# Sections and projections of $\ell_p^n$ balls

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15 June 2023

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#### **Problem**

Let  $a \neq 0$  be a vector in  $\mathbb{R}^n$ .

- Find the maximal and minimal value of  $|B_p^n \cap a^{\perp}|$
- Find the maximal and minimal value of  $|\operatorname{Proj}_{a^{\perp}}(B_p^n)|$

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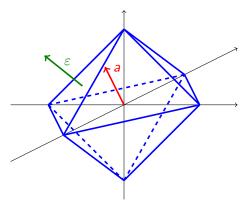
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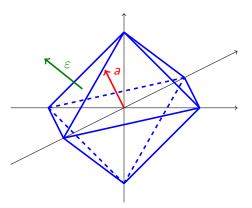
$$H_1 = (1, 0, \dots, 0)^{\perp}, \quad H_2 = (1, 1, 0 \dots, 0)^{\perp}, \qquad H_n = (1, 1, \dots, 1)^{\perp}$$



**Projections of**  $B_1^n$ : if |a|=1 then the contribution from the face with normal vector  $\varepsilon \in \{-1,1\}^n$  is proportional to  $|\langle \varepsilon, \mathbf{a} \rangle|$ .



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$$|\operatorname{Proj}_{a^{\perp}}(B_1^n)| = C_n \sum_{\varepsilon \in \{-1,1\}^n} |\langle \varepsilon, a \rangle| = C_n' \mathbb{E} \left| \sum_{i=1}^n a_i \varepsilon_i \right|$$

In general the following formula due to Barthe and Naor is true

$$|\operatorname{Proj}_{a^{\perp}}(B_p^n)| = C_{p,n} \mathbb{E} \left| \sum_{i=1}^n a_i X_i \right|, \qquad X_i \sim c_p |t|^{\frac{2-p}{p-1}} e^{-|t|^{\frac{p}{p-1}}}$$

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Therefore we want to find best constants in the following  $L_1 - L_2$  Khinchine type inequality:

$$A_{n,p}\left(\mathbb{E}\left|\sum_{i=1}^{n}a_{i}X_{i}\right|^{2}\right)^{1/2}\leq\mathbb{E}\left|\sum_{i=1}^{n}a_{i}X_{i}\right|\leq B_{n,p}\left(\mathbb{E}\left|\sum_{i=1}^{n}a_{i}X_{i}\right|^{2}\right)^{1/2}$$

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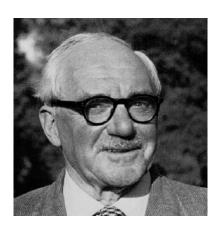
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We clearly have  $B_{n,1} = 1$  and thus maximal projections of  $B_1^n$  are given by  $H_1$ .



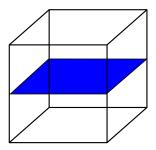


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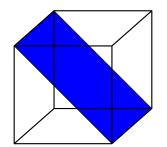
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  - $H_1$  is the minimizer for p > 2
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Dirac delta approximation: if  $V \sim f$  then

$$f(0) = \lim_{q \to 1^{-}} \frac{1 - q}{2} \int |s|^{-q} f(s) ds = \lim_{q \to 1^{-}} \frac{1 - q}{2} \mathbb{E}|V|^{-q}.$$

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Goal: get rid of the limit!



Schechtman & Zinn, Rachev & Rüchendorf: if  $Y_1,\ldots,Y_n$  are i.i.d. with densities  $c_p e^{-|t|^p}$  and  $S=\left(\sum_{i=1}^n |Y_i|^p\right)^{1/p}$  then

$$\frac{Y}{S}$$
 and  $S$  are independent  $Y = (Y_1, \dots, Y_n)$ 

Moreover, if  $U \sim Unif([0,1])$ , then

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Therefore

$$\mathbb{E}|\langle X, a \rangle|^{-q} = \mathbb{E}U^{-\frac{q}{n}}\mathbb{E}|\langle Y/S, a \rangle|^{-q} = \mathbb{E}U^{-\frac{q}{n}} \cdot \frac{\mathbb{E}S^{-q}}{\mathbb{E}S^{-q}}\mathbb{E}|\langle Y/S, a \rangle|^{-q}$$

$$= \frac{\mathbb{E}U^{-\frac{q}{n}}}{\mathbb{E}S^{-q}}\mathbb{E}|\langle Y, a \rangle|^{-q} = c_{p,q,n}\mathbb{E}|\langle Y, a \rangle|^{-q}$$

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$$\begin{aligned} |B_p^n \cap a^{\perp}| &= \lim_{q \to 1^-} c_{p,q,n} (1-q) \mathbb{E} |G_1|^{-q} \mathbb{E} \left| \sum_{i=1}^n a_i^2 R_i^2 \right|^{-\frac{3}{2}} \\ &= 2 c_{p,1,n} \phi(0) \mathbb{E} \left| \sum_{i=1}^n a_i^2 R_i^2 \right|^{-\frac{1}{2}} \implies \begin{array}{c} H_1 - \text{maximum} \\ H_n - \text{minimum} \end{array} \end{aligned}$$

**What about** p > 2? We can always write  $Y_i \sim R_i U_i$ , where  $U_i \sim Unif([-1,1])$  and  $R_i \sim c_p x^p e^{-x^p}$ . Thus

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### Archimedes-König-Kwapień formula

$$(1-q)\mathbb{E}\Big|\sum_{i=1}^n x_i U_i\Big|^{-q} = \mathbb{E}\Big|\sum_{i=1}^n x_i \xi_i\Big|^{-q}, \qquad \xi_i \sim \mathit{Unif}(S^2)$$

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Since  $\langle \xi_i, \theta \rangle \sim U_i$  and  $\mathbb{E}_{\theta} |\langle v, \theta \rangle|^{-q} = \frac{1}{1-q} |v|^{-q}$  for  $v \in \mathbb{R}^3$ , we get

$$\frac{1}{1-q}\mathbb{E}\Big|\sum_{i=1}^n x_i \xi_i\Big|^{-q} = \mathbb{E}_{\xi,\theta}\mathbb{E}\Big|\Big\langle\sum_{i=1}^n x_i \xi_i,\theta\Big\rangle\Big|^{-q} = \mathbb{E}\Big|\sum_{i=1}^n x_i U_i\Big|^{-q}$$

We can now evaluate the limit and get the formula

$$A_{n,p}(a) := \frac{|B_p^n \cap a^{\perp}|}{|B_p^{n-1}|} = \Gamma\left(1 + \frac{1}{p}\right) \mathbb{E}\left|\sum_{i=1}^n a_i R_i \xi_i\right|^{-1}$$

where

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### **Proof of Hadwiger-Hensley:**

$$\frac{|B_{\infty}^{n} \cap a^{\perp}|}{|B_{\infty}^{n-1}|} = \mathbb{E} \left| \sum_{i=1}^{n} a_{i} \xi_{i} \right|^{-1} = \mathbb{E} \left( \sum_{i,j=1}^{n} a_{i} a_{j} \left\langle \xi_{i}, \xi_{j} \right\rangle \right)^{-\frac{1}{2}}$$

$$\geq \left( \sum_{i,j=1}^{n} a_{i} a_{j} \mathbb{E} \left\langle \xi_{i}, \xi_{j} \right\rangle \right)^{-\frac{1}{2}} = \left( \sum_{i=1}^{n} a_{i}^{2} \right)^{-\frac{1}{2}} = 1.$$

# Theorem (Chasapis, N., Tkocz, 2022)

For a unit vector a one has

$$\mathbb{E}\left|\sum_{i=1}^n a_i \xi_i\right|^{-1} \leq \sqrt{2} - \kappa \left|a - \frac{e_1 + e_2}{\sqrt{2}}\right| \qquad \kappa = 6 \cdot 10^{-5}$$

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Goal: 
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$$N(a) := A_{n,\infty}^{-1} = \left( \mathbb{E} \left| \sum_{i=1}^n a_i \xi_i \right|^{-1} \right)^{-1} = \frac{|a|}{\left| [-\frac{1}{2}, \frac{1}{2}]^n \cap a^{\perp} \right|} \quad \text{is a norm}$$

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$$|N(a)^{-1} - N(b)^{-1}| = \left| \frac{N(a) - N(b)}{N(a)N(b)} \right| \le \frac{N(a-b)}{N(a)N(b)} \le 2\frac{|a-b|}{|a| \cdot |b|}$$

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**Lemma:** For p > 5 we have  $|A_{n,p} - A_{n,\infty}| < \frac{5}{p}$ .

Proof: We have  $A_{n,\infty}=N(a)^{-1}$  and  $A_{n,p}=\Gamma(1+rac{1}{p})\mathbb{E}N(aR)^{-1}$ 

$$\left|\frac{A_{n,p}}{\Gamma(1+\frac{1}{p})}-A_{n,\infty}\right|\leq \mathbb{E}|N(aR)^{-1}-N(a)^{-1}|\leq 2\mathbb{E}\frac{|aR-a|}{|aR|\cdot |a|}\leq \frac{c}{p}$$

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#### **Proof:**

$$A_{n,p} \le A_{n,\infty} + \frac{5}{p} \le \sqrt{2} - \frac{10^5 \kappa}{p} + \frac{5}{p} \le \sqrt{2} \left( 1 - \frac{\log 2}{p} \right) \le 2^{\frac{1}{2} - \frac{1}{p}},$$

where in the last line we use  $1 - x \le e^{-x}$ .



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$$X = a_1 R_1 \xi_1 + a_2 R_2 \xi_2, \qquad Y = \sum_{i=3}^n a_i R_i \xi_i$$

$$\mathbb{E}\left|\sum_{i=1}^{n} a_{i} R_{i} \xi_{i}\right|^{-1} = \mathbb{E}|X + Y|^{-1} = \mathbb{E} \min(|X|^{-1}, |Y|^{-1})$$

$$\leq \mathbb{E} \min(|X|^{-1}, \mathbb{E}|Y|^{-1}) \leq \mathbb{E} \min\left(|X|^{-1}, \frac{C_{p}}{\sqrt{1 - a_{1}^{2} - a_{2}^{2}}}\right) \leq C_{p},$$

where the last line is a delicate 3 page argument.



# Thank you!