) \subseteq \mathcal{L}'(q)$  and the fact that  
 325 the family  $U$  is upward-closed. ◀

326 We now examine the sequences of distributions  $\vec{\mu}_0(\mathcal{S}_i^n)$ ,  $\vec{\mu}_0(\mathcal{R}_i^n)$ ,  $\vec{\mu}_0(\mathcal{S}_\infty^n)$ , and  $\vec{\mu}_0(\mathcal{R}_\infty^n)$   
 327 arising from the  $Q$ -families introduced in the previous section. Our aim is to bind them  
 328 by equations computable within  $\mathcal{DP}(Q)$ . As an analogue to the operation  $\Delta$ , we introduce  
 329 a function  $\mathcal{F}: \mathcal{DP}(Q) \rightarrow \mathcal{DP}(Q)$  defined for  $\beta \in \mathcal{DP}(Q)$  and  $P \in \mathbf{P}(Q)$  by

$$330 \quad \mathcal{F}(\beta)(P) = \frac{1}{|A|} \cdot \sum_{(P_L, a, P_R) \in \Delta^{-1}(P)} \beta(P_L) \cdot \beta(P_R). \quad (3)$$

331 Note that the formula guarantees that  $\mathcal{F}(\beta)$  is indeed a probabilistic distribution in  $\mathcal{DP}(Q)$ .  
 332 The operator  $\mathcal{F}$  allows us to lift the inductive definitions of the  $Q$ -families  $\mathcal{S}_{i+1}^n$  and  $\mathcal{R}_{i+1}^n$   
 333 given by Lemma 12, to their counterparts in the level of probability distributions.

334 ► **Lemma 15.** *For each  $n \in \mathbb{N}$  and  $i \in \mathbb{N}$  we have*

$$335 \quad \vec{\mu}_0(\mathcal{S}_{i+1}^n) = \mathcal{F}(\vec{\mu}_0(\mathcal{S}_i^n)) \quad \text{and} \quad \vec{\mu}_0(\mathcal{R}_{i+1}^n) = \mathcal{F}(\vec{\mu}_0(\mathcal{R}_i^n)).$$

<sup>1</sup> That is if  $x \leq y$  and  $x \in U$  then  $y \in U$ .

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336 **Proof.** Take  $P \in \mathcal{P}(Q)$  and observe that

$$\begin{aligned}
 337 \quad \mathcal{F}\left(\vec{\mu}_0(\mathcal{S}_i^n)\right)(P) &\stackrel{(1)}{=} \frac{1}{|A|} \cdot \sum_{(P_L, a, P_R) \in \Delta^{-1}(P)} \vec{\mu}_0(\mathcal{S}_i^n)(P_L) \cdot \vec{\mu}_0(\mathcal{S}_i^n)(P_R) \\
 338 \quad &\stackrel{(2)}{=} \sum_{(P_L, a, P_R) \in \Delta^{-1}(P)} \mu_0\{t_L \mid \mathcal{S}_i^n[t_L]=P_L\} \cdot \frac{1}{|A|} \cdot \mu_0\{t_R \mid \mathcal{S}_i^n[t_R]=P_R\} \\
 339 \quad &\stackrel{(3)}{=} \sum_{(P_L, a, P_R) \in \Delta^{-1}(P)} \mu_0\{t \mid \mathcal{S}_i^n[t \upharpoonright_L]=P_L \wedge t(\varepsilon)=a \wedge \mathcal{S}_i^n[t \upharpoonright_R]=P_R\} \\
 340 \quad &\stackrel{(4)}{=} \mu_0 \left( \bigcup_{(P_L, a, P_R) \in \Delta^{-1}(P)} \{t \mid \mathcal{S}_i^n[t \upharpoonright_L]=P_L \wedge t(\varepsilon)=a \wedge \mathcal{S}_i^n[t \upharpoonright_R]=P_R\} \right) \\
 341 \quad &\stackrel{(5)}{=} \mu_0\{t \in \text{Tr}_A \mid \Delta(\mathcal{S}_i^n[t \upharpoonright_L], t(\varepsilon), \mathcal{S}_i^n[t \upharpoonright_R])=P\} \\
 342 \quad &\stackrel{(6)}{=} \mu_0\{t \in \text{Tr}_A \mid \mathcal{S}_{i+1}^n[t]=P\} \stackrel{(7)}{=} \vec{\mu}_0(\mathcal{S}_{i+1}^n)(P), \\
 343
 \end{aligned}$$

344 where: (1) is just the definition of  $\mathcal{F}\left(\vec{\mu}_0(\mathcal{S}_i^n)\right)$ ; (2) follows from the definition of  $\vec{\mu}_0(\mathcal{S}_i^n)$ ;  
 345 (3) follows from Remark 3 and the independence of  $\{t(\varepsilon) = a\}$  from the other events  
 346 in consideration; (4) follows from the fact that the measured sets are pairwise disjoint;  
 347 (5) follows simply from the definition of  $\Delta$ ; (6) follows from Lemma 12; and (7) is just the  
 348 definition of  $\vec{\mu}_0(\mathcal{S}_{i+1}^n)$ .

349 The proof for  $\mathcal{R}_{i+1}^n$  follows the same steps, except it uses the  $\mathcal{R}_i^n$  variant of Lemma 12. ◀

350 Now, recall that  $Q_{\geq n}$  and  $Q_{< n}$  are sets of states of respective priorities. Let the  
 351 functions  $\mathcal{Q}_{< n}, \mathcal{Q}_{\geq n}: \mathcal{DP}(Q) \rightarrow \mathcal{DP}(Q)$  be defined by

$$352 \quad \mathcal{Q}_{< n}(\beta)(P) \stackrel{\text{def}}{=} \sum_{P': P' \cap Q_{< n}=P} \beta(P'), \quad (4)$$

$$353 \quad \mathcal{Q}_{\geq n}(\beta)(P) \stackrel{\text{def}}{=} \sum_{P': P' \cup Q_{\geq n}=P} \beta(P'). \quad (5)$$

354 Again, the formulae guarantee that  $\mathcal{Q}_{< n}(\beta)$  and  $\mathcal{Q}_{\geq n}(\beta)$  are both probabilistic distributions  
 355 in  $\mathcal{DP}(Q)$ . The following lemma shows the relation between these functions and the limit  
 356 distributions  $\vec{\mu}_0(\mathcal{S}_\infty^{n-1})$  and  $\vec{\mu}_0(\mathcal{R}_\infty^{n-1})$ .

357 ► **Lemma 16.** *For each  $n \in \mathbb{N}$  we have*

$$358 \quad \mathcal{Q}_{< n}\left(\vec{\mu}_0(\mathcal{S}_\infty^{n-1})\right) = \vec{\mu}_0(\mathcal{R}_0^n) \quad \text{if } n \text{ is odd,}$$

$$359 \quad \mathcal{Q}_{\geq n}\left(\vec{\mu}_0(\mathcal{R}_\infty^{n-1})\right) = \vec{\mu}_0(\mathcal{S}_0^n) \quad \text{if } n \text{ is even.}$$

361 This lemma follows from Lemma 10 in a similar way as Lemma 15 follows from Lemma 12.

362 **Proof.** Consider the case of even  $n$  and a tree  $t \in \text{Tr}_A$ . We need to show that

$$363 \quad \mathcal{Q}_{\geq n}\left(\vec{\mu}_0(\mathcal{R}_\infty^{n-1})\right) = \vec{\mu}_0(\mathcal{S}_0^n).$$

364 Lemma 10 implies that

$$365 \quad \mathcal{S}_0^n[t] = (\mathcal{R}_\infty^{n-1}[t]) \cup Q_{\geq n}. \quad (6)$$

366 Therefore, for each  $P \in \mathcal{P}(Q)$  we have

$$\begin{aligned}
367 \quad \vec{\mu}_0(\mathcal{S}_0^n)(P) &= \mu_0\{t \in \text{Tr}_A \mid \mathcal{S}_0^n[t] = P\} \\
368 \quad &= \mu_0\{t \in \text{Tr}_A \mid (\mathcal{R}_\infty^{n-1}[t]) \cup Q_{\geq n} = P\} \\
369 \quad &= \mu_0\left(\bigcup_{P': P' \cup Q_{\geq n} = P} \{t \in \text{Tr}_A \mid \mathcal{R}_\infty^{n-1}[t] = P'\}\right) \\
370 \quad &= \sum_{P': P' \cup Q_{\geq n} = P} \mu_0\{t \in \text{Tr}_A \mid \mathcal{R}_\infty^{n-1}[t] = P'\} \\
371 \quad &= \sum_{P': P' \cup Q_{\geq n} = P} \vec{\mu}_0(\mathcal{R}_\infty^{n-1})(P') \\
372 \quad &= \mathcal{Q}_{\geq n}(\vec{\mu}_0(\mathcal{R}_\infty^{n-1}))(P) \\
373
\end{aligned}$$

374 The case of odd  $n$  is entirely analogous.  $\blacktriangleleft$

375 The two above lemmata express the properties of the operators  $\mathcal{F}$ ,  $\mathcal{Q}_{<n}$ , and  $\mathcal{Q}_{\geq n}$   
376 as depicted on Figure 1.

## 377 **6** Limit distributions $\vec{\mu}_0(\mathcal{S}_\infty^n)$ and $\vec{\mu}_0(\mathcal{R}_\infty^n)$

378 In this section we show how to represent the distributions  $\vec{\mu}_0(\mathcal{S}_\infty^n)$  and  $\vec{\mu}_0(\mathcal{R}_\infty^n)$  as fixed  
379 points. We begin by proving that these distributions are limits in  $\mathbb{R}^{\mathcal{P}(Q)}$  of the sequences  
380 of vectors  $(\vec{\mu}_0(\mathcal{S}_i^n))_{i \in \mathbb{N}}$  and  $(\vec{\mu}_0(\mathcal{R}_i^n))_{i \in \mathbb{N}}$  respectively. This is a consequence of Lemmata 7  
381 and 9.

382 **► Lemma 17.** *For each  $n \in \mathbb{N}$  and  $P \in \mathcal{P}(Q)$  we have*

$$383 \quad \lim_{i \rightarrow \infty} \vec{\mu}_0(\mathcal{S}_i^n)(P) = \vec{\mu}_0(\mathcal{S}_\infty^n)(P) \text{ and } \lim_{i \rightarrow \infty} \vec{\mu}_0(\mathcal{R}_i^n)(P) = \vec{\mu}_0(\mathcal{R}_\infty^n)(P).$$

384 **Proof.** We consider case of  $\vec{\mu}_0(\mathcal{S}_\infty^n)$ , the case of  $\vec{\mu}_0(\mathcal{R}_\infty^n)(P)$  is entirely dual. First, we show  
385 that the respective limits agree when taking sums over any upward closed family  $U \subseteq \mathcal{P}(Q)$ ,  
386 see (7) below. For  $i \in \mathbb{N}$  let  $X_i = \bigcup_{P' \in U} \{t \in \text{Tr}_A \mid \mathcal{S}_i^n[t] = P'\}$  and  $X_\infty = \bigcup_{P' \in U} \{t \in$   
387  $\text{Tr}_A \mid \mathcal{S}_\infty^n[t] = P'\}$ . Lemma 7 together with the fact that  $U$  is upward-closed imply that  
388  $X_0 \supseteq X_1 \supseteq \dots \supseteq X_\infty$ . Lemma 9 and finiteness of  $Q$  imply that for every tree  $t$  there  
389 exists an index  $J$  such that  $\mathcal{S}_J^n[t] \subseteq \mathcal{S}_\infty^n[t]$ . Therefore,  $\bigcap_{i \in \mathbb{N}} X_i = X_\infty$ . By continuity of the  
390 measure  $\mu_0$  we get that  $\lim_{i \rightarrow \infty} \mu_0(X_i) = \mu_0(X_\infty)$ . This means that

$$391 \quad \lim_{i \rightarrow \infty} \sum_{P' \in U} \vec{\mu}_0(\mathcal{S}_i^n)(P') = \lim_{i \rightarrow \infty} \mu_0(X_i) = \mu_0(X_\infty) = \sum_{P' \in U} \vec{\mu}_0(\mathcal{S}_\infty^n)(P'). \quad (7)$$

392 Clearly,  $\{P\} = \{P' \in \mathcal{P}(Q) \mid P' \supseteq P\} \setminus \{P' \in \mathcal{P}(Q) \mid P' \supsetneq P\}$  with both these families  
393 upward closed. Therefore, we can apply (7) twice and obtain the desired equation.  $\blacktriangleleft$

394 The monotonicity of  $\Delta$ , see Lemma 11, implies the following lemma.

395 **► Lemma 18.** *The operator  $\mathcal{F}: \mathcal{DP}(Q) \rightarrow \mathcal{DP}(Q)$ , see Equation (3), is monotone in  $\preceq$ .*

396 **Proof.** We need to prove that  $\mathcal{F}$  is monotone w.r.t. the order  $\preceq$ . Thus, for every  $\alpha \preceq$   
397  $\beta \in \mathcal{DP}(Q)$  and an upward-closed family  $U \subseteq \mathcal{P}(Q)$  we should have  $\sum_{P \in U} \mathcal{F}(\alpha)(P) \leq$   
398  $\sum_{P \in U} \mathcal{F}(\beta)(P)$ .

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399 After multiplying by  $\frac{1}{|A|}$  and splitting the sum over separate letters  $a \in A$  (see the  
400 definition of  $\mathcal{F}$ , cf. (3)), it is enough to show that for each  $a \in A$  and  $O_a \stackrel{\text{def}}{=} \{(P_L, P_R) \mid$   
401  $\Delta(P_L, a, P_R) \in U\}$  we have

$$402 \quad \sum_{(P_L, P_R) \in O_a} \alpha(P_L) \cdot \alpha(P_R) \leq \sum_{(P_L, P_R) \in O_a} \beta(P_L) \cdot \beta(P_R).$$

403 Now, by monotonicity of  $\Delta$  (see Lemma 11) and the fact that  $U$  is upward-closed, we  
404 know that if  $P_L \subseteq P'_L$ ,  $P_R \subseteq P'_R$ , and  $(P_L, P_R) \in O_a$  then also  $(P'_L, P'_R) \in O_a$ . By  $P_L^{-1} \cdot O_a$   
405 and  $O_a \cdot P_R^{-1}$  we will denote the sections  $\{P_R \mid (P_L, P_R) \in O_a\}$  and  $\{P_L \mid (P_L, P_R) \in O_a\}$   
406 respectively. Notice that both of them are upward-closed. Thus, using the assumption that  
407  $\alpha \preceq \beta$  twice, we obtain

$$408 \quad \begin{aligned} \sum_{(P_L, P_R) \in O_a} \alpha(P_L) \cdot \alpha(P_R) &= \sum_{P_L \in \mathcal{P}(Q)} \alpha(P_L) \cdot \left( \sum_{P_R \in P_L^{-1} \cdot O_a} \alpha(P_R) \right) \\ 409 &\leq \sum_{P_L \in \mathcal{P}(Q)} \alpha(P_L) \cdot \left( \sum_{P_R \in P_L^{-1} \cdot O_a} \beta(P_R) \right) \\ 410 &= \sum_{(P_L, P_R) \in O_a} \alpha(P_L) \cdot \beta(P_R) = \sum_{(P_L, P_R) \in O_a} \beta(P_R) \cdot \alpha(P_L) \\ 411 &= \sum_{P_R \in \mathcal{P}(Q)} \beta(P_R) \cdot \left( \sum_{P_L \in O_a \cdot P_R^{-1}} \alpha(P_L) \right) \\ 412 &\leq \sum_{P_R \in \mathcal{P}(Q)} \beta(P_R) \cdot \left( \sum_{P_L \in O_a \cdot P_R^{-1}} \beta(P_L) \right) \\ 413 &= \sum_{(P_L, P_R) \in O_a} \beta(P_R) \cdot \beta(P_L) = \sum_{(P_L, P_R) \in O_a} \beta(P_L) \cdot \beta(P_R). \end{aligned}$$

414

415 ◀  
416 With the two lemmata above, we are ready to conclude this section: we characterise the  
417 distributions  $\vec{\mu}_0(\mathcal{S}_\infty^n)$  and  $\vec{\mu}_0(\mathcal{R}_\infty^n)$ , see Figure 1, by a specialised variant of the Knaster-Tarski  
418 fixed point theorem.

419 **► Proposition 19.** *For each  $n \in \mathbb{N}$  the distribution  $\vec{\mu}_0(\mathcal{S}_\infty^n)$  is the  $\preceq$ -greatest distribution  $\beta$*   
420 *satisfying  $\beta = \mathcal{F}(\beta)$  and  $\beta \preceq \vec{\mu}_0(\mathcal{S}_0^n)$ . Similarly,  $\vec{\mu}_0(\mathcal{R}_\infty^n)$  is the  $\preceq$ -least distribution  $\beta$*   
421 *satisfying  $\beta = \mathcal{F}(\beta)$  and  $\beta \succeq \vec{\mu}_0(\mathcal{R}_0^n)$ .*

422 **Proof.** Consider the case of  $\vec{\mu}_0(\mathcal{S}_\infty^n)$ . Observe that  $\mathcal{F}$  is continuous in  $\mathbb{R}^{\mathcal{P}(Q)}$ . Indeed,  
423 it is given by a vector of quadratic polynomials from  $\mathbb{R}^{\mathcal{P}(Q)}$  to  $\mathbb{R}^{\mathcal{P}(Q)}$ . Now, take  $P \in \mathcal{P}(Q)$   
424 and observe that

$$425 \quad \begin{aligned} \vec{\mu}_0(\mathcal{S}_\infty^n)(P) &= \lim_{i \rightarrow \infty} \vec{\mu}_0(\mathcal{S}_i^n)(P) = \lim_{i \rightarrow \infty} \mathcal{F}(\vec{\mu}_0(\mathcal{S}_i^n))(P) = \\ 426 &\quad \mathcal{F}\left(\lim_{i \rightarrow \infty} \vec{\mu}_0(\mathcal{S}_i^n)(P)\right) = \mathcal{F}\left(\vec{\mu}_0(\mathcal{S}_\infty^n)(P)\right) \end{aligned}$$

427 where the first equality follows from Lemma 17; the second from Lemma 15; the third  
428 from continuity of  $\mathcal{F}$ ; and the last from Lemma 17, again. Therefore,  $\beta = \vec{\mu}_0(\mathcal{S}_\infty^n)$  satisfies  
429  $\beta = \mathcal{F}(\beta)$ . Moreover, Lemmata 7 and 14 imply that  $\beta \preceq \vec{\mu}_0(\mathcal{S}_0^n)$ .

430

431 Consider now any distribution  $\beta \in \mathcal{DP}(Q)$  such that  $\beta = \mathcal{F}(\beta)$  and  $\beta \preceq \vec{\mu}_0(\mathcal{S}_0^n)$ . We need  
 432 to prove that  $\beta \preceq \vec{\mu}_0(\mathcal{S}_\infty^n)$ . Lemma 18 states that  $\mathcal{F}$  is monotone. Therefore, by inductively  
 433 applying Lemma 15 for  $i = 0, \dots$ , we infer that

$$434 \quad \beta = \mathcal{F}(\beta) \leq \mathcal{F}(\vec{\mu}_0(\mathcal{S}_i^n)) = \vec{\mu}_0(\mathcal{S}_{i+1}^n).$$

435 Take any upward-closed family  $U \subseteq \mathcal{P}(Q)$ . The above inequality implies that for each  $i \in \mathbb{N}$   
 436 we have  $\sum_{P \in U} \beta(P) \leq \sum_{P \in U} \vec{\mu}_0(\mathcal{S}_i^n)(P)$ . By taking the limit as in Lemma 17 we obtain  
 437 that  $\sum_{P \in U} \beta(P) \leq \sum_{P \in U} \vec{\mu}_0(\mathcal{S}_\infty^n)(P)$ . This implies that  $\beta \preceq \vec{\mu}_0(\mathcal{S}_\infty^n)$ .

438 The case of  $\vec{\mu}_0(\mathcal{R}_\infty^n)$  is similar, we utilise the opposite monotonicity  $\beta \succeq \vec{\mu}_0(\mathcal{R}_{i+1}^n)$ . ◀

## 439 7 Computing measures

440 In this section, we conclude our solution to Problem 1 for weak alternating automata. This  
 441 is achieved by a reduction to the first-order theory of the real numbers  $\mathcal{R} = \langle \mathbb{R}, 0, 1, +, \cdot \rangle$ .  
 442 The theory is famously decidable thanks to Tarski-Seidenberg theorem, see e.g. [25].

443 Throughout this section, we assume that the reader is familiar with the syntax and  
 444 semantics of first-order logic. We say that a formula  $\varphi(x_1, \dots, x_k)$  represents a relation  $r \subseteq \mathbb{R}^k$   
 445 if it holds in  $\mathbb{R}$  according to an evaluation  $v$  of the free variables  $x_1, \dots, x_n$ , precisely when  
 446 the tuple  $\langle v(x_1), \dots, v(x_k) \rangle$  belongs to  $r$ . For example, the formula  $\exists z. x + (z \cdot z) = y$   
 447 represents the standard ordering  $\leq$  on real numbers. A formula represents a number  $a \in \mathbb{R}$   
 448 if it represents the singleton  $\{a\}$ ; for example the formula  $x \cdot x = 1 + 1 \wedge \exists z. x = z \cdot z$ , represents  
 449 the number  $\sqrt{2}$ .

450 ► **Theorem 20.** *Given a weak alternating automaton  $\mathcal{A}$  one can compute a formula  $\psi_{\mathcal{A}}(x)$   
 451 that represents the number  $\mu_0(\mathbb{L}(\mathcal{A}))$ . Moreover, the formula is in a prenex normal form, its  
 452 size is exponential in the size of  $\mathcal{A}$ , and the quantifier alternation of  $\psi_{\mathcal{A}}(x)$  is constant.*

453 **Proof.** Fix a weak alternating automaton  $\mathcal{A} = \langle A, Q, q_I, \delta, \Omega \rangle$ . Let  $N > \Omega(q_I)$  be an even  
 454 number (either  $\Omega(q_I)+1$  or  $\Omega(q_I)+2$ ). Fix an enumeration  $\{P_1, \dots, P_K\}$  of  $\mathcal{P}(Q)$  with  $K =$   
 455  $2^{|\mathcal{Q}|}$ . We will identify a distribution  $\alpha \in \mathcal{DP}(Q)$  with its representation  $\alpha = (a_1, \dots, a_K) \in \mathbb{R}^K$   
 456 as a vector of real numbers. Following this identification,  $\alpha(P_k)$  stands for  $a_k$ . Clearly the  
 457 properties that  $\mathcal{F}(\alpha) = \beta$ ,  $\mathcal{Q}_{<n}(\alpha) = \beta$ , and  $\mathcal{Q}_{\geq n}(\alpha) = \beta$  are definable by quantifier free  
 458 formulae of size polynomial in  $K$ .

459 The following formula defines the fact that  $\alpha \in \mathcal{DP}(Q)$ .

$$460 \quad \text{dist}(\alpha) \equiv \sum_{k=1}^K \alpha(P_k) = 1 \wedge \bigwedge_{k=1}^K 0 \leq \alpha(P_k) \leq 1$$

462 Analogously to our representation of distributions, every subset  $U \subseteq \mathcal{P}(Q)$  can be  
 463 represented by its indicator: a vector of numbers  $\iota = (i_1, \dots, i_K)$  such that  $\iota(P)$  is either 0 (if  
 464  $P \notin U$ ) or 1 (if  $P \in U$ ). Note that if  $U$  is upward closed then whenever  $P \subseteq P'$  and  $\iota(P) = 1$   
 465 then  $\iota(P') = 1$ . The following formula defines the fact that  $\iota$  represents an upward-closed set.

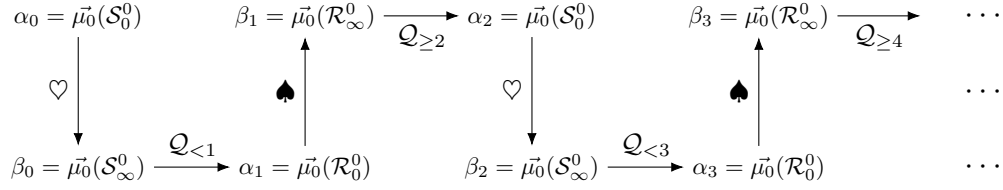
$$466 \quad \text{upward}(\iota) \equiv \bigwedge_{k=1}^K (\iota(P_k)=0 \vee \iota(P_k)=1) \wedge \bigwedge_{P \subseteq P'} \iota(P)=1 \rightarrow \iota(P')=1.$$

468 Thus, to check if  $\alpha \preceq \beta$  one can use the following formula (see Claim 21 below)

$$469 \quad \text{minor}(\alpha, \beta, \iota) \equiv \sum_{k=1}^K \alpha(P_k) \cdot \iota(P_k) \leq \sum_{k=1}^K \beta(P_k) \cdot \iota(P_k).$$

470

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■ **Figure 2** A diagram of the distributions  $\alpha_n$  and  $\beta_n$  in the formula  $\psi_{\mathcal{A}}(x)$ , cf. Figure 1. The symbol  $\heartsuit$  represents applications of Proposition 19 in the case of  $\mathcal{S}_0^n$  and  $\mathcal{S}_\infty^n$ ; while  $\spadesuit$  corresponds to the dual case of  $\mathcal{R}_0^n$  and  $\mathcal{R}_\infty^n$ .

471 Notice that all the above formulae:  $\text{dist}(\alpha)$ ,  $\text{upward}(\iota)$ , and  $\text{minor}(\alpha, \beta, \iota)$  are quantifier  
 472 free: the  $\bigwedge$  there are just explicitly written as finite conjunctions. Therefore, these formulae  
 473 can be used to relativise quantifiers in a prenex normal form of a formula: for instance we  
 474 write  $\forall \alpha: \text{dist}(\alpha). \exists \beta: \text{dist}(\beta). \psi(\alpha, \beta)$  to denote  $\forall \alpha. \exists \beta. \text{dist}(\alpha) \rightarrow (\text{dist}(\beta) \wedge \psi(\alpha, \beta))$ .

475  $\triangleright$  **Claim 21.** Given two distributions  $\alpha$  and  $\beta$ , we have  $\alpha \preceq \beta$  if and only if

$$476 \quad \forall \iota: \text{upward}(\iota). \text{minor}(\alpha, \beta, \iota).$$

477 The formula  $\psi_{\mathcal{A}}(x)$  is indented to specify the distributions  $(\alpha_n, \beta_n)_{n=0, \dots, N}$  in a way  
 478 depicted on Figure 2. The value  $\mu_0^{\vec{0}}(\mathcal{S}_0^0(P))$  is 1 if  $P = Q$  and 0 otherwise, see Lemma 10.  
 479 Proposition 19 allows us to define  $\mu_0^{\vec{0}}(\mathcal{S}_\infty^n)$  (resp.  $\mu_0^{\vec{0}}(\mathcal{R}_\infty^n)$ ) using  $\mu_0^{\vec{0}}(\mathcal{S}_0^n)$  (resp.  $\mu_0^{\vec{0}}(\mathcal{R}_0^n)$ ) as  
 480 specific fixed points of the operation  $\mathcal{F}$ . Finally, Lemma 16 allows us to define  $\mu_0^{\vec{0}}(\mathcal{R}_0^n)$   
 481 using  $\mathcal{Q}_{<n}$ , and  $\mu_0^{\vec{0}}(\mathcal{S}_0^n)$  using  $\mathcal{Q}_{\geq n}$ . The value of  $x$  is related to those distributions based  
 482 on Lemma 10 which implies that  $\mu_0(\mathbb{L}(\mathcal{A})) = \sum_{P: q_1 \in P \in \mathcal{P}(Q)} \mu_0^{\vec{0}}(\mathcal{S}_0^N)(P)$ .

483 The following equation defines the formula  $\psi_{\mathcal{A}}(x)$ .

$$484 \quad \psi_{\mathcal{A}}(x) \equiv \exists \alpha_0, \beta_0: \text{dist}(\alpha_0), \text{dist}(\beta_0), \beta_0 = \mathcal{F}(\beta_0).$$

$$485 \quad \vdots \tag{8}$$

$$486 \quad \exists \alpha_N, \beta_N: \text{dist}(\alpha_N), \text{dist}(\beta_N), \beta_N = \mathcal{F}(\beta_N).$$

$$487 \quad \forall \theta: \text{dist}(\theta), \theta = \mathcal{F}(\theta). \tag{9}$$

$$488 \quad \exists \iota_0: \text{upward}(\iota_0).$$

$$489 \quad \vdots \tag{10}$$

$$490 \quad \exists \iota_N: \text{upward}(\iota_N).$$

$$491 \quad \forall \gamma_0: \text{upward}(\gamma_0).$$

$$492 \quad \vdots \tag{11}$$

$$493 \quad \forall \gamma_N: \text{upward}(\gamma_N).$$

$$494 \quad \left( \alpha_0(Q) = 1 \wedge \bigwedge_{P \neq Q} \alpha_0(P) = 0 \right) \wedge \tag{12}$$

$$495 \quad \left( \bigwedge_{n=1}^N [n \text{ is odd}] \rightarrow \alpha_n = \mathcal{Q}_{<n}(\beta_{n-1}) \right) \wedge \tag{13}$$

$$496 \quad \left( \bigwedge_{n=1}^N [n \text{ is even}] \rightarrow \alpha_n = \mathcal{Q}_{\geq n}(\beta_{n-1}) \right) \wedge \tag{14}$$

$$\left( \bigwedge_{n=0}^N [n \text{ is odd}] \rightarrow \text{minor}(\alpha_n, \beta_n, \gamma_n) \right) \wedge \quad (15)$$

$$\left( \bigwedge_{n=0}^N [n \text{ is even}] \rightarrow \text{minor}(\beta_n, \alpha_n, \gamma_n) \right) \wedge \quad (16)$$

$$\left( \bigwedge_{n=0}^N [n \text{ is odd}] \rightarrow \left( \neg \text{minor}(\alpha_n, \theta, \iota_n) \vee \text{minor}(\beta_n, \theta, \gamma_n) \right) \right) \wedge \quad (17)$$

$$\left( \bigwedge_{n=0}^N [n \text{ is even}] \rightarrow \left( \neg \text{minor}(\theta, \alpha_n, \iota_n) \vee \text{minor}(\theta, \beta_n, \gamma_n) \right) \right) \wedge \quad (18)$$

$$\left( \sum_{P \ni q_i} \alpha_N(P) = x \right) \quad (19)$$

Observe that the size of this formula is polynomial in  $K$  and  $N$  (in fact it is  $\mathcal{O}(N \cdot K^2)$ ), i.e. exponential in the size of the automaton  $\mathcal{A}$ . Moreover, the formula is in prenex normal form and its quantifier alternation is 4 (the sub-formulae that involve  $\bigwedge$  are written explicitly as conjunctions).

We begin by proving soundness of the formula: we assume that  $\psi_{\mathcal{A}}(x)$  holds and show that  $x = \mu_0(\mathcal{L}(\mathcal{A}))$ . Consider a sequence of distributions  $(\alpha_n, \beta_n)_{n=0, \dots, N}$  witnessing (8). The following two lemmata prove inductively that for  $n = 0, \dots, N$  we have

$$\begin{aligned} \alpha_n = \vec{\mu}_0(\mathcal{S}_0^n) \text{ and } \beta_n = \vec{\mu}_0(\mathcal{S}_\infty^n) & \quad \text{for even } n, \\ \alpha_n = \vec{\mu}_0(\mathcal{R}_0^n) \text{ and } \beta_n = \vec{\mu}_0(\mathcal{R}_\infty^n) & \quad \text{for odd } n. \end{aligned} \quad (20)$$

► **Lemma 22.** *Using the above notations and the assumption that  $\psi_{\mathcal{A}}(x)$  holds:*

$$\begin{aligned} \text{for even } n, \text{ if } \alpha_n = \vec{\mu}_0(\mathcal{S}_0^n) \text{ then } \beta_n = \vec{\mu}_0(\mathcal{S}_\infty^n), \\ \text{for odd } n, \text{ if } \alpha_n = \vec{\mu}_0(\mathcal{R}_0^n) \text{ then } \beta_n = \vec{\mu}_0(\mathcal{R}_\infty^n). \end{aligned}$$

**Proof.** Both claims follow from Proposition 19. Take  $n$  odd and assume that  $\alpha_n = \vec{\mu}_0(\mathcal{R}_0^n)$ . We know that  $\beta_n = \mathcal{F}(\beta_n)$  by (8). Moreover, by Claim 21, the arbitrary choice of  $\gamma_n$ , and (15) we know that  $\alpha_n \preceq \beta_n$ . It is enough to prove that if  $\theta$  is any distribution satisfying  $\alpha_n \preceq \theta$  and  $\theta = \mathcal{F}(\theta)$  then  $\beta_n \preceq \theta$ .

Assume contrarily that  $\theta$  is a distribution such that  $\alpha_n \preceq \theta$  and  $\theta = \mathcal{F}(\theta)$  but  $\beta_n \not\preceq \theta$ . We know that  $\theta$  must satisfy the sub-formula in (9). Take the upward closed sets  $(\iota_\ell)_{\ell=0, \dots, N}$  given by (10). Now let  $(\gamma_\ell)_{\ell=0, \dots, N}$  be any sequence of upward closed sets such that  $\gamma_n$  witnesses the fact that  $\beta_n \not\preceq \theta$ , i.e.  $\neg \text{minor}(\beta_n, \theta, \gamma_n)$  holds. But this is a contradiction with (17) because  $\text{minor}(\alpha_n, \theta, \iota_n)$  is true as  $\alpha_n \preceq \theta$  and  $\text{minor}(\beta_n, \theta, \gamma_n)$  is false.

The case of even  $n$  is analogous. ◀

► **Lemma 23.** *Using the above notations and the assumption that  $\psi_{\mathcal{A}}(x)$  holds:*

$$\alpha_n = \vec{\mu}_0(\mathcal{S}_0^n) \text{ for even } n \quad \text{and} \quad \alpha_n = \vec{\mu}_0(\mathcal{R}_0^n) \text{ for odd } n.$$

**Proof.** The proof is inductive in  $n$ . First,  $\alpha_0 = \vec{\mu}_0(\mathcal{S}_0^0)$  because of (12) and the statement for  $n = 0$  in Lemma 10 (we can take  $\theta = \beta_0$  and  $\gamma_\ell = \iota_\ell$  for  $\ell = 0, \dots, N$  to check that Condition (12) holds).

Now assume that the above conditions are true for  $n-1$  for some  $n \in \{1, \dots, N\}$ . Again, by the symmetry we assume that  $n$  is odd, i.e.  $\alpha_{n-1} = \vec{\mu}_0(\mathcal{S}_0^{n-1})$ . By Lemma 22 we know that  $\beta_{n-1} = \vec{\mu}_0(\mathcal{S}_\infty^{n-1})$ . Condition (13) says that  $\alpha_n = \mathcal{Q}_{<n}(\beta_{n-1}) = \mathcal{Q}_{<n}(\vec{\mu}_0(\mathcal{S}_\infty^{n-1}))$ . Now Lemma 16 implies that  $\mathcal{Q}_{<n}(\vec{\mu}_0(\mathcal{S}_\infty^{n-1})) = \vec{\mu}_0(\mathcal{R}_0^n)$  and the induction step is complete. ◀

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537 Equation (20) together with Condition (19), imply that  $x = \mu_0\{t \in \text{Tr}_A \mid q_I \in \mathcal{S}_0^N[t]\}$ .  
 538 Since  $N > \Omega(q_I)$  is even, Lemma 10 implies that  $\mathcal{S}_0^N(q_I) = \text{L}(\mathcal{A}, q_I)$  and therefore,  $q_I \in \mathcal{S}_0^N[t]$   
 539 if and only if  $t \in \text{L}(\mathcal{A})$ . This guarantees that  $x = \mu_0(\text{L}(\mathcal{A}))$ .

540 We will now prove completeness of the formula: if  $x = \mu_0(\text{L}(\mathcal{A}))$  then  $\psi_{\mathcal{A}}(x)$  holds.  
 541 Choose the distributions  $(\alpha_n, \beta_n)_{n=0, \dots, N}$  in (8) as in (20). We will show that then the  
 542 rest of the formula holds. Consider any distribution  $\theta$ . For each  $n = 0, \dots, N$  let  $\iota_n$  be  
 543 an upward-closed set witnessing that  $\alpha_n \not\leq \theta$  for  $n$  odd (resp.  $\theta \not\leq \alpha_n$  for  $n$  even); or any  
 544 upward closed set if the respective inequality holds.

545 Take any  $(\gamma_n)_{n=0, \dots, N}$  that are upward closed. We need to check that the sub-formula  
 546 starting in (12) holds. Conditions (12) — (16) and (19) hold by the same lemmata as  
 547 mentioned in the previous section. To check Conditions (17) and (18) one again invokes  
 548 Proposition 19: either  $\iota_n$  witnesses that  $\alpha_n \not\leq \theta$  (resp.  $\theta \not\leq \alpha_n$ ) or, if  $\iota_n$  was chosen arbitrarily,  
 549 then Proposition 19 implies that also the respective inequality with  $\beta_n$  holds. ◀

### 8 Branching processes

551 For the sake of simplicity we define only binary branching processes, the case of a fixed  
 552 higher arity can be solved analogously. A *branching process* is a tuple  $\mathcal{P} = \langle A, \tau, \alpha_I \rangle$  where  $A$   
 553 is a finite alphabet;  $\tau: A \rightarrow \mathcal{D}A^2$  a *branching function* that assigns a probability distribution  
 554 over  $A^2$  to every letter in  $A$ ; and  $\alpha_I \in \mathcal{D}A$  an *initial distribution*. We assume that all  
 555 probabilities occurring in these distributions are rational. By the *size* of  $\mathcal{P}$  we understand  
 556 the size of its binary representation.

557 A branching process  $\mathcal{P}$  can be seen as a generator of random trees: it defines a complete  
 558 Borel measure  $\mu_{\mathcal{P}}$  over the set of infinite trees in the following way. Let  $f: \text{dom}(f) \rightarrow A$   
 559 be a complete finite tree of depth  $d \geq 0$  i.e.  $\text{dom}(f) = \{u \in \{\text{L}, \text{R}\}^* \mid |u| \leq d\} = \{\text{L}, \text{R}\}^{<d+1}$ .  
 560 Then the measure  $\mu_{\mathcal{P}}$  of the basic set  $U_f$ , see Section 2, is defined by

$$561 \quad \mu_{\mathcal{P}}(U_f) \stackrel{\text{def}}{=} \alpha_I(f(\varepsilon)) \cdot \prod_{u \in \{\text{L}, \text{R}\}^{<d}} \tau(f(u))(f(u_{\text{L}}), f(u_{\text{R}})). \quad (21)$$

562 Now,  $\mu_{\mathcal{P}}$  can be extended in a standard way to a complete Borel measure on the set of all  
 563 infinite trees  $\text{Tr}_A$ . Intuitively, a tree  $t \in \text{Tr}_A$  that is chosen according to  $\mu_{\mathcal{P}}$  is generated  
 564 in a top-down fashion: the root label  $t(\varepsilon)$  is chosen according to the initial distribution  $\alpha_I$ ;  
 565 and the labels of the children  $u_{\text{L}}$  and  $u_{\text{R}}$  of a node  $u$  are chosen according to the distribution  
 566  $\tau(t(u)) \in \mathcal{D}A^2$  defined for the label of their parent  $u$ .

567 Observe that the uniform measure  $\mu_0$  over trees  $\text{Tr}_A$  equals the measure  $\mu_{\mathcal{P}_0}$  defined  
 568 by the branching process  $\mathcal{P}_0 = \langle A, \tau_0, \alpha_0 \rangle$ , where  $\alpha_0(a) = |A|^{-1}$  and  $\tau_0(a)(a_{\text{L}}, a_{\text{R}}) = |A|^{-2}$   
 569 for each  $a, a_{\text{L}}, a_{\text{R}} \in A$ .

570 ► **Theorem 24.** *Given a weak alternating automaton  $\mathcal{A}$  and a branching process  $\mathcal{P}$  one can*  
 571 *compute a formula  $\psi_{\mathcal{A}, \mathcal{P}}(x)$  that represents the number  $\mu_{\mathcal{P}}(\text{L}(\mathcal{A}))$ . Moreover, the formula*  
 572 *is in a prenex normal form; its size is exponential in the size of  $\mathcal{A}$  and polynomial in the size*  
 573 *of  $\mathcal{P}$ ; and the quantifier alternation of  $\psi_{\mathcal{A}, \mathcal{P}}$  is constant.*

574 If one does not care about the complexity, the above result can be obtained directly,  
 575 by interpreting the branching process  $\mathcal{P}$  in an automaton  $\mathcal{A}$ . More precisely, there exists  
 576 an algorithm that, given a weak alternating automaton  $\mathcal{A}$  and a branching process  $\mathcal{P}$ ,  
 577 computes another weak alternating automaton  $\mathcal{A}_{\mathcal{P}}$  such that

$$578 \quad \mu_{\mathcal{P}}(\text{L}(\mathcal{A})) = \mu_0(\text{L}(\mathcal{A}_{\mathcal{P}})).$$



579 Therefore, the decidability part of Theorem 24 follows directly from Theorem 20. A construc-  
 580 tion of  $\mathcal{A}_{\mathcal{P}}$  is given in Subsection 8.1. Another advantage of the construction given there is  
 581 that it deals explicitly with branching processes of arbitrary branching (possibly non-binary).  
 582 However, it is possible to provide a direct way of constructing the formula  $\psi_{\mathcal{A},\mathcal{P}}$  with the  
 583 size of the formula polynomial in the size of  $\mathcal{P}$ , see Subsection 8.2.

## 584 8.1 Encoding branching processes in automata

585 This section shows how to use the expressive power of weak MSO to simulate branching  
 586 processes within the uniform measure.

587 An  $\ell$ -branching tree over an alphabet  $A$  is a function  $t: \{\mathfrak{d}_1, \dots, \mathfrak{d}_\ell\}^* \rightarrow A$ , where  $\mathfrak{d}_1, \dots, \mathfrak{d}_\ell$   
 588 are  $\ell$  distinct symbols (we assume that  $\mathfrak{l} = \mathfrak{d}_1$  and  $\mathfrak{r} = \mathfrak{d}_2$ ). The set of all such trees is denoted  
 589  $\text{Tr}_A^{(\ell)}$ .

590 Similarly, an  $\ell$ -branching process  $\mathcal{P} = \langle A, \tau, \alpha_1 \rangle$  is defined analogously to a branching  
 591 process, except that a branching function  $\tau: A \rightarrow \mathcal{D}A^\ell$  randomly produces  $\ell$ -tuples of letters.  
 592 This implies that the measure  $\mu_{\mathcal{P}}$  is a Borel measure over the set of  $\ell$ -branching trees  $\text{Tr}_A^{(\ell)}$ .

593 An  $\ell$ -branching alternating automaton  $\mathcal{A}$  is again analogous to a standard alternating  
 594 automaton but the atoms  $(d, q')$  in the transition formulae satisfy  $d \in \{\mathfrak{d}_1, \dots, \mathfrak{d}_\ell\}$ . If  $t$  is  
 595 an  $\ell$ -branching tree and  $\mathcal{A}$  is an  $\ell$ -branching automaton, then the game  $\mathcal{G}(t, p)$  is defined  
 596 analogously as in Section 2. Thus, the language  $L(\mathcal{A})$  is a subset of  $\text{Tr}_A^{(\ell)}$ .

597 According to the above definitions, standard trees, branching processes, and automata,  
 598 as defined in the main body of this article, are 2-branching.

599 ► **Proposition 25.** *Let  $\mathcal{A}$  be a weak  $\ell$ -branching alternating automaton over an alphabet  $A$   
 600 and  $\mathcal{P}$  be a  $\ell$ -branching process. Let  $A_0$  be any alphabet with at least two symbols. Then, one  
 601 can construct a weak 2-branching alternating automaton  $\mathcal{A}_{\mathcal{P}}$  over the alphabet  $A_0$  such that  
 602  $\mu_{\mathcal{P}}(L(\mathcal{A})) = \mu_0(L(\mathcal{A}_{\mathcal{P}}))$ , where  $\mu_0$  is the uniform measure over 2-branching trees  $\text{Tr}_{A_0}$ .*

603 Notice that for  $\ell = 2$  this reduction is made redundant by the results of Subsection 8.2,  
 604 which allows us to directly compute  $\mu_{\mathcal{P}}(L(\mathcal{A}))$ . Moreover, the construction provided there has  
 605 better complexity: the obtained formula  $\psi_{\mathcal{A},\mathcal{P}}$  is only polynomial in the size of  $\mathcal{P}$ . However,  
 606 we provide the present reduction because it shows that the class of languages recognisable by  
 607 weak alternating automata is robust. In particular, if one does not care about the size of  
 608 the respective formulae, then Theorem 24 can be obtained via the above reduction directly  
 609 from Theorem 20. Also, this is the only place in the article when we explicitly deal with  
 610 branching processes of higher branching than 2.

611 We start with an encoding of rational numbers.

612 ► **Lemma 26.** *Let  $X$  be a finite set,  $A_0$  any alphabet with at least two symbols, and  $\alpha \in$   
 613  $\mathcal{D}X$  a probabilistic distribution with rational values. Then there exists a weak alternating  
 614 automaton  $\mathcal{A}_\alpha$  over the alphabet  $A_0$  with a set of states  $Q_\alpha$  and a function  $j: X \rightarrow Q_\alpha$  such  
 615 that:*

- 616 ■ for  $x \neq x' \in X$  the languages  $L(\mathcal{A}_\alpha, j(x))$  and  $L(\mathcal{A}_\alpha, j(x'))$  are disjoint;
- 617 ■ the union  $\bigcup_{x \in X} L(\mathcal{A}_\alpha, j(x))$  is the set of all trees  $\text{Tr}_{\{0,1\}}$ ;
- 618 ■ for every  $x \in X$  the measure  $\mu_0(L(\mathcal{A}_\alpha, j(x)))$  equals  $\alpha(x)$ .

619 **Proof.** Without loss of generality we can assume that  $A_0 = \{0, \dots, |A_0| - 1\}$ . Assume that  
 620  $X = \{x_1, \dots, x_K\}$ . Fix rational numbers  $r_k \stackrel{\text{def}}{=} \sum_{k' \leq k} \alpha(x_{k'})$  for  $k = 0, \dots, K$ . We know that  
 621  $r_0 = 0$  and  $r_K = 1$ . For each  $k = 0, \dots, K$  let  $e_k$  be the  $M$ -ary expansion of  $r_k$ , i.e.  $e_k \in A_0^\omega$   
 622 is a word such that  $r_k = 0.e_k$ . Since each of the numbers  $r_k$  is rational, the words  $e_k$  are  
 623 ultimately periodic, i.e. of the form  $u \cdot v \cdot v \cdot v \dots$

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Each tree  $t \in \text{Tr}_{A_0}$  induces a real number  $r(t) \in [0, 1]$  that is obtained by reading the left-most branch of  $t$  and treating it as an  $|A_0|$ -ary expansion of  $r(t)$ .

Let  $e, e' \in A_0^\omega$  be two expansions of rational numbers with  $0.e < 0.e'$ . It is now standard to construct a weak deterministic automaton  $\mathcal{A}_{e,e'}$  with an initial state  $q_{e,e'}$  that accepts a tree  $t \in \text{Tr}_{A_0}$  if and only if  $0.e \leq r(t) < 0.e'$ .

Now, to obtain the automaton  $\mathcal{A}_\alpha$  it is enough to take the disjoint union of the automata  $\mathcal{A}_{e_{k-1}, e_k}$  for  $k = 1, \dots, K$  and define  $j(x_k) = q_{e_{k-1}, e_k}$ .  $\blacktriangleleft$

We now move to the proof of Proposition 25. Take a weak  $\ell$ -branching alternating automaton over an alphabet  $A$  and an  $\ell$ -branching process  $\mathcal{P}$  over the same alphabet. For the sake of simplicity assume that the initial distribution  $\alpha_I$  of  $\mathcal{P}$  is concentrated in a single letter  $a_I \in A$ .

The above construction will be used to simulate the random choice represented by the distributions  $\tau(a) \in \mathcal{DA}^\ell$ . The automaton  $\mathcal{A}_\mathcal{P}$  is defined as a disjoint union of the automata  $\mathcal{A}_{\tau(a)}$  for each  $a \in A$  together with a modified copy of  $\mathcal{A}$ . This modified copy of  $\mathcal{A}$  has states of the following two forms:

- pairs  $(q, a)$  where  $q$  is a state of  $\mathcal{A}$  and  $a \in A$ ;
- triples  $(d, q, a)$  where  $d \in \{\mathfrak{d}_1, \dots, \mathfrak{d}_\ell\}$ ,  $q$  is a state of  $\mathcal{A}$ , and  $a \in A$ .

Given a transition  $\delta(q, a)$  of the automaton  $\mathcal{A}$  and a vector  $\vec{a} \in A^\ell$  let  $\bar{\delta}(q, a, \vec{a})$  be defined as the same formula as  $\delta(q, a)$ , except that each atom  $(d, q)$  is replaced by  $(\mathfrak{r}, (d, q, \vec{a}(d)))$  — a transition to the right in a tree to the state  $(d, q, \vec{a}(d))$  of  $\mathcal{A}_\mathcal{P}$ . Now, the automaton  $\mathcal{A}_\mathcal{P}$ , together with all the transitions of  $\mathcal{A}_{\tau(a)}$  for  $a \in A$  has the following transitions for  $b \in A_0$ :

$$\delta((q, a), b) \stackrel{\text{def}}{=} \bigvee_{\vec{a} \in A^\ell} (\mathfrak{l}, j(\vec{a})) \wedge \bar{\delta}(q, a, \vec{a})$$

where  $j(\vec{a})$  is the respective state of the automaton  $\mathcal{A}_{\tau(a)}$   
such that  $\mu_0(\mathfrak{L}(\mathcal{A}_{\tau(a)}; j(\vec{a}))) = \tau(a)(\vec{a})$

$$\delta((\mathfrak{d}_1, q, a), b) \stackrel{\text{def}}{=} (\mathfrak{l}, (q, a))$$

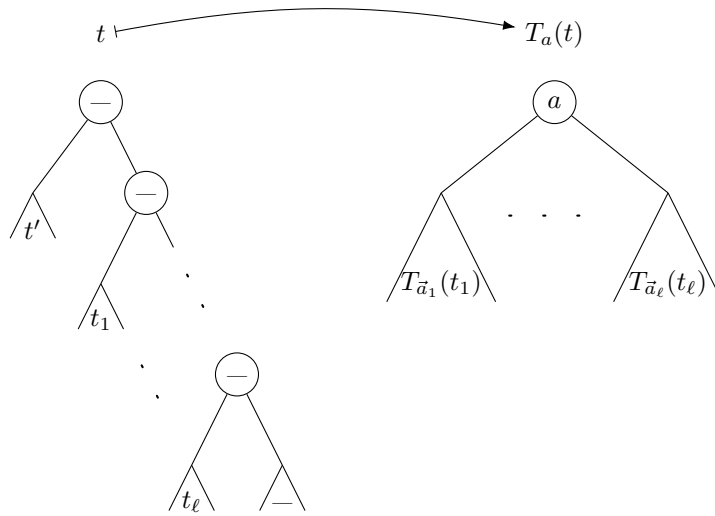
$$\delta((\mathfrak{d}_{k+1}, q, a), b) \stackrel{\text{def}}{=} (\mathfrak{r}, (\mathfrak{d}_k, q, a)) \quad \text{for } k = 1, \dots, \ell-1.$$

The priority mapping of  $\mathcal{A}_\mathcal{P}$  is taken from  $\mathcal{A}_{\tau(a)}$  and  $\mathcal{A}$  respectively, i.e.  $\Omega(q, a) = \Omega(d, q, a) = \Omega(q) + 2$  — we need this shift because the initial states of  $\mathcal{A}_{\tau(a)}$  have priority 2. Let the initial state of  $\mathcal{A}_\mathcal{P}$  be  $(q_I, a_I)$ .

The automaton  $\mathcal{A}_\mathcal{P}$  is designed in such a way to treat each tree  $t \in \text{Tr}_{A_0}$  as an encoded version of a tree  $t \in \text{Tr}_A$ . To formally prove this fact, we first need to define that encoding. For this purpose, we define a family of functions  $T_a$  from  $\text{Tr}_{A_0}$  into  $\text{Tr}_A^{(\ell)}$  indexed by letters  $a \in A$ . Consider  $a \in A$  and a tree  $t \in \text{Tr}_{A_0}$ . Let  $t' \stackrel{\text{def}}{=} t|_{\mathfrak{l}}$  be the left subtree of  $t$ . Similarly, for  $k = 1, \dots, \ell$  let  $t_k \stackrel{\text{def}}{=} t|_{\mathfrak{r}^k \mathfrak{l}}$ . Let  $\vec{a} \in A^\ell$  be the unique vector of letters such that  $t' \in \mathfrak{L}(\mathcal{A}_{\tau(a)}, j(\vec{a}))$ . Notice that since  $t$  was chosen randomly, the probability distribution of the vectors  $\vec{a}$  defined here is exactly  $\tau(a)$ . Then, let the resulting tree  $T_a(t)$  have the root labelled  $a$  and for  $k = 1, \dots, \ell$  let the  $\mathfrak{d}_k$ -th subtree of  $T_a(t)$  equal  $T_{\vec{a}(k)}(t_k)$ . See Figure 3 for a depiction of that definition.

$\triangleright$  **Claim 27.** Given a tree  $t \in \text{Tr}_{A_0}$  the automaton  $\mathcal{A}_\mathcal{P}$  accepts  $t$  from a state  $(q, a)$  if and only if  $\mathcal{A}$  accepts the tree  $T_a(t)$  from  $q$ . In other words,

$$\mathfrak{L}(\mathcal{A}_\mathcal{P}, (q, a)) = T_a^{-1}(\mathfrak{L}(\mathcal{A}, q)).$$



■ **Figure 3** An illustration of an operation  $T_a$  for  $a \in A$ . Nodes and the subtree marked with  $-$  are irrelevant in this construction. The subtree  $t'$  is used to determine which vector  $\vec{a} \in A^\ell$  to use — it simulates the random choice of that vector using  $\tau(a)$ . Then the subtrees  $t_k$  for  $k = 1, \dots, \ell$  are recursively decoded by  $T_{\vec{a}_k}$  according to the chosen letters of  $\vec{a}$ .

667 **Proof.** First observe that Lemma 26 implies that whenever  $\mathcal{A}_{\mathcal{P}}$  takes a transition of the  
 668 form  $\delta((q, a), b)$  then there is exactly one candidate of  $\vec{a} \in A^\ell$  such that the left subtree  
 669 under the current node can be accepted from the state  $j(\vec{a})$ . Therefore, player  $\exists$  in the game  
 670  $\mathcal{G}(t, (q, a))$  is always forced to choose that disjunct there. If the proper disjunct is chosen,  
 671 then the choice of the atom  $(\perp, j(\vec{a}))$  is losing for  $\forall$  because the respective subtree  $t'$  belongs  
 672 to  $L(\mathcal{A}_{\tau(a)}, j(\vec{a}))$ . Thus, we can assume that  $\forall$  never chooses this atom.

673 Under the two above assumptions, the game  $\mathcal{G}(t, (q, a))$  given by the automaton  $\mathcal{A}_{\mathcal{P}}$   
 674 becomes equivalent to the game  $\mathcal{G}(T_a(t), q)$  given by the automaton  $\mathcal{A}$ . ◀

675 The next lemma states that the mapping  $T_{a_1}$  for the initial symbol  $a_1 \in A$  allows to move  
 676 between the measures  $\mu_0$  and  $\mu_{\mathcal{P}}$ . Recall that we have assumed that  $\alpha_I(a_1) = 1$ .

677 ▶ **Lemma 28.** *The mapping  $T_{a_1}$  preserves the measure: for every measurable subset  $L \subseteq \text{Tr}_A^{(\ell)}$   
 678 and its pre-image  $L' \stackrel{\text{def}}{=} T_{a_1}^{-1}(L)$  we have  $\mu_0(L') = \mu_{\mathcal{P}}(L)$ .*

679 **Proof.** It is enough to check this on a basic set  $L$  as in (21). But in that case it follows from  
 680 Lemma 26 and the fact that the subtrees  $t'$  used to choose the respective vectors  $\vec{a}$  have  
 681 pairwise-incomparable roots. ◀

682 By applying Claim 27 and Lemma 28 we obtain that

683 
$$\mu_0(L(\mathcal{A}_{\mathcal{P}})) = \mu_0(L(\mathcal{A}_{\mathcal{P}}, (q_I, a_1))) = \mu_0(T_{a_1}^{-1}(L(\mathcal{A}, q_I))) = \mu_{\mathcal{P}}(L(\mathcal{A}, q_I)) = \mu_{\mathcal{P}}(L(\mathcal{A})).$$

684 This concludes the proof of Proposition 25.

## 685 8.2 Branching processes - direct construction

686 In this section we want to show how to extend our main result, of computing the uniform  
 687 measure of a weak-MSO recognisable language, to measures generated by arbitrary branching  
 688 processes.

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689 The core of the proof will stay the same as in the main part of the article, we will define  
 690 two types of operators  $\mathcal{F}$ ,  $\mathcal{Q}$ , and explain, how the measure can be computed using those  
 691 operators.

692 Let us fix a regular language of trees  $L$  and a weak alternating automaton  $\mathcal{A}$  such that  
 693  $L(\mathcal{A}) = L$ .

694 Let us fix a branching process  $\mathcal{P} = \langle A, \tau, \alpha_I \rangle$ . We want to distinguish between the  
 695 alphabet  $A$  treated as the set of labels of trees, from  $A$  treated as vertices of the branching  
 696 process  $\mathcal{P}$ . Thus, we put  $V = A$  and use the symbol  $v \in V$  to denote letters generated by  $\mathcal{P}$ .  
 697 This means that  $\tau: V \rightarrow \mathcal{D}V^2$  and  $\alpha_I \in \mathcal{D}V$ .

698 By  $\mu_{\mathcal{P}}(v)$ , where  $v \in V$ , we understand the measure induced by the process  $\mathcal{P}$  with the  
 699 initial distribution  $\alpha'_I$  concentrated in  $v$ , i.e.  $\alpha'_I(v) = 1$  and  $\alpha'_I(v') = 0$  for  $v' \neq v$ .

700 By a simple calculation, we have that

$$701 \quad \mu_{\mathcal{P}}(L) = \sum_{v \in V} \alpha_I(v) \cdot \mu_{\mathcal{P}}(v)(L). \quad (22)$$

702 Thus, we only need to determine the values of  $\vec{\mu}_{\mathcal{P}}(v)(L)$  for  $v \in V$ .

703 The measure defined in a subtree, unlike in Remark 3, is not always uniform and  
 704 may non-trivially depend on the label of the root of the subtree. This implies that the  
 705 distributions  $\beta$  used in the whole procedure may depend on the initial vertex and, thus,  
 706 this information has to be included. It turns out that simply lifting distributions to tuples  
 707 indexed by the origin point in the branching process is enough. We lift distributions to  
 708 tuples of distributions by defining  $\beta_{\mathcal{P}}: V \rightarrow \mathcal{D}\mathcal{P}(Q)$ . In other words, the basic space that we  
 709 work is, instead of  $\mathcal{D}\mathcal{P}(Q)$  is now  $(V \rightarrow \mathcal{D}\mathcal{P}(Q))$ . Let the order  $\preceq$  be defined on  $V \rightarrow \mathcal{D}\mathcal{P}(Q)$   
 710 coordinate-wise:  $\alpha_{\mathcal{P}} \preceq \beta_{\mathcal{P}}$  if for every  $v \in V$  we have  $\alpha_{\mathcal{P}}(v) \preceq \beta_{\mathcal{P}}(v)$ .

711 Now, our definitions of previously used operations have to be adjusted accordingly. By  
 712 slight abuse of notation, we will simply overload the definitions. This will not produce  
 713 confusion, since we will not use the old definitions in this part.

714 Take a  $Q$ -indexed family  $\mathcal{L}$ . Define the distribution  $\vec{\mu}_{\mathcal{P}} \in V \rightarrow \mathcal{D}\mathcal{P}(Q)$ .

$$715 \quad \vec{\mu}_{\mathcal{P}}(\mathcal{L})(v)(P) \stackrel{\text{def}}{=} \mu_{\mathcal{P}}(v)\{t \in \text{Tr}_A \mid \mathcal{L}[t] = P\} \quad (23)$$

716 Notice that the set of trees with root labelled  $v$  is of full  $\mu_{\mathcal{P}}(v)$  measure. Thus

$$717 \quad \mu_{\mathcal{P}}(v)\{t \in \text{Tr}_A \mid \mathcal{L}[t] = P\} = \mu_{\mathcal{P}}(v)\{t \in \text{Tr}_A \mid \mathcal{L}[t] = P \wedge t(\varepsilon) = v\} \quad (24)$$

718 Also, the measure  $\mu_{\mathcal{P}}$  satisfies the following independence property similar to Remark 3.

719 **► Remark 29.** Let  $L_L, L_R \subseteq \text{Tr}_A$  be two Borel sets and  $v \in V$ . Then

$$720 \quad \mu_{\mathcal{P}}(v)\{t \mid t|_L \in L_L \wedge t(\varepsilon) = v \wedge t|_R \in L_R\} = \sum_{v_L, v_R \in V^2} \tau(v)(v_L, v_R) \cdot \mu_{\mathcal{P}}(v_L)(L_L) \cdot \mu_{\mathcal{P}}(v_R)(L_R).$$

721 As before, the sets in consideration are measurable thanks to Proposition 6.

722 **► Lemma 30.** Fix  $v \in V$ . If for every  $q \in Q$  we have  $\mathcal{L}(q) \subseteq \mathcal{L}'(q)$  then  $\vec{\mu}_{\mathcal{P}}(\mathcal{L}) \preceq \vec{\mu}_{\mathcal{P}}(\mathcal{L}')$  in  
 723  $V \rightarrow \mathcal{D}\mathcal{P}(Q)$ .

724 The proof is the same as the proof of Lemma 14, as it depends on general properties  
 725 of measures.

726 Now, we examine the sequences of distributions  $\vec{\mu}_{\mathcal{P}}(\mathcal{S}_i^n)$ ,  $\vec{\mu}_{\mathcal{P}}(\mathcal{R}_i^n)$ ,  $\vec{\mu}_{\mathcal{P}}(\mathcal{S}_{\infty}^n)$ , and  $\vec{\mu}_{\mathcal{P}}(\mathcal{R}_{\infty}^n)$   
 727 arising from the  $Q$ -families introduced before. Our aim again is to bind them by some  
 728 equations computable within  $V \rightarrow \mathcal{D}\mathcal{P}(Q)$ . As an analogue to the operation  $\mathcal{F}$ , we introduce

729 the function  $\mathcal{F}_{\mathcal{P}}: (V \rightarrow \mathcal{DP}(Q)) \rightarrow (V \rightarrow \mathcal{DP}(Q))$  defined for  $v \in V$ ,  $\beta_{\mathcal{P}} \in V \rightarrow \mathcal{DP}(Q)$ , and  
 730  $P \in \mathcal{P}(Q)$  by

$$731 \quad \mathcal{F}_{\mathcal{P}}(\beta_{\mathcal{P}})(v)(P) \stackrel{\text{def}}{=} \sum_{(P_L, v, P_R) \in \Delta^{-1}(P)} \sum_{(v_L, v_R) \in V^2} \tau(v)(v_L, v_R) (\beta_{\mathcal{P}}(v_L)(P_L) \cdot \beta_{\mathcal{P}}(v_R)(P_R)) \quad (25)$$

732 As before, the formula guarantees that  $\mathcal{F}_{\mathcal{P}}(\beta_{\mathcal{P}})(v)$  is indeed a probabilistic distribution in  
 733  $\mathcal{DP}(Q)$ . The operator  $\mathcal{F}$  will allow us to transfer the inductive definitions of the  $Q$ -families  
 734  $\mathcal{S}_{i+1}^n$  and  $\mathcal{R}_{i+1}^n$  given by Lemma 12, to the level of probability distributions.

735 From now on, we omit the index  $\mathcal{P}$  in  $\mathcal{F}_{\mathcal{P}}$ .

736 ► **Lemma 31.** *For each  $n \in \mathbb{N}$  and  $i \in \mathbb{N}$  we have*

$$737 \quad \vec{\mu}_{\mathcal{P}}(\mathcal{S}_{i+1}^n) = \mathcal{F}(\mu_{\mathcal{P}}(\mathcal{S}_i^n)) \text{ and } \vec{\mu}_{\mathcal{P}}(\mathcal{R}_{i+1}^n) = \mathcal{F}(\vec{\mu}_{\mathcal{P}}(\mathcal{R}_i^n)).$$

738 **Proof.** Take  $P \in \mathcal{P}(Q)$  and  $v \in V$  observe that

$$\begin{aligned} 739 \quad \mathcal{F}(\vec{\mu}_{\mathcal{P}}(\mathcal{S}_i^n))(v)(P) &\stackrel{(1)}{=} \sum_{(P_L, v, P_R) \in \Delta^{-1}(P)} \sum_{(v_L, v_R) \in V^2} \tau(v)(v_L, v_R) \cdot \\ 740 &\quad (\vec{\mu}_{\mathcal{P}}(\mathcal{S}_i^n)(v_L)(P_L) \cdot \vec{\mu}_{\mathcal{P}}(\mathcal{S}_i^n)(v_R)(P_R)) \\ 741 &\stackrel{(2)}{=} \sum_{(P_L, v, P_R) \in \Delta^{-1}(P)} \sum_{(v_L, v_R) \in V^2} \tau(v)(v_L, v_R) \cdot \\ 742 &\quad (\mu_{\mathcal{P}}(v_L) \{t_L \mid \mathcal{S}_i^n[t_L] = P_L\} \cdot \mu_{\mathcal{P}}(v_R) \{t_R \mid \mathcal{S}_i^n[t_R] = P_R\}) \\ 743 &\stackrel{(3)}{=} \sum_{(P_L, v, P_R) \in \Delta^{-1}(P)} \mu_{\mathcal{P}}(v) \{t \mid \mathcal{S}_i^n[t|_L] = P_L \wedge t(\varepsilon) = v \wedge \mathcal{S}_i^n[t|_R] = P_R\} \\ 744 &\stackrel{(4)}{=} \mu_{\mathcal{P}}(v) \left( \bigcup_{(P_L, v, P_R) \in \Delta^{-1}(P)} \{t \mid \mathcal{S}_i^n[t|_L] = P_L \wedge t(\varepsilon) = v \wedge \mathcal{S}_i^n[t|_R] = P_R\} \right) \\ 745 &\stackrel{(5)}{=} \mu_{\mathcal{P}}(v) \{t \in \text{Tr}_A \mid \Delta(\mathcal{S}_i^n[t|_L], t(\varepsilon), \mathcal{S}_i^n[t|_R]) = P \wedge t(\varepsilon) = v\} \\ 746 &\stackrel{(6)}{=} \mu_{\mathcal{P}}(v) \{t \in \text{Tr}_A \mid \mathcal{S}_{i+1}^n[t] = P \wedge t(\varepsilon) = v\} \\ 747 &\stackrel{(7)}{=} \mu_{\mathcal{P}}(v) \{t \in \text{Tr}_A \mid \mathcal{S}_{i+1}^n[t] = P\} \stackrel{(8)}{=} \vec{\mu}_{\mathcal{P}}(\mathcal{S}_{i+1}^n)(v)(P), \end{aligned}$$

749 where: (1) is just the definition of  $\mathcal{F}(\vec{\mu}_{\mathcal{P}}(\mathcal{S}_i^n))$ ; (2) follows from the definition of  $\vec{\mu}_{\mathcal{P}}(\mathcal{S}_i^n)$ ;  
 750 (3) follows from the definition of  $\mu_{\mathcal{P}}$  and Remark 29; (4) follows from the fact that the  
 751 measured sets are pairwise disjoint; (5) follows simply from the definition of  $\Delta$ ; (6) follows  
 752 from Lemma 12; (7) follows from (24); and (7) is just the definition of  $\vec{\mu}_{\mathcal{P}}(\mathcal{S}_{i+1}^n)$ .

753 The proof for  $\mathcal{R}_{i+1}^n$  is entirely analogous (we use the  $\mathcal{R}_i^n$  variant of Lemma 12 instead). ◀

754 Now, recall that  $Q_{\geq n}$  and  $Q_{< n}$  are sets of states of respective priorities. Let the functions  
 755  $\mathcal{Q}_{\geq n}, \mathcal{Q}_{< n}: (V \rightarrow \mathcal{DP}(Q)) \rightarrow (V \rightarrow \mathcal{DP}(Q))$  be defined by

$$756 \quad \mathcal{Q}_{\geq n}(\beta_{\mathcal{P}})(v)(P) \stackrel{\text{def}}{=} \sum_{P': P' \cup Q_{\geq n} = P} \beta_{\mathcal{P}}(v)(P'),$$

$$757 \quad \mathcal{Q}_{< n}(\beta_{\mathcal{P}})(v)(P) \stackrel{\text{def}}{=} \sum_{P': P' \cap Q_{< n} = P} \beta_{\mathcal{P}}(v)(P').$$

759 Again, the formulas guarantee that  $\mathcal{Q}_{\geq n}(\beta_{\mathcal{P}})(v)$  and  $\mathcal{Q}_{< n}(\beta_{\mathcal{P}})(v)$  are both probabilistic  
 760 distributions in  $\mathcal{DP}(Q)$ . The following lemma shows the relation between these functions  
 761 and the limit distributions  $\vec{\mu}_{\mathcal{P}}(\mathcal{S}_{\infty}^{n-1})$  and  $\vec{\mu}_{\mathcal{P}}(\mathcal{R}_{\infty}^{n-1})$ .

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762 ► **Lemma 32.** *For each  $n \in \mathbb{N}$  we have*

$$763 \quad \mathcal{Q}_{<n}(\vec{\mu}_{\mathcal{P}}(\mathcal{S}_{\infty}^{n-1})) = \vec{\mu}_{\mathcal{P}}(\mathcal{R}_0^n) \quad \text{if } n \text{ is odd,}$$

$$764 \quad \mathcal{Q}_{\geq n}(\vec{\mu}_{\mathcal{P}}(\mathcal{R}_{\infty}^{n-1})) = \vec{\mu}_{\mathcal{P}}(\mathcal{S}_0^n) \quad \text{if } n \text{ is even.}$$

766 **Proof.** Note that the proof of Lemma 16 does not depend on the underlying measure, and  
767 therefore it carries over. ◀

768 Again, the two above lemmata show that the operators  $\mathcal{F}$ ,  $\mathcal{Q}_{<n}$ , and  $\mathcal{Q}_{\geq n}$  are enough  
769 to perform the respective computations in  $V \rightarrow \mathcal{DP}(Q)$  as they do on Figure 1.

770 In Section 6 we prove the connection between the limit distributions  $\vec{\mu}_{\mathcal{P}}(\mathcal{S}_{\infty}^n)$ ,  $\vec{\mu}_{\mathcal{P}}(\mathcal{R}_{\infty}^n)$   
771 (unary versions) and fixed points of the operator  $\mathcal{F}$ , see Lemma 17. The same line of  
772 proof works in the case of tuples, if we apply the reasoning point-wise, i.e. we work now  
773 in  $(\mathbb{R}^{\mathcal{P}(Q)})^V = \mathbb{R}^{\mathcal{P}(Q) \times V}$ . The only missing ingredient is the monotonicity of the new  
774 operator  $\mathcal{F}_{\mathcal{P}}$ .

775 ► **Lemma 33.** *The operator  $\mathcal{F}: (V \rightarrow \mathcal{DP}(Q)) \rightarrow (V \rightarrow \mathcal{DP}(Q))$  is point-wise monotone in  
776 the order  $\preceq$  and continuous in  $\mathbb{R}^{\mathcal{P}(Q) \times V}$ .*

777 **Proof.** Continuity is again trivial. The fact that  $\mathcal{F}$  is monotone follows from the monotonicity  
778 of  $\Delta$  and the point-wise definition of the order as follows. Recall the definition of  $\mathcal{F}_{\mathcal{P}}$ , cf. (25):

$$779 \quad \mathcal{F}(\beta_{\mathcal{P}})(v)(P) = \sum_{(P_L, v, P_R) \in \Delta^{-1}(P)} \sum_{(v_L, v_R) \in V^2} \tau(v)(v_L, v_R)(\beta_{\mathcal{P}}(v_L)(P_L) \cdot \beta_{\mathcal{P}}(v_R)(P_R))$$

780 We need to prove that for a fixed  $v \in V$  function  $\mathcal{F}$  is monotone w.r.t. the order  $\preceq$ . Thus,  
781 for every  $\alpha_{\mathcal{P}} \preceq \beta_{\mathcal{P}} \in V \rightarrow \mathcal{DP}(Q)$  and an upward-closed family  $U \subseteq \mathcal{P}(Q)$  we should have  
782  $\sum_{P \in U} \mathcal{F}_{\mathcal{P}}(\alpha_{\mathcal{P}})(v)(P) \leq \sum_{P \in U} \mathcal{F}_{\mathcal{P}}(\beta_{\mathcal{P}})(v)(P)$ . After splitting the sum over separate letters  
783  $v, v_L, v_R \in V$ , it is enough to show that for  $O_v \stackrel{\text{def}}{=} \{(P_L, P_R) \mid \Delta(P_L, v, P_R) \in U\}$  we have

$$784 \quad \sum_{(P_L, P_R) \in O_v} \alpha_{\mathcal{P}}(v_L)(P_L) \cdot \alpha_{\mathcal{P}}(v_R)(P_R) \leq \sum_{(P_L, P_R) \in O_v} \beta_{\mathcal{P}}(v_L)(P_L) \cdot \beta_{\mathcal{P}}(v_R)(P_R).$$

785 The set  $O_v$  is again upward-closed on both coordinates, as in the proof of Lemma 18. We  
786 use the notation used there to denote the sections of that set. Thus, using the assumption  
787 that  $\alpha_{\mathcal{P}} \preceq \beta_{\mathcal{P}}$  twice (once for  $v_L$  and once for  $v_R$ ), we obtain

$$788 \quad \sum_{(P_L, P_R) \in O_v} \alpha_{\mathcal{P}}(v_L)(P_L) \cdot \alpha_{\mathcal{P}}(v_R)(P_R) = \sum_{P_L \in \mathcal{P}(Q)} \alpha_{\mathcal{P}}(v_L)(P_L) \cdot \left( \sum_{P_R \in P_L^{-1} \cdot O_v} \alpha_{\mathcal{P}}(v_R)(P_R) \right)$$

$$789 \quad \leq \sum_{P_L \in \mathcal{P}(Q)} \alpha_{\mathcal{P}}(v_L)(P_L) \cdot \left( \sum_{P_R \in P_L^{-1} \cdot O_v} \beta_{\mathcal{P}}(v_R)(P_R) \right)$$

$$790 \quad = \sum_{(P_L, P_R) \in O_v} \alpha_{\mathcal{P}}(v_L)(P_L) \cdot \beta_{\mathcal{P}}(v_R)(P_R)$$

$$791 \quad = \sum_{(P_L, P_R) \in O_v} \beta_{\mathcal{P}}(v_R)(P_R) \cdot \alpha_{\mathcal{P}}(v_L)(P_L)$$

$$792 \quad = \sum_{P_R \in \mathcal{P}(Q)} \beta_{\mathcal{P}}(v_R)(P_R) \cdot \left( \sum_{P_L \in O_v \cdot P_R^{-1}} \alpha_{\mathcal{P}}(v_L)(P_L) \right)$$

$$\begin{aligned}
793 \quad & \leq \sum_{P_R \in \mathcal{P}(Q)} \beta_{\mathcal{P}}(v_R)(P_R) \cdot \left( \sum_{P_L \in O_v \cdot P_R^{-1}} \beta_{\mathcal{P}}(v_L)(P_L) \right) \\
794 \quad & = \sum_{(P_L, P_R) \in O_v} \beta_{\mathcal{P}}(v_R)(P_R) \cdot \beta_{\mathcal{P}}(v_L)(P_L) \\
795 \quad & = \sum_{(P_L, P_R) \in O_v} \beta_{\mathcal{P}}(v_L)(P_L) \cdot \beta_{\mathcal{P}}(v_R)(P_R). \\
796 \quad & \\
797 \quad & \blacktriangleleft
\end{aligned}$$

798 Since  $\mathcal{F}$  is continuous and monotone,  $\vec{\mu}_{\mathcal{P}}(\mathcal{S}_{\infty}^n)$  and  $\vec{\mu}_{\mathcal{P}}(\mathcal{R}_{\infty}^n)$  are the greatest-and  
799 least-fixed points of the appropriate operations. This observation allows us to compute the  
800 values  $\mu_{\mathcal{P}}(v)(L)$  and given Equation (22) we obtain the measure  $\mu_{\mathcal{P}}(L)$ .

## 801 9 Representing algebraic numbers

802 We now use the formulae  $\psi_{\mathcal{A}}$  and  $\psi_{\mathcal{A}, \mathcal{P}}$  constructed above to find the measure of the lan-  
803 guage  $L(\mathcal{A})$ . We use the celebrated result of Tarski [25] and its two algorithmic improvements.

804 ► **Theorem 34** ([2, 3]). *Given a formula  $\psi$  of first-order logic over  $\mathbb{R}$ , one can decide if  $\psi$   
805 holds in deterministic exponential space. Moreover, if  $\psi$  is in a prenex normal form and  
806 the alternation of quantifiers  $\forall$  and  $\exists$  in  $\psi$  is bounded then the algorithm works in single  
807 exponential time in the size of  $\psi$ .*

808 **Proof of Theorem 2.** Input a weak alternating automaton  $\mathcal{A}$ , a branching process  $\mathcal{P}$ , and  
809 a rational number  $q$ . Consider the formula  $\psi \equiv \exists x. \psi_{\mathcal{A}, \mathcal{P}}(x) \wedge q \bowtie x$ , where  $\bowtie$  is one of  $<$ ,  
810  $=$ , or  $>$ . Notice that  $\psi$  is in prenex normal form; its size is exponential in the size of  $\mathcal{A}$  and  
811 polynomial in the size of  $\mathcal{P}$ ; and its quantifier alternation is constant. Apply the algorithm  
812 from Theorem 34 to check whether  $\psi$  is true in  $\mathbb{R}$ .  $\blacktriangleleft$

813 We can also compute a representation of the measure  $\mu_{\mathcal{P}}(L(\mathcal{A}))$ . The *quantifier elim-*  
814 *ination* procedure due to Tarski [25] transforms a formula  $\psi(x_1, \dots, x_n)$  into an equivalent  
815 quantifier-free formula  $\widehat{\psi}(x_1, \dots, x_n)$ , which moreover can be represented by a *semialgebraic*  
816 set, see [4, Chapter 2].

817 ► **Theorem 35** ([7]). *Given a formula  $\psi(x_1, \dots, x_n)$  of first-order logic over  $\mathbb{R}$ , one can  
818 construct a representation of the set of tuples  $(x_1, \dots, x_n)$  satisfying  $\psi$ , as a semialgebraic  
819 set. Moreover, this algorithm works in time doubly-exponential in the size of  $\psi$ .*

820 Theorems 20 and 24 together with the above results imply the following claim.

821 ► **Corollary 36.** *Given a weak alternating automaton  $\mathcal{A}$  of size  $n$ , one can compute a repres-*  
822 *entation of the value  $\mu_0(L(\mathcal{A}))$  as a singleton semialgebraic set in time triply exponential*  
823 *in  $n$ . Moreover, given a branching process of size  $m$ , one can compute a representation of the*  
824 *value  $\mu_{\mathcal{P}}(L(\mathcal{A}))$  as a singleton semialgebraic set in time triply exponential in  $n$  and doubly*  
825 *exponential in  $m$ .*

## 826 10 Conclusions

827 We have shown how to compute the probability measure of a tree language  $L$  recognised  
828 by a weak alternating automaton. The crucial trait is *continuity* of certain approximations

829 of the measure of  $L$  in a properly chosen order  $\preceq$ , see Lemma 17. This continuity relies  
830 on König's lemma, cf. Lemma 9. In terms of  $\mu$ -calculus, it stems from both the absence  
831 of alternation between least and greatest fixed points in formulae and the boundedness of  
832 branching in models (for a study of continuity in  $\mu$ -calculus see [11]).

833 Whether our techniques can be extended beyond weak automata—hopefully to all tree  
834 automata or, equivalently, full MSO logic, or full  $\mu$ -calculus—remains open. The question  
835 is of interest as, e.g. translation of the logic CTL\* into  $\mu$ -calculus requires at least one  
836 alternation between least and greatest fixed points (cf. [9], Exercise 10.13). On the other  
837 hand, fixed point formulas over binary trees are not continuous in general, and may require  $\omega_1$   
838 iterations to reach stabilisation, already on the second level of the fixed-point hierarchy.

839 This problem has been already successfully tackled in the context of measurability of  
840 regular tree languages—Mio [16] uses Martin's axiom to control the behaviour of measure  
841 when taking limits of sequences of length  $\omega_1$ . Such behaviour cannot be directly simulated  
842 in  $\mathcal{DX}$ , because each well-founded chain of distributions has a countable length. However,  
843 this need not be an absolute obstacle as it might be the case that the values of the measure  
844 of the iterations stabilise before the actual fixed point is reached, possibly in  $\omega$  steps.



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