

# NONSTANDARD SYMMETRIC GRADIENT SOBOLEV SPACES

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- D.Breit & A.C. Symmetric gradient Sobolev spaces endowed with rearrangement-invariant norms, preprint

Consider vector-valued functions

$$\mathbf{u} : \Omega \rightarrow \mathbb{R}^n,$$

where  $\Omega$  is an open set in  $\mathbb{R}^n$ ,  $n \geq 2$ .

Then the (weak) gradient

$$\nabla \mathbf{u} : \Omega \rightarrow \mathbb{R}^{n \times n}.$$

Typically, the analysis of systems of PDE's where the unknown is a function  $\mathbf{u}$  as above entails the use of the classical Sobolev spaces

$$W_0^{1,p}(\Omega) = W_0^1 L^p(\Omega) = \{\mathbf{u} \in L^p(\Omega) : |\nabla \mathbf{u}| \in L^p(\Omega), \text{ “}\mathbf{u} = 0\text{” on } \partial\Omega\},$$

for  $p \in [1, \infty]$ .

However, some mathematical models of physical systems described by a function  $\mathbf{u}$  are not governed by its full gradient  $\nabla\mathbf{u}$ , but just by its **symmetric part**

$$\varepsilon(\mathbf{u}) = \frac{1}{2}(\nabla\mathbf{u} + \nabla\mathbf{u}^T),$$

where  $\nabla\mathbf{u}^T =$  **transpose of  $\nabla\mathbf{u}$** .

For instance:

- Generalized Newtonian fluids
- Plasticity
- Nonlinear elasticity

A theory has been developed for the symmetric gradient Sobolev spaces

$$E_0^1 L^p(\Omega) = \{\mathbf{u} \in L^p(\Omega) : |\varepsilon(\mathbf{u})| \in L^p(\Omega), \text{ “}\mathbf{u} = 0\text{” on } \partial\Omega\},$$

for  $p \in [1, \infty]$ . Clearly,

$$W_0^1 L^p(\Omega) \rightarrow E_0^1 L^p(\Omega) \quad \forall p \in [1, \infty].$$

**Embeddings** for  $E_0^1 L^p(\Omega)$ . If  $1 < p < \infty$ , then

$$W_0^1 L^p(\Omega) = E_0^1 L^p(\Omega).$$

This is a consequence of **Korn's inequality**: if  $1 < p < \infty$ , then

$$\|\nabla \mathbf{u}\|_{L^p(\Omega)} \leq c \|\boldsymbol{\varepsilon}(\mathbf{u})\|_{L^p(\Omega)} \quad \forall \mathbf{u} \in E_0^1 L^p(\Omega).$$

This inequality **fails** if  $p = 1$  or  $p = \infty$ .

Hence, if  $1 < p < \infty$ , Sobolev embeddings for  $E_0^1 L^p(\Omega)$  are exactly the **same** as those for  $W_0^1 L^p(\Omega)$ .

In particular, if  $|\Omega| < \infty$ ,

$$E_0^1 L^p(\Omega) \rightarrow \begin{cases} L^{p^*}(\Omega) & 1 < p < n \\ \exp L^{n'}(\Omega) & p = n \\ L^\infty(\Omega) \text{ and } C^{1-\frac{n}{p}}(\Omega) & p > n. \end{cases} \quad (1)$$

Alternate proof of (1) by **representation formulas** for  $\mathbf{u} \in E_0^1 L^p(\Omega)$  by integral operators with kernels having Riesz type singularities.

Although

$$W_0^1 L^1(\Omega) \neq E_0^1 L^1(\Omega),$$

still

$$E_0^1 L^1(\Omega) \rightarrow L^{n'}(\Omega). \quad (2)$$

The proof of embedding (2) is in the spirit of that by Gagliardo and Nirenberg for  $W_0^1 L^1(\Omega)$ .

Neither of the approaches available for  $p > 1$  applies to  $p = 1$ , and the approach for  $p = 1$  does not carry over to  $p > 1$ .

Some of the mathematical models for physical systems mentioned above are driven by nonlinearities of **non-power** type.

They require the use of symmetric gradient Orlicz-Sobolev spaces  $E_0^1 L^A(\Omega)$  associated with Young functions  $A : [0, \infty) \rightarrow [0, \infty]$  (i.e. convex and  $A(0) = 0$ ). They are defined as

$$E_0^1 L^A(\Omega) = \{\mathbf{u} \in L^A(\Omega) : |\varepsilon(\mathbf{u})| \in L^A(\Omega), \text{ “}\mathbf{u} = 0\text{” on } \partial\Omega\},$$

where the Orlicz space

$$L^A(\Omega) = \left\{ \mathbf{u} : \exists \lambda > 0 \text{ s.t. } \int_{\Omega} A\left(\frac{|\mathbf{u}(x)|}{\lambda}\right) dx < \infty \right\}.$$

For instance:

- Prandtl-Eyring model for Non-Newtonian fluids:  $A(t) \approx t \log(1 + t)$  near infinity,
- Fluids in liquid body armors:  $A(t) \approx e^t - 1$  near infinity.

Pb. Sobolev embeddings for  $E_0^1 L^A(\Omega)$ .

Question risen by M.Bulíček and J.Malek (2009).

Parallel problem solved for  $W_0^1 L^A(\Omega)$  in [C., Indiana Univ. Math. J. (1996)].

None of the methods described for  $E_0^1 L^p(\Omega)$ , namely when  $A(t) = t^p$ , applies to arbitrary  $A(t)$ .

In particular, Korn's inequality

$$\|\nabla \mathbf{u}\|_{L^A(\Omega)} \leq c \|\boldsymbol{\varepsilon}(\mathbf{u})\|_{L^A(\Omega)} \quad \forall \mathbf{u} \in E_0^1 L^A(\Omega)$$

holds if and only if  $A \in \Delta_2 \cap \nabla_2$  [Fuchs, Irish Math. Sci. Bull. (2010)], [Breit-Diening, J. Math. Fluid. Mech. (2012)]. Earlier special cases e.g. in [Acerbi-Mingione, ARMA (2002)].



A version of **Korn's inequality** in  $E_0^1 L^A(\Omega)$  holds for every  $A$ , with a possibly slightly **different** Young function  $B$  on the left-hand side.

One has that

$$\|\nabla \mathbf{u}\|_{L^B(\Omega)} \leq c \|\boldsymbol{\varepsilon}(\mathbf{u})\|_{L^A(\Omega)} \quad \forall \mathbf{u} \in E_0^1 L^A(\Omega)$$

if and only if

$$t \int_0^t \frac{B(s)}{s^2} ds \leq A(ct) \quad \text{and} \quad t \int_0^t \frac{\tilde{A}(s)}{s^2} ds \leq \tilde{B}(ct) \quad \text{for large } t$$

[C., JFA (2014)], [Breit-C.-Diening, SIAM J. Math. Anal. (2017)].

Does not enable to get optimal Sobolev embeddings, for instance when  $A(t) = t$ .

In [Breit-C., preprint], optimal embeddings are established in the more general class of symmetric gradient Sobolev spaces  $E_0^1 X(\Omega)$ , defined as

$$E_0^1 X(\Omega) = \{|\mathbf{u}| \in X(\Omega) : |\varepsilon(\mathbf{u})| \in X(\Omega), \text{ “}\mathbf{u} = 0\text{” on } \partial\Omega\},$$

and built upon any **rearrangement-invariant** space  $X(\Omega)$ .  
Namely,  $X(\Omega)$  is a Banach function space such that

$$\|\mathbf{u}\|_{X(\Omega)} = \|\mathbf{v}\|_{X(\Omega)} \quad \text{if } |\mathbf{u}|^* = |\mathbf{v}|^*,$$

where  $|\mathbf{u}|^*$  denotes the decreasing rearrangement of  $|\mathbf{u}|$ .  
In a sense, the norm  $\|\mathbf{u}\|_{X(\Omega)}$  only depends on global integrability properties of  $\mathbf{u}$  over  $\Omega$ .

Examples : Lebesgue spaces, Orlicz spaces, Lorentz spaces.

General features of symmetric gradient Sobolev embeddings for  $E_0^1 X(\Omega)$ .

Embeddings into r.i. spaces

Assume that  $Y(\Omega)$  is a rearrangement-invariant space. Then

$$W_0^1 X(\Omega) \rightarrow Y(\Omega) \quad \Leftrightarrow \quad E_0^1 X(\Omega) \rightarrow Y(\Omega).$$

Symmetric gradient Sobolev embeddings into *rearrangement-invariant* target spaces are *always equivalent* to the corresponding embeddings for the full gradient built upon the same domain spaces.

## Emebddings into spaces of continuous functions

Assume that  $C^\sigma(\Omega)$  is a space of functions with modulus of continuity  $\sigma(\cdot)$ .  
Then

$$W_0^1 X(\Omega) \rightarrow C^\sigma(\Omega) \not\Rightarrow E_0^1 X(\Omega) \rightarrow C^\sigma(\Omega).$$

Symmetric gradient Sobolev embeddings into target spaces of *uniformly continuous* functions *may be weaker* than the corresponding embeddings for the full gradient built upon the same domain spaces.

Optimal Orlicz target space for  $E_0^1 L^A(\Omega)$ .

Assume that  $|\Omega| < \infty$ .

Given a Young function  $A$ , define the function  $H : [0, \infty) \rightarrow [0, \infty)$  as

$$H(t) = \left( \int_0^t \left( \frac{s}{A(s)} \right)^{\frac{1}{n-1}} ds \right)^{\frac{1}{n'}} \quad \text{for } t > 0,$$

and the Young function  $A_n$  by

$$A_n(t) = A(H^{-1}(t)) \quad \text{for } t > 0.$$

Theorem: optimal Orlicz target for  $E_0^1 L^A(\Omega)$

The embedding  $E_0^1 L^A(\Omega) \rightarrow L^{A_n}(\Omega)$  holds, and

$$\|\mathbf{u}\|_{L^{A_n}(\Omega)} \leq c \|\boldsymbol{\varepsilon}(\mathbf{u})\|_{L^A(\Omega)} \quad (3)$$

for every  $\mathbf{u} \in E_0^1 L^A(\Omega)$ . Moreover, the target space in inequality (3) is **optimal** among all Orlicz spaces.

In particular,

$$L^{A_n}(\Omega) = L^\infty(\Omega)$$

if and only if  $A(t)$  grows so fast near infinity that

$$\int^\infty \left( \frac{s}{A(s)} \right)^{\frac{1}{n-1}} ds < \infty. \quad (4)$$

When  $A(t) = t^p$ , condition (4)  $\Leftrightarrow p > n$ .

- The **same space**  $L^{A_n}(\Omega)$  is also **optimal** for  $W_0^1 L^A(\Omega) \rightarrow L^{A_n}(\Omega)$ .

Ex. Assume that either  $p = 1$  and  $\alpha \geq 0$ , or  $p > 1$  and  $\alpha \in \mathbb{R}$ . Then

$$E_0^1 L^p(\log L)^\alpha(\Omega) \rightarrow \begin{cases} L^{\frac{np}{n-p}}(\log L)^{\frac{n\alpha}{n-p}}(\Omega) & \text{if } 1 \leq p < n \\ \exp L^{\frac{n}{n-1-\alpha}}(\Omega) & \text{if } p = n \text{ and } \alpha < n - 1 \\ \exp \exp L^{\frac{n}{n-1}}(\Omega) & \text{if } p = n \text{ and } \alpha = n - 1 \\ L^\infty(\Omega) & \text{if either } p = n \text{ and } \alpha > n - 1, \\ & \text{or } p > n, \end{cases}$$

all the target spaces being **optimal** in the class of Orlicz spaces.

If  $1 \leq p < n$ , then the Sobolev embedding

$$W_0^{1,p}(\Omega) \rightarrow L^{p^*}(\Omega)$$

admits the **improvement**

$$W_0^{1,p}(\Omega) \rightarrow L^{p^*,p}(\Omega),$$

where  $L^{p^*,p}(\Omega)$  is the **Lorentz space** equipped with the norm

$$\|u\|_{L^{p^*,p}(\Omega)} = \|s^{-\frac{1}{n}} u^*(s)\|_{L^p(0,|\Omega|)}.$$

Moreover,  $L^{p^*,p}(\Omega)$  is **optimal** among all r.i. target spaces.

A parallel improvement holds also in the borderline case when  $p = n$ .



By Korn's inequality, if  $1 < p < n$ , one also has

$$E_0^1 L^p(\Omega) \rightarrow L^{p^*, p}(\Omega).$$

The result holds for  $p = 1$  as well:

$$E_0^1 L^1(\Omega) \rightarrow L^{n', 1}(\Omega).$$

This is a very recent result of [Spector-Van Schaftingen, Atti Accad. Lincei (2019)]. Previously announced (not published) by [Tartar].

Proof in the spirit of Gagliardo-Nirenberg and Fournier.

Optimal rearrangement-invariant target space for  $E_0^1 L^A(\Omega)$ .

Let  $a : [0, \infty) \rightarrow [0, \infty)$  be such that

$$A(t) = \int_0^t a(\tau) \, d\tau \quad \text{for } t \geq 0.$$

Define the Young function  $\widehat{A}$  as

$$\widehat{A}(t) = \int_0^t \widehat{a}(\tau) \, d\tau \quad \text{for } t \geq 0,$$

where where  $\widehat{a} : [0, \infty) \rightarrow [0, \infty)$  obeys

$$\widehat{a}^{-1}(t) = \left( \int_{a^{-1}(t)}^{\infty} \left( \int_0^s \left( \frac{1}{a(r)} \right)^{\frac{1}{n-1}} dr \right)^{-n} \frac{ds}{a(s)^{\frac{n}{n-1}}} \right)^{\frac{1}{1-n}} \quad \text{for } t > 0.$$

One has  $\widehat{A}(t) \lesssim A(t)$ , and  $\widehat{A}(t) \approx A(t)$  if  $A(t) \ll t^n$ .

Let  $L(\widehat{A}, n)(\Omega)$  be the **Orlicz-Lorentz space** equipped with the norm

$$\|u\|_{L(\widehat{A}, n)(\Omega)} = \left\| s^{-\frac{1}{n}} u^*(s) \right\|_{L^{\widehat{A}}(0, |\Omega|)}.$$

**Theorem:** optimal r.i. target for  $E_0^1 L^A(\Omega)$

The embedding

$$E_0^1 L^A(\Omega) \rightarrow L(\widehat{A}, n)(\Omega) \quad (5)$$

holds, and

$$\|\mathbf{u}\|_{L(\widehat{A}, n)(\Omega)} \leq c \|\boldsymbol{\varepsilon}(\mathbf{u})\|_{L^A(\Omega)}$$

for every  $\mathbf{u} \in E_0^1 L^A(\Omega)$ . The target space  $L(\widehat{A}, n)(\Omega)$  in embedding (5) is **optimal** among all rearrangement-invariant spaces.

- The **same** space  $L(\widehat{A}, n)(\Omega)$  is also optimal for

$$W_0^1 L^A(\Omega) \rightarrow L(\widehat{A}, n)(\Omega)$$

[C., Rev. Mat. Iberoamericana (2004).]

A key step in the proofs is a **reduction principle** for symmetric gradient Sobolev spaces with arbitrary r.i. norms.

**Theorem: reduction principle**

Let  $X(\Omega)$  and  $Y(\Omega)$  be r.i. spaces. The following facts are **equivalent**:

(i) The embedding  $E_0^1 X(\Omega) \rightarrow Y(\Omega)$  holds, namely  $\exists c_1$  s.t.

$$\|\mathbf{u}\|_{Y(\Omega)} \leq c_1 \|\boldsymbol{\varepsilon}(\mathbf{u})\|_{X(\Omega)} \quad \forall \mathbf{u} \in E_0^1 X(\Omega);$$

(ii) The embedding  $W_0^1 X(\Omega) \rightarrow Y(\Omega)$  holds, namely  $\exists c_2$  s.t.

$$\|\mathbf{u}\|_{Y(\Omega)} \leq c_2 \|\nabla \mathbf{u}\|_{X(\Omega)} \quad \forall \mathbf{u} \in W_0^1 X(\Omega);$$

(iii)  $\exists c_3$  s.t.

$$\left\| \int_s^{|\Omega|} f(r) r^{-1+\frac{1}{n}} dr \right\|_{Y(0,|\Omega|)} \leq c_3 \|f\|_{X(0,|\Omega|)} \quad \forall 0 \leq f \searrow.$$

This reduction principle enables one to characterize the **optimal r.i. target space** for  $E_0^1 X(\Omega)$ .

Let  $X_1(\Omega)$  be the r.i. space whose norm obeys

$$\|u\|_{X_1(\Omega)} = \|s^{\frac{1}{n}} u^{**}(s)\|_{X'(0,|\Omega|)},$$

where  $u^{**}(s) = \frac{1}{s} \int_0^s u^*(r) dr$ .

**Theorem:** optimal r.i. target for  $E_0^1 X(\Omega)$

The embedding  $E_0^1 X(\Omega) \rightarrow X_1(\Omega)$  holds, i.e.

$$\|\mathbf{u}\|_{X_1(\Omega)} \leq c \|\varepsilon(\mathbf{u})\|_{X(\Omega)} \quad \forall \mathbf{u} \in E_0^1 X(\Omega). \quad (6)$$

Moreover,  $X_1(\Omega)$  is the **optimal** (smallest possible) rearrangement-invariant target space in (6).

The space  $X_1(\Omega)$  was shown to be **optimal** for  $W_0^1 X(\Omega) \rightarrow X_1(\Omega)$  in [Edmunds-Kerman-Pick, JFA (2000)].

### Approach to the reduction principle.

The proof for  $W_0^1 X(\Omega) \rightarrow Y(\Omega)$  is a consequence of the Pólya-Szegő inequality

$$\|\nabla u\|_{X(\Omega)} \geq \|\nabla u^\star\|_{X(\Omega^\star)}$$

for (scalar-valued)  $u \in W_0^1 X(\Omega)$ . Where

$u^\star =$  symmetric rearrangement of  $u$ .

**Does not apply** to the symmetric gradient  $\varepsilon(\mathbf{u})$ .

We rely on an alternate approach, based on  **$K$ -functionals**.

Exploited in [Kerman-Pick, Forum Math. (2006)] for higher-order Sobolev embeddings.

The  **$K$ -functional** of a couple of normed spaces  $(Z_0, Z_1)$  is defined for  $\zeta \in Z_0 + Z_1$  and  $t > 0$  as

$$K(\zeta, t, Z_0, Z_1) = \inf_{\substack{\zeta = \zeta_0 + \zeta_1 \\ \zeta_0 \in Z_0, \zeta_1 \in Z_1}} (\|\zeta_0\|_{Z_0} + t\|\zeta_1\|_{Z_1}).$$

The  $K$ -functional for the couple  $(W^{1,1}(\mathbb{R}^n), W^{1,\infty}(\mathbb{R}^n))$  is a fundamental result in interpolation theory [De Vore - Scherer, Ann. Math. (1979)]:

$$K(\mathbf{u}, t, W^{1,1}(\mathbb{R}^n), W^{1,\infty}(\mathbb{R}^n)) \approx \int_0^t \mathbf{u}^*(s) + (\nabla \mathbf{u})^*(s) ds \quad \text{for } t > 0.$$

A crucial step in our work is the  $K$ -functional for  $(E^1 L^1(\mathbb{R}^n), E^1 L^\infty(\mathbb{R}^n))$ .

**Theorem:  $K$ -functional**

One has that

$$K(\mathbf{u}, t, E^1 L^1(\mathbb{R}^n), E^1 L^\infty(\mathbb{R}^n)) \approx \int_0^t \mathbf{u}^*(s) + \varepsilon(\mathbf{u})^*(s) ds \quad \text{for } t > 0,$$

and

$$K(\mathbf{u}, t, E_0^1 L^1(\mathbb{R}^n), E_0^1 L^\infty(\mathbb{R}^n)) \approx \int_0^t \varepsilon(\mathbf{u})^*(s) ds \quad \text{for } t > 0.$$

The proof of the  $K$ -functional makes use of **Lipschitz truncation** results for **symmetric gradient** Sobolev spaces related to those of employed in regularity theory for PDSs and in the Calculus of Variations, and, in particular in [Breit-Diening-Fuchs, JDE (2012)].

With the  $K$ -functional at disposal, the outline of the proof of the reduction principle is as follows:

- **Endpoint embeddings:**

$$E_0^1 L^1(\mathbb{R}^n) \rightarrow L^{n',1}(\mathbb{R}^n),$$

$$E_0^1 L^{n,1}(\mathbb{R}^n) \rightarrow L^\infty(\mathbb{R}^n).$$



- **$K$  functionals** for the endpoint spaces (by reiteration theorem) :

$$K(t, \mathbf{u}; L^{n',1}(\Omega), L^\infty(\Omega)) \approx \int_0^{t^{n'}} s^{-\frac{1}{n}} \mathbf{u}^*(s) ds \quad \text{for } t > 0.$$

$$K(\mathbf{u}, t, E_0^1 L^1(\mathbb{R}^n), E_0^1 L^{n,1}(\mathbb{R}^n)) \approx \int_0^{t^{n'}} \varepsilon(\mathbf{u})^*(s) ds + t \int_{t^{n'}}^\infty \varepsilon(\mathbf{u})^*(s) s^{-\frac{1}{n'}} ds$$

- Basic  **$K$ -functional inequality**:

$$K(t, \mathbf{u}; L^{n',1}(\Omega), L^\infty(\Omega)) \leq cK(\mathbf{u}, t/c, E_0^1 L^1(\mathbb{R}^n), E_0^1 L^{n,1}(\mathbb{R}^n)) \quad \text{for } t > 0.$$

## Optimal target space of continuous functions for $E_0^1 L^A(\Omega)$ .

Consider now embeddings of the form

$$E_0^1 L^A(\Omega) \rightarrow C^\sigma(\Omega),$$

where  $\sigma : [0, \infty) \rightarrow [0, \infty)$  is an increasing function s.t.  $\sigma(0) = 0$ . Here, we assume that  $\Omega$  is bounded.

**Theorem:** embeddings of  $E_0^1 L^A(\Omega)$  into  $C^\sigma(\Omega)$

Let  $A$  be a Young function.

(i) The embedding  $E_0^1 L^A(\Omega) \rightarrow C^0(\Omega)$  holds, namely

$$\|\mathbf{u}\|_{C^0(\Omega)} \leq c \|\varepsilon(\mathbf{u})\|_{L^A(\Omega)} \quad \forall \mathbf{u} \in E_0^1 L^A(\Omega)$$

if and only if

$$\int_0^\infty \left( \frac{t}{A(t)} \right)^{\frac{1}{n-1}} dt < \infty. \quad (7)$$

(ii) Assume that  $A$  fulfills (7). Define the Young functions

$$\xi_A(t) = t^{n'} \int_t^\infty \frac{\tilde{A}(\tau)}{\tau^{1+n'}} d\tau \quad \text{and} \quad \eta_A(t) = t \int_0^t \frac{\tilde{A}(\tau)}{\tau^2} d\tau \quad \text{for } t > 0.$$

Let

$$\sigma_A(r) = \frac{r^{1-n}}{\xi_A^{-1}(r^{-n})} + \frac{r^{1-n}}{\eta_A^{-1}(r^{-n})} \quad \text{for } r > 0.$$

Then  $E_0^1 L^A(\Omega) \rightarrow C^{\sigma_A}(\Omega)$  and  $\exists c$  s.t.

$$\|\mathbf{u}\|_{C^{\sigma_A}(\Omega)} \leq c \|\boldsymbol{\varepsilon}(\mathbf{u})\|_{L^A(\Omega)} \quad \forall \mathbf{u} \in E_0^1 L^A(\Omega).$$

Moreover, the space  $C^{\sigma_A}(\Omega)$  is **optimal**.

- The theorem follows as a special case of a result on **optimal** embeddings  $E_0^1 X(\Omega) \rightarrow C^\sigma(\Omega)$ , for general r.i. spaces  $X(\Omega)$ .

Different approach, via representation formulas (no  $K$ -functionals).

## Comparison with embeddings for $W_0^1 L^A(\Omega)$ .

One has that

$$E_0^1 L^A(\Omega) \rightarrow C^0(\Omega)$$

if and only if

$$W_0^1 L^A(\Omega) \rightarrow C^0(\Omega)$$

if and only if

$$\int^\infty \left( \frac{t}{A(t)} \right)^{\frac{1}{n-1}} dt < \infty.$$

However, the **optimal** space  $C^{\varrho_A}(\Omega)$  in

$$W_0^1 L^A(\Omega) \rightarrow C^{\varrho_A}(\Omega)$$

is given by

$$\varrho_A(r) = \frac{r^{1-n}}{\xi_A^{-1}(r^{-n})} \quad \text{for } r > 0$$

[C., Ann. Scuola Norm. Sup. Pisa [1996]. For certain Young functions  $A$ , one has that

$$C^{\varrho_A}(\Omega) \subsetneq C^{\sigma_A}(\Omega).$$

Therefore, the optimal space for  $W_0^1 L^A(\Omega)$  can be **smaller** than that for  $E_0^1 L^A(\Omega)$ .

Typically, this is the case when  $L^A(\Omega)$  is **"close"** to  $L^\infty(\Omega)$ .

Ex. 1. One has that

$$W_0^1 L^\infty(\Omega) \rightarrow C^{0,1}(\Omega) = \text{Lip}(\Omega),$$

whereas

$$E_0^1 L^\infty(\Omega) \rightarrow C^{\sigma_\infty}(\Omega)$$

with

$$\sigma(r) \approx r \log(1/r) \quad \text{as } r \rightarrow 0^+.$$

Ex. 2. Given  $\beta > 0$ , one has that

$$W_0^1 \exp L^\beta(\Omega) \rightarrow C^{\varrho_\beta}(\Omega),$$

where

$$\varrho_\beta(r) \approx r (\log(1/r))^{\frac{1}{\beta}} \quad \text{as } r \rightarrow 0^+.$$

On the other hand,

$$E_0^1 \exp L^\beta(\Omega) \rightarrow C^{\sigma_\beta}(\Omega),$$

where

$$\sigma_\beta(r) \approx r (\log(1/r))^{1+\frac{1}{\beta}} \quad \text{as } r \rightarrow 0^+.$$

Versions of the results presented hold also for the spaces

$$E^1 X(\mathbb{R}^n).$$

In this case the point is that  $|\mathbb{R}^n| = \infty$ .

Moreover, versions of the results hold also in

$$E^1 X(\Omega).$$

Since functions **need not vanish** on  $\partial\Omega$ , some **regularity** of  $\Omega$  is needed.

We allow for **minimal regularity** assumptions on  $\Omega$ .

Our results about  $E^1 X(\Omega)$  hold for  **$(\varepsilon, \delta)$ -domains**  $\Omega$ .

An open set  $\Omega \subset \mathbb{R}^n$  is called an  $(\varepsilon, \delta)$ -domain if  $\exists \varepsilon, \delta > 0$  such that  $\forall x, y \in \Omega$ , with  $|x - y| < \delta$ , there exists a rectifiable curve  $\gamma$  connecting  $x$  and  $y$ , with length  $\ell(\gamma)$ , satisfying

$$\ell(\gamma) \leq \frac{1}{\varepsilon} |x - y|, \quad (8)$$

$$\text{dist}(z, \partial\Omega) \geq \varepsilon \frac{|x - z||y - z|}{|x - y|} \quad \forall z \in \gamma. \quad (9)$$

The notion of  $(\varepsilon, \delta)$ -domain was used in [Jones, Acta Math. (1981)], where it is shown that any domain of this kind is an **extension domain** for the Sobolev space  $W^{m,p}(\Omega)$  for every  $m \in \mathbb{N}$  and  $p \in [1, \infty]$ .

The class of  $(\varepsilon, \delta)$ -domains is known to be, in a sense, the **largest** one supporting the **extension property** for Sobolev spaces.



The proof of our embeddings for the space  $E^1X(\Omega)$  requires **additional** results of possible independent interest.

We need a **global approximation** result on  $(\varepsilon, \delta)$ -domains.

**Theorem:** Smooth approximation up to the boundary on  $(\varepsilon, \delta)$ -domains

Let  $\Omega$  be an  $(\varepsilon, \delta)$ -domain and let  $\mathbf{u} \in E^1L^1(\Omega)$ . Then there exists a sequence  $\{\mathbf{u}_j\} \subset C^\infty(\overline{\Omega})$  such that  $\mathbf{u}_j \rightarrow \mathbf{u}$  in  $E^1L^1(\Omega)$ .

A version of Jones **extension** theorem for Sobolev functions on  $(\varepsilon, \delta)$ -domain is also needed.

An extension operator for  $E^1 L^1(\Omega)$  is in [Gmeineder-Raita, JFA (2019)].

We need an extension operator on **more general** domains and enjoying **additional properties**.

**Theorem: Extension operator on  $(\varepsilon, \delta)$ -domains**

Let  $\Omega$  be an  $(\varepsilon, \delta)$ -domain. Then there  $\exists$  a linear extension operator

$$\mathcal{E}_\Omega : L^1_{\text{loc}}(\Omega) \rightarrow L^1_{\text{loc}}(\mathbb{R}^n)$$

s.t.:

$$\mathcal{E}_\Omega \mathbf{u} = \mathbf{u} \quad \text{in } \Omega \quad \forall \mathbf{u} \in L^1_{\text{loc}}(\Omega),$$

$$\mathcal{E}_\Omega : L^1(\Omega) \rightarrow L^1(\mathbb{R}^n), \quad \mathcal{E}_\Omega : L^\infty(\Omega) \rightarrow L^\infty(\mathbb{R}^n),$$

$$\mathcal{E}_\Omega : E^1 L^1(\Omega) \rightarrow E^1 L^1(\mathbb{R}^n), \quad \mathcal{E}_\Omega : E^1 L^\infty(\Omega) \rightarrow E^1 L^\infty(\mathbb{R}^n).$$

Moreover,  $\exists$  linear bounded operators  $\mathcal{L}^i$ ,  $i = 1, 2$ , such that

$$\mathcal{L}^i : L^1(\Omega) \rightarrow L^1(\mathbb{R}^n), \quad \mathcal{L}^i : L^\infty(\Omega) \rightarrow L^\infty(\mathbb{R}^n) \quad \text{for } i = 1, 2,$$

and

$$\varepsilon(\mathcal{E}_\Omega \mathbf{u}) = \mathcal{L}^1 \varepsilon(\mathbf{u}) + \mathcal{L}^2 \mathbf{u}$$

for  $\mathbf{u} \in E^1 L^1(\Omega) + E^1 L^\infty(\Omega)$ .