An automata-theoretic hierarchy inside $\mathbf{\Delta}_2^1$

Michał Skrzypczak

replacing Damian Niwiński

SSLPS annual meeting 2015 Lausanne

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Theorem (Rabin [1969])

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Theorem (Niwiński [1985])

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Proof

2. $L = \{t \mid t \models \varphi\}$

Example: $A = \{e_i\}$

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Theorem (Niwiński [1985])

L is a non-Borel set of trees definable in MSO.

- **2.** $L = \{t \mid t \models \varphi\}$
- **3.** L is Σ_1^1 -complete

Acceptance / winning conditions:

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$$L_{i,k} = \left\{ \alpha \in \{i, \dots, k\}^{\omega} \mid \limsup_{n \to \infty} \alpha(n) \equiv 0 \pmod{2} \right\}$$

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Büchi condition
$$\equiv L_{1,2}$$

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Index hierarchy
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Index hierarchy is the alternation-depth hierarchy for μ -calculus



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 \dashrightarrow search for constructive representations of sets in $\mathbf{\Delta}_2^1$

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Caution: $\forall A \subseteq X \exists \Omega \exists (A_s)_{s \in \mathbb{A}}$. $(A_s)_s \subseteq \mathbf{\Pi}^0_1 \land \Omega((A_s)_{s \in \mathbb{A}}) = A$

$\begin{array}{lll} \text{Positive analytic operations} \\ \text{for} \quad \Omega = \left(\mathbb{A}, \mathbb{B}\right) & \text{define} \quad \Omega \big((A_n)_{n \in \mathbb{A}} \big) \ = \ \bigcup_{N \in \mathbb{B}} \bigcap_{n \in N} \ A_n \end{array}$

Positive analytic operations for $\Omega = (\mathbb{A}, \mathbb{B})$ define $\Omega((A_n)_{n \in \mathbb{A}}) = \bigcup_{N \in \mathbb{B}} \bigcap_{n \in N} A_n$

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Intuition:

• play ω -iterated game for (\mathbb{A}, \mathbb{B})

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- $\bullet~M$ combines original strategies

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$$\begin{split} \mathcal{R}(\mathbb{A},\mathbb{B}) &= \left(\mathbb{A}^{<\omega}, \ \left\{M \mid \epsilon \in M \land \forall s \in M. \ \left\{n \mid s^{\circ}n \in M\right\} \in \mathbb{B}\right\}\right) \\ & \bigcup = \left(\omega, \left\{\{n\} \mid n \in \omega\right\}\right) \\ & \bigcap = \left(\omega, \left\{\omega\right\}\right) \\ \mathcal{R}(\bigcup) &= \left(\omega^{<\omega}, \ \left\{M \mid \epsilon \in M \land \forall s \in M. \ \left|\{n \mid s^{\circ}n \in M\}\right| = 1\}\right) \\ &= \left(\omega^{<\omega}, \ \left\{M \mid M \text{ is a branch}\right\}\right) = \mathcal{A} \\ \mathcal{R}(\bigcap) &= \left(\omega^{<\omega}, \ \left\{M \mid \epsilon \in M \land \forall s \in M. \ \left\{n \mid s^{\circ}n \in M\right\} = \left\{\omega\right\}\right\}\right) \end{split}$$

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R-sets (finite levels)

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$$\bigcup \xleftarrow{\operatorname{co-}}\bigcap$$





:

$$\Sigma_{1}^{1}-\mathsf{IND} \longleftrightarrow (\mathsf{co-}\mathcal{R})^{2}(\bigcup) \xleftarrow{}^{\mathsf{co-}} \mathcal{R}(\mathsf{co-}\mathcal{R})(\bigcup) \longleftrightarrow \mathsf{co-}\Sigma_{1}^{1}-\mathsf{IND}$$

$$\Pi_{1}^{1} \longleftrightarrow \mathsf{co-}\mathcal{R}(\bigcup) \xleftarrow{}^{\mathcal{R}} \mathcal{R}(\bigcup) = \mathcal{A} \qquad \longleftrightarrow \Sigma_{1}^{1}$$

$$\bigcup \xleftarrow{}^{\mathsf{co-}} \bigcap$$

÷



Theorem (Kolmogorov [1928], Luzin, Sierpiński [1918]) If Ω preserves measurability then co- Ω and $\mathcal{R}\Omega$ preserve measurability.



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Corollary

All \mathcal{R} -sets are universally measurable.

Theorem (Saint Raymond [2006])

The set of *cofinal* trees is complete for $(co-\mathcal{R})^2(\bigcup)(\Pi_1^0)$ (= Σ_1^1 -IND)

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$$(0,3) \subseteq (\operatorname{co-}\mathcal{R})^{3}(\bigcup) \quad \mathcal{R}(\operatorname{co-}\mathcal{R})^{2}(\bigcup) \supseteq (1,4)$$
$$(1,3) \subseteq (\operatorname{co-}\mathcal{R})^{2}(\bigcup) \quad \mathcal{R}(\operatorname{co-}\mathcal{R})(\bigcup) \supseteq (0,2)$$
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(both can be proved using forcing and absolutely Δ_2^1 sets) (Fenstand, Normann [1974])
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Also: correspondence between parity games and $\mathcal{R}\text{-transform}$

Problem (Rabin-Mostowski index problem)

Given φ and (i, k), decide if $\{t \mid t \models \varphi\}$ has index (i, k)?

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Conjecture

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Proved for (i, k) = (1, 2) (Rabin [1970])

Proved for (i, k) = (0, 1) (Michalewski, Hummel, Niwiński [2009]) Proved for all odd k (Arnold, Michalewski, Niwiński [2012])

 \longrightarrow open for even k (except (1,2))

22 / 26

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Conjecture

If a regular set is Σ_1^1 and **not** Borel then it is Σ_1^1 -complete.

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If a regular set has index (0,1) and (1,2) then it is Borel.

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Let $L_{\text{UB}} = \{t \mid \text{there is a unique branch of } t \text{ with infinitely many } a\}$ $L_{\text{UB}} \text{ is } \Pi_1^1\text{-complete and regular}$

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Let $L_{\text{UB}} = \{t \mid \text{there is a unique branch of } t \text{ with infinitely many } a\}$ L_{UB} is Π_1^1 -complete and regular but L_{UB} does **not** have index (0, 1).

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Question

Does Borel rank match weak quantifier alternation

Fact (Rabin [1970])

If a regular set has index (0,1) and (1,2) then it is Borel.

Conjecture

If a regular set if Borel then it has index (0,1) and (1,2).

•••• open Partial results by (Niwiński, Walukiewicz [2003]), ...

Example

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Question

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for weakly definable sets?

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vvv applications to unambiguous automata

Summary
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