## Exponential-Time Approximation of Hard Problems

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### 2 Approach 1: Reduction

- Maximum Independent Set
- Set Cover

## Approach 2: Cutting the Search TreeBandwidth

We will focus on the following, natural problems:

- Set Cover
- Bandwidth
- Vertex Coloring
- Maximum Independent Set

(poly-time) approximation.

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## • (poly-time) approximation.

- SET COVER: no (1 − ε) log n-approximation, unless NP ⊆ DTIME(n<sup>log log n</sup>).
- BANDWIDTH: no O(1)-approximation, unless NP = P
- VERTEX COLORING: no  $n^{1-\epsilon}$ -approximation, unless NP = ZPP
- MAXIMUM INDEPENDENT SET: no  $n^{1-\epsilon}$ -approximation, unless NP = ZPP

- (poly-time) approximation.
- Pixed-parameter tractability

## (poly-time) approximation.

- Pixed-parameter tractability
  - Set Cover: W[2]-complete.
  - BANDWIDTH: W[t]-hard, for any t > 0.
  - *k*-COLORING: NP-complete for any  $k \ge 3$ .
  - Maximum Independent Set: W[1]-complete

- (poly-time) approximation.
- Pixed-parameter tractability
- Moderately exponential-time exact algorithms

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- Moderately exponential-time exact algorithms
  - Set Cover:  $O^*(2^m)$ ,  $O^*(4^n)$ ,  $O^*(2^{0.299(n+m)})$ .
  - BANDWIDTH:  $O^*(5^n)$ -time and  $O^*(2^n)$ -space;  $O^*(10^n)$  poly-space,.
  - *k*-COLORING:  $O^*(2^n)$ -time and space.
  - MAXIMUM INDEPENDENT SET:  $O(2^{0.276n})$ -time, exp-space;  $O(2^{0.288n})$ -time, poly-space.

- (poly-time) approximation.
- Pixed-parameter tractability
- Moderately exponential-time exact algorithms
- Moderately exponential-time approximation algorithms (our approach)

# Approach One: Reducing the Instance Size

## Let us recall the $\operatorname{Maximum}$ Independent Set problem:

#### Instance

Undirected graph G = (V, E)

 $I \subseteq V$  is an independent set in G when for any  $x, y \in I$ ,  $xy \notin E$ .

## Problem

Find the largest possible independent set in G.

Denote n = |V|.

### Exact algorithms

- $O^*(2^{0.288n})$ -time, poly space [Fomin et al. SODA'06]
- $O^*(2^{0.276n})$ -time, exp space [Robson, 80-ties]

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**1** Partition V into r parts  $V_0, \ldots, V_{r-1}$ , each of size  $\lceil n/r \rceil$ .

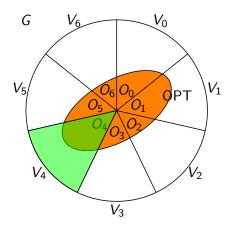
- **9** Partition V into r parts  $V_0, \ldots, V_{r-1}$ , each of size  $\lceil n/r \rceil$ .
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Total time:  $O(r \cdot 2^{0.288n/r}) = O^*(2^{0.288n/r}).$ 

## Independent set – approximation guarantee



- Recall: OPT<sub>i</sub> = optimal solution in G[V<sub>i</sub>].
- 2 Let OPT be a maximum independent set in G.

$$Iet O_i = OPT \cap V_i.$$

- Then for some  $i^*$ ,  $|O_{i^*}| \ge OPT/r$ .
- Since  $|OPT_{i^*}| \ge |O_{i^*}|$ , so  $|OPT_{i^*}| \ge OPT/r$ .

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- ② For i = 0, ..., p 1, let  $U_i = V_i \cup V_{i+1} \cup ... \cup V_{i+q-1}$ . Note:  $|U_i| \le q \lceil n/p \rceil = n/r + O(1)$

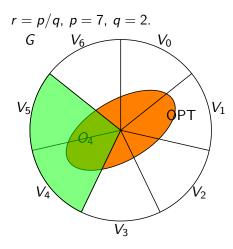
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Total time:  $O(r \cdot 2^{0.288n/r}) = O^*(2^{0.288n/r}).$ 

## Independent set – approximation guarantee



- Recall: OPT<sub>i</sub> = optimal solution in G[U<sub>i</sub>].
- 2 Let OPT be a maximum independent set in G.
- $Iet O_i = \mathsf{OPT} \cap U_i.$
- **③** Then for some  $i^*$ ,  $|O_{i^*}| ≥ OPT \cdot q/p$ . (Otherwise  $\sum_i |O_i| < qOPT$ , but each element of OPT is in exactly q sets  $O_i$ , contradiction.)

Since 
$$|OPT_{i^*}| \ge |O_{i^*}|$$
, so  $|OPT_{i^*}| \ge OPT/r$ .

# Assume we have an exact $O(c^n)$ -time algorithm for MAXIMUM INDEPENDENT SET.

## Theorem (folklore?) For any $r \in \mathbb{Q}$ we have *r*-approximation in $O(C^{n/r})$ time

- From the input instance *I* generate a polynomial number of smaller instances *I*<sub>1</sub>,..., *I<sub>k</sub>*.
- Solve the problem exactly in each of the instances *I*<sub>1</sub>,..., *I<sub>k</sub>* by an exponential time algorithm
- Solutions for  $I_1, \ldots, I_k$  to a solution for I.

Let us recall the  $\ensuremath{\mathrm{UNWEIGHTED}}$  Set  $\ensuremath{\mathrm{COVER}}$  problem:

#### Instance

Collection of sets  $S = \{S_1, \ldots, S_m\}$ 

The union  $\bigcup S$  is called the universe and denoted by U.

### Problem

Find the smallest possible subcollection  $\mathcal{C} \subseteq S$  so that  $\bigcup \mathcal{C} = U$ .

#### Exact algorithms

•  $O^*(2^m)$ -time, poly space (naive)

•  $O^*(2^n)$ -time, poly space (Bjorklund et al FOCS'06)

## WEIGHTED SET COVER

Let us recall the  $\operatorname{Weighted}$   $\operatorname{Set}$   $\operatorname{Cover}$  problem:

#### Instance

Collection of sets  $S = \{S_1, \dots, S_m\}$ Each set has its weight  $w(S_i)$ .

The union  $\bigcup S$  is called the universe and denoted by U.

## Problem

Find the lightest possible subcollection  $\mathcal{C} \subseteq S$  so that  $\bigcup \mathcal{C} = U$ .

#### Exact algorithms

- $O^*(2^m)$ -time, poly space (naive)
- $O^*(2^n)$ -time,  $O(2^n)$  space (dynamic programming)
- $O^*(4^n)$ -time, poly space (divide and conquer)

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Approximation algorithm:

- Join the sets of S into pairs:  $S'_i = S_{2i-1} \cup S_{2i}$ , for i = 1, ..., m/2 (assume *m* even), Create new instance  $S' = \{S'_i \mid i = 1, ..., m/2\}$ .
- Solve the problem for instance S' by the exact algorithm, in time O(2<sup>m/2</sup>). Let C' be the solution.
- **③** Transform  $\mathcal{C}'$  into a cover of  $\mathcal{S}$ :  $\mathcal{C} = \{S_{2i-1} \cup S_{2i} \mid S'_i \in \mathcal{C}'\}.$

## UNWEIGHTED SET COVER, reducing the number of sets

Approximation algorithm:

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## Proposition

This is a 2-approximation

## Proof.

Let  $\mathrm{OPT}$  be the size of the optimal cover for S. In S' there is a cover of size  $\leq \mathrm{OPT}$  Hence  $|\mathfrak{C}'| \leq \mathrm{OPT}$  and  $|\mathfrak{C}| \leq \mathrm{OPT}$ .

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Does it work for the weighted case?

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#### Question

Does it work for the weighted case?

#### Answer

Not quite: light sets from OPT may join with heavy sets. Sorting sets ???

## WEIGHTED SET COVER, reducing the number of sets

## $S_1 \leq S_2 \leq S_3 \leq S_4 \leq S_5 \leq S_6 \leq S_7 \leq S_8 \leq S_9 \leq S_{10} \leq S_{11} \leq S_{12}$

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## WEIGHTED SET COVER, reducing the number of sets

## $(S_1 \leq S_2) \leq (S_3 \leq S_4) \leq (S_5 \leq S_6) \leq (S_7 \leq S_8) \leq (S_9 \leq S_{10}) \leq (S_{11} \leq S_{12})$

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The sets from optimal solution are marked green.

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The sets from optimal solution are marked green. We want to show that the weight of purple pairs of sets is < 20PT.

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We want to show that the weight of purple pairs of sets is  $\leq$  20PT.

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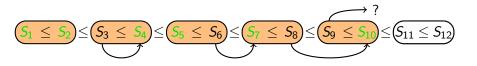
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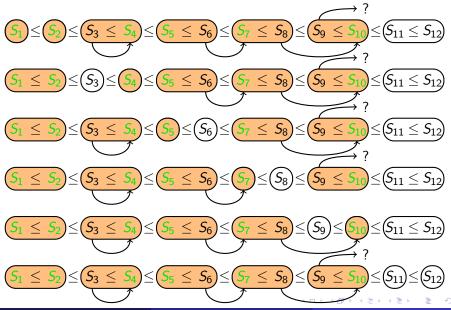
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## WEIGHTED SET COVER, reducing the number of sets



Assume we have an exact  $O(c^m)$ -time algorithm for (weighted) SET COVER.

Theorem (Cygan, K., Pilipczuk, Wykurz 2008)

There is  $O^*(c^{m/r})$ -time 2-approximation algorithm for (weighted) SET COVER

This trick can be generalized for any  $r \in \mathbb{N}$ .

Theorem (Cygan, K., Pilipczuk, Wykurz 2008)

For any  $r \in \mathbb{N}$  we have *r*-approximation in  $O^*(c^{m/r})$  time

Recall the standard greedy  $O(\log n)$ -approximation algorithm:

### Greedy

- $1: \ \mathfrak{C} \leftarrow \emptyset.$
- 2: while  $\mathcal{C}$  does not cover U do
- 3: Find  $T \in S$  so as to minimize  $\frac{w(T)}{|T \setminus || |C|}$

$$4: \qquad \mathcal{C} \leftarrow \mathcal{C} \cup \{T\}.$$

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# Greedy 1: $\mathcal{C} \leftarrow \emptyset$ . 2: while $\mathcal{C}$ does not cover U do 3: Find $T \in S$ so as to minimize $\frac{w(T)}{|T \setminus \bigcup \mathcal{C}|}$ 4: $\mathcal{C} \leftarrow \mathcal{C} \cup \{T\}$ . 5: for each $e \in T \setminus \bigcup \mathcal{C}$ do 6: price(e) $\leftarrow \frac{w(T)}{|T \setminus \bigcup \mathcal{C}|}$

# Example 2: Set Cover, reducing the universe

Recall the standard greedy  $O(\log n)$ -approximation algorithm:

# Greedy 1: $\mathcal{C} \leftarrow \emptyset$ . 2: while $\mathcal{C}$ does not cover U do 3: Find $T \in S$ so as to minimize $\frac{w(T)}{|T \setminus \bigcup \mathcal{C}|}$ 4: $\mathcal{C} \leftarrow \mathcal{C} \cup \{T\}$ . 5: for each $e \in T \setminus \bigcup \mathcal{C}$ do 6: price(e) $\leftarrow \frac{w(T)}{|T \setminus \bigcup \mathcal{C}|}$

### Lemma (from the standard analysis of greedy algorithm)

Let  $e_1, \ldots, e_n$  be the sequence of all elements of U in the order of covering by Greedy (ties broken arbitrarily). Then, for each  $k \in 1, \ldots, n$ ,  $\operatorname{price}(e_k) \leq w(\operatorname{OPT})/(n-k+1)$ 

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### Observation

In the early phase of Greedy elements are covered cheaply.

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### Observation

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### Exponential-Time O(1)-approximation

Assume we have an exact T(n)-time algorithm for SET COVER.

- **()** Run the greedy algorithm until  $t \ge n/2$  elements are covered,
- Cover the remaining elements by the exact algorithm, in time T(n-t).

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### Exponential-Time O(1)-approximation

Assume we have an exact T(n)-time algorithm for SET COVER.

- **Q** Run the greedy algorithm until  $t \ge n/2$  elements are covered,
- 2 Cover the remaining elements by the exact algorithm, in time T(n-t).

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### (Lucky) analysis

Assume we are lucky and t = n/2 (not bigger).

- We pay  $(H_n H_{n/2})$  OPT  $\approx (\ln n \ln(n/2))$  OPT  $= \ln 2 \cdot \text{OPT}$  for the first phase,
- 2 we pay  $\leq OPT$  for the second phase.

Together we get  $(1 + \ln 2)$ OPT.

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### Analysis

- We pay ≤ (H<sub>n</sub> H<sub>n/2</sub>)OPT ≈ ln 2 · OPT for the elements covered in phase 1, excluding the last set (that covers e<sub>n/2</sub>),
- 2 We pay  $\leq OPT$  for the set that covers  $e_{n/2}$ ,
- () we pay  $\leq OPT$  for the second phase.

Together we get  $(2 + \ln 2)$ OPT.

### Exponential-Time (ln 2 + 2)-approximation

Assume we have an exact T(n)-time algorithm for SET COVER.

- **Q** Run the greedy algorithm until  $t \ge n/2$  elements are covered,
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### Analysis

- We pay ≤ (H<sub>n</sub> H<sub>n/2</sub>)OPT ≈ ln 2 · OPT for the elements covered in phase 1, excluding the last set (that covers e<sub>n/2</sub>),
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- () we pay  $\leq OPT$  for the second phase.

Together we get  $(2 + \ln 2)$ OPT.

### Exponential-Time $(\ln r + 2)$ -approximation

Assume we have an exact T(n)-time algorithm for SET COVER.

- **(**) Run Greedy until there are  $\leq n/r$  elements not covered,
- **2** Cover the remaining elements by the exact algorithm, in time T(n/r).

### Remark 1

By stopping the Greedy algorithm when there are  $\leq n/r$  uncovered elements, we get  $(\ln r + 2)$ -approximation in T(n/r) time.

### Remark 2

We show an improved algorithm with  $(\ln r + 1)$ -approximation in  $m \times T(n/r)$  time.

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### Theorem (Cygan, K., Pilipczuk, Wykurz 2008)

Assume we have an exact  $O(c^n)$ -time algorithm for (weighted) SET COVER. For any  $r \in \mathbb{Q}$  there is a  $(\ln r + 1)$ -approximation algorithm in  $O^*(c^{n/r})$  time

Let us recall the  $\operatorname{Vertex}\,\operatorname{Coloring}\,$  problem:

### Instance

Undirected graph G = (V, E)

### Problem

Find a partition of V into smallest possible number of independent sets.

### Exact algorithms

- $O^*(2^n)$ -time,  $O(2^n)$  space (Bjorklund et al. FOCS'06)
- $O^*(2^{1.167n})$ -time, poly space (Bjorklund et al. FOCS'06)

# $\operatorname{Vertex}$ Coloring: Reducing the number of vertices

### Approximation algorithm (Bjorklund, Husfeldt 2006)

Repeat k times (we will choose k later):

- Remove the largest independent set I from G in  $O(2^{0.288n})$ -time.
- In the original graph  $G_0$  color all vertices in I by a new color.
- 2 Color vertices of the remaining graph G' by an exact algorithm.

# $\operatorname{Vertex}\ \operatorname{Coloring}$ : Reducing the number of vertices

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Let  $\chi$  denote the optimum number of colors for the input graph  $G_0$ .

- In each iteration G is a subgraph of G<sub>0</sub>, so G is χ-colorable, and hence |I| ≥ |V(G)|/χ.
- It follows that in each iteration the number of vertices decreases by a factor of  $(1 \frac{1}{\chi})$ .
- $|V(G')| \leq (1 \frac{1}{\chi})^k n \leq e^{-k/\chi} n$  and we used  $k + \chi$  colors.
- Put  $k = \lceil \ln r \cdot \chi \rceil$ .
- Then  $|V(G')| \le n/r$ , and we used  $\lceil (1 + \ln r)\chi \rceil$  colors.

Let  $T^*(n)$  denote the time of the relevant exact algorithm, up to a polynomial factor.

- **1** Maximum Independent Set:
  - *r*-approximation in  $T^*(n/r)$ -time.

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- **3** Vertex Coloring:
  - Björklund & Husfeldt:
    - $(1 + \ln r)$ -approximation in max{ $T^*(n/r), O^*(2^{0.288n})$ }-time.
  - (1 + 0.247r ln r)-approximation in T\*(n/r)-time (best for r ∈ [4.05, 58)).
  - *r*-approximation in  $T^*(n/r)$ -time (best for  $r \ge 58$ ).

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  - r-approximation in  $T^*(n/r)$ -time (best for  $r \ge 58$ ).
- **9** BANDWIDTH:
  - 9-approximation in  $T^*(n/2)$  time.

Let  $T^*(n)$  denote the time of the relevant exact algorithm, up to a polynomial factor.

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  - (1 + 0.247r ln r)-approximation in T\*(n/r)-time (best for r ∈ [4.05, 58)).
  - r-approximation in T<sup>\*</sup>(n/r)-time (best for r ≥ 58).
- **9** BANDWIDTH:
  - 9-approximation in  $T^*(n/2)$  time.
- **3** Asymmetric TSP with Triangle Inequality:
  - $(1 + \log_2 r)$ -approximation in  $O^*(2^{n/r})$  time and space.

- If faster exact algorithm appears, immediately we have faster approximation.
- Approximation via instance reduction extends the applicability of (exact) exponential-time algorithms:

Don't have enough time for running your algorithm for n = 200? Get approximate solution.

- For COLORING, in exponential time you can reduce the instance r times and get (ln r + 1)-approximation (Björklund and Husfeldt). Can you do it for INDEPENDENT SET?
- Can *reduction of the instance size* be applied to BANDWIDTH? (Yes, but we have 9-approximation for reducing the graph by a half.)

- Cygan, Kowalik, Pilipczuk, Wykurz, Exponential-Time Approximation of Hard Problems. arXiv.
- Cygan, Kowalik, Wykurz, Exponential-Time Approximation of Weighted Set Cover, IPL 2009.
- Bourgeois, Escoffier, Paschos, Efficient Approximation of Min Set Cover by Moderately Exponential Time Algorithms, Theor. Comp. Sci. 2009.
- Bourgeois, Escoffier, Paschos, Approximation of Min Coloring by Moderately Exponential Time Algorithms, IPL 2009.

# Approach Two: Cutting the Search Tree

INPUT: Graph G = (V, E), integer b. PROBLEM: Find an ordering of vertices

$$\pi: V \to \{1,\ldots,n\},$$

such that "edges have length at most b", i.e.

for every 
$$uv \in E$$
,  $|\pi(u) - \pi(v)| \le b$ .

- 3/2-approximation in  $O^*(5^n)$  time (poly-space),
- 2-approximation in  $O^*(3^n)$  time (poly-space),
- Main result: (4r 1)-approximation in  $O^*(2^{n/r})$  time (poly-space).

(Inspired the exact  $O(10^n)$ -time algorithm by Feige and Kilian.)

Assume the bandwidth is b (we don't know it but we can run the algorithm log n times to find the smallest b for which it returns a solution).

- Divide  $\{1, \ldots, n\}$  into  $\lceil n/b \rceil$  intervals of length *b*:  $I_j = \{jb+1, jb+2, \ldots, (j+1)b\} \cap \{1, \ldots, n\}.$
- I Find an assignment of vertices to intervals such that
  - each interval  $I_j$  is assigned  $|I_j|$  vertices,
  - adjacent vertices are assigned to the same interval or to neighboring intervals.

# Warm-up: 2-approximation in $O^*(3^n)$ time

1: procedure GENERATEASSIGNMENTS(A)

2: **if** for all *j*, 
$$|A^{-1}(j)| = |I_j|$$
 **then**

3: return A

4: **if** for some 
$$j$$
,  $|A^{-1}(j)| > |I_j|$  **then**

5: return

### 6: **else**

- 7:  $v \leftarrow$  a vertex with a neighbor w already assigned.
- 8: **if** A(w) > 0 **then**
- 9: GENERATEASSIGNMENTS $(A \cup \{(v, A(w) 1)\}$
- 10: GENERATEASSIGNMENTS $(A \cup \{(v, A(w))\})$
- 11: **if**  $A(w) < \lceil n/b \rceil 1$  then
- 12: GENERATEASSIGNMENTS $(A \cup \{(v, A(w) + 1)\}$

### 13: procedure MAIN

- 14: for  $j \leftarrow 0$  to  $\lceil n/b \rceil 1$  do
- 15: GENERATEASSIGNMENTS  $(\{(r, j)\})$

# Warm-up: 2-approximation in $O^*(3^n)$ time

- Divide  $\{1, \ldots, n\}$  into  $\lceil n/b \rceil$  intervals of length *b*:  $I_j = \{jb+1, jb+2, \ldots, (j+1)b\} \cap \{1, \ldots, n\}.$
- Ind an assignment of vertices to intervals such that
  - Each interval  $I_j$  is assigned  $|I_j|$  vertices,
  - Adjacent vertices are assigned to the same interval or to neighboring intervals.
- Order the vertices in each interval arbitrarily.

### Definition

Let A be an assignment of vertices to intervals. If one can order the vertices in each interval to get an ordering  $\pi$ , we say  $\pi$  is consistent with A.

### Algorithm

- Divide  $\{1, \ldots, n\}$  into  $\lceil n/b \rceil$  intervals of length 2b:  $I_j = \{jb + 1, jb + 2, \ldots, (j+2)b\} \cap \{1, \ldots, n\}.$ (Note that intervals overlap.)
- Generate a set of O(n · 2<sup>n</sup>) assignments of vertices to intervals so that if the bandwith is b, then at least one of the assignments is consistent with an ordering of bandwidth b.
- 3 ... (to be continued) ...

- 1: procedure GENERATEASSIGNMENTS(A)
- 2: if all vertices are assigned then
- 3: "Test(A)"
- 4: **else**
- 5:  $v \leftarrow a$  vertex with a neighbor w already assigned.
- 6: **if** A(w) > 0 **then**
- 7: GENERATEASSIGNMENTS $(A \cup \{(v, A(w) 1)\}$

8: if 
$$A(w) < \lceil n/b \rceil - 1$$
 then

- 9: GENERATEASSIGNMENTS $(A \cup \{(v, A(w) + 1)\}$
- 10: procedure MAIN

11: **for** 
$$j \leftarrow 0$$
 **to**  $\lceil n/b \rceil - 1$  **do**

12: GENERATEASSIGNMENTS  $(\{(r, j)\})$ 

### Lemma (,,Testing A")

Let  $A: V \to 2^{\{1,...,n\}}$  be an assignment of vertices to the intervals of size 2b. Then there is a polynomial time algorithm such that if there is an ordering  $\pi^*$  of bandwidth b consistent with A, the algorithm finds an ordering  $\pi$  of bandwidth 3b consistent with A.

### Proof.

• For every edge uv, if max  $A(u) = \min A(v) - 1$ , then:

- if |A(u)| = 2b, replace A(u) by its right half,
- if |A(v)| = 2b, replace A(v) by its left half.
- (Note that  $\pi^*$  is still consistent with A.)
- (now, for every edge uv,  $|\max A(u) \min A(v)| \le 3b$ )
- Perform the standard greedy scheduling algorithm to find any ordering  $\pi$  consistent with A.

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### Algorithm

- Divide  $\{1, \ldots, n\}$  into  $\lceil n/b \rceil$  intervals of length 2b:  $I_j = \{jb+1, jb+2, \ldots, (j+2)b\} \cap \{1, \ldots, n\}.$ (Note that intervals overlap.)
- Generate a set of O(n · 2<sup>n</sup>) assignments of vertices to intervals so that if the bandwith is b, then at least one of the assignments is consistent with an ordering of bandwidth b.
- Apply the lemma to each of the assignments.

### Theorem

For any  $r \in \mathbb{N}$ , there is a (4r - 1)-approximation algorithm in  $O^*(2^{n/r})$  time.

(Details skipped here)

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- Cygan, Pilipczuk, Exact and Approximate Bandwidth, ICALP 2009.
- Furer, Gaspers, Kasiviswantathan An Exponential-Time 2-Approximation Algorithm for Bandwidth, IWPEC 2009.

# Thank you for your attention!

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