

# Regularity for non-uniformly elliptic problems

Mathias Schäffner

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## Two non-uniformly elliptic problems.

- **Linear** (with P. Bella (TU Dortmund))

$$\nabla \cdot \omega \nabla u = 0$$

where

$$\omega \in L^p, \frac{1}{\omega} \in L^q$$

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where

$$\omega \in L^p, \frac{1}{\omega} \in L^q$$

- **nonlinear** (with P. Bella (TUD) & J. Hirsch (U Leipzig))

$$v \mapsto \int f(x, \nabla v) dx$$

with

$$|z|^p \lesssim f(x, z) \lesssim 1 + |z|^q$$

- divergence form elliptic equation ( $\mathbb{R}^d \ni x \mapsto \mathbf{a}(x) \in \mathbb{R}^{d \times d}$ ,  $d \geq 2$ )

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- $\mathbf{a}$  uniformly elliptic:  $\lambda|\xi|^2 \leq \mathbf{a}\xi \cdot \xi$ ,  $|\mathbf{a}\xi| \leq \mu|\xi|$ .

- [De Giorgi, Nash, Moser]:

$\Rightarrow u$  locally bounded and  $\exists \alpha > 0$ :  $u \in C_{\text{loc}}^{0,\alpha}$

$\Rightarrow$  if  $u \geq 0$  in  $B_1 \Rightarrow \sup_{B_{\frac{1}{2}}} u \lesssim \inf_{B_{\frac{1}{2}}} u$  (Harnack inequality)

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### Here:

- *pointwise* ellipticity replaced by *averaged* ellipticity

$\Rightarrow u$  locally bounded and Harnack inequality

### Motivation:

- stochastic homogenization ('Invariance principle')

**a** non-uniformly elliptic:

- $0 < \lambda, \mu < \infty$  a.e. with  $\lambda := \inf_{\xi \in \mathbb{R}^d} \frac{\xi \cdot \mathbf{a}\xi}{|\xi|^2}$ ,  $\mu := \sup_{\xi \in \mathbb{R}^d} \frac{|\mathbf{a}\xi|^2}{\xi \cdot \mathbf{a}\xi}$
- $\exists p, q \in (1, \infty]$  s.t.  $\frac{1}{\lambda} \in L^q_{\text{loc}}$ ,  $\mu \in L^p_{\text{loc}}$ .

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**Theorem:** [Trudinger ARMA'71]

$\frac{1}{p} + \frac{1}{q} < \frac{2}{d}$ .  $\exists c \in [1, \infty)$  s.t.  $\nabla \cdot a \nabla u = 0$  in  $B_1$  implies

(i) (local boundedness)  $\|u\|_{L^\infty(B_{\frac{1}{2}})} \leq c \|u\|_{L^1(B_1)}$ .

(ii) (Harnack inequality) If  $u \geq 0$  in  $B_1 \Rightarrow \sup_{B_{\frac{1}{2}}} u \leq c \inf_{B_{\frac{1}{2}}} u$

- $\frac{1}{p} + \frac{1}{q} < \frac{2}{d} \Rightarrow$  weighted Sobolev inequality
  - ▶ PDE: [Murthy, Stampacchia'68, Cupini, Marcellini, Mascolo '18,...]
  - ▶ Probability: [Fannjiang, Komorowski '97, Andres, Deuschel, Slowik 15, ...],

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- $\frac{1}{p} + \frac{1}{q} > \frac{2}{d-1} \Rightarrow$  Counterexample  $d \geq 4$ 
  - ▶ PDE: [Franchi, Serapioni, Serra Cassano '98]  $\exists \omega \in L^p(B_1)$  with  $\frac{1}{\omega} \in L^q(B_1)$  and **unbounded** solutions  $\nabla \cdot \omega \nabla u = 0$  in  $B_1$ .
  - ▶ Probability: [Biskup, Kumagai '14]  $\nexists L^\infty$ -sublinear corrector

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**Theorem:** [with Bella, CPAM to appear]

$\frac{1}{p} + \frac{1}{q} < \frac{2}{d-1}$ .  $\exists c \in [1, \infty)$  s.t.  $\nabla \cdot \mathbf{a} \nabla u = 0$  in  $B_1$  implies

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- $d = 2$  local boundedness assuming  $p = q = 1$  [with Bella]
- **Note:** global integrability compared to local  $\lambda \sim \mu \in A_2$  (cf. [Fabes, Kenig, Serapioni '82], [talk Diening])  $|B|^{-2} \|\lambda^{-1}\|_{L^1(B)} \|\mu\|_{L^1(B)} \lesssim 1 \forall B$

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- **Note:** 'Lavrentiev phenomena' possible - we consider ' $H$ -solutions' in closure of  $C^1$  wrt  $\int a \nabla u \cdot \nabla u + \mu u^2$  (cf. [Zhikov '01], [talk Balci])

## Idea of proof - local boundedness.

Variation of Moser's iteration method. Suppose

$$\lambda \geq 1 \quad (\text{i.e. } q = \infty) \text{ and } u > 0 \text{ smooth}$$

Test  $\nabla \cdot \mathbf{a} \nabla u = 0$  with  $\phi := u^{2\alpha-1} \eta^2$ ,  $\eta \in C_0^1(B_1)$

$$\int |\nabla(u^\alpha)|^2 \eta^2 \lesssim \int \mu |\nabla \eta|^2 u^{2\alpha}$$

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Fix  $\frac{1}{2} \leq \varrho < \sigma \leq 1$  and  $\eta \in C_0^1(B_\sigma)$  with  $\eta = 1$  in  $B_\varrho$

- Suppose  $\mu \in L^\infty$ . Estimate LHS (from below) via Sobolev

$$\|u^\alpha\|_{L^{2\chi}(B_\varrho)}^2 \lesssim \|\mu\|_{L^\infty} \|\nabla \eta\|_{L^\infty}^2 \|u^\alpha\|_{L^2(B_\sigma)}^2, \quad \chi := \frac{d}{d-2} > 1$$

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- Suppose  $\mu \in L^p$ . Estimate via Sobolev & Hölder

$$\|u^\alpha\|_{L^{2\chi}(B_\varrho)}^2 \lesssim \|\mu\|_{L^p(B_1)} \|\nabla \eta\|_{L^\infty}^2 \|u^\alpha\|_{L^{\frac{2p}{p-1}}(B_\sigma)}^2,$$

with  $\chi = \frac{d}{d-2}$ . In order to iterate, need  $\chi > \frac{p}{p-1} \Leftrightarrow \frac{1}{p} < \frac{2}{d}$ .

Variation of Moser's iteration method.

$$\int |\nabla(u^\alpha)|^2 \eta^2 \lesssim \int \mu |\nabla \eta|^2 u^{2\alpha} \quad \forall \eta \in C_0^1(B_1)$$

Fix  $\frac{1}{2} \leq \varrho < \sigma \leq 1$  and suppose  $\eta \in C_0^1(B_\sigma)$  with  $\eta = 1$  in  $B_\varrho$ .

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- Sobolev on **spheres** instead **balls** ( $d \rightarrow d - 1$ )

$$\begin{aligned} \int_{B_\sigma \setminus B_\varrho} \mu u^{2\alpha} &= \int_\varrho^\sigma \int_{S_r} \mu u^{2\alpha} \leq \int_\varrho^\sigma \|\mu\|_{L^p(S_r)} \|u^\alpha\|_{L^{\frac{2p}{p-1}}(S_r)}^2 \\ &\lesssim \int_\varrho^\sigma \|\mu\|_{L^p(S_r)} \|u^\alpha\|_{W^{1,p_*}(S_r)}^2, \quad \frac{1}{p_*} = \frac{1}{2} - \frac{1}{2p} + \frac{1}{d-1} \end{aligned}$$

- ✓  $2 > p_* \Leftrightarrow \frac{1}{p} < \frac{2}{d-1}$
- ✗  $\frac{1}{p} + \frac{2}{p_*} = 1 + \frac{2}{d-1} > 1$

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- Sobolev on **spheres** instead **balls** and **optimize**  $\eta = \eta(|x|)$

$$\int \mu |\nabla \eta|^2 u^{2\alpha} \lesssim \int_\varrho^\sigma \eta'(r)^2 \|\mu\|_{L^p(S_r)} \|u^\alpha\|_{W^{1,p_*}(S_r)}^2$$

$$\text{[1d minimization]} \leq \left( \int_\varrho^\sigma (\|\mu\|_{L^p(S_r)} \|u^\alpha\|_{W^{1,p_*}(S_r)}^2)^{-1} \right)^{-1}$$

$$\begin{aligned} \text{['harm. m.} \leq \text{arithm. m']} &\leq (\sigma - \varrho)^{-\frac{\gamma+1}{\gamma}} \left( \int_\varrho^\sigma (\|\mu\|_{L^p(S_r)} \|u^\alpha\|_{W^{1,p_*}(S_r)}^2)^\gamma \right)^{\frac{1}{\gamma}} \\ &\leq (\sigma - \varrho)^{-\frac{2d}{d-1}} \|\mu\|_{L^p(B_1)} \|u^\alpha\|_{W^{1,p_*}(B_\sigma)}^2 \end{aligned}$$

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Variation of Moser's iteration method.

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- Sobolev on **spheres** instead **balls** and **optimize**  $\eta = \eta(|x|)$

$$\int |\nabla \eta|^2 \mu u^{2\alpha} \lesssim (\sigma - \varrho)^{-\frac{2d}{d-1}} \|\mu\|_{L^p(B_1)} \|u^\alpha\|_{W^{1,p^*}(B_\sigma)}^2$$

Altogether,

$$\|u^{\alpha\chi}\|_{W^{1,p^*}(B_\varrho)}^{\frac{1}{\alpha\chi}} \lesssim \left( \frac{\|\mu\|_{L^p(B_1)}^{\frac{1}{2}} \alpha\chi}{(\sigma - \varrho)^{\frac{d}{d-1}}} \right)^{\frac{1}{\alpha\chi}} \|u^\alpha\|_{W^{1,p^*}(B_\sigma)}^{\frac{1}{\alpha}}$$

where  $\chi = 1 + \frac{1}{d-1} - \frac{1}{2p} > 1$ . Iterate  $\Rightarrow$  local boundedness  $\square$

## Summary

- Local boundedness & Harnack inequality under optimal integrability assumptions on  $\mathbf{a}$ ,  $\mathbf{a}^{-1}$
  - **Trick:** optimize cut-off in Caccioppoli & Sobolev on spheres
    - ▶ [Manfredi JGA'94]
    - ▶ [Briane, Diaz JDE'16...]
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## Further results:

- ✓ Parabolic equations

$$\partial_t u - \nabla \cdot \mathbf{a} \nabla u = 0$$

[with Bella, arXiv] (discrete)

$$q > \frac{d}{2}, p > 1 \quad \frac{1}{q} + \frac{1}{p} < \frac{2}{d-1}$$

( $q > \frac{d}{2}$  necessary)

- ✓ right-hand side & "logarithmic improvement" of  $(p, q)$  condition  
[with Bella, Hirsch, in preparation]

**Nonlinear problems:**

**Integral functionals with  $(p, q)$ -growth**

Consider

$$v \mapsto \int_{\Omega} f(\nabla v) dx \quad (1)$$

where  $z \mapsto f(z)$  is  $C^2$  and

$$\begin{cases} |z|^p \lesssim f(z) \lesssim |z|^q \\ (1 + |z|^2)^{\frac{p-2}{2}} |\xi|^2 \lesssim \langle D^2 f(z) \xi, \xi \rangle \lesssim (1 + |z|^2)^{\frac{q-2}{2}} |\xi|^2 \end{cases}$$

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Euler-Lagrange equation for (1) reads

$$\nabla \cdot Df(\nabla u) = 0$$

Linearization non-uniformly elliptic

$$\frac{\text{largest eigenvalue of } D^2 f(z)}{\text{smallest eigenvalue of } D^2 f(z)} \sim (1 + |z|^2)^{\frac{q-p}{2}}$$

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- Gradient regularity ([Marcellini '91, Esposito, Mingione, Leonetti '99])

$$\frac{q}{p} < 1 + \frac{2}{d} \quad \Rightarrow \quad \nabla v \in \begin{cases} L_{loc}^{\infty} & \text{(scalar)} \\ L_{loc}^q & \text{(vectorial)} \end{cases}$$

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- Local boundedness [Fusco, Sbordone '90][Cupini, Marcellini, Mascolo '15]

$$\frac{1}{q} \geq \frac{1}{p} - \frac{1}{d} \quad \Rightarrow \quad v \in L_{loc}^{\infty}$$

(+ sharp results for anisotropic growth)

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(+ sharp results for anisotropic growth)

- Counterexamples to regularity [Marcellini '91],[Giaquinta '87]

$$\frac{1}{p} - \frac{1}{d-1} > \frac{1}{q} \quad \text{local boundedness fails}$$

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**Theorem:** [Marcellini JOTA'96]

Let  $2 \leq p < q < \infty$  and let  $u$  be a local minimizer. Then

$$\frac{q}{p} < 1 + \frac{2}{d} \quad \Rightarrow \quad \nabla u \in L_{\text{loc}}^{\infty}$$

Related results by

[Acerbi, Beck, Byun, Brasco, Carozza, Chlebicka, Cianchi, Cupini, Colombo, De Filippis, Esposito, Koch, Leonetti, Fusco, Lieberman, Fonseca, Hästö, Harjulehto, Kristensen, Maly, Mascolo, Mingione, Oh, Ok, Passarelli di Napoli, Sbordone,...]

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Relation to linear equation (!formal!):

Suppose  $p = 2$  &  $u \in W_{\text{loc}}^{1,2}(\Omega) \Rightarrow$

$$\nabla \cdot \mathbf{a} \nabla u = 0 \quad \mathbf{a} := D^2 f(\nabla u) \in L^{\frac{2}{q-2}} =: P$$

$$\frac{q}{2} < 1 + \frac{2}{d} \Leftrightarrow \frac{1}{P} = \frac{q-2}{q} < \frac{2}{d} \sim \text{('Trudinger condition')}$$

Consider

$$v \mapsto \int_{\Omega} f(\nabla u) dx \quad u : \Omega \rightarrow \mathbb{R}$$

where  $z \mapsto f(z)$  is  $C^2$  and

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**Theorem:** [with Bella, Analysis & PDE, to appear]

Let  $2 \leq p < q < \infty$ ,  $d \geq 3$  and let  $u$  be a local minimizer. Then

$$\frac{q}{p} < 1 + \frac{2}{d-1} \quad \Rightarrow \quad \nabla u \in L_{\text{loc}}^{\infty}$$

- a priori estimate (for  $d \geq 4$ )

$$\|\nabla u\|_{L^{\infty}(\frac{1}{2}B)} \lesssim \left( \int_B f(\nabla u) dx + 1 \right)^{\frac{2}{(d+1)p - (d-1)q}}$$

Consider

$$v \mapsto \int_{\Omega} f(\nabla u) dx \quad u : \Omega \rightarrow \mathbb{R}^N \quad (\text{vectorial})$$

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- Direct corollary: Higher differentiability integrability

$$|\nabla u|^{\frac{p-2}{2}} \nabla u \in W_{loc}^{1,2}, \quad \nabla u \in L_{loc}^{\chi p}, \quad \chi := \frac{d}{d-2}$$

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- Less direct consequence: partial regularity (following & improving (in some aspects) [Bildhauer, Fuchs CVPDE'01])

Consider

$$v \mapsto \int_{\Omega} f(x, \nabla u) dx \quad u : \Omega \rightarrow \mathbb{R}$$

where  $z \mapsto f(x, z)$  is convex

$$|z|^p \lesssim f(x, z) \lesssim |z|^q, \quad f(2z) \lesssim f(z) + 1$$

**Theorem:** [with Hirsch, Comm. Cont. Math., to appear]

Let  $1 < p \leq q < \infty$ , and let  $u$  be a local minimizer. Then

$$\frac{1}{q} \geq \frac{1}{p} - \frac{1}{d-1} \quad \Rightarrow \quad u \in L_{\text{loc}}^{\infty}$$

- $\frac{1}{q} \geq \frac{1}{p} - \frac{1}{d-1}$  optimal in view of counterexample [Marcellini JDE'91].

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- De Giorgi type argument: Caccioppoli inequality

$$\int_{A_{k,\sigma}} f(x, \nabla u) \lesssim \int_{A_{k,\sigma} \setminus B_{\varrho}} f(x, \nabla u) + \int_{A_{k,\sigma}} |\nabla \eta|^q ((u - k)_+)^q$$

$$A_{\ell,r} := B_r \cap \{u > \ell\}.$$

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$$\|(u - k)_+\|_{W^{1,p}(B_{\varrho})}^p \lesssim (\sigma - \varrho)^{-\gamma} \|(u - h)_+\|_{W^{1,p}(B_{\varrho})}^q + \dots$$

$k > h$  Optimize  $\eta$ , Sobolev, iterate,...

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Back to autonomous functionals with

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[Carozza, Kristensen, Passarelli di Napoli AIHP'11]:  $p < d$

$$q < p + 2 \quad \& \quad u \in W_{\text{loc}}^{1,p} \cap L_{\text{loc}}^{\infty} \quad \Rightarrow \quad \nabla u \in L_{\text{loc}}^q$$

(compare with  $q < p + 2 \frac{p}{d-1}$ )

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[Colombo, Mingione ARMA'15]:

$$\text{Double phase:} \quad \int |\nabla u|^p + a(x)|\nabla u|^q \quad a \in C^{0,\alpha}$$

$$q < p + p\frac{\alpha}{d} \Rightarrow \nabla u \in C_{\text{loc}}^{0,\beta}$$

$$q < p + \alpha \ \& \ u \in L_{\text{loc}}^{\infty} \Rightarrow \nabla u \in C_{\text{loc}}^{0,\beta}$$

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**Thank you for the attention!**