# Exponential-Time Approximation of Hard Problems 

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(1) Introduction

- Motivation
(2) Approach 1: Reduction
- Maximum Independent Set
- Set Cover
(3) Approach 2: Cutting the Search Tree
- Bandwidth


## Some NP-hard problems are really hard

We will focus on the following, natural problems:

- Set Cover
- Bandwidth
- Vertex Coloring
- Maximum Independent Set


## Coping with NP-hardness

(1) (poly-time) approximation.

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(1) (poly-time) approximation.

- Set Cover: no $(1-\epsilon) \log n$-approximation, unless NP $\subseteq$ DTIME $\left(n^{\log \log n}\right)$.
- Bandwidth: no $O(1)$-approximation, unless $N P=P$
- Vertex Coloring: no $n^{1-\epsilon}$-approximation, unless $N P=Z P P$
- Maximum Independent Set: no $n^{1-\epsilon}$-approximation, unless $N P=Z P P$


## Coping with NP-hardness

(1) (poly-time) approximation.
(2) Fixed-parameter tractability

## Coping with NP-hardness

(1) (poly-time) approximation.
(2) Fixed-parameter tractability

- Set Cover: W[2]-complete.
- Bandwidth: $W[t]$-hard, for any $t>0$.
- $k$-COLORING: NP-complete for any $k \geq 3$.
- Maximum Independent Set: $W$ [1]-complete


## Coping with NP-hardness

(1) (poly-time) approximation.
(2) Fixed-parameter tractability
(3) Moderately exponential-time exact algorithms

## Coping with NP-hardness

(1) (poly-time) approximation.
(2) Fixed-parameter tractability
(3) Moderately exponential-time exact algorithms

- Set Cover: $O^{*}\left(2^{m}\right), O^{*}\left(4^{n}\right), O^{*}\left(2^{0.299(n+m)}\right)$.
- Bandwidth: $O^{*}\left(5^{n}\right)$-time and $O^{*}\left(2^{n}\right)$-space; $O^{*}\left(10^{n}\right)$ poly-space,.
- k-Coloring: $O^{*}\left(2^{n}\right)$-time and space.
- Maximum Independent Set: $O\left(2^{0.276 n}\right)$-time, exp-space; $O\left(2^{0.288 n}\right)$-time, poly-space.


## Coping with NP-hardness

(1) (poly-time) approximation.
(2) Fixed-parameter tractability
(3) Moderately exponential-time exact algorithms
(9) Moderately exponential-time approximation algorithms (our approach)

## Approach One

## Approach One: Reducing the Instance Size

## Maximum Independent Set

Let us recall the Maximum Independent Set problem:

## Instance

Undirected graph $G=(V, E)$
$I \subseteq V$ is an independent set in $G$ when for any $x, y \in I, x y \notin E$.

## Problem

Find the largest possible independent set in $G$.
Denote $n=|V|$.

## Exact algorithms

- $O^{*}\left(2^{0.288 n}\right)$-time, poly space [Fomin et al. SODA'06]
- $O^{*}\left(2^{0.276 n}\right)$-time, $\exp$ space [Robson, 80 -ties]


## Independent Set: $r$-approximation, $r \in \mathbb{N}$

Input graph: $G=(V, E)$; denote $n=|V|$.
(1) Partition $V$ into $r$ parts $V_{0}, \ldots, V_{r-1}$, each of size $\lceil n / r\rceil$.

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Input graph: $G=(V, E)$; denote $n=|V|$.
(1) Partition $V$ into $r$ parts $V_{0}, \ldots, V_{r-1}$, each of size $\lceil n / r\rceil$.
(2) In each induced graph $G\left[V_{i}\right]$, find the optimal solution $\mathrm{OPT}_{i}$ in time $O\left(2^{0.288 n / r}\right)$.

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(0) Return the largest of OPT ${ }_{i}$.

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(1) Partition $V$ into $r$ parts $V_{0}, \ldots, V_{r-1}$, each of size $\lceil n / r\rceil$.
(2) In each induced graph $G\left[V_{i}\right]$, find the optimal solution $\mathrm{OPT}_{i}$ in time $O\left(2^{0.288 n / r}\right)$.
(3) Return the largest of $\mathrm{OPT}_{i}$.

Total time: $O\left(r \cdot 2^{0.288 n / r}\right)=O^{*}\left(2^{0.288 n / r}\right)$.

## Independent set - approximation guarantee


(1) Recall: $\mathrm{OPT}_{i}=$ optimal solution in $G\left[V_{i}\right]$.
(2) Let OPT be a maximum independent set in $G$.
(3) Let $O_{i}=\mathrm{OPT} \cap V_{i}$.
(9) Then for some $i^{*}$, $\left|O_{i^{*}}\right| \geq \mathrm{OPT} / r$.
(6) Since $\left|\mathrm{OPT}_{i^{*}}\right| \geq\left|O_{i^{*}}\right|$, so $\left|\mathrm{OPT}_{i^{*}}\right| \geq \mathrm{OPT} / r$.

## Independent Set: $r$-approximation, $r \in$

Input graph: $G=(V, E)$; denote $n=|V|, r=p / q$.
(1) Partition $V$ into $p$ parts $V_{0}, \ldots, V_{p-1}$, each of size $\lceil n / p\rceil$.

## Independent Set: $r$-approximation, $r \in$

Input graph: $G=(V, E)$; denote $n=|V|, r=p / q$.
(1) Partition $V$ into $p$ parts $V_{0}, \ldots, V_{p-1}$, each of size $\lceil n / p\rceil$.
(2) For $i=0, \ldots, p-1$, let $U_{i}=V_{i} \cup V_{i+1} \cup \ldots \cup V_{i+q-1}$.

Note: $\left|U_{i}\right| \leq q\lceil n / p\rceil=n / r+O(1)$

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(3) In each induced graph $G\left[U_{i}\right]$, find the optimal solution $\mathrm{OPT}_{i}$ in time $O\left(2^{0.288 n / r}\right)$.

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(3) In each induced graph $G\left[U_{i}\right]$, find the optimal solution $\mathrm{OPT}_{i}$ in time $O\left(2^{0.288 n / r}\right)$.
(9) Return the largest of $\mathrm{OPT}_{i}$.

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(3) In each induced graph $G\left[U_{i}\right]$, find the optimal solution $\mathrm{OPT}_{i}$ in time $O\left(2^{0.288 n / r}\right)$.
(9) Return the largest of $\mathrm{OPT}_{i}$.

Total time: $O\left(r \cdot 2^{0.288 n / r}\right)=O^{*}\left(2^{0.288 n / r}\right)$.

## Independent set - approximation guarantee

$r=p / q, p=7, q=2$.

(1) Recall: $\mathrm{OPT}_{i}=$ optimal solution in $G\left[U_{i}\right]$.
(2) Let OPT be a maximum independent set in $G$.
(3) Let $O_{i}=\mathrm{OPT} \cap U_{i}$.
(4) Then for some $i^{*}$, $\left|O_{i^{*}}\right| \geq$ OPT $\cdot q / p$. (Otherwise $\sum_{i}\left|O_{i}\right|<q O P T$, but each element of OPT is in exactly $q$ sets $O_{i}$, contradiction.)
(5) Since $\left|\mathrm{OPT}_{i^{*}}\right| \geq\left|O_{i^{*}}\right|$, so $\left|\mathrm{OPT}_{i^{*}}\right| \geq \mathrm{OPT} / r$.

## Maximum Independent Set, summary

Assume we have an exact $O\left(c^{n}\right)$-time algorithm for Maximum Independent Set.

## Theorem (folklore?)

For any $r \in \mathbb{Q}$ we have $r$-approximation in $O\left(C^{n / r}\right)$ time

## Reducing the instance: General approach

(1) From the input instance / generate a polynomial number of smaller instances $I_{1}, \ldots, I_{k}$.
(2) Solve the problem exactly in each of the instances $I_{1}, \ldots, I_{k}$ by an exponential time algorithm
(3) Merge the solutions for $I_{1}, \ldots, I_{k}$ to a solution for $I$.

## Unweighted Set Cover

Let us recall the Unweighted Set Cover problem:

## Instance

Collection of sets $\mathcal{S}=\left\{S_{1}, \ldots, S_{m}\right\}$
The union $\bigcup S$ is called the universe and denoted by $U$.

## Problem

Find the smallest possible subcollection $\mathcal{C} \subseteq \mathcal{S}$ so that $\bigcup \mathcal{C}=U$.

## Exact algorithms

- $O^{*}\left(2^{m}\right)$-time, poly space (naive)
- $O^{*}\left(2^{n}\right)$-time, poly space (Bjorklund et al FOCS'06)


## Set Cover

Let us recall the Weighted Set Cover problem:

## Instance

Collection of sets $\mathcal{S}=\left\{S_{1}, \ldots, S_{m}\right\}$ Each set has its weight $w\left(S_{i}\right)$.

The union $\bigcup \mathcal{S}$ is called the universe and denoted by $U$.

## Problem

Find the lightest possible subcollection $\mathcal{C} \subseteq \mathcal{S}$ so that $\bigcup \mathcal{C}=U$.

## Exact algorithms

- $O^{*}\left(2^{m}\right)$-time, poly space (naive)
- $O^{*}\left(2^{n}\right)$-time, $O\left(2^{n}\right)$ space (dynamic programming)
- $O^{*}\left(4^{n}\right)$-time, poly space (divide and conquer)


## Unweighted Set Cover, reducing the number of sets

Approximation algorithm:
(1) Join the sets of $\mathcal{S}$ into pairs:
$S_{i}^{\prime}=S_{2 i-1} \cup S_{2 i}$, for $i=1, \ldots, m / 2$ (assume $m$ even),
Create new instance $\mathcal{S}^{\prime}=\left\{S_{i}^{\prime} \mid i=1, \ldots, m / 2\right\}$.
(2) Solve the problem for instance $\mathcal{S}^{\prime}$ by the exact algorithm, in time $O\left(2^{m / 2}\right)$. Let $\mathcal{C}^{\prime}$ be the solution.
(3) Transform $\mathcal{C}^{\prime}$ into a cover of $\mathcal{S}: \mathcal{C}=\left\{S_{2 i-1} \cup S_{2 i} \mid S_{i}^{\prime} \in \mathcal{C}^{\prime}\right\}$.

## Unweighted Set Cover, reducing the number of sets

Approximation algorithm:
(1) Join the sets of $S$ into pairs:
$S_{i}^{\prime}=S_{2 i-1} \cup S_{2 i}$, for $i=1, \ldots, m / 2$ (assume $m$ even),
Create new instance $\mathcal{S}^{\prime}=\left\{S_{i}^{\prime} \mid i=1, \ldots, m / 2\right\}$.
(2) Solve the problem for instance $\mathcal{S}^{\prime}$ by the exact algorithm, in time $O\left(2^{m / 2}\right)$. Let $\mathcal{C}^{\prime}$ be the solution.
(3) Transform $\mathcal{C}^{\prime}$ into a cover of $\mathcal{S}: \mathcal{C}=\left\{S_{2 i-1} \cup S_{2 i} \mid S_{i}^{\prime} \in \mathcal{C}^{\prime}\right\}$.

## Proposition

This is a 2-approximation

## Proof.

Let OPT be the size of the optimal cover for $\mathcal{S}$. $\operatorname{In} \mathcal{S}^{\prime}$ there is a cover of size $\leq$ OPT Hence $\left|\mathcal{C}^{\prime}\right| \leq$ OPT and $|\mathcal{C}| \leq 2 \mathrm{OPT}$.

## Unweighted Set Cover, reducing the number of sets

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( Solve the problem for instance $\mathcal{S}^{\prime}$ by the exact algorithm, in time $O\left(2^{m / 2}\right)$. Let $\mathbb{C}^{\prime}$ be the solution.
(0) Transform $\mathcal{C}^{\prime}$ into a cover of $\mathcal{S}: \mathcal{C}=\left\{S_{2 i-1} \cup S_{2 i} \mid S_{i}^{\prime} \in \mathbb{C}^{\prime}\right\}$.

## Question

Does it work for the weighted case?

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## Question

Does it work for the weighted case?

## Answer

Not quite: light sets from OPT may join with heavy sets. Sorting sets ???

## Set Cover, reducing the number of sets

$$
S_{1} \leq S_{2} \leq S_{3} \leq S_{4} \leq S_{5} \leq S_{6} \leq S_{7} \leq S_{8} \leq S_{9} \leq S_{10} \leq S_{11} \leq S_{12}
$$

## Set Cover, reducing the number of sets

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## Set Cover, reducing the number of sets

The sets from optimal solution are marked green.

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## Set Cover, reducing the number of sets

The sets from optimal solution are marked green. We want to show that the weight of purple pairs of sets is $\leq 2$ OPT.

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## Set Cover, reducing the number of sets

The sets from optimal solution are marked green. We want to show that the weight of purple pairs of sets is $\leq 2$ OPT.
$\left(S_{1} \leq S_{2}\right) \leq S^{S_{3} \leq S_{4}} \leq S_{5}^{S_{5} \leq S_{6}} \leq S_{8} \leq S_{9} \leq S_{11} \leq S_{12}$

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## Weighted Set Cover, reducing the number of sets



## Weighted Set Cover, reducing the number of sets

Assume we have an exact $O\left(c^{m}\right)$-time algorithm for (weighted) SET Cover.

## Theorem (Cygan, K., Pilipczuk, Wykurz 2008)

There is $O^{*}\left(c^{m / r}\right)$-time 2-approximation algorithm for (weighted) SET Cover

This trick can be generalized for any $r \in \mathbb{N}$.

## Theorem (Cygan, K., Pilipczuk, Wykurz 2008)

For any $r \in \mathbb{N}$ we have $r$-approximation in $O^{*}\left(c^{m / r}\right)$ time

## Example 2: Set Cover, reducing the universe

Recall the standard greedy $O(\log n)$-approximation algorithm:

## Greedy

1: $\mathcal{C} \leftarrow \emptyset$.
while $\mathcal{C}$ does not cover $U$ do
Find $T \in \mathcal{S}$ so as to minimize $\frac{w(T)}{|T \backslash \bigcup \mathcal{C}|}$
4: $\quad \mathcal{C} \leftarrow \mathcal{C} \cup\{T\}$.

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4: $\quad \mathcal{C} \leftarrow \mathcal{C} \cup\{T\}$.
5: $\quad$ for each $e \in T \backslash \bigcup \mathcal{C}$ do
6: $\quad \operatorname{price}(e) \leftarrow \frac{w(T)}{|T \backslash \bigcup|}$

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## Lemma (from the standard analysis of greedy algorithm)

Let $e_{1}, \ldots, e_{n}$ be the sequence of all elements of $U$ in the order of covering by Greedy (ties broken arbitrarily). Then, for each $k \in 1, \ldots, n$, price $\left(e_{k}\right) \leq w(\mathrm{OPT}) /(n-k+1)$

## Example 2: Set Cover, reducing the universe

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## Observation

In the early phase of Greedy elements are covered cheaply.

## Example 2: Set Cover, reducing the universe

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## Observation

In the early phase of Greedy elements are covered cheaply.

## Exponential-Time $O(1)$-approximation

Assume we have an exact $T(n)$-time algorithm for SET Cover.
(1) Run the greedy algorithm until $t \geq n / 2$ elements are covered,
(2) Cover the remaining elements by the exact algorithm, in time $T(n-t)$.

## Example 2: Set Cover, reducing the universe

## Exponential-Time $O(1)$-approximation

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## (Lucky) analysis

Assume we are lucky and $t=n / 2$ (not bigger).
(1) We pay $\left(H_{n}-H_{n / 2}\right) \mathrm{OPT} \approx(\ln n-\ln (n / 2)) \mathrm{OPT}=\ln 2 \cdot$ OPT for the first phase,
(2) we pay $\leq$ OPT for the second phase.

Together we get $(1+\ln 2)$ OPT.

## Example 2: Set Cover, reducing the universe

## Exponential-Time $O(1)$-approximation

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(1) Run the greedy algorithm until $t \geq n / 2$ elements are covered,
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## Analysis

(1) We pay $\leq\left(H_{n}-H_{n / 2}\right) \mathrm{OPT} \approx \ln 2 \cdot$ OPT for the elements covered in phase 1 , excluding the last set (that covers $e_{n / 2}$ ),
(2) We pay $\leq$ OPT for the set that covers $e_{n / 2}$,
(3) we pay $\leq$ OPT for the second phase.

Together we get $(2+\ln 2)$ OPT.

## Example 2: Set Cover, reducing the universe

## Exponential-Time (ln2+2)-approximation

Assume we have an exact $T(n)$-time algorithm for SET Cover.
(1) Run the greedy algorithm until $t \geq n / 2$ elements are covered,
(2) Cover the remaining elements by the exact algorithm, in time $T(n-t)$.

## Analysis

(1) We pay $\leq\left(H_{n}-H_{n / 2}\right) \mathrm{OPT} \approx \ln 2 \cdot$ OPT for the elements covered in phase 1 , excluding the last set (that covers $e_{n / 2}$ ),
(2) We pay $\leq$ OPT for the set that covers $e_{n / 2}$,
(3) we pay $\leq$ OPT for the second phase.

Together we get $(2+\ln 2)$ OPT.

## Example 2: Set Cover, reducing the universe

## Exponential-Time (lnr+2)-approximation

Assume we have an exact $T(n)$-time algorithm for SEt Cover.
(1) Run Greedy until there are $\leq n / r$ elements not covered,
(2) Cover the remaining elements by the exact algorithm, in time $T(n / r)$.

## Remark 1

By stopping the Greedy algorithm when there are $\leq n / r$ uncovered elements, we get $(\ln r+2)$-approximation in $T(n / r)$ time.

## Remark 2

We show an improved algorithm with $(\ln r+1)$-approximation in $m \times T(n / r)$ time.

## Weighted Set Cover, reducing the universe

## Theorem (Cygan, K., Pilipczuk, Wykurz 2008)

Assume we have an exact $O\left(c^{n}\right)$-time algorithm for (weighted) SET Cover. For any $r \in \mathbb{Q}$ there is a $(\ln r+1)$-approximation algorithm in $O^{*}\left(c^{n / r}\right)$ time

## Vertex Coloring

Let us recall the Vertex Coloring problem:

## Instance

Undirected graph $G=(V, E)$

## Problem

Find a partition of $V$ into smallest possible number of independent sets.

## Exact algorithms

- $O^{*}\left(2^{n}\right)$-time, $O\left(2^{n}\right)$ space (Bjorklund et al. FOCS'06)
- $O^{*}\left(2^{1.167 n}\right)$-time, poly space (Bjorklund et al. FOCS'06)


## Vertex Coloring: Reducing the number of vertices

## Approximation algorithm (Bjorklund, Husfeldt 2006)

(1) Repeat $k$ times (we will choose $k$ later):

- Remove the largest independent set $I$ from $G$ in $O\left(2^{0.288 n}\right)$-time.
- In the original graph $G_{0}$ color all vertices in I by a new color.
(2) Color vertices of the remaining graph $G^{\prime}$ by an exact algorithm.


## Vertex Coloring: Reducing the number of vertices

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- In the original graph $G_{0}$ color all vertices in I by a new color.
(2) Color vertices of the remaining graph $G^{\prime}$ by an exact algorithm.

Let $\chi$ denote the optimum number of colors for the input graph $G_{0}$.

- In each iteration $G$ is a subgraph of $G_{0}$, so $G$ is $\chi$-colorable, and hence $|I| \geq \frac{|V(G)|}{\chi}$.
- It follows that in each iteration the number of vertices decreases by a factor of $\left(1-\frac{1}{\chi}\right)$.
- $\left|V\left(G^{\prime}\right)\right| \leq\left(1-\frac{1}{\chi}\right)^{k} n \leq e^{-k / \chi} n$ and we used $k+\chi$ colors.
- Put $k=\lceil\ln r \cdot \chi\rceil$.
- Then $\left|V\left(G^{\prime}\right)\right| \leq n / r$, and we used $\lceil(1+\ln r) \chi\rceil$ colors.


## Our results via instance reduction

Let $T^{*}(n)$ denote the time of the relevant exact algorithm, up to a polynomial factor.
(1) Maximum Independent Set:

- $r$-approximation in $T^{*}(n / r)$-time.


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Let $T^{*}(n)$ denote the time of the relevant exact algorithm, up to a polynomial factor.
(1) Maximum Independent Set:

- $r$-approximation in $T^{*}(n / r)$-time.
(2) (Weighted) Set Cover:
- $r$-approximation in $T^{*}(m / r)$ time,
- $(1+\ln r)$-approximation in $T^{*}(n / r)$ time.


## Our results via instance reduction

Let $T^{*}(n)$ denote the time of the relevant exact algorithm, up to a polynomial factor.
(1) Maximum Independent Set:

- $r$-approximation in $T^{*}(n / r)$-time.
(2) (Weighted) Set Cover:
- $r$-approximation in $T^{*}(m / r)$ time,
- $(1+\ln r)$-approximation in $T^{*}(n / r)$ time.
(3) Vertex Coloring:
- Björklund \& Husfeldt:
$(1+\ln r)$-approximation in $\max \left\{T^{*}(n / r), O^{*}\left(2^{0.288 n}\right)\right\}$-time.
- $(1+0.247 r \ln r)$-approximation in $T^{*}(n / r)$-time (best for $r \in[4.05,58)$ ).
- $r$-approximation in $T^{*}(n / r)$-time (best for $r \geq 58$ ).


## Our results via instance reduction

Let $T^{*}(n)$ denote the time of the relevant exact algorithm, up to a polynomial factor.
(1) Maximum Independent Set:

- $r$-approximation in $T^{*}(n / r)$-time.
(2) (Weighted) Set Cover:
- $r$-approximation in $T^{*}(m / r)$ time,
- $(1+\ln r)$-approximation in $T^{*}(n / r)$ time.
(3) Vertex Coloring:
- Björklund \& Husfeldt:
$(1+\ln r)$-approximation in $\max \left\{T^{*}(n / r), O^{*}\left(2^{0.288 n}\right)\right\}$-time.
- $(1+0.247 r \ln r)$-approximation in $T^{*}(n / r)$-time (best for $r \in[4.05,58)$ ).
- $r$-approximation in $T^{*}(n / r)$-time (best for $r \geq 58$ ).
(4) Bandwidth:
- 9-approximation in $T^{*}(n / 2)$ time.


## Our results via instance reduction

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- $r$-approximation in $T^{*}(n / r)$-time (best for $r \geq 58$ ).
(9) BAndwidth:
- 9-approximation in $T^{*}(n / 2)$ time.
(0) Asymmetric TSP with Triangle Inequality:
- $\left(1+\log _{2} r\right)$-approximation in $O^{*}\left(2^{n / r}\right)$ time and space.


## Reducing the instance: Summary

- If faster exact algorithm appears, immediately we have faster approximation.
- Approximation via instance reduction extends the applicability of (exact) exponential-time algorithms:

Don't have enough time for running your algorithm for $n=200$ ? Get approximate solution.

## Reducing the instance: Open Problems

- For coloring, in exponential time you can reduce the instance $r$ times and get ( $\ln r+1$ )-approximation (Björklund and Husfeldt). Can you do it for Independent Set?
- Can reduction of the instance size be applied to Bandwidth? (Yes, but we have 9 -approximation for reducing the graph by a half.)


## Some reading...

- Cygan, Kowalik, Pilipczuk, Wykurz, Exponential-Time Approximation of Hard Problems, arXiv.
- Cygan, Kowalik, Wykurz, Exponential-Time Approximation of Weighted Set Cover, IPL 2009.
- Bourgeois, Escoffier, Paschos, Efficient Approximation of Min Set Cover by Moderately Exponential Time Algorithms, Theor. Comp. Sci. 2009.
- Bourgeois, Escoffier, Paschos, Approximation of Min Coloring by Moderately Exponential Time Algorithms, IPL 2009.


## Approach Two

## Approach Two: Cutting the Search Tree

## The Bandwidth problem

Input: Graph $G=(V, E)$, integer $b$.
Problem: Find an ordering of vertices

$$
\pi: V \rightarrow\{1, \ldots, n\}
$$

such that "edges have length at most b", i.e.

$$
\text { for every } u v \in E,|\pi(u)-\pi(v)| \leq b
$$

## Our results: Bandwidth

- 3/2-approximation in $O^{*}\left(5^{n}\right)$ time (poly-space),
- 2-approximation in $O^{*}\left(3^{n}\right)$ time (poly-space),
- Main result: $(4 r-1)$-approximation in $O^{*}\left(2^{n / r}\right)$ time (poly-space).


## Warm-up: 2-approximation in $O^{*}\left(3^{n}\right)$ time

(Inspired the exact $O\left(10^{n}\right)$-time algorithm by Feige and Kilian.)
Assume the bandwidth is $b$ (we don't know it but we can run the algorithm $\log n$ times to find the smallest $b$ for which it returns a solution).
(1) Divide $\{1, \ldots, n\}$ into $\lceil n / b\rceil$ intervals of length $b$ :

$$
I_{j}=\{j b+1, j b+2, \ldots,(j+1) b\} \cap\{1, \ldots, n\} .
$$

(2) Find an assignment of vertices to intervals such that

- each interval $l_{j}$ is assigned $\left|l_{j}\right|$ vertices,
- adjacent vertices are assigned to the same interval or to neighboring intervals.


## Warm-up: 2-approximation in $O^{*}\left(3^{n}\right)$ time

1: procedure GenerateAssignments $(A)$
2: $\quad$ if for all $j,\left|A^{-1}(j)\right|=\left|I_{j}\right|$ then
3: return A
4: $\quad$ if for some $j,\left|A^{-1}(j)\right|>\left|l_{j}\right|$ then
5: return
6: else
7:
8:
9:
10
11:
12:
$v \leftarrow$ a vertex with a neighbor $w$ already assigned.
if $A(w)>0$ then
GenerateAssignments $(A \cup\{(v, A(w)-1)\}$
$\operatorname{GenerateAssignments}(A \cup\{(v, A(w))\})$ if $A(w)<\lceil n / b\rceil-1$ then

GenerateAssignments $(A \cup\{(v, A(w)+1)\}$
13: procedure Main
14: $\quad$ for $j \leftarrow 0$ to $\lceil n / b\rceil-1$ do
15: GenerateAssignments $(\{(r, j)\})$

## Warm-up: 2-approximation in $O^{*}\left(3^{n}\right)$ time

(1) Divide $\{1, \ldots, n\}$ into $\lceil n / b\rceil$ intervals of length $b$ :

$$
I_{j}=\{j b+1, j b+2, \ldots,(j+1) b\} \cap\{1, \ldots, n\}
$$

(2) Find an assignment of vertices to intervals such that

- Each interval $I_{j}$ is assigned $\left|I_{j}\right|$ vertices,
- Adjacent vertices are assigned to the same interval or to neighboring intervals.
(3) Order the vertices in each interval arbitrarily.


## 3-approximation in $O^{*}\left(2^{n}\right)$ time

## Definition

Let $A$ be an assignment of vertices to intervals. If one can order the vertices in each interval to get an ordering $\pi$, we say $\pi$ is consistent with $A$.

## Algorithm

(1) Divide $\{1, \ldots, n\}$ into $\lceil n / b\rceil$ intervals of length $2 b$ :

$$
I_{j}=\{j b+1, j b+2, \ldots,(j+2) b\} \cap\{1, \ldots, n\}
$$

(Note that intervals overlap.)
(2) Generate a set of $O\left(n \cdot 2^{n}\right)$ assignments of vertices to intervals so that if the bandwith is $b$, then at least one of the assignments is consistent with an ordering of bandwidth $b$.
(3) ... (to be continued) ...

## 3-approximation in $O^{*}\left(2^{n}\right)$ time

1: procedure GenerateAssignments $(A)$
2: if all vertices are assigned then
3:
4: else
5:
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$v \leftarrow$ a vertex with a neighbor $w$ already assigned.
if $A(w)>0$ then
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10: procedure Main
11: $\quad$ for $j \leftarrow 0$ to $\lceil n / b\rceil-1$ do
12:
GenerateAssignments $(\{(r, j)\})$

## 3-approximation in $O^{*}\left(2^{n}\right)$ time

## Lemma (,,Testing A")

Let $A: V \rightarrow 2^{\{1, \ldots, n\}}$ be an assignment of vertices to the intervals of size $2 b$. Then there is a polynomial time algorithm such that if there is an ordering $\pi^{*}$ of bandwidth $b$ consistent with $A$, the algorithm finds an ordering $\pi$ of bandwidth $3 b$ consistent with $A$.

## Proof.

(1) For every edge $u v$, if $\max A(u)=\min A(v)-1$, then:

- if $|A(u)|=2 b$, replace $A(u)$ by its right half,
- if $|A(v)|=2 b$, replace $A(v)$ by its left half.
- (Note that $\pi^{*}$ is still consistent with $A$.)
(2) (now, for every edge $u v,|\max A(u)-\min A(v)| \leq 3 b$ )
(3) Perform the standard greedy scheduling algorithm to find any ordering $\pi$ consistent with $A$.


## 3-approximation in $O^{*}\left(2^{n}\right)$ time

## Algorithm

(1) Divide $\{1, \ldots, n\}$ into $\lceil n / b\rceil$ intervals of length $2 b$ : $l_{j}=\{j b+1, j b+2, \ldots,(j+2) b\} \cap\{1, \ldots, n\}$.
(Note that intervals overlap.)
(2) Generate a set of $O\left(n \cdot 2^{n}\right)$ assignments of vertices to intervals so that if the bandwith is $b$, then at least one of the assignments is consistent with an ordering of bandwidth $b$.
(3) Apply the lemma to each of the assignments.

## Approximation scheme

## Theorem

For any $r \in \mathbb{N}$, there is a $(4 r-1)$-approximation algorithm in $O^{*}\left(2^{n / r}\right)$ time.
(Details skipped here)

## Some reading...

- Cygan, Pilipczuk, Exact and Approximate Bandwidth, ICALP 2009.
- Furer, Gaspers, Kasiviswantathan An Exponential-Time 2-Approximation Algorithm for Bandwidth, IWPEC 2009.


## The end

## Thank you for your attention!

