Maximal regularity for local minimizers of non-autonomous functionals

#### Jihoon Ok

#### (Sogang University, Seoul)

This is a joint work with Peter Hästö.

Monday's Nonstandard Seminar 2020/21 (MIMUW)

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$$W^{1,1}(\Omega) \ni v \quad \mapsto \quad \mathcal{F}(v,\Omega) = \int_{\Omega} f(x,Dv) \, dx.$$
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- If the integrand f depends also on x, the functional  $\mathcal{F}$  is said to be non-autonomous.
- In particular, if  $z \mapsto f(x, z)$  depends only on |z|, i.e.,

$$\mathcal{F}(v,\Omega) = \int_{\Omega} \varphi(x,|Dv|) dx \quad (f(x,z) \equiv \varphi(x,|z|)),$$

then we say that  ${\mathcal F}$  has so-called Uhlenbeck's structure.

#### Functional with *p*-growth

$$\begin{cases} z \mapsto f(x,z) \text{ is } C^1(\mathbb{R}^n) \cap C^2(\mathbb{R}^n \setminus \{0\}), \\ 1$$

$$\begin{split} W^{1,p}(\Omega) \ni v &\mapsto \int_{\Omega} f(x,Dv) \, dx. \\ \text{E-L eq:} \ \operatorname{div} \left( \partial f(x,Du) \right) = 0. \end{split}$$

• Model case

$$\begin{split} f(x,z) &\equiv \varphi(x,|z|) = a(x)|z|^p, \quad 0 < \nu \leq a(\cdot) \leq L. \\ \text{E-L eq:} \quad \text{div} \left(a(x)|Du|^{p-2}Du\right) = 0. \end{split}$$

#### Functional with *p*-growth

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$$\begin{split} \omega(r) &:= \sup_{z \in \mathbb{R}^n \setminus \{0\}} \sup_{x, y \in B_r, B_r \subset \Omega} \frac{|f(x, z) - f(y, z)|}{|z|^p} \le 2L. \\ & \left( \mathsf{model \ case:} \ \omega(r) := \sup_{x, y \in B_r, B_r \subset \Omega} |a(x) - a(y)| \right) \end{split}$$

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#### DeGiorgi theory

• (No additional condition)  $\implies u \in C^{\alpha}$  for some  $\alpha \in (0, 1)$ .

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$$\lim_{r\to 0^+} \omega(r) = 0 \implies u \in C^{\alpha}$$
 for any  $\alpha \in (0, 1)$ .

- $\omega(r) \lesssim r^{\beta} \implies u \in C^{1,\alpha}$  for some  $\alpha \in (0,1)$ . (Maximal regularity)
- (model case) It is well known that if  $a(\cdot)$  is VMO then  $u \in W^{1,q}$  for any q > p hence  $u \in C^{\alpha}$  for any  $\alpha \in (0, 1)$ . Moreover, if  $a(\cdot)$  is VMO only for  $x_1, \ldots, x_{n-1}$  ( $x = (x_1, \ldots, x_n)$ ), then  $u \in W^{1,q}$  for any q > p. (Byun-Kim(16), Kim(18)).

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Marcellini introduced a general class of functionals.

#### (p,q)-growth condition (Marcellini, 1989)

$$\begin{split} z &\mapsto f(x,z) \text{ is } C^1(\mathbb{R}^n) \cap C^2(\mathbb{R}^n \setminus \{0\}), \\ 1 &$$

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 $f(x,z)\equiv \varphi(x,|z|)$ 

$$W^{1,\varphi}(\Omega) \ni v \mapsto \int_{\Omega} \varphi(x, |Dv|) \, dx.$$
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In this case, the previous (p,q)-growth condition can be simplified as

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#### Bounded or Hölder continuous minimizers

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• functionals and PDEs with generalized Orlicz growth

$$W^{1,\varphi}(\Omega) \ni v \quad \mapsto \quad \int_{\Omega} f(x,Dv) \, dx.$$

$$\begin{cases} z \mapsto f(x,z) \in \mathbb{R} \text{ is } C^1(\mathbb{R}^n) \cap C^2(\mathbb{R}^n \setminus \{0\}), \\ \nu\varphi(x,|z|) \le f(x,z) \le L\varphi(x,|z|), \\ \nu\frac{\varphi'(x,|z|)}{|z|} |\lambda|^2 \le \partial^2 f(x,z)\lambda \cdot \lambda \le L\frac{\varphi'(x,|z|)}{|z|} |\lambda|^2. \end{cases}$$

 $\operatorname{div} A(x, Du) = 0.$ 

$$\begin{cases} z \mapsto A(x,z) \in \mathbb{R}^n \text{ is } C^1(\mathbb{R}^n \setminus \{0\}), \\ |A(x,|z|)| + |z| |\partial A(x,z)| \le L\varphi'(x,|z|), \\ \nu \frac{\varphi'(x,|z|)}{|z|} |\lambda|^2 \le \partial A(x,z)\lambda \cdot \lambda. \end{cases}$$

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#### Generalized Orlicz function

• We say  $f: U \subset \mathbb{R} \to \mathbb{R}$  is almost increasing (or almost decreasing) if  $f(t) \leq Lf(s)$  (or  $f(s) \leq Lf(t)$ ) for any t < s for some  $L \geq 1$ .

Let  $\varphi = \varphi(x,t) : \Omega \times [0,\infty) \to [0,\infty)$  and  $\gamma > 0$ .  $(\operatorname{alnc})_{\gamma} : t \mapsto \frac{\varphi(x,t)}{t^{\gamma}}$  is almost increasing uniformly x with  $L \ge 1$ .  $(\operatorname{aDec})_{\gamma} : t \mapsto \frac{\varphi(x,t)}{t^{\gamma}}$  is almost decreasing uniformly x with  $L \ge 1$ .

- When L = 1,  $(Inc)_{\gamma} = (aInc)_{\gamma}$  and  $(Dec)_{\gamma} = (aDec)_{\gamma}$ .
- $p-1 \leq \frac{t\varphi''(x,t)}{\varphi'(x,t)} \leq q-1 \quad \stackrel{\varphi \in C^2}{\Longrightarrow} \quad \varphi' \text{ satisfies } (\mathsf{Inc})_{p-1} \text{ and } (\mathsf{Dec})_{q-1}.$  $\implies \varphi \text{ satisfies } (\mathsf{Inc})_p \text{ and } (\mathsf{Dec})_q.$

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(A0)  $\varphi(\cdot, 1) \approx 1$  (i.e.,  $\exists L \ge 1$  s.t.  $L^{-1} \le \varphi(x, 1) \le L \quad \forall x \in \Omega$ ).

From now on,  $\varphi \in \Phi_w(\Omega)$  and satisfies (A0), (alnc)<sub>p</sub> and (aDec)<sub>q</sub>.

#### Perturbed Orlicz(general growth)

 $\varphi(x,t) = a(x)\varphi_0(t),$ 

where

$$\begin{cases} 0 < \nu \le a(\cdot) \le L & (\iff \varphi \text{ is (A0) and } \varphi \approx \varphi_0), \\ t\varphi_0''(t) \approx \varphi_0'(t) & (\implies \varphi \text{ is (Inc)}_p \text{ and (Dec)}_q, \ 1 < p \le q). \\ W^{1,\varphi_0}(\Omega) \ni v & \mapsto \int_{\Omega} a(x)\varphi_0(|Dv|) \ dx. \end{cases}$$

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$$W^{1,\varphi_0}(\Omega) \ni v \quad \mapsto \quad \int_{\Omega} a(x)\varphi_0(|Dv|) \, dx.$$

Define

$$\omega(r) := \sup_{x,y \in B_r, B_r \subset \Omega} |a(x) - a(y)| \le 2L.$$

#### Regularity (Lieberman(91), ...)

• (No additional condition)  $\implies u \in C^{\alpha}$  for some  $\alpha \in (0, 1)$ .

• 
$$\lim_{r \to 0^+} \omega(r) = 0$$
  $(a(\cdot) \in C^0) \implies u \in C^{\alpha}$  for any  $\alpha \in (0, 1)$ .

$$\bullet \ \omega(r) \lesssim r^\beta \ \big(a(\cdot) \in C^\beta\big) \ \implies \ u \in C^{1,\alpha} \text{ for some } \alpha \in (0,1).$$

### Non-standard growth

Standard growth cases:

$$\varphi(x,t) = a(x)t^p \quad (\text{or} \quad a(x)\varphi_0(t)).$$

The power, or growth, of t is independent of x.

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#### Zhikov's examples

(*On Lavrentiev's phenomenon*, Russian J. Math. Phys. (1995)) (Anna Balci's talk on next week!)

• Variable exponent

$$\varphi(x,t) = t^{p(x)},$$

$$1$$

• Double phase

$$\begin{split} \varphi(x,t) &= t^p + b(x)t^q,\\ 0 \leq b(\cdot) \leq L \text{ and } b(\cdot) \in C^{0,\beta}, \ \beta \in (0,1]. \end{split}$$

In last two decades, there have been a lot of researches on regularity theory for these problems.

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### General non-autonomous problems

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Harjulehto and Hästö found the following crucial conditions on  $\varphi$ :

(A1) There exists  $L \geq 1$  such that for any  $B_r \subseteq \Omega$  with  $|B_r| < 1$ ,  $\varphi_{B_r}^+(t) \le L \varphi_{B_r}^-(t)$  for any t > 0 with  $\varphi_{B_r}^-(t) \in [1, |B_r|^{-1}]$ . (A1-s) There exists  $L \geq 1$  such that for any  $B_r \in \Omega$  with  $|B_r| < 1$ ,  $\varphi_B^+(t) \leq L\varphi_B^-(t)$  for any t > 0 with  $t^s \in [1, |B_r|^{-1}]$ . Here,  $\varphi_{U}^{+}(t) := \sup_{x \in U} \varphi(x, t)$  and  $\varphi_{U}^{-}(t) := \inf_{x \in U} \varphi(x, t)$ . • (A1) means  $\varphi_{B_n}^+(t)$  and  $\varphi_{B_n}^-(t)$  are comparable uniformly for t > 0

### Hölder continuity for non-autonomous problems



If  $\varphi$  satisfies (A1), then  $u \in C^{\alpha}_{loc}(\Omega)$  for some  $\alpha = \alpha(n, p, q, L) \in (0, 1)$ . If  $\varphi$  satisfies (A1-n) and  $u \in L^{\infty}(\Omega)$ , then  $u \in C^{\alpha}_{loc}(\Omega)$  for some  $\alpha = \alpha(n, p, q, L) \in (0, 1)$ .

• The paper considers more general functionals and quasi-minimizers and proves Harnack's inequality.

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What about  $C^{\alpha}$ -regularity for any  $\alpha \in (0,1)$  and  $C^{1,\alpha}$ -regularity for some  $\alpha \in (0,1)$ ?

Note: In particular cases, the proofs of these regularities use perturbation arguments that depend on their particular structures.

#### (VA1): Vanishing (A1)

There exists a non-decreasing, bounded, continuous function  $\omega: [0,\infty) \to [0,1]$  with  $\omega(0) = 0$  such that for any small  $B_r \Subset \Omega$ ,

 $\varphi^+_{B_r}(t) \leq (1+\omega(r))\varphi^-_{B_r}(t) \quad ^\forall t>0 \ \text{ with } \ \varphi^-_{B_r}(t) \in [\omega(r), |B_r|^{-1}].$ 

(A1): 
$$\varphi_{B_r}^+(t) \leq L \varphi_{B_r}^-(t) \quad \forall t > 0 \text{ with } \varphi_{B_r}^-(t) \in [1, |B_r|^{-1}].$$

- (VA1) implies (A1).
- (VA1) implies that  $x \mapsto \varphi(x,t)$  is continuous for all  $t \in (0,\infty)$ .

#### Theorem (Hästö-Ok, to appear in JEMS)

Let  $\varphi(x, \cdot) \in C^1([0, \infty))$  for every  $x \in \Omega$  with  $\varphi'$  satisfying (A0), (Inc)<sub>p-1</sub> and (Dec)<sub>q-1</sub> for some 1 .

- (1) If  $\varphi$  satisfies (VA1), then  $u \in C^{\alpha}_{loc}(\Omega)$  for any  $\alpha \in (0,1)$ .
- (2) If  $\varphi$  satisfies (VA1) and  $\omega(r) \lesssim r^{\beta}$  for some  $\beta > 0$ , then  $u \in C^{1,\alpha}_{loc}(\Omega)$  for some  $\alpha \in (0,1)$ .

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- (2) If  $\varphi$  satisfies (VA1) and  $\omega(r) \lesssim r^{\beta}$  for some  $\beta > 0$ , then  $u \in C^{1,\alpha}_{loc}(\Omega)$  for some  $\alpha \in (0,1)$ .
  - $\varphi$  is assumed to be  $C^1$  for t (In former regularity results in the case  $\varphi(x,t) = \varphi(t)$ ,  $\varphi$  is always assumed to be  $C^2((0,\infty))$ ). In fact, the assumption implies  $W^{2,\infty}_{\text{loc}}((0,\infty))$ .
    - Recall that

$$p-1 \leq rac{t \varphi''(t)}{\varphi'(t)} \leq q-1 \quad \stackrel{\varphi \in C^2}{\Longleftrightarrow} \quad \varphi'(t): \ (\operatorname{Inc})_{p-1} \text{ and } (\operatorname{Dec})_{q-1}.$$

- For instance  $\varphi(t) := \int_0^t \max\{s^{p-1}, s^{q-1}\} ds$  satisfies the assumptions in the above theorem, but is not  $C^2$ .

# (A1) and (VA1)

Let  $B_r = B_r(x_0)$ . Since sup  $|\varphi(x,t) - \varphi(y,t)| = \varphi_{B_r}^+(t) - \varphi_{B_r}^-(t)$ ,  $x, y \in B_r$ (A1)

$$\begin{split} \sup_{x,y\in B_r} \frac{|\varphi(x,t)-\varphi(y,t)|}{\varphi(x_0,t)} &\leq \sup_{x,y\in B_r} \frac{|\varphi(x,t)-\varphi(y,t)|}{\varphi_{B_r}^-(t)} \leq L-1, \\ & \forall t > 0 \text{ with } \varphi_{B_r}^-(t) \in [1,|B_r|^{-1}]. \end{split}$$

$$\begin{aligned} \text{(VA1)} \\ \sup_{x,y\in B_r} \frac{|\varphi(x,t)-\varphi(y,t)|}{\varphi(x_0,t)} &\leq \omega(r), \ \forall t > 0 \text{ with } \varphi_{B_r}^-(t) \in [\omega(r),|B_r|^{-1}]. \end{aligned}$$

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• If the above inequalities hold for all  $t \in (0, \infty)$ , they imply

 $\varphi(x,t) \approx a(x)\varphi(x_0,t)$  (perturbed Orlicz case),

where  $\omega_a(r) \lesssim L - 1$  and  $\omega_a(r) \lesssim \omega(r)$ , respectively.

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# (Recall) Functional with *p*-growth

$$\omega(r) := \sup_{z \in \mathbb{R}^n \setminus \{0\}} \sup_{x, y \in B_r, B_r \Subset \Omega} \frac{|f(x, z) - f(y, z)|}{|z|^p}$$

#### DeGiorgi theory

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$$\lim_{r\to 0^+} \omega(r) = 0 \implies u \in C^{\alpha}$$
 for any  $\alpha \in (0, 1)$ .  
•  $\omega(r) \leq r^{\beta} \implies u \in C^{1,\alpha}$  for some  $\alpha \in (0, 1)$ . (Maximal regularity

If 
$$f(x,z) \Rightarrow \varphi(x,|z|)$$
 and  $|z|^p \Rightarrow \varphi(x_0,|z|)$ ,

$$\omega(r) = \sup_{t \in (0,\infty)} \sup_{x,y \in B_r, B_r \Subset \Omega} \frac{|\varphi(x,t) - \varphi(y,t)|}{\varphi(x_0,t)}$$

The previous theorem covers most known regularity results with continuity assumption for x in special cases.

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Double phase problem

$$\begin{split} \varphi(x,t) &= t^p + b(x)t^q, \quad b \in C^{0,\beta} \text{ and } 0 \leq b(\cdot) \leq L. \\ \text{- If } \tfrac{q}{p} < 1 + \tfrac{\beta}{n}, \, \varphi \text{ satisfies (VA1) with } \omega(r) \lesssim r^{\gamma}, \, \gamma = \beta - \tfrac{n(q-p)}{p} > 0, \\ \text{hence } u \in C^{1,\alpha}_{\text{loc}}. \text{ (Colombo-Mingione (2015))} \end{split}$$

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Double phase problem

 $\varphi(x,t)=t^p+b(x)t^q, \quad b\in C^{0,\beta} \ \text{ and } \ 0\leq b(\cdot)\leq L.$ 

- If  $\frac{q}{p} < 1 + \frac{\beta}{n}$ ,  $\varphi$  satisfies (VA1) with  $\omega(r) \lesssim r^{\gamma}$ ,  $\gamma = \beta - \frac{n(q-p)}{p} > 0$ , hence  $u \in C_{\text{loc}}^{1,\alpha}$ . (Colombo-Mingione (2015))

- If 
$$rac{q}{p} \geq 1 + rac{eta}{n}$$
,  $arphi$  does not satisfy (VA1).

- However, if  $\frac{q}{p} = 1 + \frac{\beta}{n}$ ,  $u \in C^{1,\alpha}_{loc}$ . (Baroni-Colombo-Mingione (2018))

u is a minimizer of

$$v \mapsto \int_{\Omega} \varphi(x, |Dv|) \, dx$$

if and only if it is a weak solution to

$$\operatorname{div}\left(\frac{\varphi'(x,|Du|)}{|Du|}Du\right) = 0.$$
(4)

 If we regard u as a weak solution to (4) and do not return to a variational problem, (as far as we have checked) the approach used in the proof implies the same regularity results except for replacing the inequality in (VA1) by

$$(\varphi')^+_{B_r}(t) \le (1+\omega(r))(\varphi')^-_{B_r}(t) \quad \forall t > 0 \text{ with } \varphi^-_{B_r}(t) \in [\omega(r), |B_r|^{-1}].$$

Note The above inequality is not comparable to the original one.

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#### (wVA1): weak (VA1)

For any  $\epsilon > 0$ , there exists a non-decreasing, bounded, continuous function  $\omega = \omega_{\epsilon} : [0, \infty) \to [0, 1]$  with  $\omega(0) = 0$  such that for any small ball  $B_r \Subset \Omega$ ,

 $\varphi_{B_r}^+(t) \le (1+\omega(r))\varphi_{B_r}^-(t) + \omega(r) \quad \text{with} \quad \varphi_{B_r}^-(t) \in [\omega(r), |B_r|^{-1+\epsilon}].$ 

• (VA1)  $\implies$  (wVA1)  $\implies$  (A1).

#### (wVA1): weak (VA1)

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• (VA1) 
$$\implies$$
 (wVA1)  $\implies$  (A1).  
•  $\varphi(x,t) = t^p + b(x)t^q$  ( $b \in C^{0,\beta}$ ,  $0 \le b(\cdot) \le L$ )  
If  $\frac{q}{p} \le 1 + \frac{\beta}{n}$ ,  $\varphi$  satisfies (wVA1) with  $\omega_{\epsilon}(r) \le r^{\gamma_{\epsilon}}$ ,  
 $\gamma_{\epsilon} = \beta - \frac{n(1-\epsilon)(q-p)}{p} > 0$ .

 The following inequality implies the inequality in (wVA1) (with different ω).

 $(\varphi')^+_{B_r}(t) \leq (1 + \omega(r))(\varphi')^-_{B_r}(t) + \omega(r) \text{ with } \varphi^-_{B_r}(t) \in [\omega(r), |B_r|^{-1+\epsilon}]$ 

#### Theorem (Hästö-Ok, to appear in JEMS)

Let  $\varphi(x, \cdot) \in C^1([0, \infty))$  for every  $x \in \Omega$  with  $\varphi'$  satisfying (A0), (Inc)\_{p-1} and (Dec)\_{q-1} for some 1 .

- (1) If  $\varphi$  satisfies (wVA1), then  $u \in C^{\alpha}_{loc}(\Omega)$  for any  $\alpha \in (0,1)$ .
- (2) If  $\varphi$  satisfies (wVA1) with  $\omega_{\epsilon}(r) \leq r^{\beta_{\epsilon}}$  for some  $\beta_{\epsilon} > 0$ , then  $u \in C^{1,\alpha}_{loc}(\Omega)$  for some  $\alpha \in (0,1)$ .
  - (As far as we have checked) The above theorem covers all previous regularity results with continuity assumptions (w.r.t. x) for special cases: standard growth case, p(x)-growth case, double phase case, ....
  - (wVA1) can be replaced by the combination of (A1) and (wVA1) with fixed small  $\epsilon$  that depends on the structure contants.

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### Examples (variable exponent type)

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$$\varphi(x,t) = t^{p(x)}$$

- $\lim_{r \to 0} \omega_p(r) \ln(1/r) = 0 \iff \varphi$  satisfies (VA1)  $\implies u \in C^{\alpha} \quad \forall \alpha$ . (Acerbi-Mingione(2001))
- $\omega_p(r) \lesssim r^{\tilde{\beta}} \iff \varphi$  satisfies (VA1) with  $\omega(r) \lesssim r^{\beta} \implies u \in C^{1,\alpha}$ . (Cosica-Mingione(1999))

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$$\varphi(x,t) = t^{p(x)} + t^{q(x)}, \qquad p(\cdot) \le q(\cdot)$$

•  $\lim_{r \to 0} \omega_p(r) = 0$ ,  $\lim_{r \to 0} \omega_q(r) \ln(1/r) = 0 \implies \varphi$  satisfies (VA1)  $\implies u \in C^{\alpha} \quad \forall \alpha.$ •  $\omega_p(r), \omega_q(r) \lesssim r^{\tilde{\beta}} \implies \varphi$  satisfies (VA1) with  $\omega(r) \lesssim r^{\beta}.$  $\implies u \in C^{1,\alpha}.$ 

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# Examples (double phase type)

 $\frac{\xi}{\psi} \text{ is almost increasing, } a(\cdot), b(\cdot) \in C^0 \text{, } a(\cdot), b(\cdot) \geq 0 \text{ and } a(\cdot) + b(\cdot) \approx 1.$ 

 $\varphi(x,t) := a(x)\psi(t) + b(x)\xi(t),$ 

 $\text{Define } \omega_{\epsilon}(r):=\omega_a(r)+\omega_b(r)r^{n(1-\epsilon)}\xi\big(\psi^{-1}(r^{-n(1-\epsilon)})\big),\quad \epsilon\in[0,1).$ 

- $\lim_{r \to 0} \omega_{\epsilon}(r) = 0 \implies \varphi$  satisfies (wVA1).  $\implies u \in C^{\alpha} \ \forall \alpha \in (0, 1).$
- Moreover,  $\omega_{\epsilon}(r) \lesssim r^{\beta_{\epsilon}} \implies u \in C^{1, \alpha}$  for some  $\alpha \in (0, 1)$ .

If  $\psi$  and  $\xi$  are general Orlicz functions,

• it is natural to assume that  $b \in C^{\omega_b} \Leftrightarrow \sup_{x,y} \frac{|b(x)-b(y)|}{\omega_b(|x-y|)} < \infty \Leftrightarrow |b(x)-b(y)| \lesssim \omega_b(|x-y|).$ 

• we can distinguish  $C^{\alpha}\text{-regularity}$  for any  $\alpha\in(0,1)$  and  $C^{1,\alpha}\text{-regularity}.$ 

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#### Step 1. Higher integrability

There exists  $\sigma_0=\sigma_0(n,p,q,L)>0$  and  $c_1=c_1(n,p,q,L)\geq 1$  such that

$$\left(\oint_{B_r} \varphi(x, |Du|)^{1+\sigma_0} \, dx\right)^{\frac{1}{1+\sigma_0}} \le c_1 \left(\oint_{B_{2r}} \varphi(x, |Du|) \, dx + 1\right)$$

for any  $B_{2r} \Subset \Omega$  with  $\int_{B_{2r}} \varphi(x, |Du|) dx \leq 1$ . Hence  $\varphi(\cdot, |Du|) \in L^{1+\sigma_0}_{loc}(\Omega)$ .

• We have the following reverse Hölder and Jensen type inequalities:

$$\begin{split} \int_{B_r} \varphi(x, |Du|) \, dx &\leq c_t \bigg[ \bigg( \int_{B_{2r}} \varphi(x, |Du|)^t \, dx \bigg)^{\frac{1}{t}} + 1 \bigg], \qquad t \in (0, 1]. \\ \int_{B_r} \varphi(x, |Du|) \, dx &\leq c \varphi_{B_{2r}}^- \bigg( \int_{B_{2r}} |Du| \, dx + 1 \bigg). \end{split}$$

#### Step 2. Construction of a regular function

Let  $B = B_{2r}(x_0)$ ,  $t_1 := (\varphi_B^-)^{-1}(\omega(2r))$  and  $t_2 := (\varphi_B^-)^{-1}(|B|^{-1})$ .

We construct  $\tilde{\varphi}$  s.t.

(1) 
$$\tilde{\varphi} \in C^1([0,\infty)) \cap C^2((0,\infty))$$
 and  $t\tilde{\varphi}''(t) \approx \tilde{\varphi}'(t)$ .

- (2)  $0 \leq \tilde{\varphi}(t) \varphi(x_0, t) \leq c(r\varphi_B^-(t) + \omega(2r)), \forall t \in [t_1, t_2].$
- (3)  $\theta_0(x,t) := \varphi(x, \tilde{\varphi}^{-1}(t))$  satisfies (A0),  $(\operatorname{alnc})_1$ ,  $(\operatorname{aDec})_{q/p}$  and (A1).

$$\psi_B(t) := \begin{cases} a_1 \left(\frac{t}{t_1}\right)^{p-1} & \text{if } 0 \le t < t_1, \\ \varphi'(x_0, t) & \text{if } t_1 \le t \le t_2, \\ a_2 \left(\frac{t}{t_2}\right)^{p-1} & \text{if } t_2 < t < \infty, \end{cases} \qquad \varphi_B(t) := \int_0^t \psi_B(s) \, ds,$$

where  $a_1 = \varphi'(x_0,t_1)$  and  $a_2 = \varphi'(x_0,t_2)$ , and

$$\begin{split} \tilde{\varphi}(t) &:= \int_0^\infty \varphi_B(t\sigma) \eta_r(\sigma-1) \, d\sigma = \int_1^{1+r} \varphi_B(t\sigma) \eta_r(\sigma-1) \, d\sigma, \\ \eta &\in C_0^\infty(\mathbb{R}^+) \text{ with } \operatorname{supp} \eta \subset (0,1) \text{ and } \|\eta\|_1 = 1, \text{ and } \eta_r(t) := \frac{1}{r} \eta(\frac{t}{r}). \end{split}$$

Step 3. Regularity results for the regularized problem Let  $v \in W^{1,\tilde{\varphi}}(B_r)$  be the minimizer of the functional

$$u + W_0^{1,\tilde{\varphi}}(B_r) \ni v \mapsto \int_{B_r} \tilde{\varphi}(|Dv|) dx,$$

equivalently, v is a weak solution to

$$\operatorname{div}\left(\frac{\tilde{\varphi}'(|Dv|)}{|Dv|}Dv\right) = 0 \quad \text{in} \quad B_r, \quad v = u \quad \text{on} \quad \partial B_r.$$

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Step 3. Regularity results for the regularized problem Let  $v \in W^{1,\tilde{\varphi}}(B_r)$  be the minimizer of the functional

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#### $C^{1, \alpha}$ -regularity

$$\begin{split} u \in C^{1,\alpha}_{\mathsf{loc}}(B_r) \text{ for some } \alpha \in (0,1). \text{ For any } B_{\rho}(y) \subset B_r \\ & \sup_{B_{\rho/2}(y)} |Dv| \leq c \oint_{B_{\rho}(y)} |Dv| \, dx \\ \text{and, for any } \tau \in (0,1), \\ & \oint_{B_{\tau\rho}(y)} |Dv - (Dv)_{B_{\tau\rho}(y)}| \, dx \leq c\tau^{\alpha} \oint_{B_{\rho}(y)} |Dv| \, dx. \end{split}$$

#### Calderón-Zygmund type estimates

Suppose  $\theta = \theta(x,t)$  satisfies (A0),  $(alnc)_{p_1}$ ,  $(aDec)_{q_1}$  and (A1) for some  $1 < p_1 < q_1$ . Then

$$\|\tilde{\varphi}(|Dv|)\|_{L^{\theta}(B_r)} \le c \|\tilde{\varphi}(|Du|)\|_{L^{\theta}(B_r)}.$$

This implies that

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$$\begin{split} & \oint_{B_r} \theta(x, \tilde{\varphi}(|Dv|)) \, dx \leq c \bigg( \int_{B_r} \theta(x, \tilde{\varphi}(|Du|)) \, dx + 1 \bigg), \\ \text{ided that } \int_{B_r} \theta(x, \tilde{\varphi}(|Du|)) \, dx \leq 1. \end{split}$$

Set 
$$\theta(x,t) = \theta_0(x,t)^{1+\sigma} = \varphi(x,\tilde{\varphi}^{-1}(t))^{1+\sigma}$$
.

$$\begin{split} & \oint_{B_r} \varphi(x, |Dv|)^{1+\sigma} \, dx = \int_{B_r} \theta(x, \tilde{\varphi}(|Dv|)) \, dx \\ & \leq c \bigg( \int_{B_r} \theta(x, \tilde{\varphi}(|Du|)) \, dx + 1 \bigg) = c \bigg( \int_{B_r} \varphi(x, |Du|)^{1+\sigma} \, dx + 1 \bigg). \end{split}$$

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In particular,  $v \in W^{1,\varphi}(B_r)$  and  $\varphi(\cdot, |Dv|) \in L^{1+\sigma}(B_r)$ .

#### extrapolation

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$$||f||_{L^p_w(B_r)} \le c([w]_p) ||g||_{L^p_w(B_r)}$$

for some  $1 and for all weight <math>w \in A_p$ , then

$$\|f\|_{L^{\theta}(B_r)} \le c \|g\|_{L^{\theta}(B_r)}$$

for any  $\theta = \theta(x, t)$  satisfying (A0),  $(\text{alnc})_{p_1}$ ,  $(\text{aDec})_{q_1}$  and (A1).

• 
$$f = \tilde{\varphi}(|Dv|)$$
 and  $g = \tilde{\varphi}(|Du|)$ .

#### Step 4. Approximating estimate

• Use that

$$\int_{B_r} \varphi(x, |Du|) \, dx \leq \int_{B_r} \varphi(x, |Dv|) \, dx, \ \int_{B_r} \tilde{\varphi}(|Dv|) \, dx \leq \int_{B_r} \tilde{\varphi}(|Du|) \, dx$$

• Separate  $B_{r/2}$  into the three regions:

$$\{|Du| \le t_1\}, \qquad \{t_1 < |Du| \le t_2\}, \qquad \{|Du| > t_2\},$$

where  $t_1 := (\varphi_{B_{2r}})^{-1}(\omega(2r))$  and  $t_2 := (\varphi_{B_{2r}})^{-1}(|B_{2r}|^{-1})$ , and estimate integrals over the above regions independently.

• By applying reverse type estimates, we obtain  $L^1$  comparison estimate.

$$\int_{B_{r/2}} |Du - Dv| \, dx \lesssim \tilde{\omega}(r) \int_{B_{2r}} |Du| \, dx,$$

where  $\tilde{\omega}(r) = (\omega(r) + r)^{(\text{power})}$ .

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#### Step 5. Iteration

By standard iteration arguments with  $B_{r_j}$  with  $r_j = 2 \cdot 4^{-j} r$ , we obtain

#### Morrey type estimate

For each 
$$\alpha \in (0,1)$$
  
$$\int_{B_r} |Du| \, dx \lesssim r^{n-1+\alpha} \quad \text{for any small ball } B_r,$$
which imply  $u \in C_{\text{loc}}^{\alpha}$ .

#### Campanato type estimate

Suppose 
$$\omega_{\epsilon}(r) \lesssim r^{\beta_{\epsilon}}$$
. For some  $\alpha \in (0, 1)$   
$$\int_{B_{r}} |Du - (Du)_{B_{r}}| dx \lesssim r^{n+\alpha} \text{ for any small ball } B_{r}$$
which implies  $u \in C^{1,\alpha}_{\text{loc}}$ .

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#### (VA1-s) : vanishing (A1-s)

Let s>0. There exists a non-decreasing, bounded, continuous function  $\omega:[0,\infty)\to [0,1]$  with  $\omega(0)=0$  such that for any small ball  $B_r\Subset \Omega$ ,

 $\varphi_{B_r}^+(t) \leq (1+\omega(r))\varphi_{B_r}^-(t) + \omega(r) \quad \text{for all} \quad t^s \in [\omega(r), |B_r|^{-1}].$ 

#### (wVA1-s) : weak (VA1-s)

Let s > 0. For any small  $\epsilon > 0$ , there exists a non-decreasing, bounded, continuous function  $\omega = \omega_{\epsilon} : [0, \infty) \to [0, 1]$  with  $\omega(0) = 0$  such that for any small ball  $B_r \Subset \Omega$ ,

$$\varphi_{B_r}^+(t) \leq (1+\omega(r))\varphi_{B_r}^-(t) + \omega(r) \quad \text{for all} \ t^s \in [\omega(r), |B_r|^{-1+\epsilon}].$$

• If  $\varphi$  satisfies (wVA1-s), then it does (VA1- $\tilde{s}$ ) for any  $\tilde{s} > s$ .

#### Theorem (Hästö-Ok, in preparation)

(1) If  $\varphi$  satisfies  $(VA1-\frac{n}{1-\gamma'})$  and  $u \in C^{\gamma}(\Omega)$  for some  $0 < \gamma' < \gamma < 1$ , then  $u \in C^{\alpha}_{loc}(\Omega)$  for any  $\alpha \in (0,1)$ .

- (2) If  $\varphi$  satisfies  $(VA1-\frac{n}{1-\gamma'})$  with  $\omega(r) \lesssim r^{\delta}$  and  $u \in C^{\gamma}(\Omega)$  for some  $0 < \gamma' < \gamma < 1$  and  $\delta > 0$ , then  $u \in C^{1,\alpha}_{loc}(\Omega)$  for some  $\alpha \in (0,1)$ .
  - $\varphi(x,t) = t^p + b(x)t^q$  with  $b \in C^{0,\beta}$ . Baroni-Colombo-Mingione (2018) prove that if

$$q with  $\gamma \in (0, 1)$  (5)$$

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$$\delta := \beta - (q-p)(1-\gamma') = \frac{\beta - (q-p)(1-\gamma)}{2 + 2\beta} > 0.$$

#### Theorem (Hästö-Ok, in preparation)

(1) If  $\varphi$  satisfies (wVA1-n) and  $u \in L^{\infty}(\Omega)$ , then  $u \in C^{\alpha}_{loc}(\Omega)$  for any  $\alpha \in (0, 1)$ .

- (2) If  $\varphi$  satisfies (wVA1-n) with  $\omega_{\epsilon}(r) \lesssim r^{\beta_{\epsilon}}$  for some  $\beta_{\epsilon} > 0$  and  $u \in L^{\infty}(\Omega)$ , then  $u \in C^{1,\alpha}_{loc}(\Omega)$  for some  $\alpha \in (0,1)$ .
  - This is a corollary of the preceding theorem.

$$(\mathsf{wVA1-}n) \quad \Rightarrow \quad \left\{ \begin{array}{c} (\mathsf{A1-}n) \ \Rightarrow \ u \in C^{\gamma} \text{ for some } \gamma \in (0,1) \\ (\mathsf{VA1-}\gamma') \text{ for any small } \gamma' > 0 \end{array} \right\}$$

•  $\varphi(x,t) = t^p + b(x)t^q$  with  $b \in C^{0,\beta}$ . Colombo-Mingione (2015) prove that if

$$q \le p + \beta \tag{6}$$

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$$\delta_{\epsilon} = \beta - (q - p)(1 - \epsilon) > 0.$$

**Remark** In the bounded or Hölder continuous minimizer case, we cannot take advantage of the extrapolation.

### Functional with generalized Orlicz growth

$$W^{1,\varphi}(\Omega) \ni v \quad \mapsto \quad \int_{\Omega} f(x, Dv) \, dx.$$

$$\begin{aligned} z &\mapsto f(x,z) \text{ is } C^1(\mathbb{R}^n) \cap C^2(\mathbb{R}^n \setminus \{0\}), \\ \varphi &\in C^1([0,\infty)) \text{ and } \varphi' \text{ satisfies (A0), } (\operatorname{Inc})_{p-1} \text{ and } (\operatorname{Dec})_{q-1}. \\ \nu\varphi(x,|z|) &\leq f(x,z) \leq L\varphi(x,|z|), \\ \nu\frac{\varphi'(x,|z|)}{|z|}|\lambda|^2 &\leq \partial^2 f(x,z)\lambda \cdot \lambda \leq L\frac{\varphi'(x,|z|)}{|z|}|\lambda|^2. \end{aligned}$$

#### (AF): version of (wVA1) in the functional setting

For any  $\epsilon>0$ , there exists a non-decreasing, bounded, continuous function  $\omega=\omega_\epsilon:[0,\infty)\to[0,1]$  with  $\omega(0)=0$  such that for any small ball  $B_r\Subset\Omega$ ,

$$f_{B_r}^+(z) \le (1 + \omega(r))f_{B_r}^-(z) + \omega(r)$$

for all  $z \in \mathbb{R}^n$  with  $\varphi_{B_r}^-(|z|) \in [\omega(r), |B_r|^{-1+\epsilon}].$ 

#### Theorem (Hästö-Ok, in preparation)

Jihoon Ok (Sogang Univ.)

If f satisfies (AF), then u ∈ C<sup>α</sup><sub>loc</sub>(Ω) for any α ∈ (0,1).
 If f satisfies (AF) with ω<sub>ε</sub>(r) ≤ r<sup>β<sub>ε</sub></sup> for some β<sub>ε</sub> > 0, then u ∈ C<sup>1,α</sup><sub>loc</sub>(Ω) for some α ∈ (0,1).

### PDE with generalized Orlicz growth

 $\operatorname{div} A(x, Du) = 0.$ 

$$\begin{aligned} z &\mapsto A(x,z) \in \mathbb{R}^n \text{ is } C^1(\mathbb{R}^n \setminus \{0\}), \\ \varphi &\in C^1([0,\infty)) \text{ and } \varphi' \text{ satisfies (A0), } (\mathsf{Inc})_{p-1} \text{ and } (\mathsf{Dec})_{q-1}. \\ |A(x,|z|)| + |z||\partial A(x,z)| &\leq L\varphi'(x,|z|), \\ \nu \frac{\varphi'(x,|z|)}{|z|}|\lambda|^2 &\leq \partial A(x,z)\lambda \cdot \lambda. \end{aligned}$$

#### (AP): version of (wVA1) in PDE setting

For any  $\epsilon > 0$ , there exists a non-decreasing, bounded, continuous function  $\omega = \omega_{\epsilon} : [0, \infty) \to [0, 1]$  with  $\omega(0) = 0$  such that for any small ball  $B_r \Subset \Omega$ ,

 $|A(x,z) - A(y,z)| \le \omega(r)((\varphi')^{-}_{B_r}(|z|) + 1)$ 

for all  $x, y \in B_r$  and for all  $z \in \mathbb{R}^n$  with  $\varphi_{B_r}^-(|z|) \in [\omega(r), |B_r|^{-1+\epsilon}]$ .

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#### Theorem (Hästö-Ok, in preparation)

If A satisfies (AP), then u ∈ C<sup>α</sup><sub>loc</sub>(Ω) for any α ∈ (0,1).
 If A satisfies (AP) with ω<sub>ϵ</sub>(r) ≤ r<sup>β<sub>ϵ</sub></sup> for some β<sub>ϵ</sub> > 0, then u ∈ C<sup>1,α</sup><sub>loc</sub>(Ω) for some α ∈ (0,1).

**Remark** In the general functional or PDE case we have to construct  $\tilde{f}(z)$  or  $\tilde{A}(z)$  with  $\tilde{\varphi}$ -growth, where  $\tilde{\varphi} = \tilde{\varphi}(t)$  is the regular function.

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