

Random (Beta) Polytopes

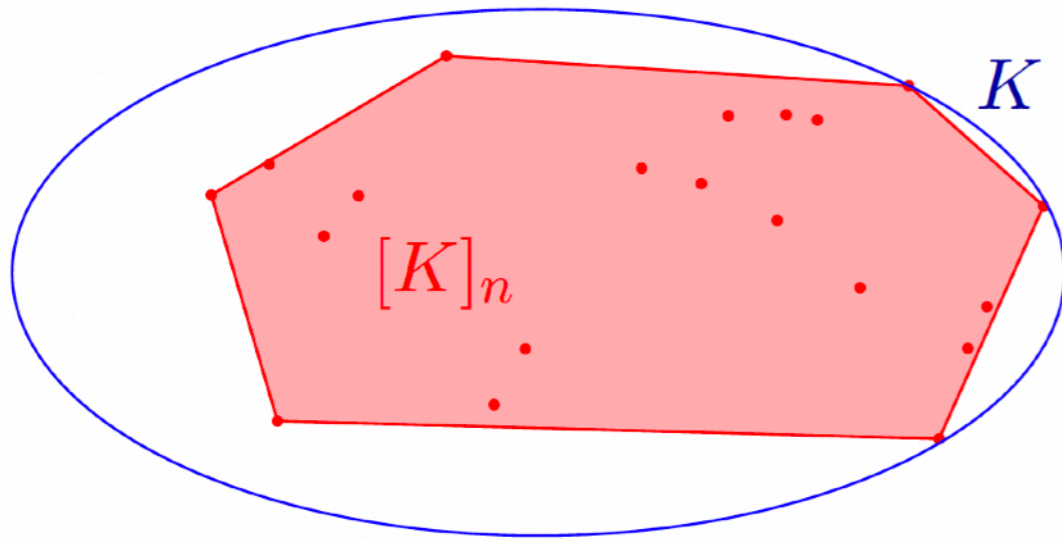
Christoph Thäle

Ruhr University Bochum, Germany

Based on joint works with Florian Besau,
Thomas Godland, Anna Gusakova, Zakhar
Kabluchko, Olexander Marynych, Matthias
Reitzner, Daniel Rosen, Carsten Schütt,
Daniel Temesvari, Elisabeth Werner

Classical random polytopes

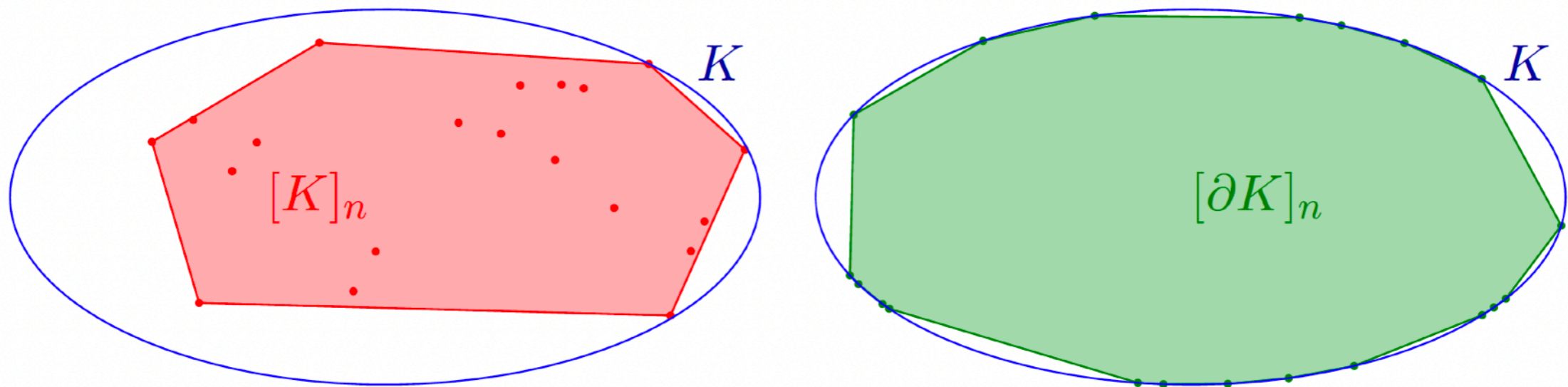
- $K \subset \mathbb{R}^d$ a **convex body** (compact, convex & non-empty interior)



- Two classical models: For $n \in \mathbb{N}$ let
 - X_1, \dots, X_n be uniformly distributed **in the interior** of K
- Random polytope** $[K]_n = \text{conv}\{X_1, \dots, X_n\}$

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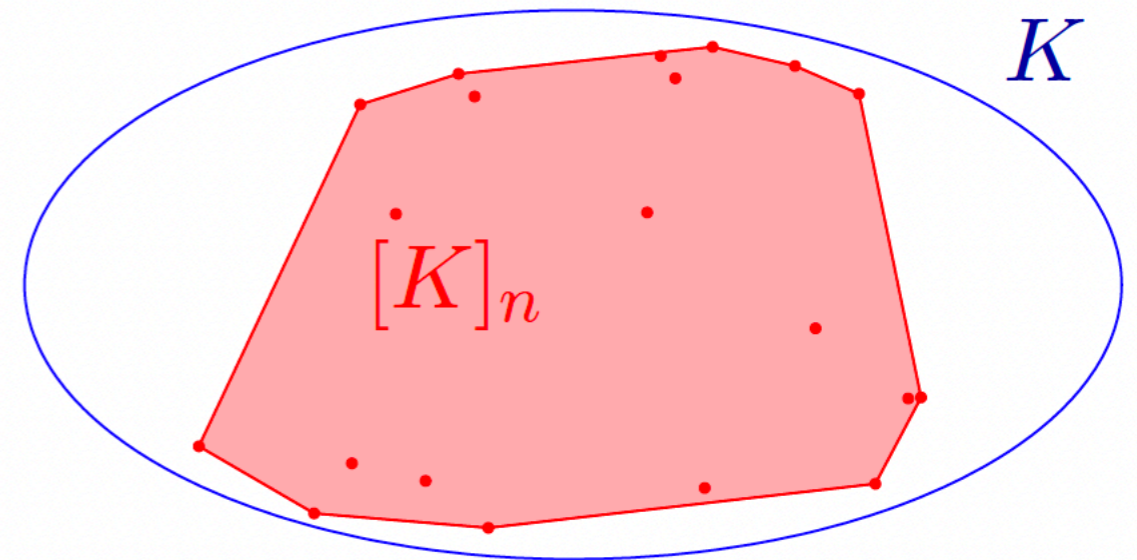
- X_1, \dots, X_n be uniformly distributed **on the boundary** of K

Random inscribed polytope $[\partial K]_n = \text{conv}\{X_1, \dots, X_n\}$

Theorem

$$\mathbb{E}\text{Vol}([K]_n) = \text{Vol}(K) - c(d)\text{as}(K) \left(\frac{\text{Vol}(K)}{n} \right)^{\frac{2}{d+1}} (1 + o_n(1))$$

- Rényi & Sulanke (1963)
 $d = 2$, K smooth enough
- Schneider & Wieacker (1980)
 $d \geq 2$, K smooth enough
- Bárány (1982)
 $d \geq 2$, K of class C_+^3
- Schütt (1994), Böröczky, Fodor, Hug (2010)
 $d \geq 2$, K general

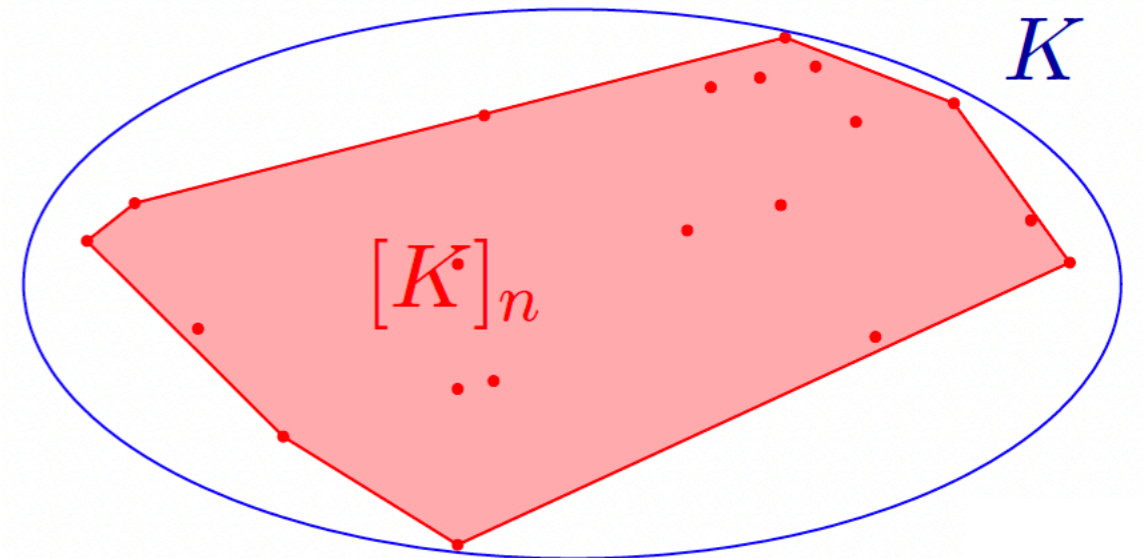


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- The constant $\text{as}(K)$ is the **affine surface area** of K :

$$\text{as}(K) = \int_{\partial K} H_{d-1}(K; x)^{\frac{1}{d+1}} \mu_K(dx).$$



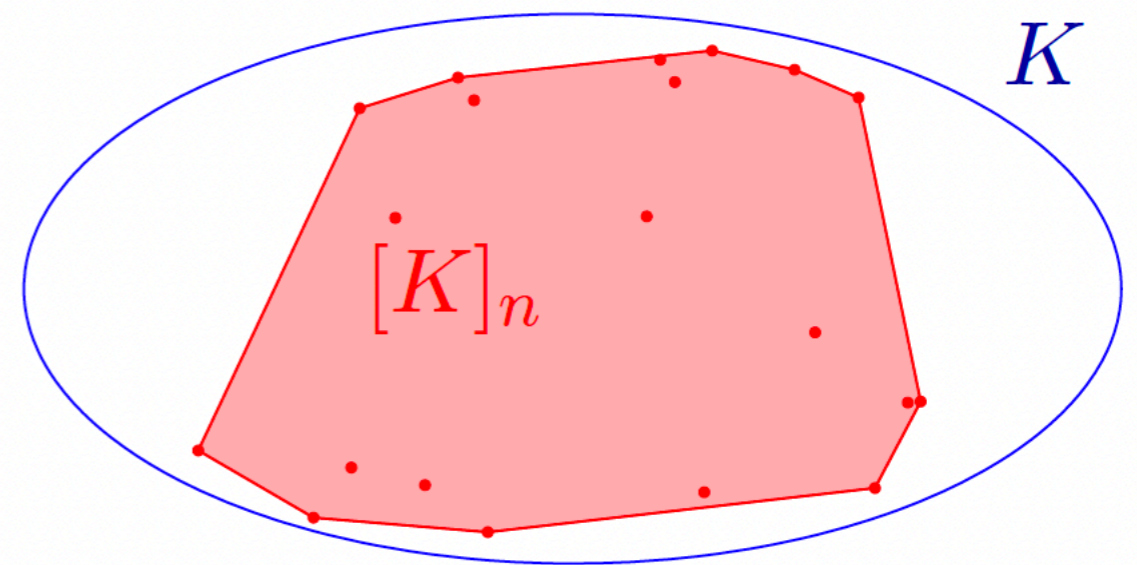
- Blaschke (1923), Santaló (1949)
 $d = 2, d = 3, K$ smooth enough
- Leichtweiss (1988), Schütt & Werner (1990)
 $d \geq 2$, general K
- Ludwig & Reitzner (1999)
characterization

Theorem (Reitzner 2003)

Suppose K is of class C_+^2 . Then

$$c_1(K)n^{-1-\frac{2}{d+1}} \leq \text{Var Vol}([K]_n) \leq c_2(K)n^{-1-\frac{2}{d+1}}$$

- Küfer (1994)
upper bound if $K = B^d$
- Buchta (2005)
lower bound $d = 2$, K smooth enough
- Calka & Yukich (2014)
asymptotics, K smooth enough

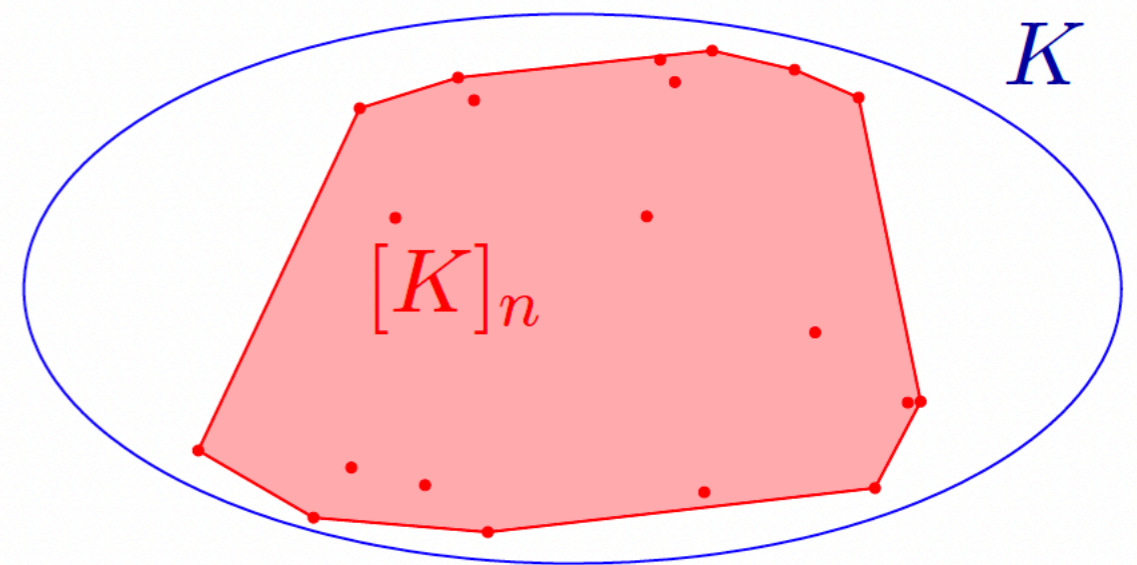


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Theorem (Reitzner 2005)

Suppose K is of class C_+^2 . Then
$$\frac{\text{Vol}([K]_n) - \mathbb{E}\text{Vol}([K]_n)}{\sqrt{\text{Var Vol}([K]_n)}} \xrightarrow{D} Z \sim \mathcal{N}(0,1)$$

Theorem

$$\mathbb{E} \text{Vol}([\partial K]_n) = \text{Vol}(K) - \tilde{c}(d) \Omega(K) \left(\frac{\mu_K(K)}{n} \right)^{\frac{2}{d-1}} (1 + o_n(1))$$

Buchta, Müller & Tichy (1985): K of class C_+^3

Reitzner (2002), Schütt & Werner (2001): K of class C_+^2

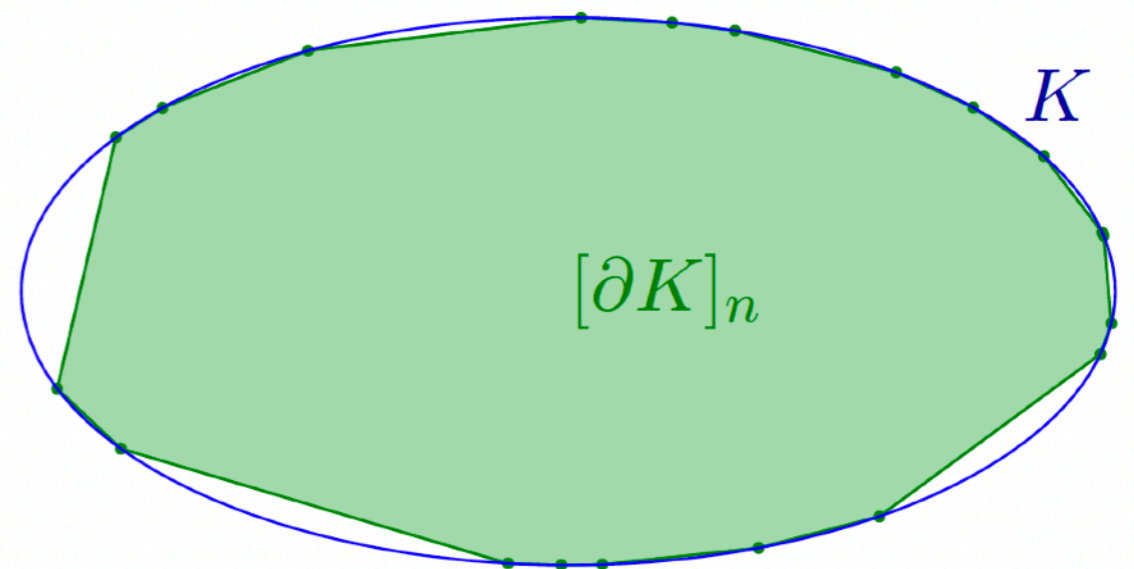
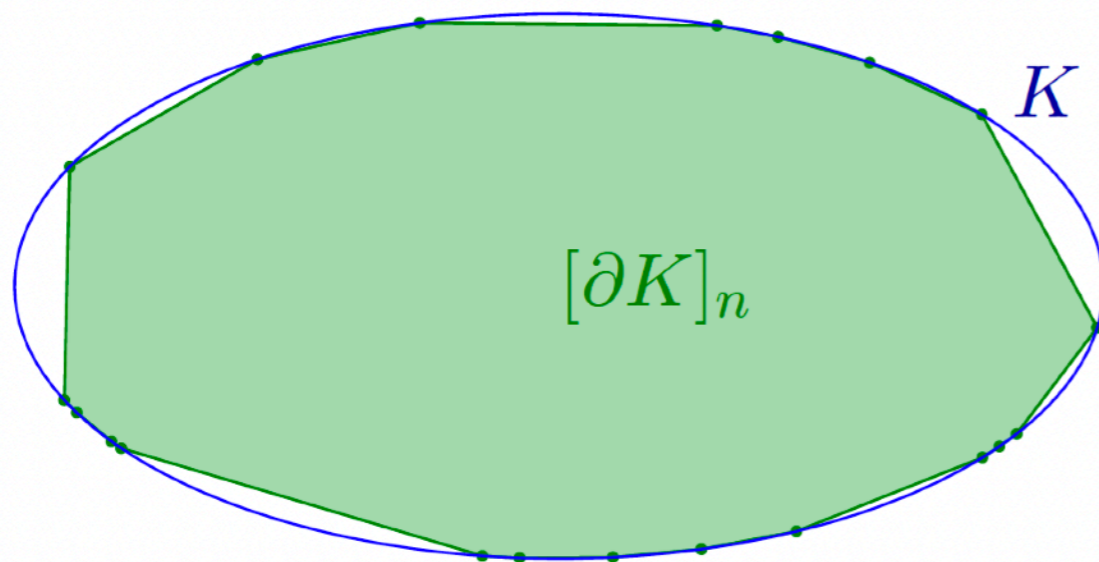
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Richardson, Vu, Wu (2008)

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T. (2018)



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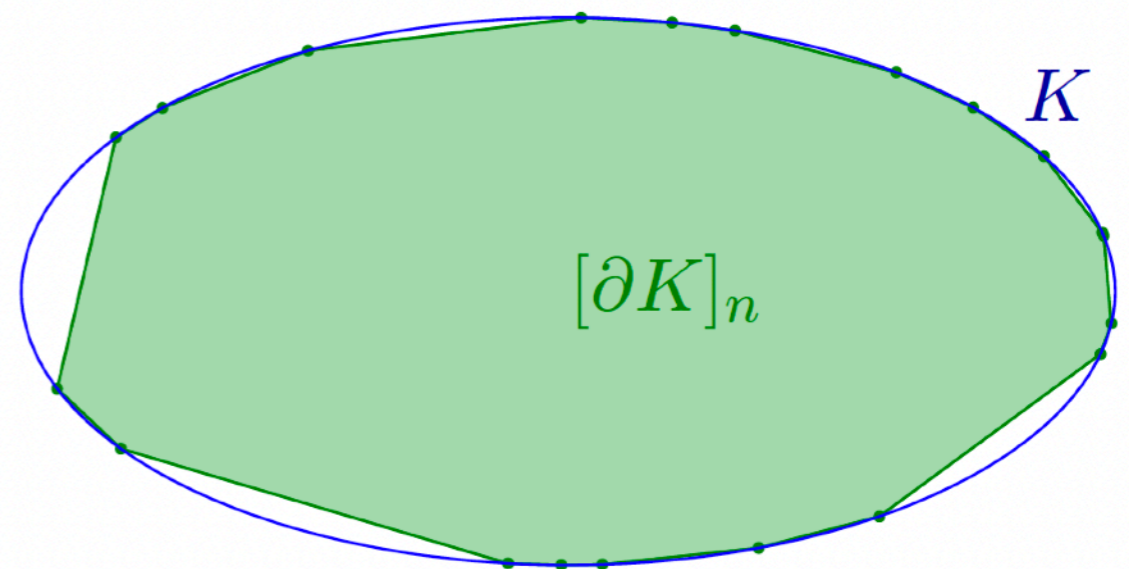
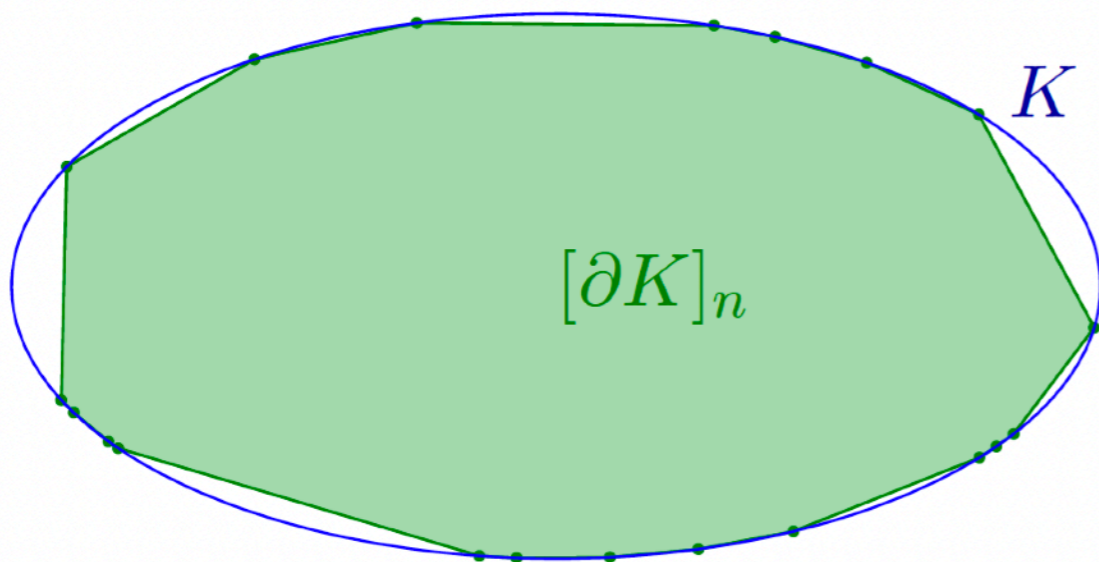
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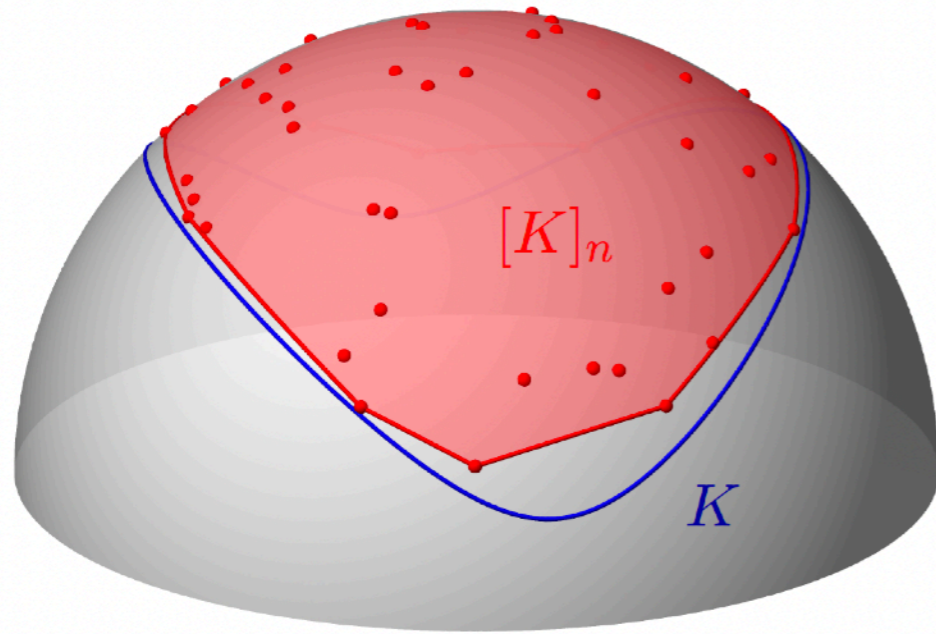
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T. (2018)



Random polytopes on the sphere

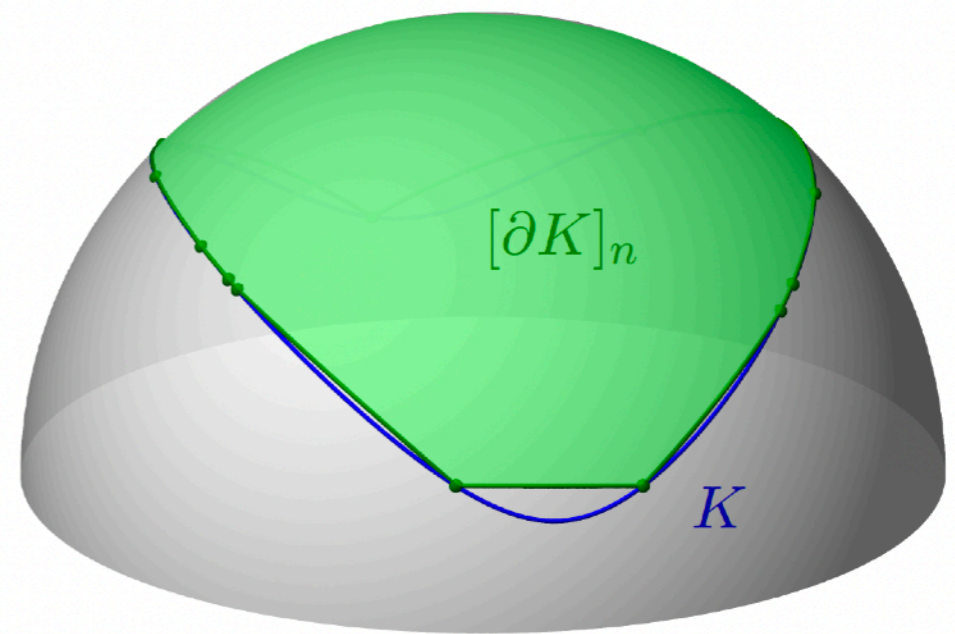
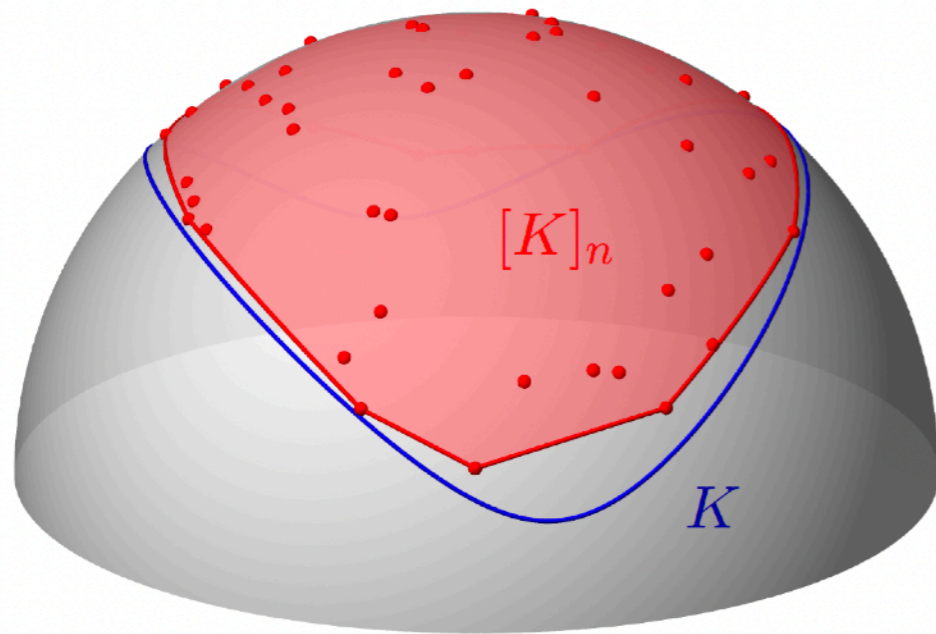
- $K \subset \mathbb{S}^d$ a **spherical convex body** (geodesically convex, contained in open hemisphere)



- Two classical models: For $n \in \mathbb{N}$ let
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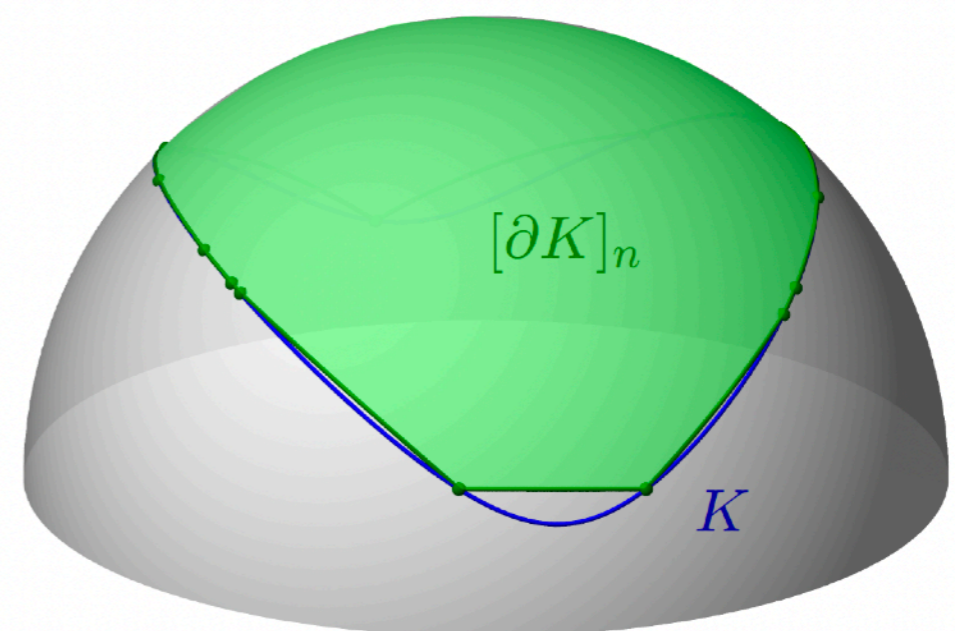
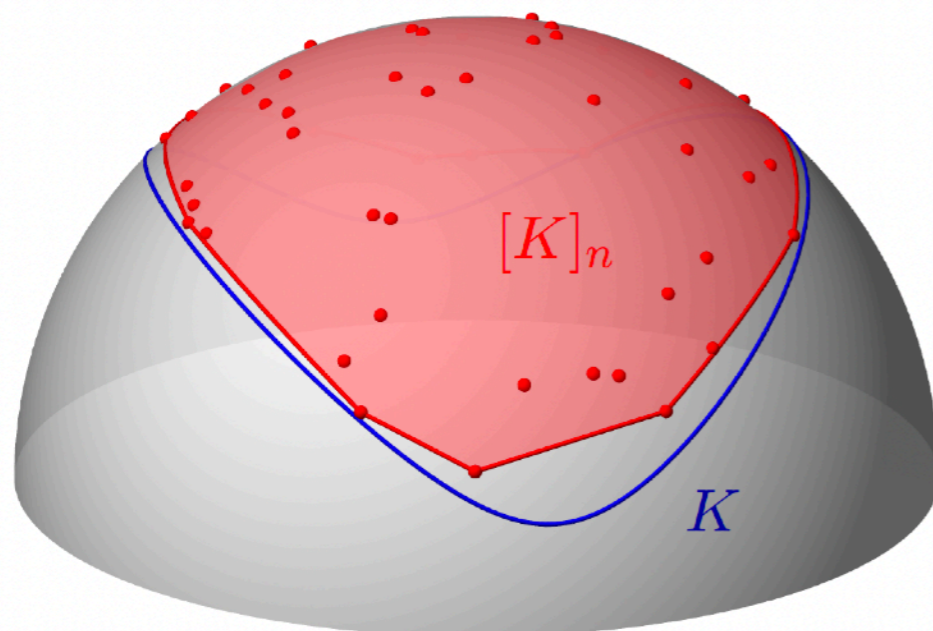
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Follows from Böröczky, Fodor, Hug (2013) and Besau, Ludwig, Werner (2018)



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Suppose K is of class C_+^2 . Then

$$\text{Var Vol}_s([K]_n) \geq c(K)n^{-1-\frac{2}{d+1}}$$

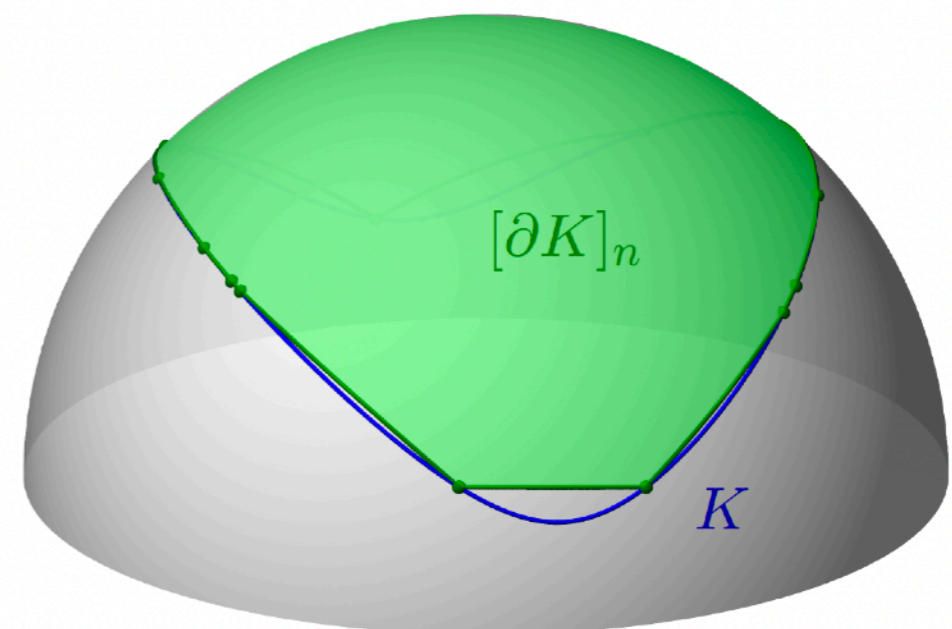
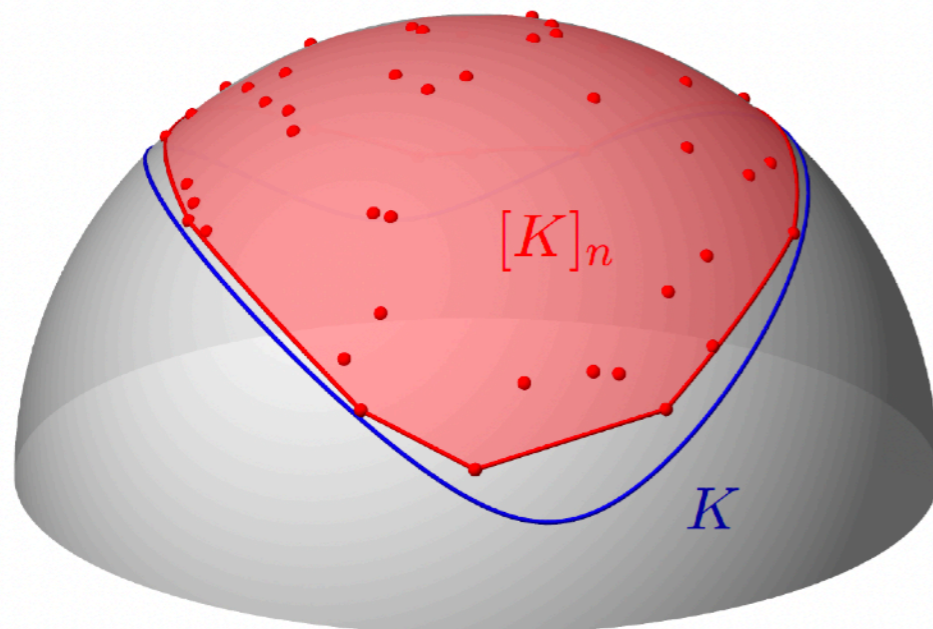
Besau & Thäle (2020)

$$\frac{\text{Vol}_s([K]_n) - \mathbb{E}\text{Vol}_s([K]_n)}{\sqrt{\text{Var Vol}_s([K]_n)}} \xrightarrow{D} Z \sim \mathcal{N}(0,1)$$

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Besau, Rosen & Thäle (2021)

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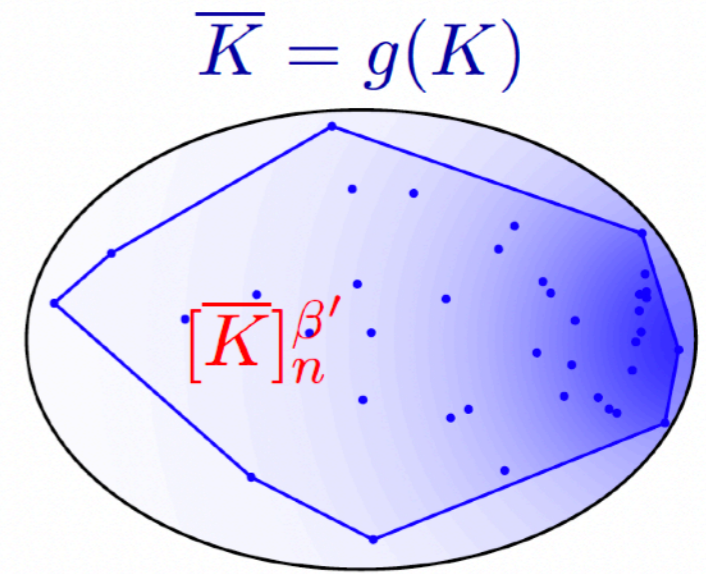
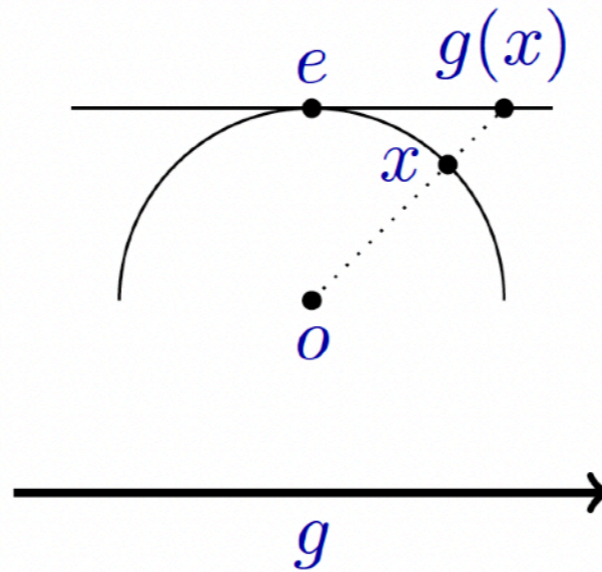
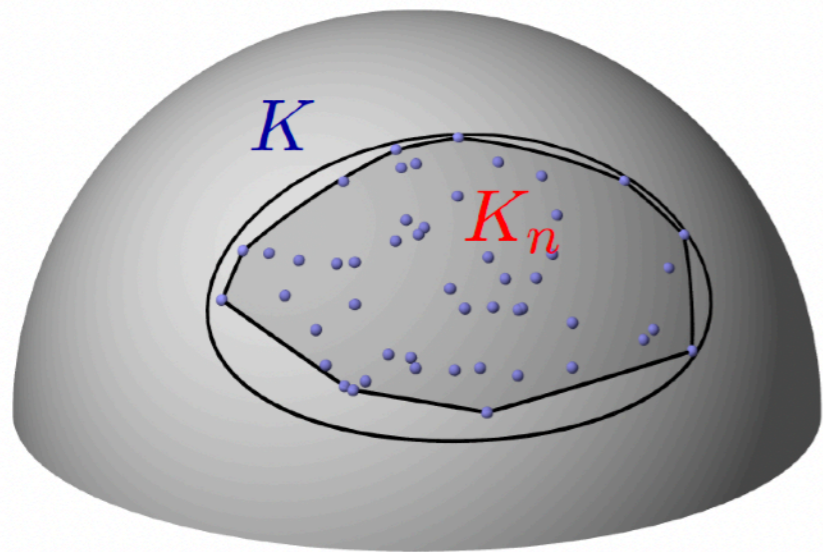
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- The proof for asymptotic normality relies on a version of **Stein's method** due to Chatterjee (2008) as presented by Lachiéze-Rey & Peccati (2017),
- geometric estimates involving weighted **floating bodies** and weighted **surface bodies**,
- and a **projection argument** reducing the problem to \mathbb{R}^d .

The projection argument



$$X_i \sim \text{Vol}_s|_K$$

$$[K]_n = \text{conv}_s\{X_1, \dots, X_n\}$$

$$\text{Vol}_s([K]_n)$$

$$\bar{X}_i \sim (g\#\text{Vol}_s)|_{\bar{K}}$$

$$[\bar{K}]_n = \text{conv}\{\bar{X}_1, \dots, \bar{X}_n\}$$

$$\text{Vol}_\varphi([\bar{K}]_n) = \int_{[\bar{K}]_n} \varphi(x) dx$$

$$\varphi = \text{density of } g\#\text{Vol}_s$$

Stein's method

$K \subset \mathbb{R}^d$ a convex body, X_1, \dots, X_n independent random points in K distributed according to some *nice* density ψ . Consider the **weighted volume** $\text{Vol}_\varphi([K]_n^\psi)$ where φ is another *nice* density function on K .

$$d_{\text{Wass}}(V_n, Z) \leq \frac{c}{\text{Var Vol}_\varphi([K]_n^\psi)} (\gamma_1 + \gamma_2 + \gamma_3 + \gamma_4)$$

where $\gamma_1, \gamma_2, \gamma_3, \gamma_4$ are terms only involving the **first** and **second order difference operator** applied to the weighted volume functional:

$$D_1 \text{Vol}_\varphi([K]_n^\psi) = \text{Vol}_\varphi(\text{conv}\{X_1, \dots, X_n\}) - \text{Vol}_\varphi(\text{conv}\{X_2, \dots, X_n\})$$

$$D_{1,2} \text{Vol}_\varphi([K]_n^\psi) = D_2(D_1 \text{Vol}_\varphi([K]_n^\psi))$$

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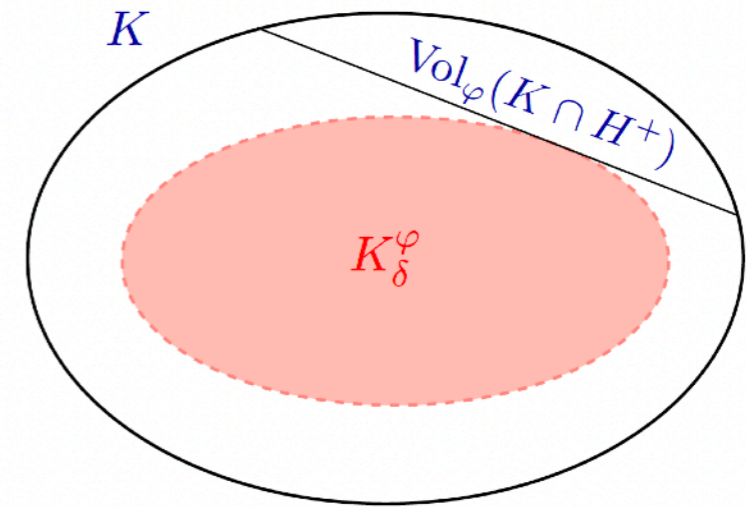
Especially $\gamma_3 \asymp \mathbb{E} |D_1 \text{Vol}_\varphi([K]_n^\psi)|^3$ and $\gamma_4 \asymp \mathbb{E} |D_1 \text{Vol}_\varphi([K]_n^\psi)|^4$.

Weighted floating bodies

The **weighted floating body** of K is defined by

$$K_\delta^\varphi = \bigcap \{H^- : \text{Vol}_\varphi(K \cap H^+) \leq \delta\}$$

Schütt & Werner (1990), Werner (2002)



Weighted floating bodies

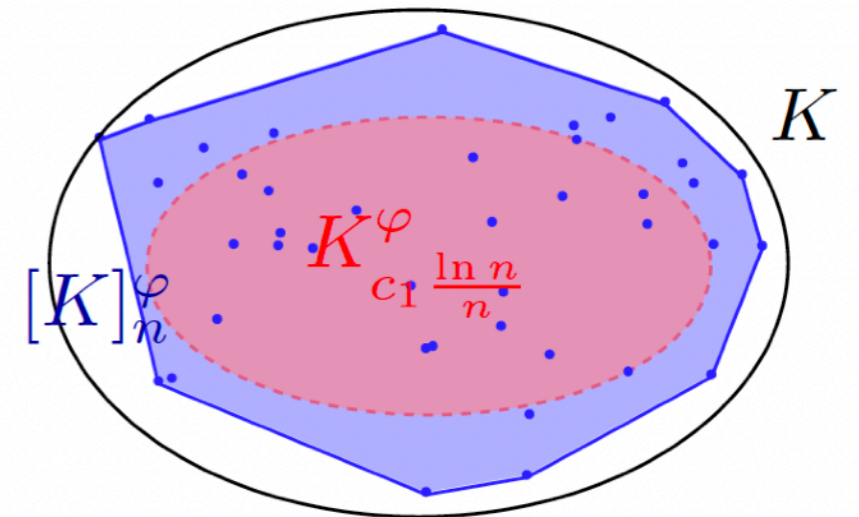
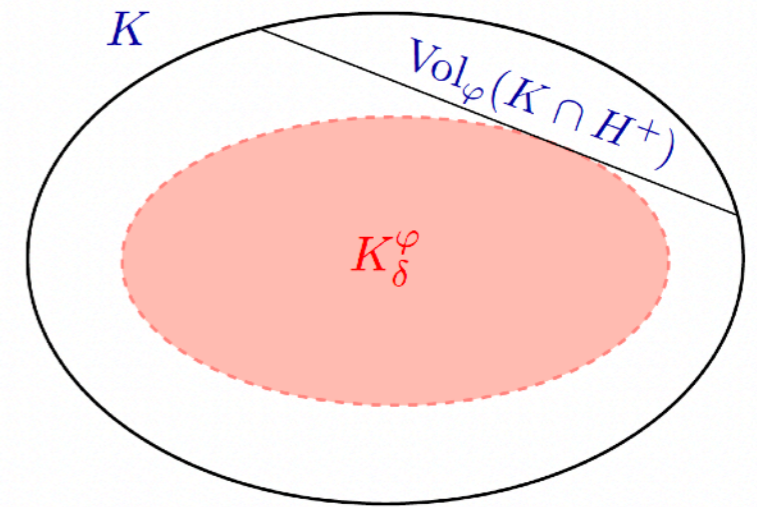
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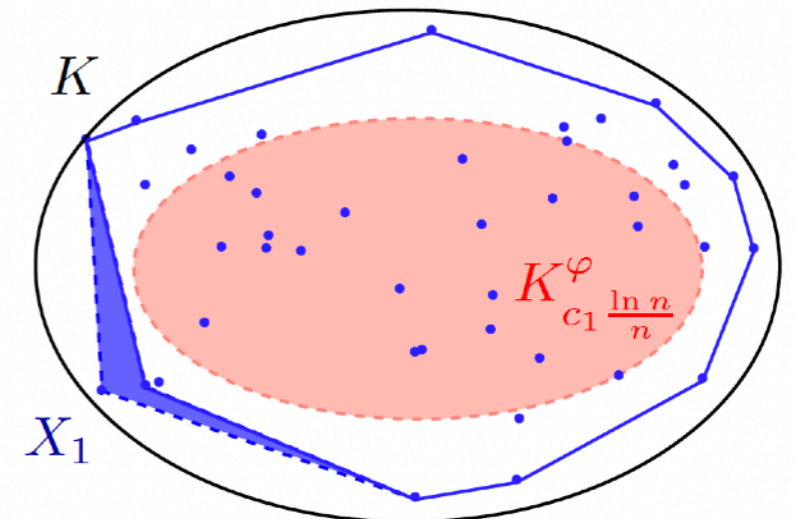
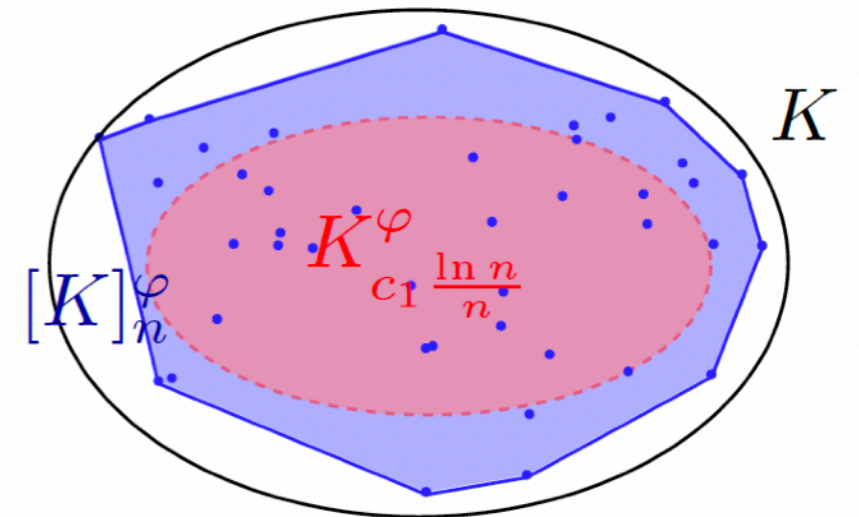
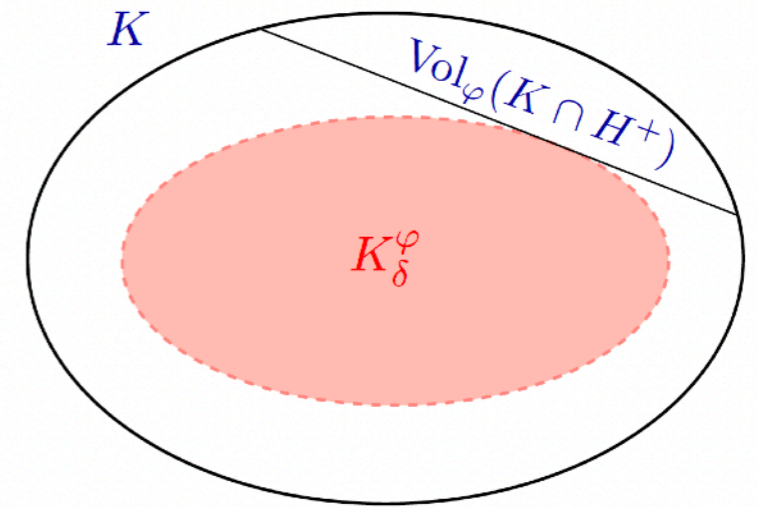
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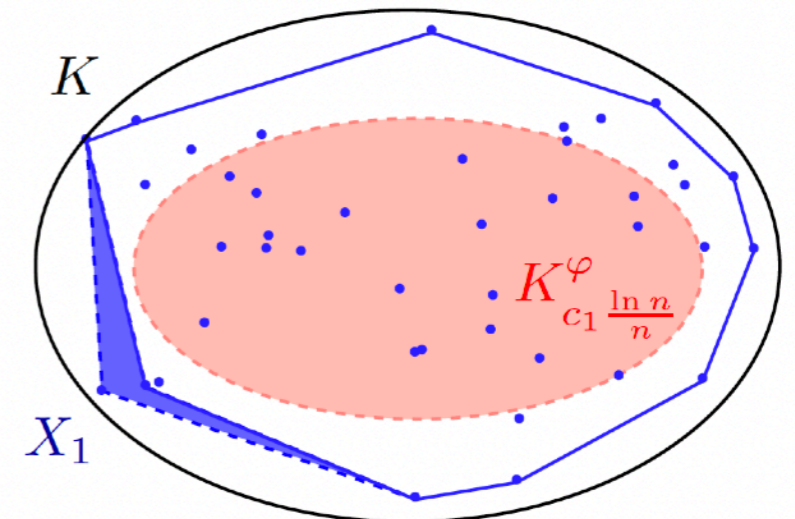
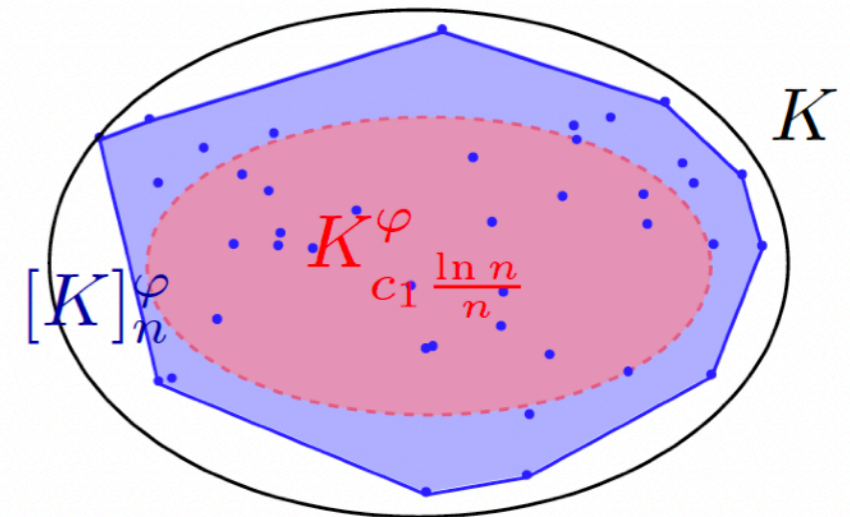
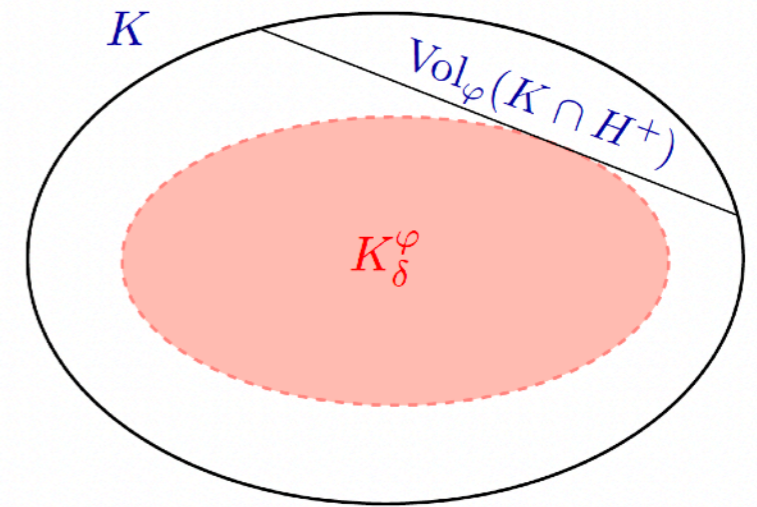
Schütt & Werner (1990), Werner (2002)

$[K]_n^\psi$ contains $K_{c_1 \frac{\log n}{n}}^\varphi$ with
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$$\gamma_4 \asymp \mathbb{E} |D_1 \text{Vol}_\varphi([K]_n^\psi)|^4$$

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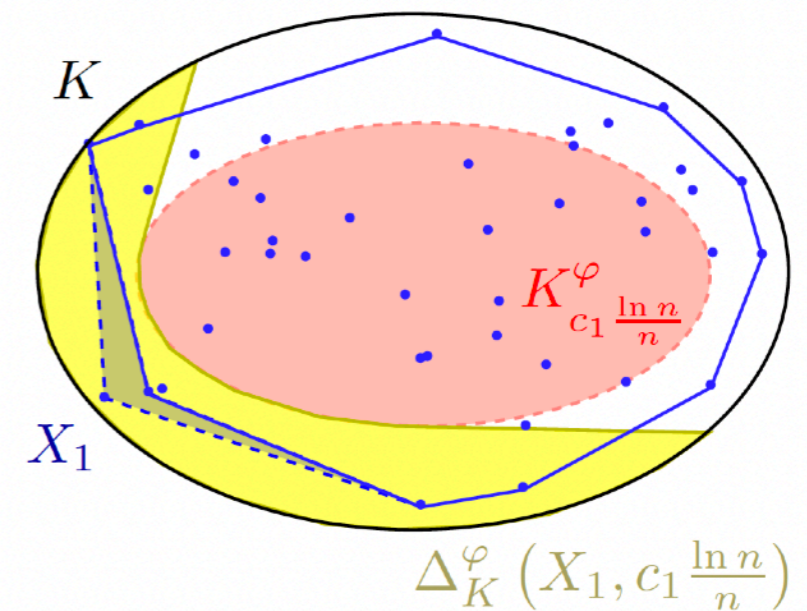
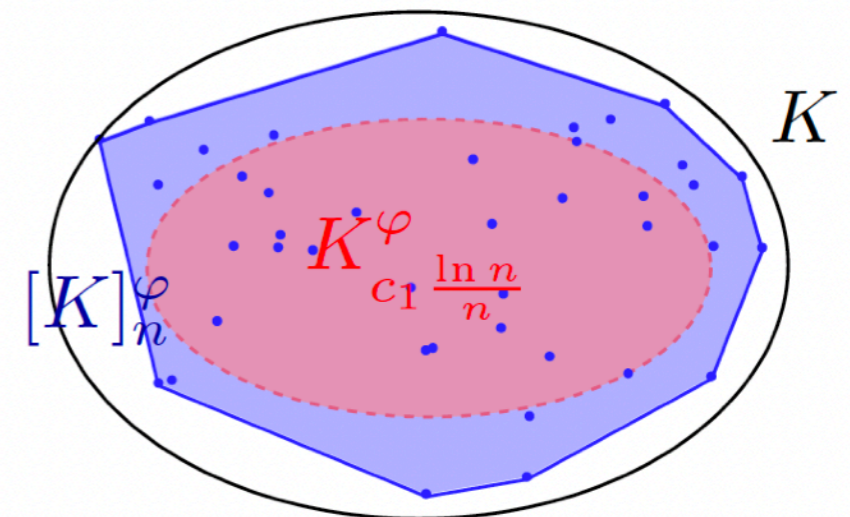
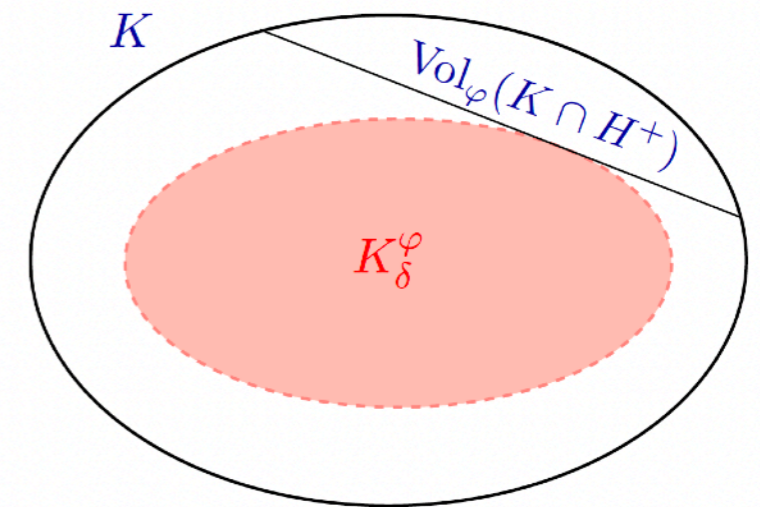
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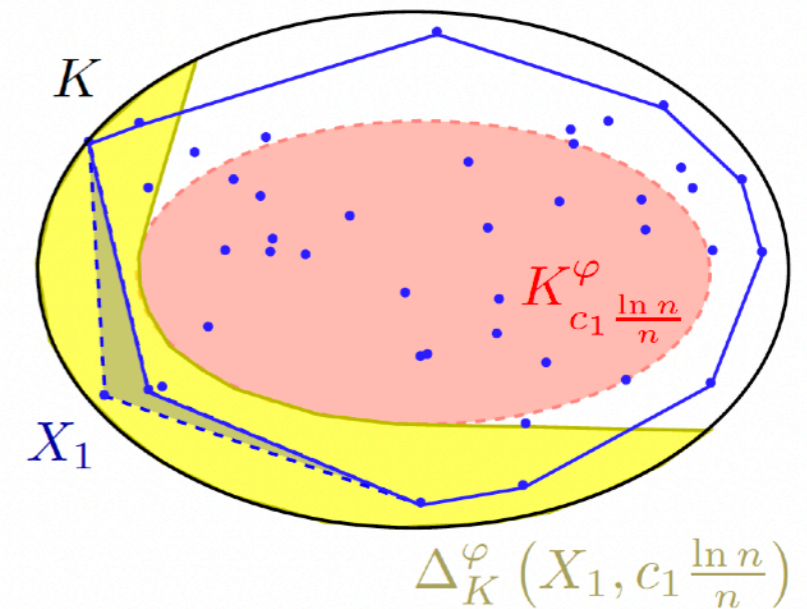
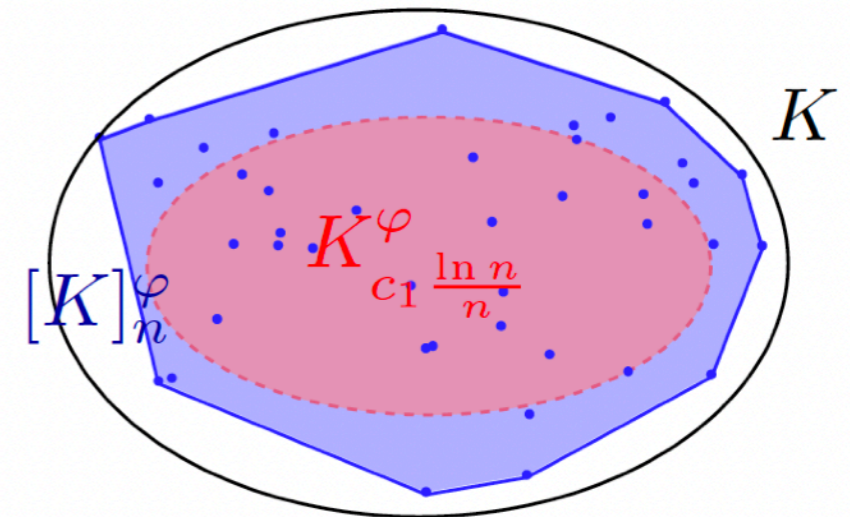
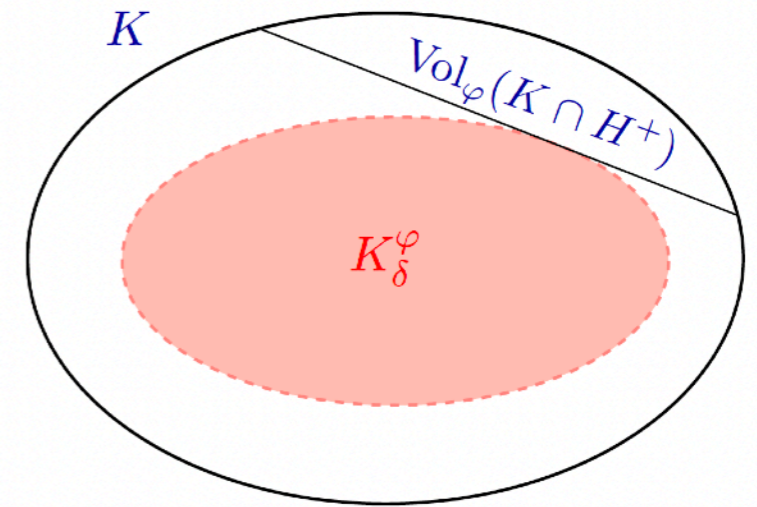
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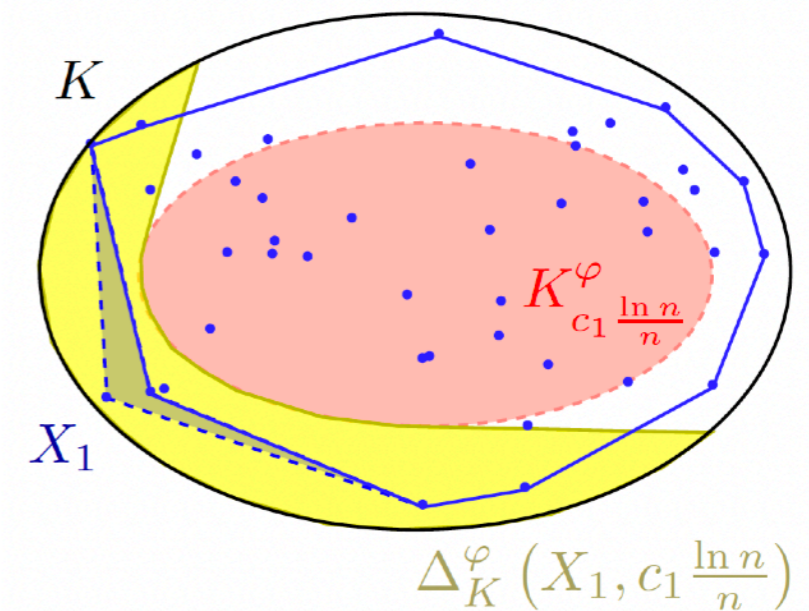
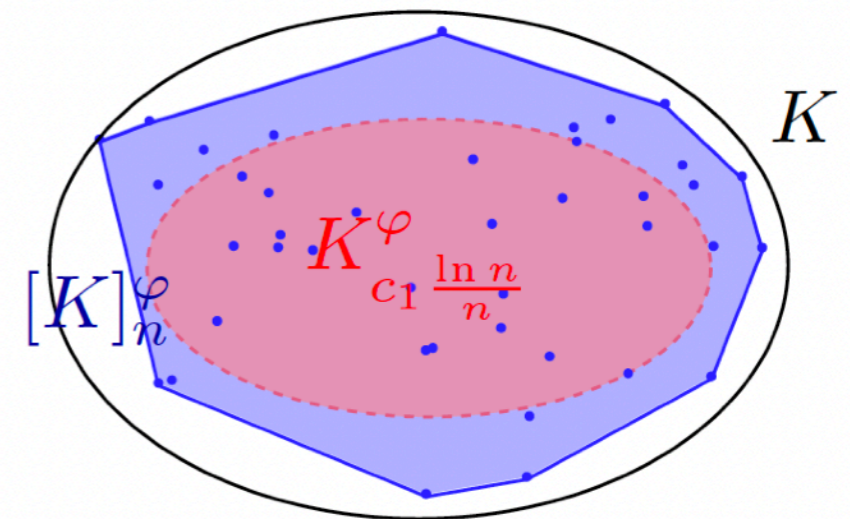
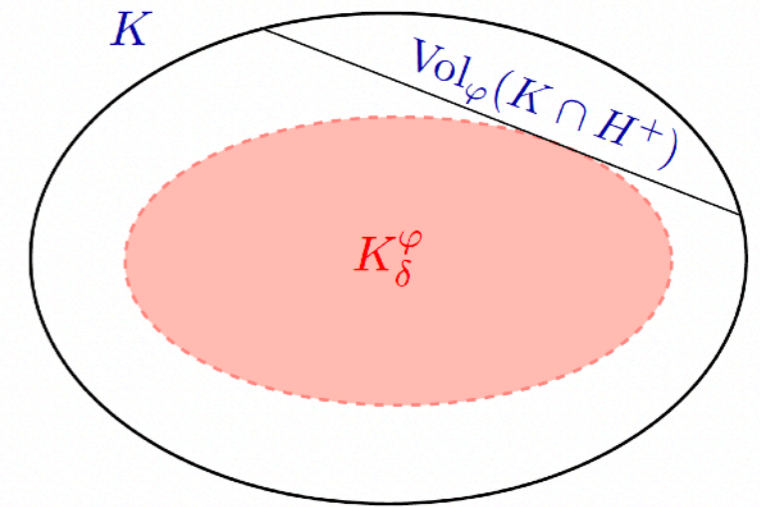
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The **weighted floating body** of K is defined by

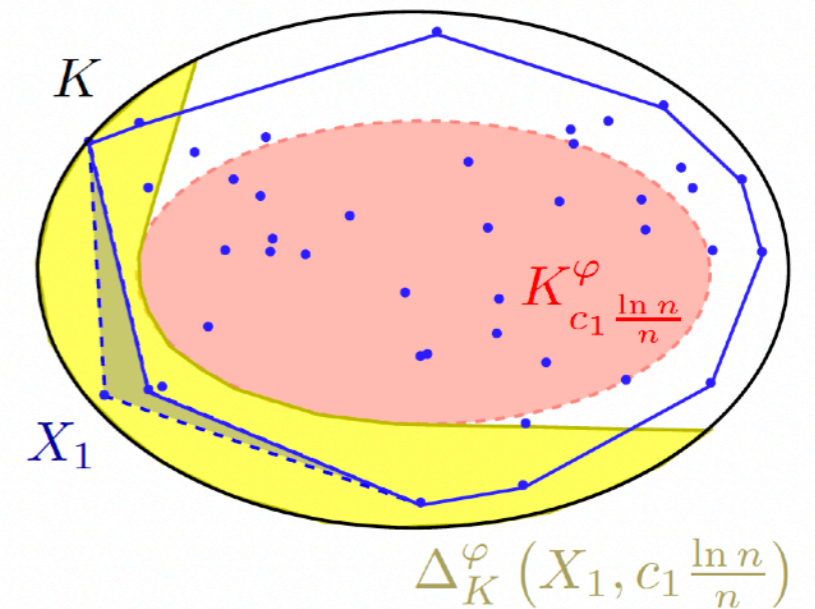
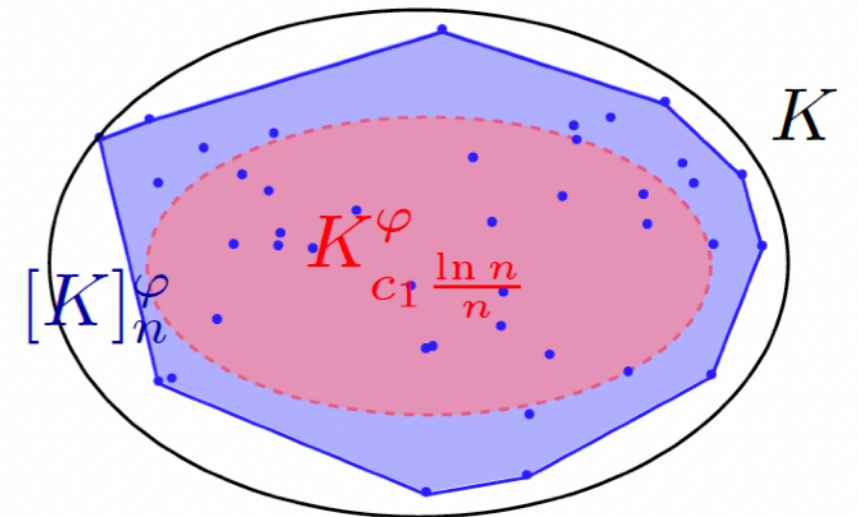
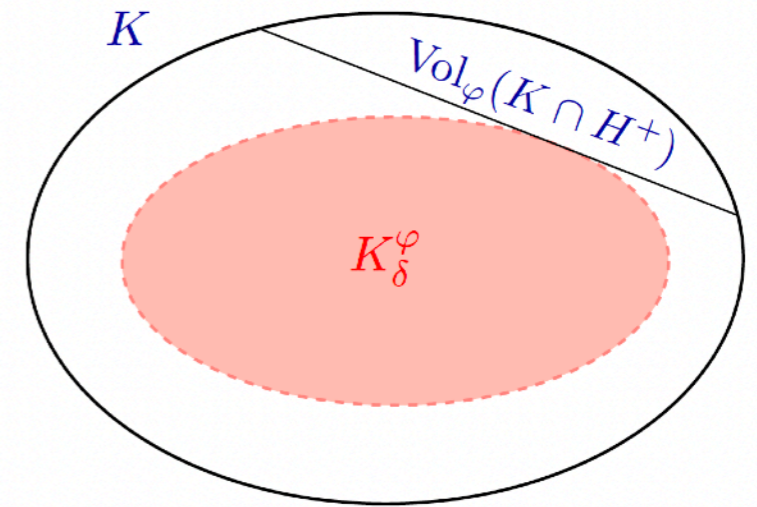
$$K_\delta^\varphi = \bigcap \{H^- : \text{Vol}_\varphi(K \cap H^+) \leq \delta\}$$

Schütt & Werner (1990), Werner (2002)

$[K]_n^\psi$ contains $K_{c_1 \frac{\log n}{n}}^\varphi$ with
probability $1 - c_2 n^{-7}$.

Vu (2005)

$$\begin{aligned} \gamma_4 &\asymp \mathbb{E} |D_1 \text{Vol}_\varphi([K]_n^\psi)|^4 \\ &\leq \mathbb{E}[|D_1 \text{Vol}_\varphi([K]_n^\psi)|^4 | A_n] + c_3 \mathbb{P}(A_n^c) \\ &\leq \mathbb{E} \text{Vol}_\psi \left(\Delta_K^\varphi \left(X_1, c_1 \frac{\log n}{n} \right) \right)^4 + c_4 n^{-7} \\ &\leq c_5 \left(\frac{\log n}{n} \right)^4 \text{Vol}_\psi(K \setminus K_{c_1 \frac{\log n}{n}}^\varphi) + c_4 n^{-7} \\ &\leq c_6 \left(\frac{\log n}{n} \right)^{4 + \frac{2}{d+1}} \end{aligned}$$



Random polytopes in hyperbolic space

Theorem

Suppose K is of class C_+^2 . Then

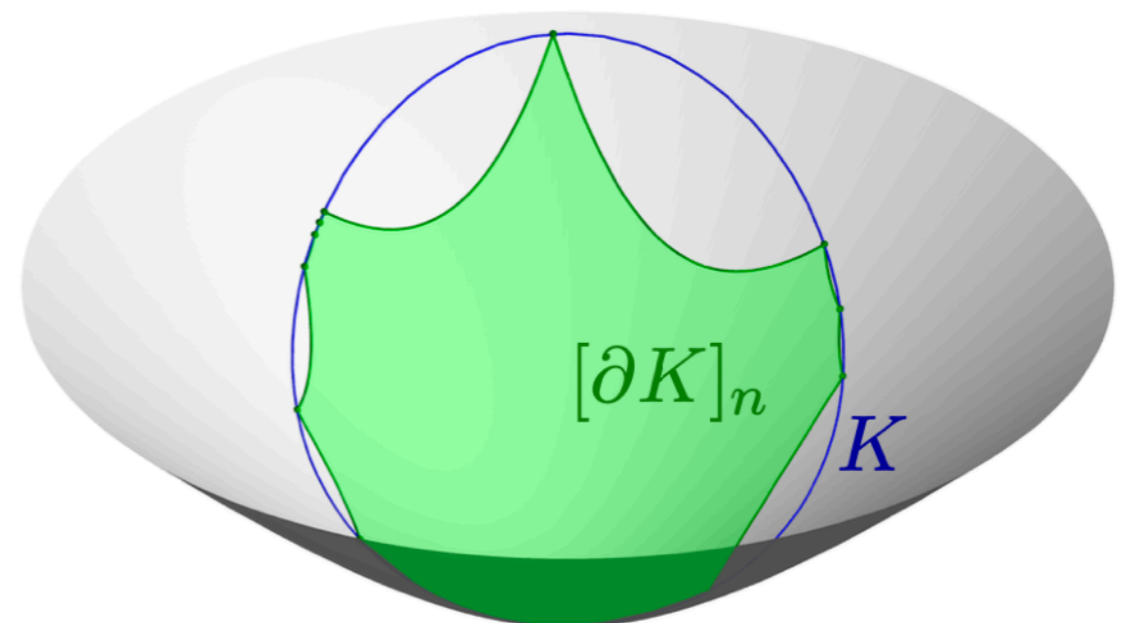
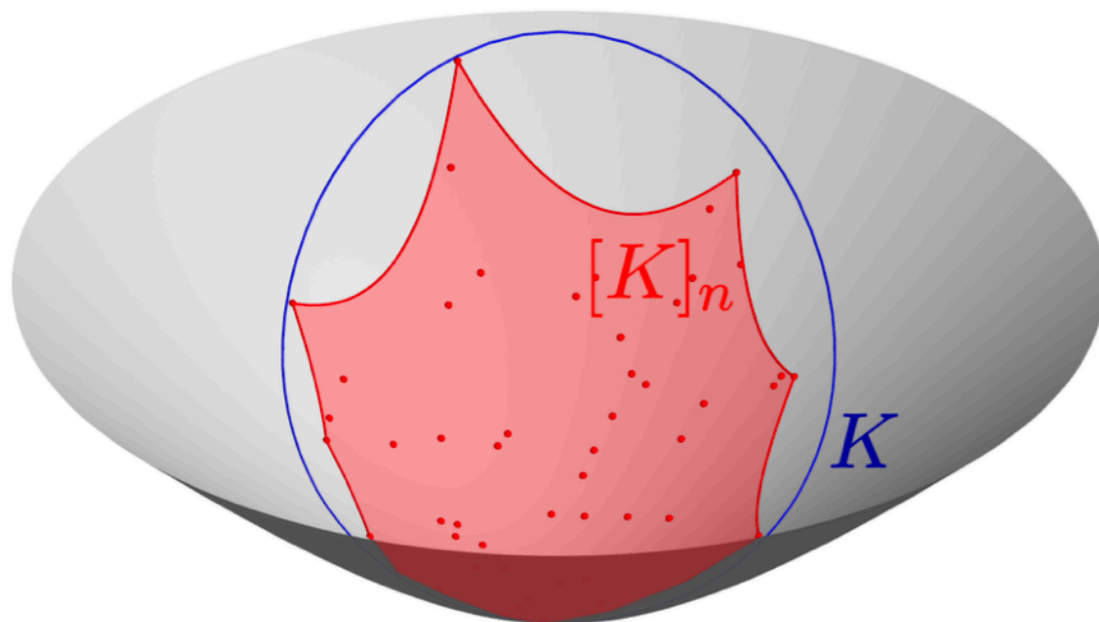
$$\mathbb{E}\text{Vol}_h([K]_n) = \text{Vol}_h(K) - c(d)\text{as}_h(K) \left(\frac{\text{Vol}_h(K)}{n} \right)^{\frac{2}{d+1}} (1 + o_n(1))$$

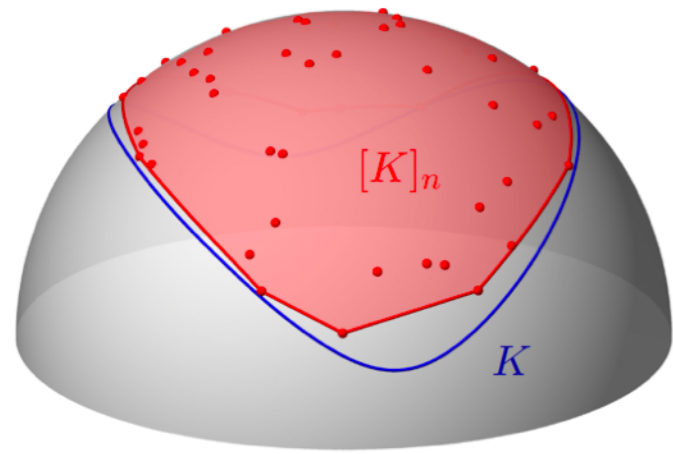
$$\text{Var Vol}_h([K]_n) \geq c(K)n^{-1-\frac{2}{d+1}}$$

$$\frac{\text{Vol}_h([K]_n) - \mathbb{E}\text{Vol}_h([K]_n)}{\sqrt{\text{Var Vol}_h([K]_n)}} \xrightarrow{D} Z \sim \mathcal{N}(0,1)$$

Besau, Ludwig, Werner (2018)

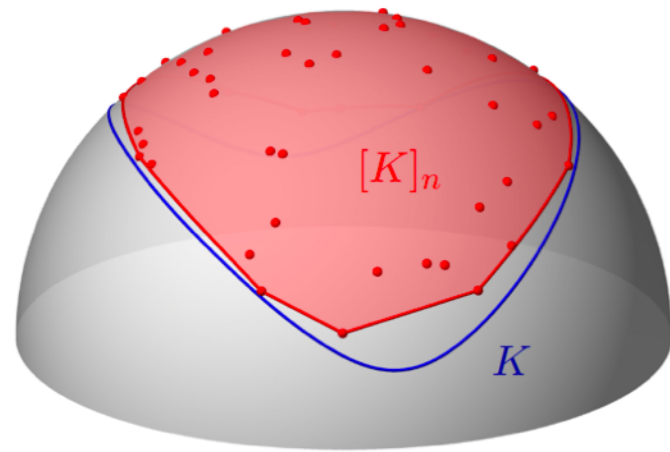
Besau & Thäle (2020)





Suppose K is of class C_+^2 .

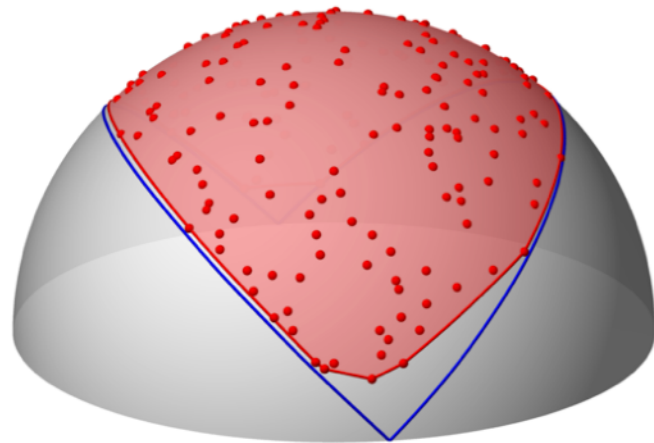
$$\mathbb{E}\text{Vol}_s([K]_n) = \text{Vol}_s(K) - c(d, K) \left(\frac{\text{Vol}_s(K)}{n} \right)^{\frac{2}{d+1}} (1 + o_n(1))$$



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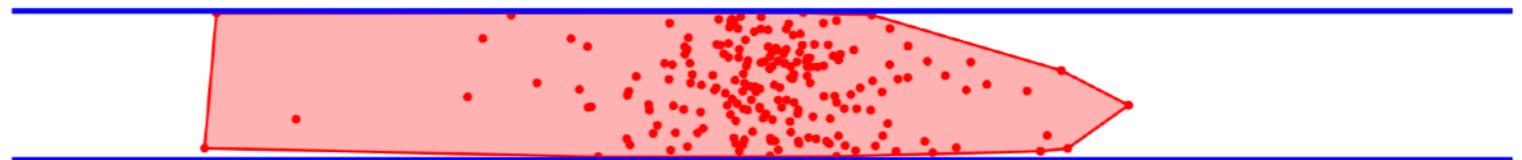
... random polytopes in a **spherical wedge**

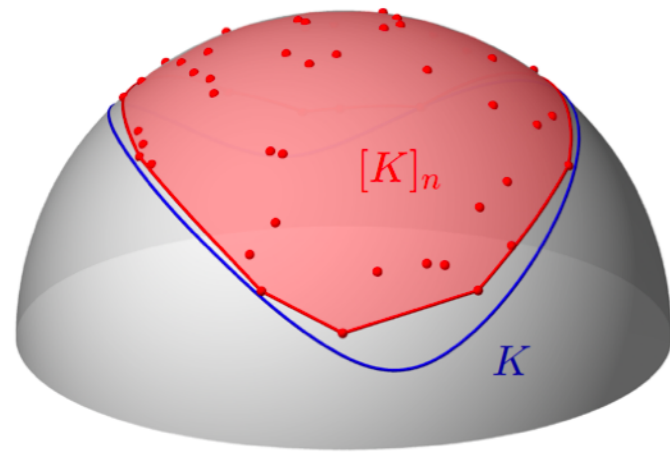


$$\mathbb{E}\text{Vol}_s([K]_n) = \text{Vol}_s(K) - c(d) \frac{\log n}{n} (1 + o_n(1))$$

Besau, Gusakova, Reitzner, Schütt, T. & Werner (2022)

In this case $g(K) = \text{a strip}$ and $g\# \text{Vol}_s$ has some density.

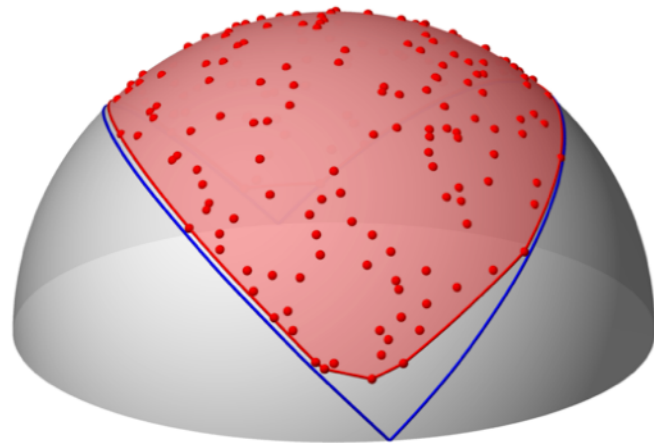




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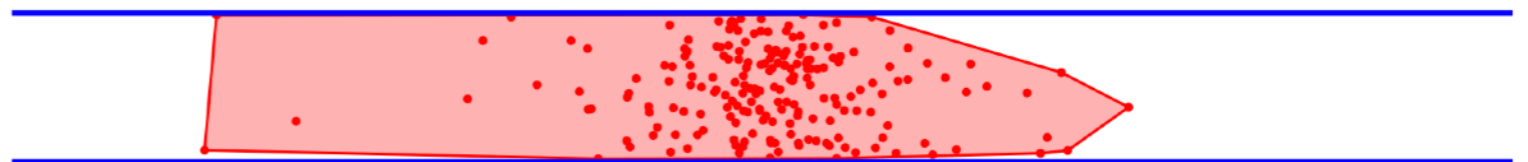
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Besau, Gusakova, Reitzner, Schütt, T. & Werner (2022)

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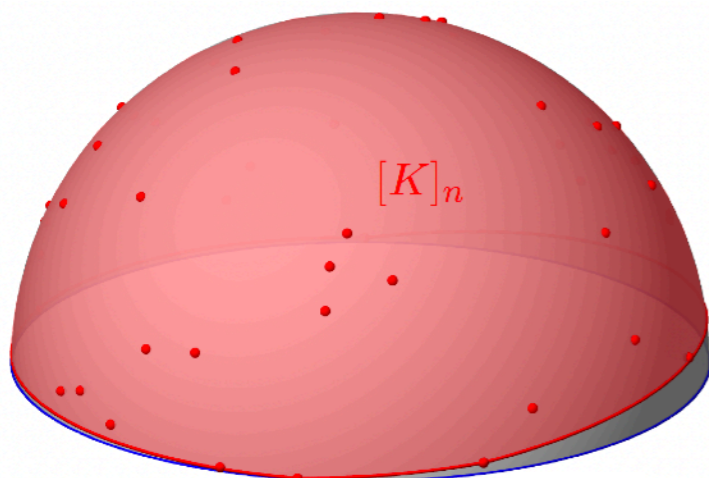


Suppose K is the **half-sphere**.

$$\mathbb{E}\text{Vol}_s([K]_n) = \text{Vol}_s(K) - c(d)n^{-1}(1 + o_n(1))$$

Bárány, Hug, Reitzner & Schneider (2017)

In this case $g(K) = \mathbb{R}^d$ and $g\# \text{Vol}_s$ has density $\propto (1 - \|x\|^2)^{-\frac{d+1}{2}}$.



● Beta distribution in \mathbb{R}^d : $f_{d,\beta}(x) = c_{d,\beta}(1 - \|x\|^2)^\beta$ ($\|x\| \leq 1, \beta > -1$)

● Beta polytope: $P_{n,d}^\beta = [X_1, \dots, X_n]$

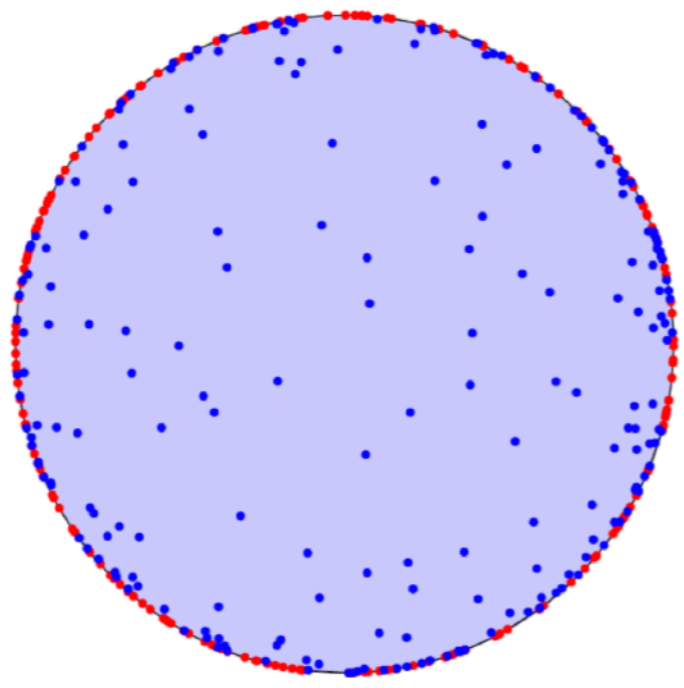
X_1, \dots, X_n iid with density $f_{d,\beta}$

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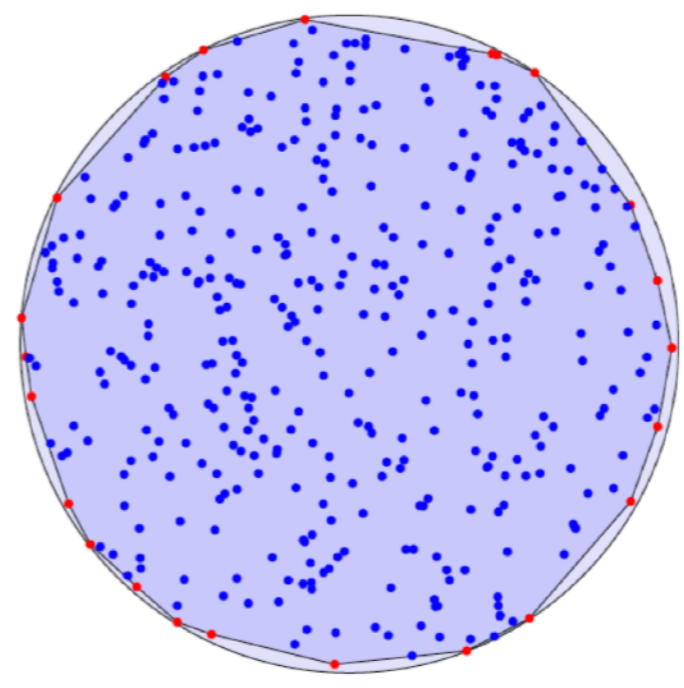
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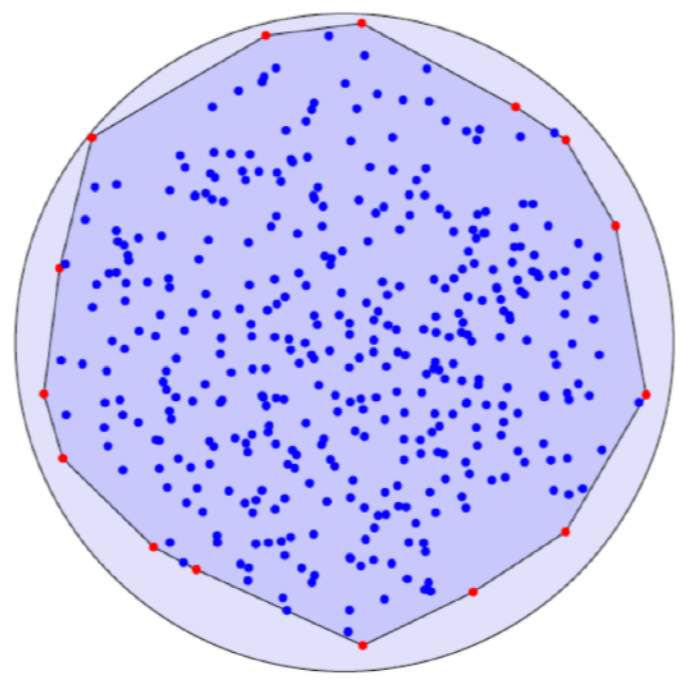
$\beta = -0.9$



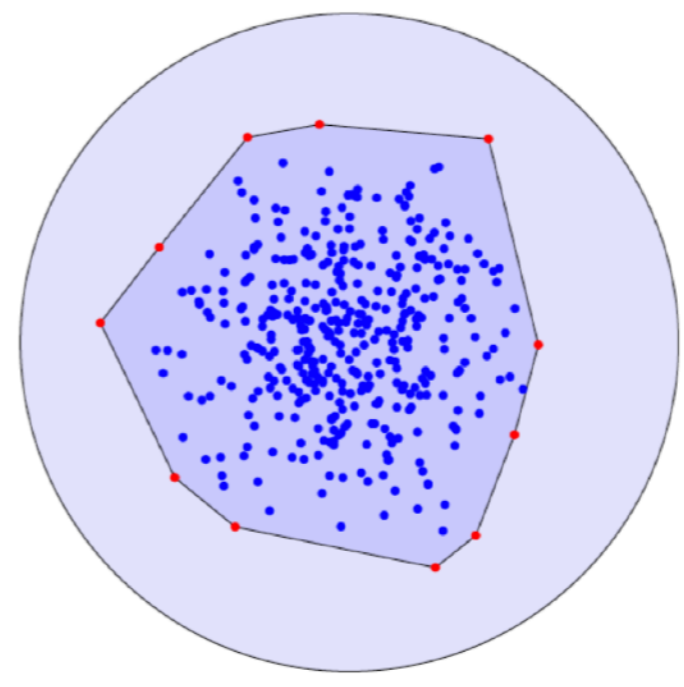
$\beta = 0$



$\beta = 1$



$\beta = 7$



● Beta distribution in \mathbb{R}^d : $f_{d,\beta}(x) = c_{d,\beta}(1 - \|x\|^2)^\beta$ ($\|x\| \leq 1, \beta > -1$)

● Beta polytope: $P_{n,d}^\beta = [X_1, \dots, X_n]$

X_1, \dots, X_n iid with density $f_{d,\beta}$

● Special cases: $\beta \rightarrow -1$ uniform distribution on \mathbb{S}^{d-1}

There are weak sub-sequential limits by Helly's compactness theorem.

All sub-sequential limits must be concentrated on \mathbb{S}^{d-1} .

All sub-sequential limits must be rotationally invariant.

$\beta = 0$ uniform distribution on \mathbb{B}^d

$\beta \rightarrow \infty$ Gaussian distribution on \mathbb{R}^d

$$X \sim f_{d,\beta} \implies \sqrt{2\beta} X \sim c_{d,\beta} \left(1 - \frac{\|x\|^2}{2\beta}\right)^\beta \longrightarrow c e^{-\|x\|^2/2}$$

Now, apply Scheffé's lemma.

● **Beta distribution in \mathbb{R}^d** : $f_{d,\beta}(x) = c_{d,\beta}(1 - \|x\|^2)^\beta$ ($\|x\| \leq 1, \beta > -1$)

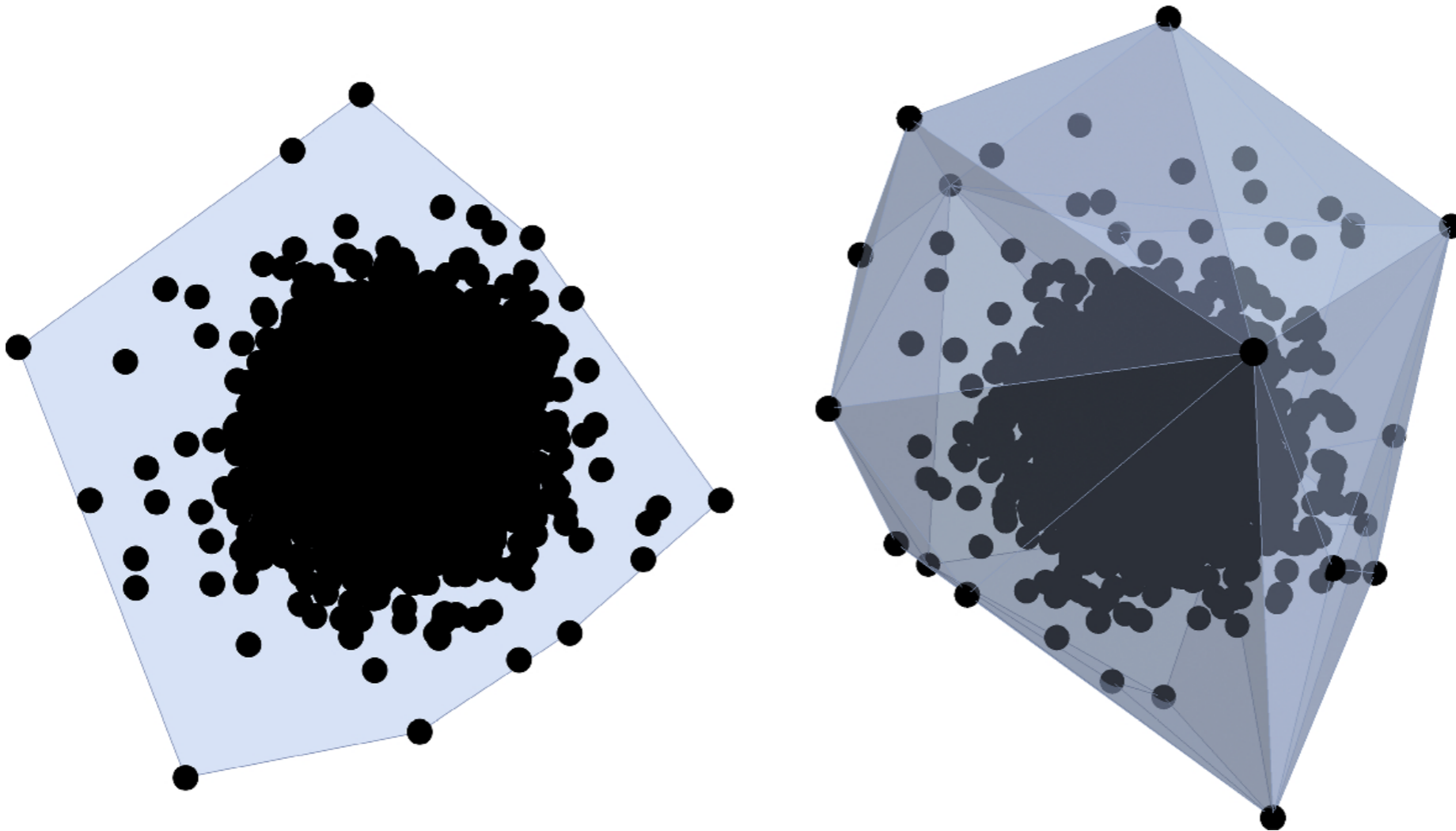
● **Beta prime distribution in \mathbb{R}^d** : $\tilde{f}_{d,\beta}(x) = \tilde{c}_{d,\beta}(1 + \|x\|^2)^{-\beta}$ ($x \in \mathbb{R}^d, \beta > d/2$)

● **Beta polytope:** $P_{n,d}^\beta = [X_1, \dots, X_n]$

X_1, \dots, X_n iid with density $f_{d,\beta}$

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- Beta distribution in \mathbb{R}^d : $f_{d,\beta}(x) = c_{d,\beta}(1 - \|x\|^2)^\beta$ ($\|x\| \leq 1, \beta > -1$)

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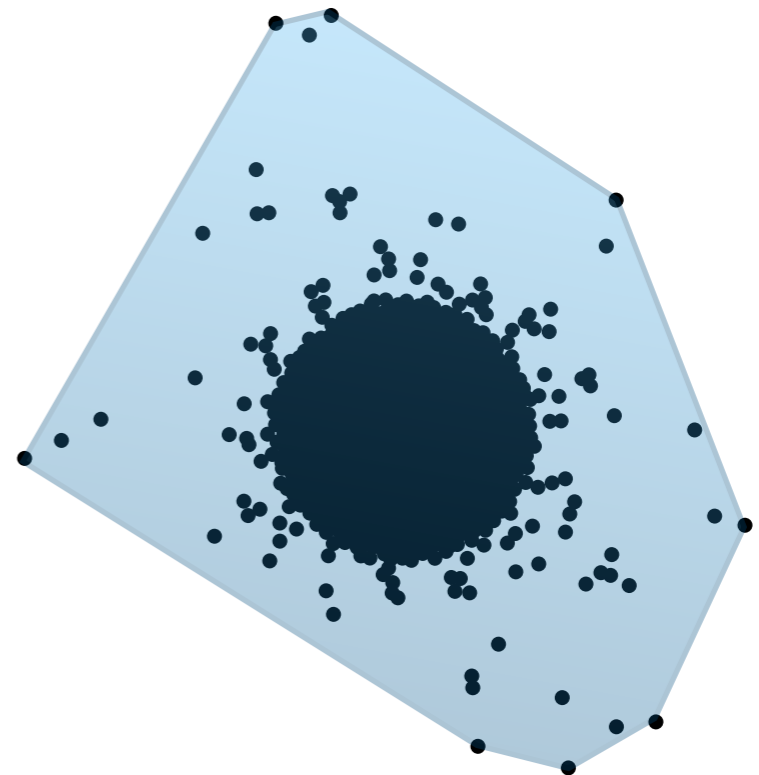
- Poisson polytope:

$$\eta = \text{PPP}\left(\frac{dx}{\|x\|^{d+\alpha}}\right), \quad \alpha > 0$$

$$\Pi_{d,\alpha} = [\eta]$$

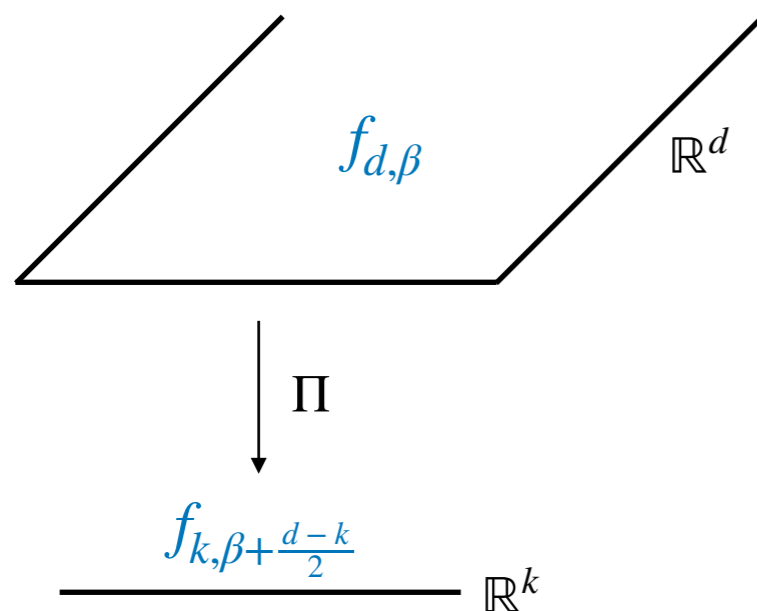
Poisson polytopes are rescaled limits of beta prime polytopes:

$$n^{-1}\tilde{P}_{n,d}^\beta \longrightarrow \Pi_{d,2\beta-d}$$



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- Key properties:

Projection invariance



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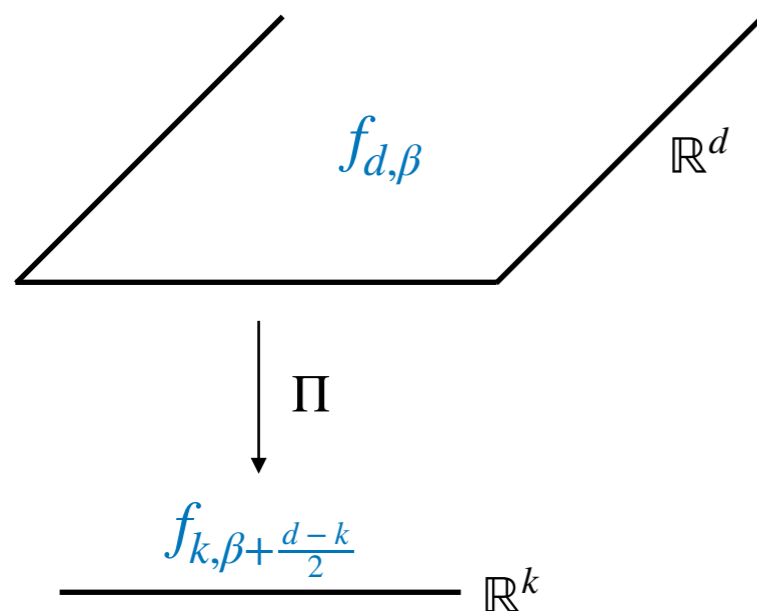
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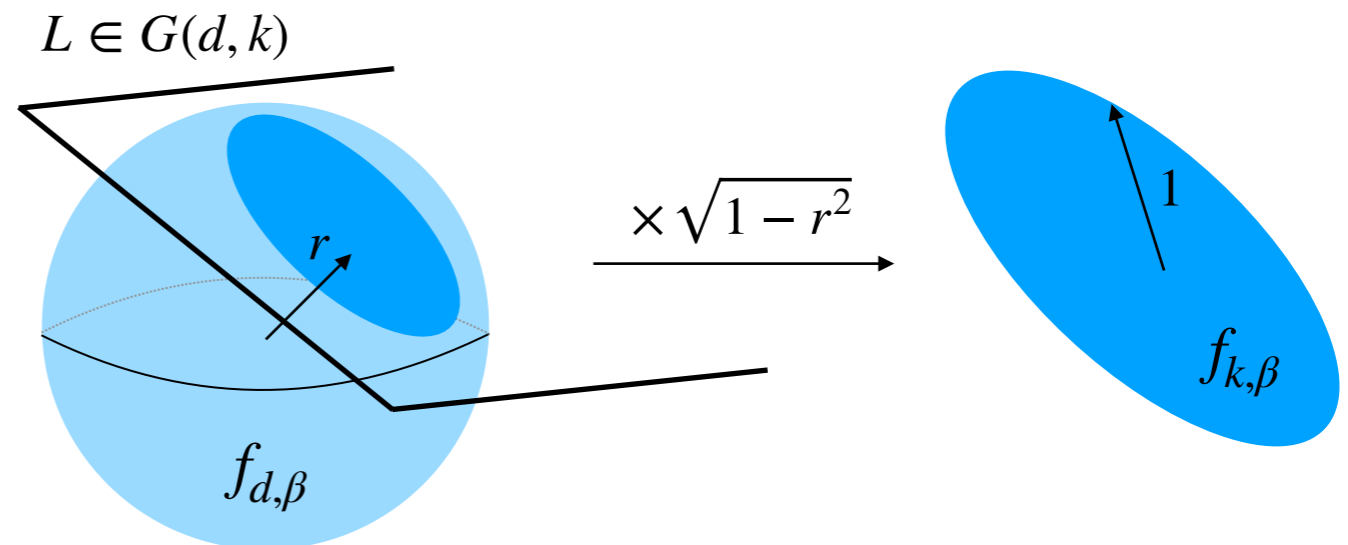
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- Key properties:

Projection invariance



Slice invariance



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$\mathbb{E}f_k(P_{n,d}^\beta), \quad \mathbb{E}f_k(\tilde{P}_{n,d}^\beta)$	Explicit formulas
$\mathbb{E}V_k(P_{n,d}^\beta), \quad \mathbb{E}V_k(\tilde{P}_{n,d}^\beta)$	Asymptotic expansions
Angles of tangent cones	Threshold phenomena

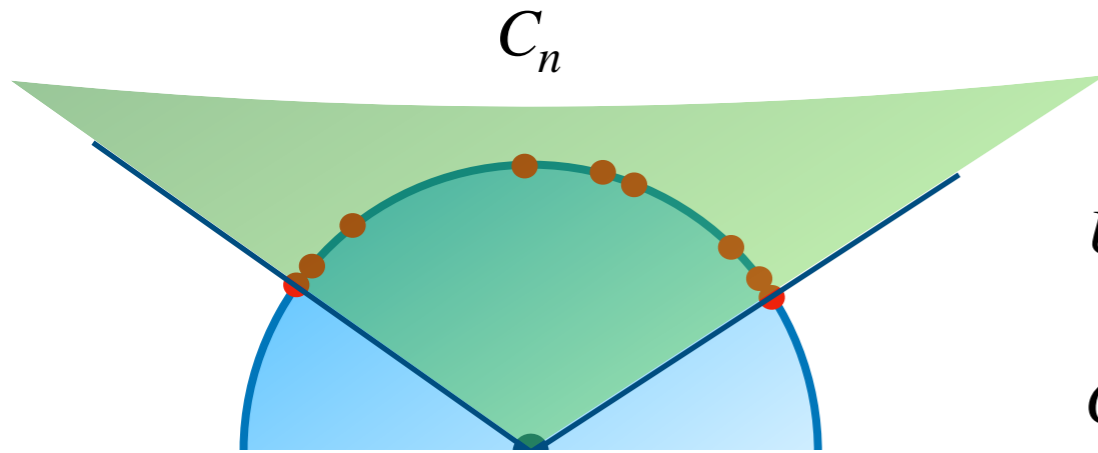
- Beta distribution in \mathbb{R}^d : $f_{d,\beta}(x) = c_{d,\beta}(1 - \|x\|^2)^\beta$ ($\|x\| \leq 1, \beta > -1$)
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Application to stochastic geometry models

Application 1: Random cones in a half-sphere

Kabluchko, Marynych, Temesvari & T. (2019)



$$\mathbb{S}_+^d := \{(x_0, x_1, \dots, x_d) \in \mathbb{R}^{d+1} : x_0^2 + x_1^2 + \dots + x_d^2 = 1, x_0 \geq 0\}$$

upper half-sphere in \mathbb{R}^{d+1}

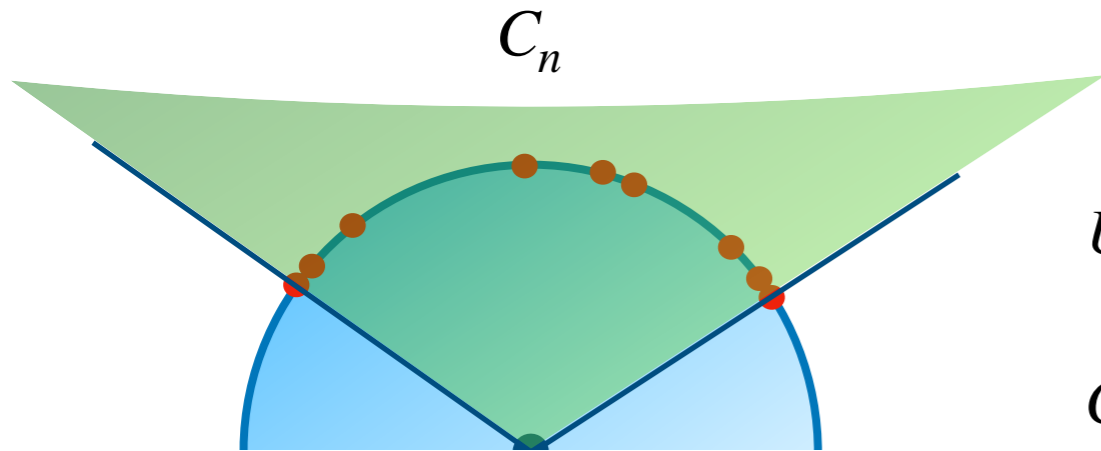
U_1, U_2, \dots iid uniform random points on \mathbb{S}_+^d

$$C_n := \text{pos}(U_1, \dots, U_n) = \left\{ \sum_{i=1}^n \lambda_i U_i : \lambda_i \geq 0 \right\}$$

random cone generated by U_1, \dots, U_n

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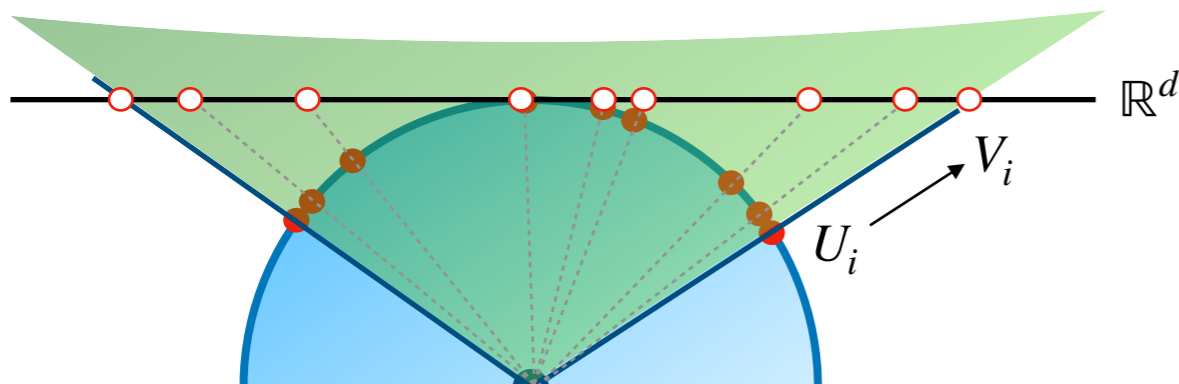
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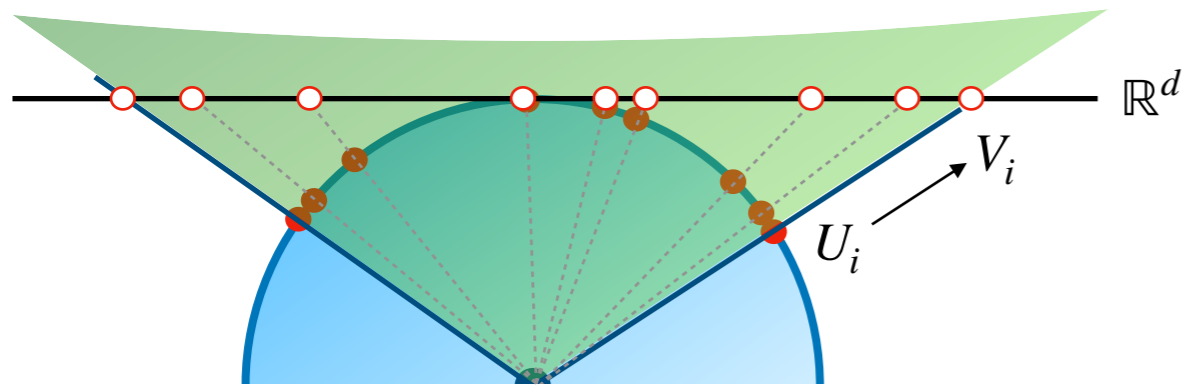
$$(x_0, x_1, \dots, x_d) \mapsto \left(\frac{x_1}{x_0}, \dots, \frac{x_d}{x_0} \right)$$

$$U_i \mapsto V_i$$

$$\text{Density of } V_i : \frac{c_d}{(1 + \|x\|^2)^{\frac{d+1}{2}}} = \tilde{f}_{d, \frac{d+1}{2}}$$

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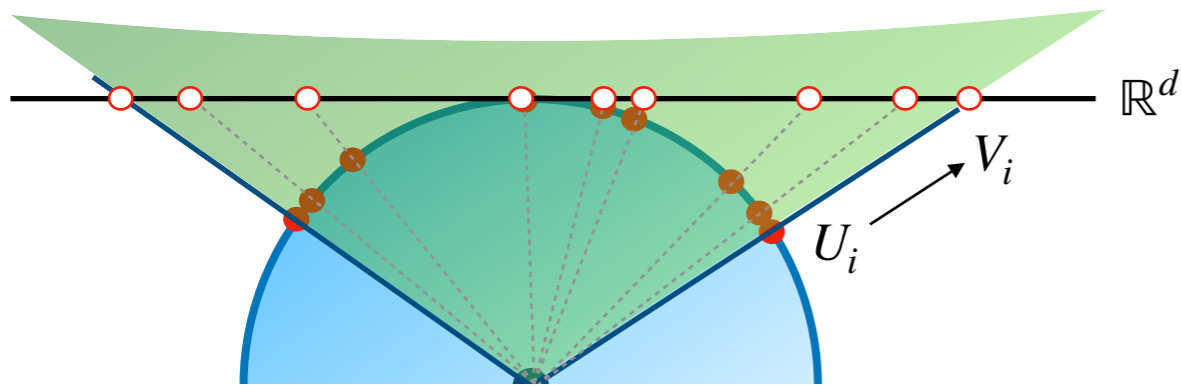
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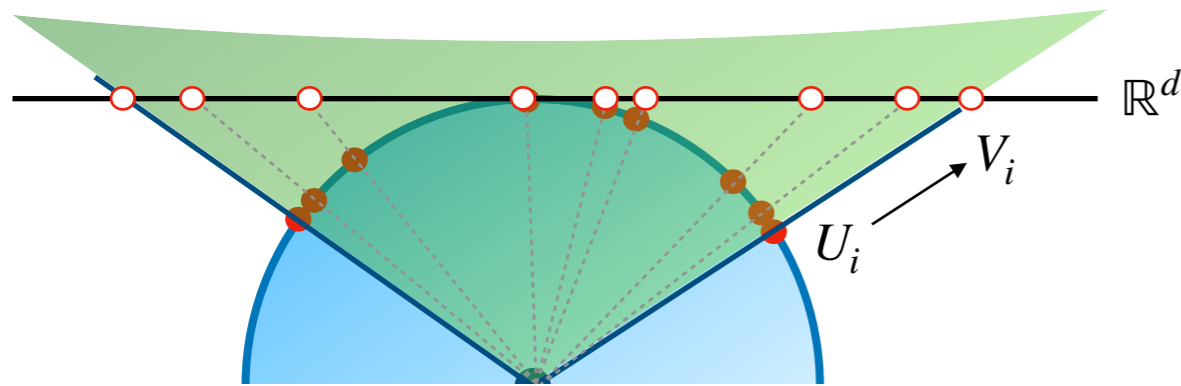
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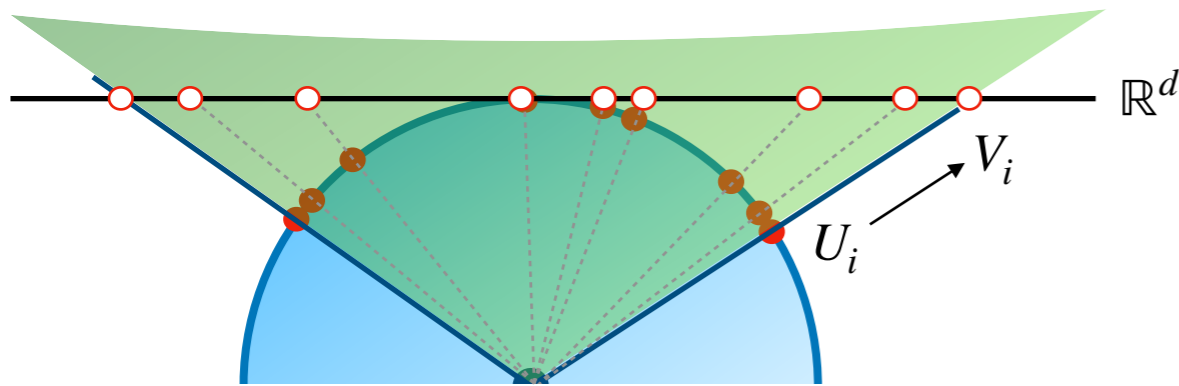
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$$\text{Take } B \subset \mathbb{R}^d \setminus \{0\} : \sum_{i=1}^n \mathbf{1} \left\{ \frac{V_i}{n} \in B \right\} \sim \text{Bin} \left(n, \frac{c_d}{n} \int_B \frac{dx}{\|x\|^{d+1}} \right) \xrightarrow{d} \text{Po} \left(c_d \int_B \frac{dx}{\|x\|^{d+1}} \right)$$

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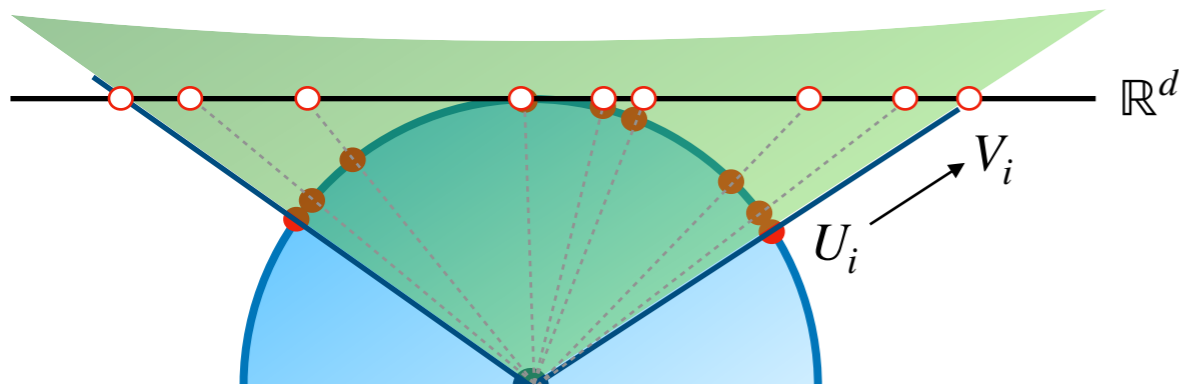
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$$\implies \sum_{i=1}^n \delta_{\frac{V_i}{n}} \xrightarrow{w} \text{PPP} \left(\frac{c_d dx}{\|x\|^{d+1}} \right)$$

Application 1: Random cones in a half-sphere

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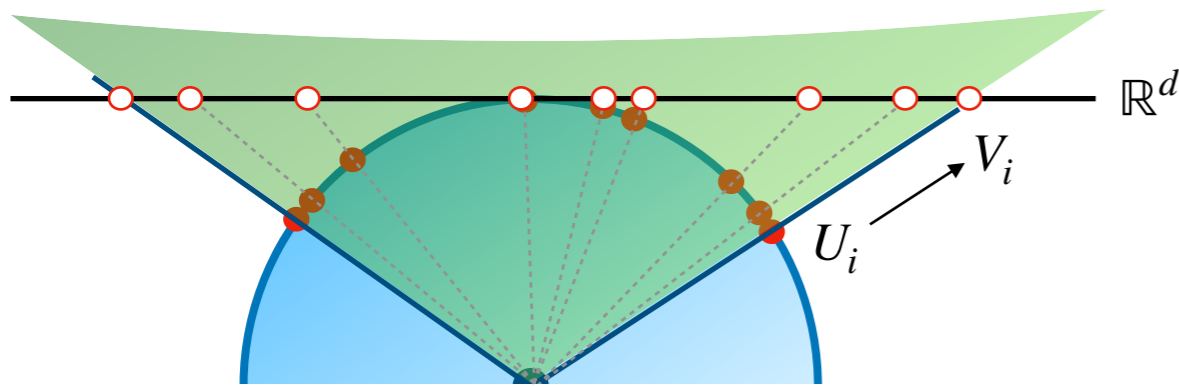
$$\text{Take } B \subset \mathbb{R}^d \setminus \{0\} : \sum_{i=1}^n \mathbf{1} \left\{ \frac{V_i}{n} \in B \right\} \sim \text{Bin} \left(n, \frac{c_d}{n} \int_B \frac{dx}{\|x\|^{d+1}} \right) \xrightarrow{d} \text{Po} \left(c_d \int_B \frac{dx}{\|x\|^{d+1}} \right)$$

$$\implies \sum_{i=1}^n \delta_{\frac{V_i}{n}} \xrightarrow{w} \text{PPP} \left(\frac{c_d dx}{\|x\|^{d+1}} \right)$$

$$\implies \frac{1}{n} [V_1, \dots, V_n] \xrightarrow{w} \left[\text{PPP} \left(\frac{c_d dx}{\|x\|^{d+1}} \right) \right]$$

Application 1: Random cones in a half-sphere

Kabluchko, Marynych, Temesvari & T. (2019)



$$(x_0, x_1, \dots, x_d) \mapsto \left(\frac{x_1}{x_0}, \dots, \frac{x_d}{x_0} \right)$$

$$U_i \mapsto V_i$$

$$\text{Density of } V_i : \frac{c_d}{(1 + \|x\|^2)^{\frac{d+1}{2}}} = \tilde{f}_{d, \frac{d+1}{2}}$$

$$\text{Density of } \frac{V_i}{n} : c_d \frac{n^d}{(1 + \|nx\|^2)^{\frac{d+1}{2}}} = c_d \frac{n^d}{n^{d+1} \left(\frac{1}{n^2} + \|x\|^2 \right)^{\frac{d+1}{2}}} \sim \frac{1}{n} \frac{c_d}{\|x\|^{d+1}}$$

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Poisson polytope!

$$\implies \mathbb{E}f_{k+1}(C_n) = \mathbb{E}f_k(n^{-1}[V_1, \dots, V_n]) \longrightarrow \mathbb{E}f_k(\Pi_{d,1})$$

$$\text{Example } d = 2 : \mathbb{E}f_0(C_n) \rightarrow \mathbb{E}f_0(\Pi_{d,1}) = \frac{\pi^2}{2}$$

Application 2: Typical Voronoi cells on the sphere

Kabluchko & T. (2021)

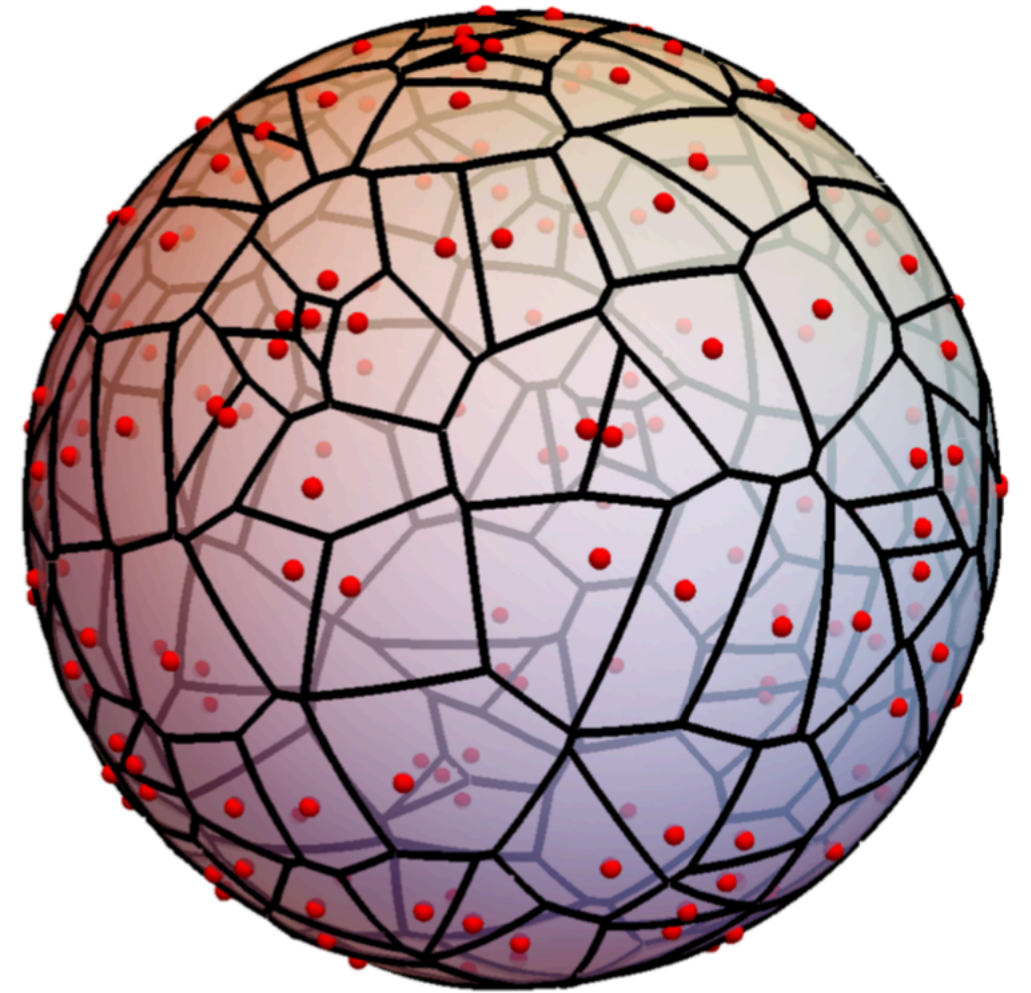
X_1, \dots, X_n iid uniform random points on \mathbb{S}^d

$$C_i = \{z \in \mathbb{S}^d : d_g(z, X_i) \leq d_g(z, X_j) \forall j\}$$

Voronoi cell of X_i

$\{C_1, \dots, C_n\}$ Voronoi tessellation

V_n Typical Voronoi cell = cell picked uniformly at random



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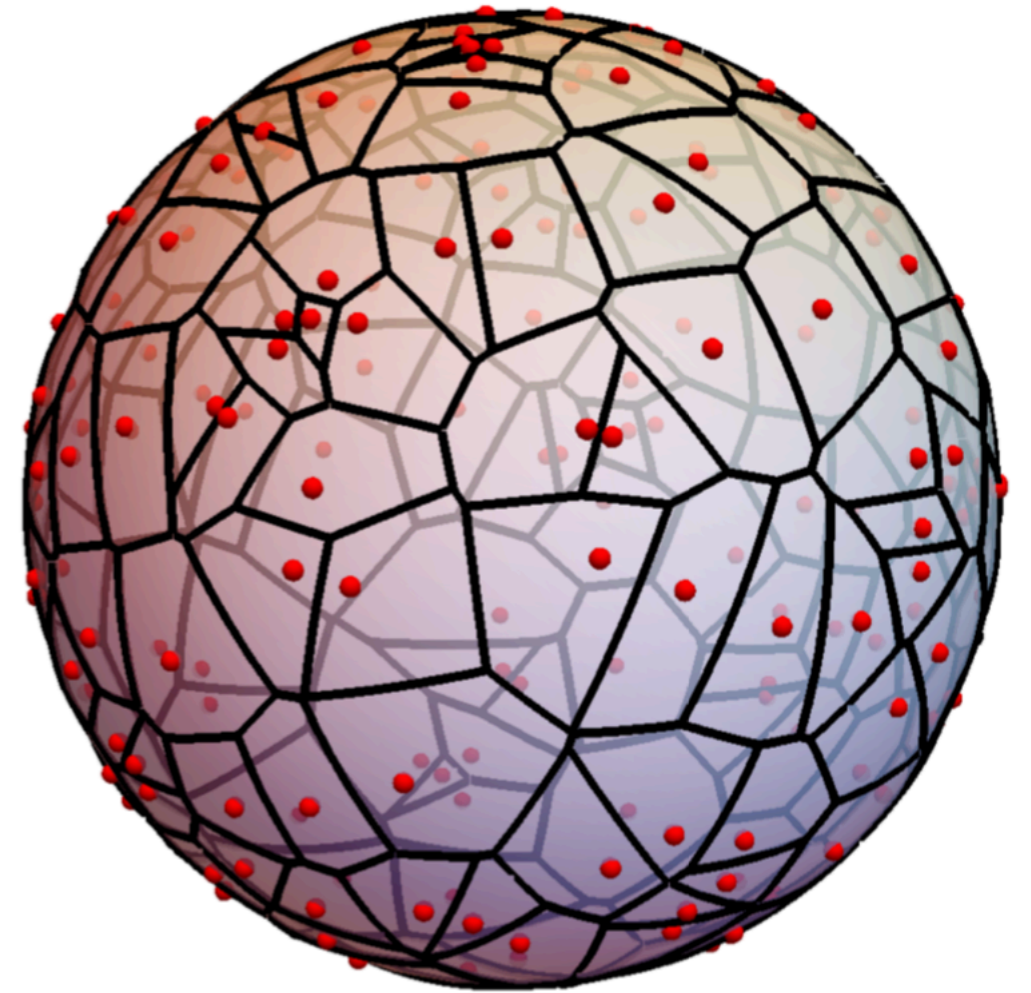
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Theorem: $\mathbb{E}f_k(V_n)$ can be computed explicitly!

More precisely: $f_k(V_{n+1}) \stackrel{d}{=} f_{d-k-1}(\tilde{P}_{n,d}^d)$



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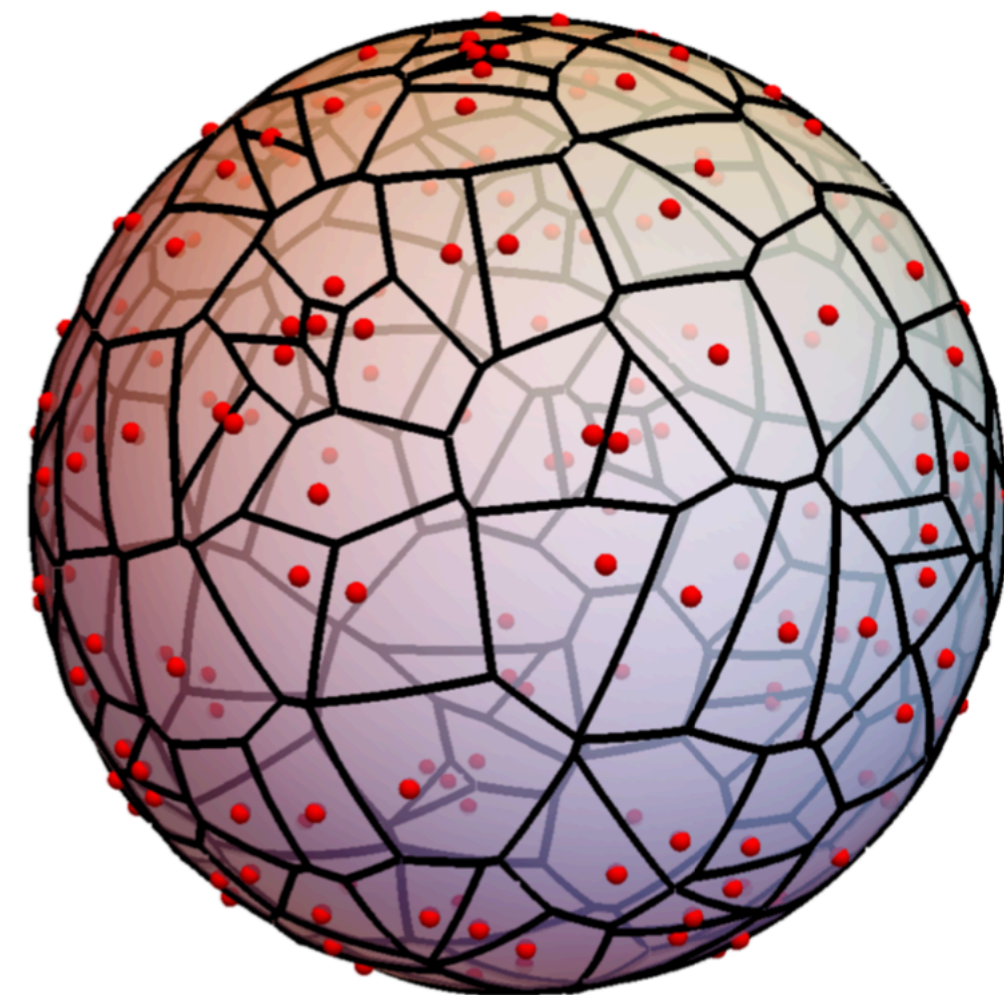
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Theorem: $\mathbb{E}f_k(V_n)$ can be computed explicitly!

More precisely: $f_k(V_{n+1}) \stackrel{d}{=} f_{d-k-1}(\tilde{P}_{n,d}^d)$

	$d = 2$	$d = 3$
$\mathbb{E}f_0(V_{n+1})$	$6\left(1 - \frac{2}{n+1}\right)$	$\frac{256}{35\pi} \left(\frac{1}{2\pi}\right)^{n-3} \binom{n}{3} \int_{-\pi/2}^{\pi/2} (\cos x)^8 (2x + \sin(2x) + \pi)^{n-3} dx$
$\mathbb{E}f_1(V_{n+1})$	$6\left(1 - \frac{2}{n+1}\right)$	$\frac{3}{2} \mathbb{E}f_0(V_{n+1})$
$\mathbb{E}f_2(V_{n+1})$	-	$\frac{1}{2} \mathbb{E}f_0(V_{n+1}) + 2$

Application 3: Typical Voronoi cells in hyperbolic space

Godland, Kabluchko & T. (2022)

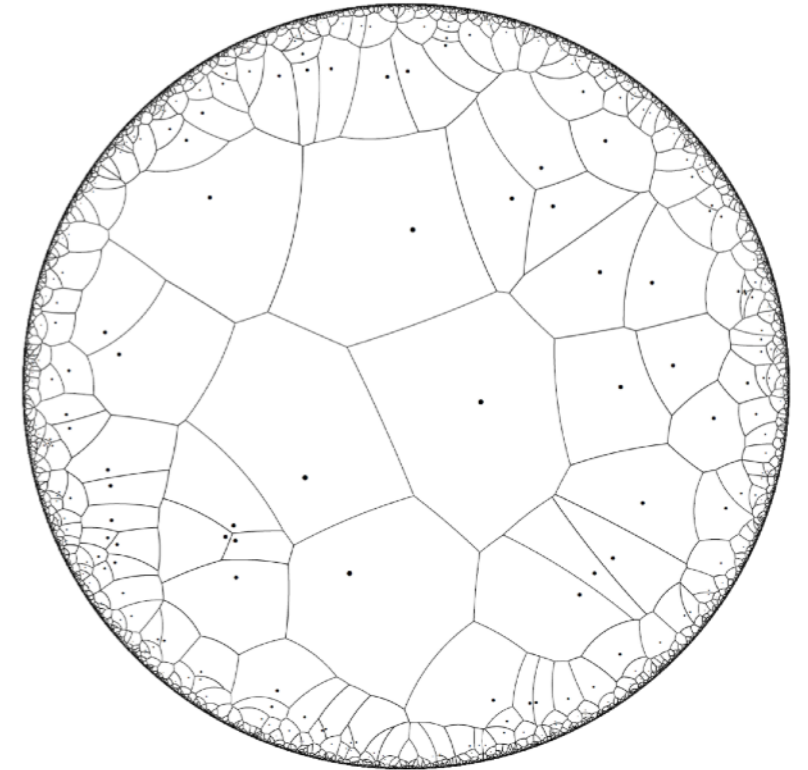
η_t stationary Poisson point process in \mathbb{H}^d

$$C_X = \{z \in \mathbb{H}^d : d_g(z, X) \leq d_g(z, Y) \forall Y \in \eta_t\}$$

Voronoi cell of $X \in \eta_t$

$\{C_X : X \in \eta_t\}$ Poisson Voronoi tessellation

V_0 typical Voronoi cell = cell around an origin



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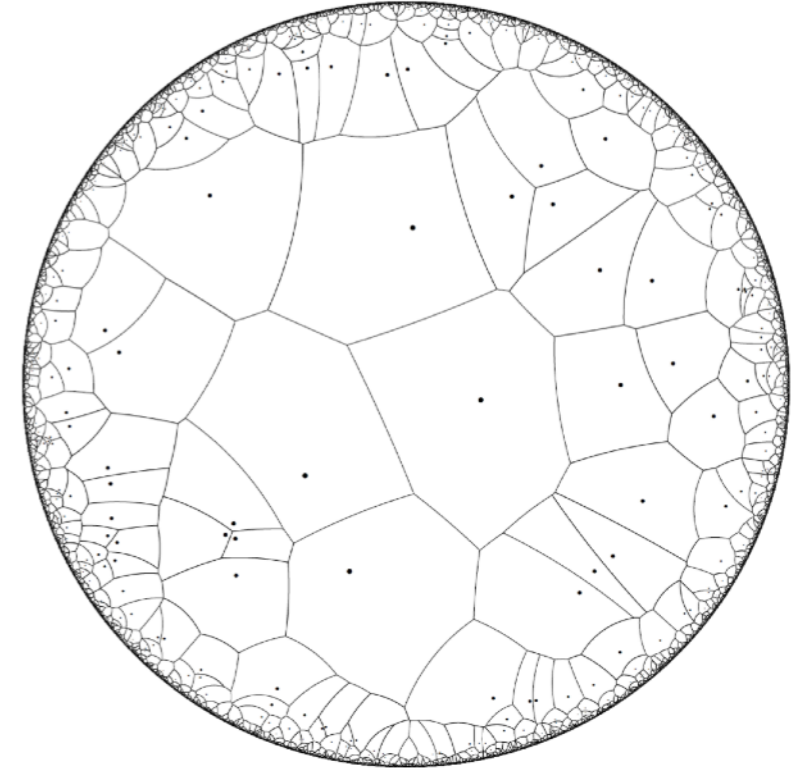
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Theorem: $\mathbb{E}f_k(V_0)$ can be computed explicitly

	$d = 2$	$d = 3$
$\mathbb{E}f_0(V_0)$	$6\left(1 + \frac{1}{2\pi t}\right)$	$\frac{1024\pi^5}{105} \int_0^\infty \sinh(x)^8 e^{-2\pi(\sinh(x)\cosh(x)-x)} dx$
$\mathbb{E}f_1(V_0)$	$6\left(1 + \frac{1}{2\pi t}\right)$	$\frac{3}{2}\mathbb{E}f_0(V_0)$
$\mathbb{E}f_2(V_0)$	-	$\frac{1}{2}\mathbb{E}f_0(V_0) + 2$

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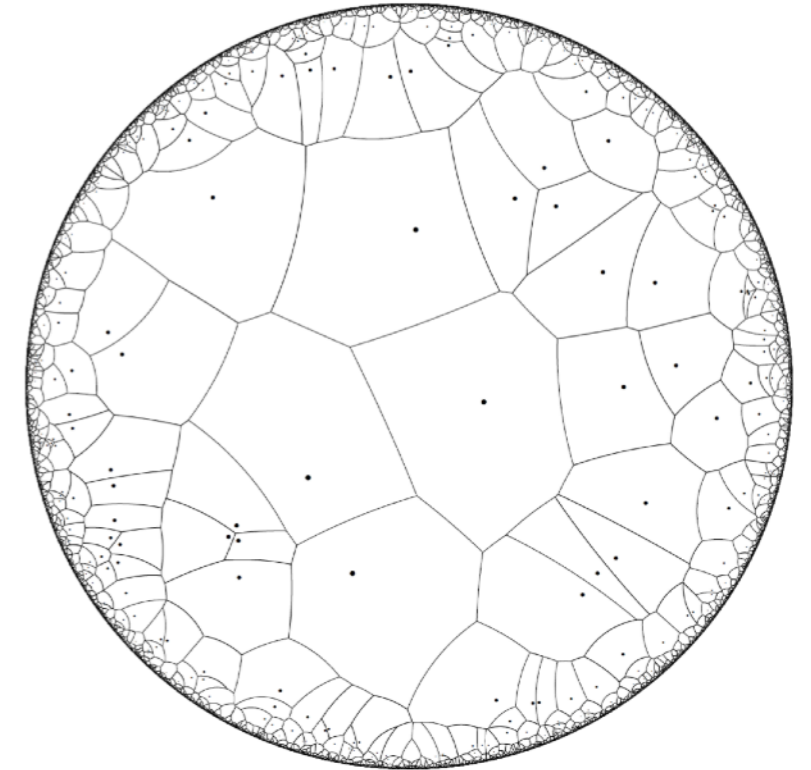
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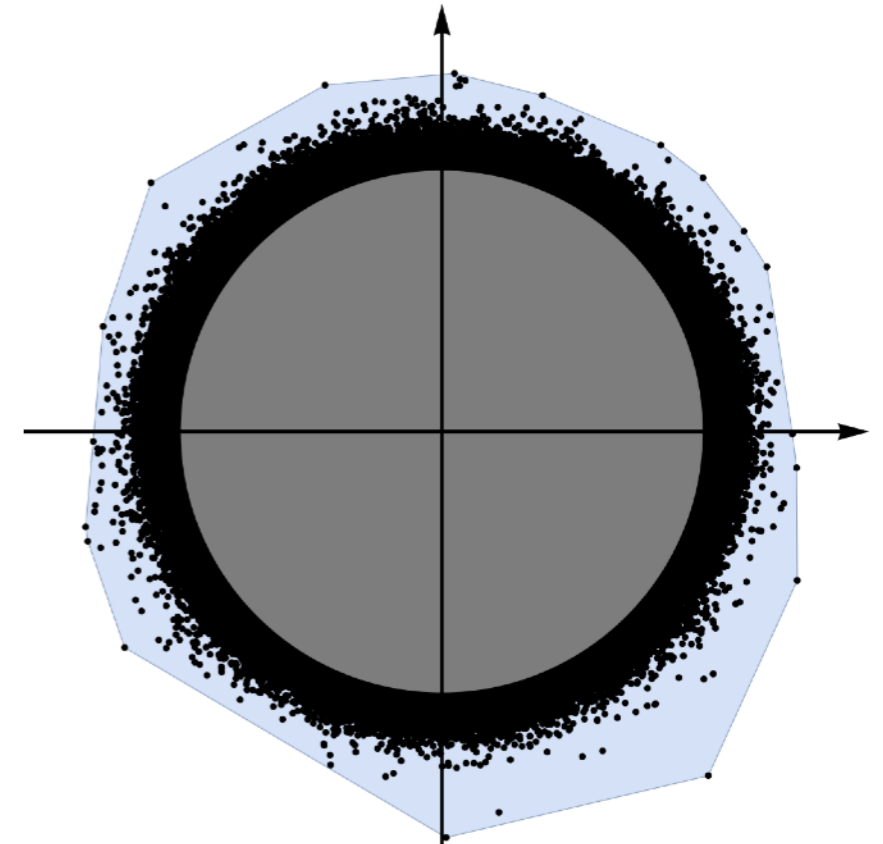
$\{C_X : X \in \eta_t\}$ Poisson Voronoi tessellation

V_0 typical Voronoi cell = cell around an origin



Theorem: $\mathbb{E}f_k(V_0)$ can be computed explicitly in terms of a beta-star polytope.

	$d = 2$	$d = 3$
$\mathbb{E}f_0(V_0)$	$6\left(1 + \frac{1}{2\pi t}\right)$	$\frac{1024\pi^5}{105} \int_0^\infty \sinh(x)^8 e^{-2\pi(\sinh(x)\cosh(x)-x)} dx$
$\mathbb{E}f_1(V_0)$	$6\left(1 + \frac{1}{2\pi t}\right)$	$\frac{3}{2}\mathbb{E}f_0(V_0)$
$\mathbb{E}f_2(V_0)$	-	$\frac{1}{2}\mathbb{E}f_0(V_0) + 2$



Thank you!

