

# **Applications of Elliptic genera**

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1. Elliptic genus in non singular case.
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Elliptic genus:

$\{\text{Class of complex spaces}\} \rightarrow \{\text{functions on } H \times \mathbf{C}\}$

Non Singular Case: ( $X$  almost complex:) Let  $x_i$  be the Chern roots of  $X$ , i.e. for the total Chern class we have  $c(X) = \prod_i (1 + x_i)$ , then

$$Ell(X; y, q) = \int_X \prod_i x_i \frac{\theta(\frac{x_i}{2\pi i} - z, \tau)}{\theta(\frac{x_i}{2\pi i}, \tau)}$$

where  $q = e^{2\pi i \tau}$  and  $y = e^{2\pi i z}$  and

$$\theta(z, \tau) =$$

$$q^{\frac{1}{8}} (2 \sin \pi z) \prod_{l=1}^{l=\infty} (1 - q^l) \prod_{l=1}^{l=\infty} (1 - q^l e^{2\pi i z}) (1 - q^l e^{-2\pi i z})$$

For  $z = 0$  ( $y = 1$ ),  $q = 0 \Rightarrow \int_X x_1 \cdots x_d = e(X)$

$q = 0$  :

$$x \frac{e^{\pi i (\frac{x}{2\pi i} - z)} - e^{-\pi i (\frac{x}{2\pi i} - z)}}{e^{\pi i (\frac{x}{2\pi i})} - e^{-\pi i (\frac{x}{2\pi i})}} = y^{-\frac{1}{2}} \frac{x(1 - e^{-x}y)}{1 - e^{-x}} \Rightarrow \chi_y$$

$y^{\dim/2} Ell(X) \Rightarrow (y = 0) \frac{x}{1 - e^{-x}} \text{ Todd genus}$

$Ell(X, q, y)$  is Poincare series of holomorphic euler charactersitic of bigraded bundle:

$$\mathcal{E} = \sum E_{i,j} \Rightarrow \sum \chi(E_{i,j}) y^{\frac{i}{2}} q^j$$

Typical graded bundles:

$$E \Rightarrow \Lambda_t(E) = \sum \Lambda^i(E) t^i \quad S_t(E) = \sum \text{Sym}^i(E) t^i$$

Let

$$\mathcal{E}\mathcal{L}\mathcal{L} =$$

$$y^{-\frac{d}{2}} \otimes_{n \geq 1} (\Lambda_{-yq^{n-1}} \Omega_X^1 \otimes \Lambda_{-y^{-1}q^n} T_X \otimes S_{q^n} \Omega_X^1 \otimes S_{q^n} T_X)$$

By Riemann Roch:

$$Ell(X, y, q) = \chi(\mathcal{E}\mathcal{L}\mathcal{L}) = \int_X \prod_i x_i \frac{\theta\left(\frac{x_i}{2\pi i} - z, \tau\right)}{\theta\left(\frac{x_i}{2\pi i}, \tau\right)}$$

Element of Chow ring under integral is call elliptic class.

Elliptic genus is the top degree component of elliptic class in Chow ring  $A(X)$

Specializes ( $q = 0$ ) into  $\chi_y$  and hence into topological euler characteristic, signature, ... ( $\chi_y$  is specialization of Batyrev's  $E(u, v)$ -function). If  $z = \frac{1}{2}$  ( $y = -1$ ) it becomes one variable elliptic genus (Ochanine genus) depending only of Pontryagin classes. Generating series:

$$Q(x) = \frac{x/2}{\sinh(x/2)} \prod_{n=1}^{\infty} \left[ \frac{(1 - q^n)^2}{(1 - q^n e^x)(1 - q^n e^{-x})} \right]^{(-1)^n}$$

Alternatively:

$$Q(x) = \frac{x}{g^{-1}(x)} \quad g(x) = \int_0^x \frac{dt}{\sqrt{1 - 2\delta t^2 + \epsilon t^4}}$$

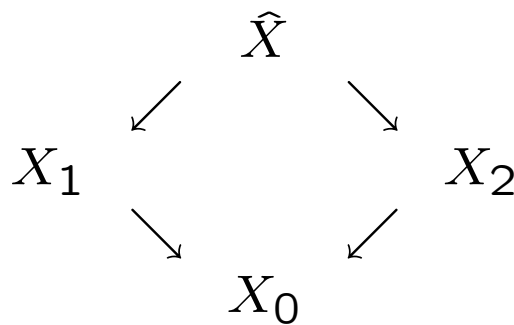
$\delta, \epsilon$  are combinations of Eisenstein series.

$$\delta = \frac{-1}{8} - 3 \sum_{n \geq 1} \left( \sum_{d|n, d \text{ odd}} d \right) q^n$$

$$\epsilon = \sum_{n \geq 1} \left( \sum_{d|n, \frac{n}{d} \text{ odd}} d^3 \right) q^n$$

$g(x)$ -is logarithm of formal group associated with elliptic genus.

**Totaro's theorem:** The kernel of complex elliptic genus on  $MSU \otimes \mathbf{Z}[\frac{1}{2}]$  is the ideal generated by  $X_1 - X_2$  where  $X_1$  and  $X_2$  are related by classical SU-flop.



## Hodge and Chern numbers

If dimension of a Calabi-Yau manifold is less than 12 or is equal to 13, then the numbers  $\chi_p$  determine its elliptic genus uniquely. In all other dimensions there exist Calabi-Yau manifolds with the same  $\{\chi_p\}$  but distinct elliptic genera. Non trivial relation between Hodge and Chern numbers (L. -Wood):

$$\sum_{p=2}^d (-1)^p \binom{p}{2} \chi^p = \frac{1}{12} \left\{ \frac{1}{2} d(3d-5) c_d + c_{d-1} c_1 \right\} [X]$$

## Modular properties:

Ochanine genus of a manifold is a modular form for  $\Gamma_0(2)$  and for Spin manifolds for  $\Gamma_\theta$  (subgroup of  $SL_2(\mathbf{Z})$  of index 3).

Non modularity is similar to non integrality of  $\hat{A}$ -genus in non Spin case.

A Jacobi form of index  $t \in \frac{1}{2}\mathbf{Z}$  and weight  $k$  is a holomorphic function  $\chi$  on  $H \times \mathbf{C}$  satisfying the following functional equations:

$$\chi\left(\frac{a\tau + b}{c\tau + d}, \frac{z}{c\tau + d}\right) = (c\tau + d)^k e^{\frac{2\pi itcz^2}{c\tau + d}} \chi(\tau, z)$$

$$\chi(\tau, z + \lambda\tau + \mu) = (-1)^{2t(\lambda + \mu)} e^{-2\pi it(\lambda^2\tau + 2\lambda z)} \chi(\tau, z)$$

Elliptic genus of a Calabi Yau manifold is Jacobi form of weight 0 and index  $\frac{\dim X}{2}$ .

Ring of Jacobi forms is a finitely generated bigraded algebra.

## Quasi-jacobi forms

In non CY case one has a “quasi-modular” Jacobi form.

**Problem:** Find a finite dimensional algebra generated of functions on  $\mathbf{H} \times \mathbf{C}$  which are elliptic genera of complex manifolds.

Here is example of “not quite” Jacobi forms.

$$E_n(z, \tau) = \sum_{(a,b) \in \mathbf{Z}^2} \frac{1}{(z + a\tau + b)^n}$$

For  $n \geq 3$  one has absolute convergence and hence Jacobi property (index zero, weight  $n$ )

One has:

$$E_1\left(\frac{a\tau + b}{c\tau + d}, \frac{z}{c\tau + d}\right) = (c\tau + d)E_1(\tau, z) + \frac{\pi ic}{2}z$$

$$E_1(\tau, z + m\tau + n) = E_1(\tau, z) - 2\pi im$$

and

$$E_2\left(\frac{a\tau + b}{c\tau + d}, \frac{z}{c\tau + d}\right) = (c\tau + d)^2 E_2(\tau, z) - \frac{1}{2}\pi ic(c\tau + d)$$

$$E_2(\tau, z + a\tau + b) = E_2(\tau, z)$$

Elliptic genera of complex manifolds (after multiplying by  $(\frac{\theta'(0)}{\theta(z)})^d$ ) are combination of  $E_i(z, \tau)$  and ordinary Eisenstein series:

$$e_i = \sum_{(a,b) \in \mathbf{Z}^2, (a,b) \neq (0,0)} \frac{1}{(a\tau + b)^n}$$

Characterization of elliptic genera:

Recall quasi-modular forms (for  $SL_2(\mathbf{Z})$ ):

Algebra of quasi-modular forms is algebra  $\mathbf{C}[e_2, e_4, \dots]$  generated by Eisenstein series.

One has

$$e_2\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^2 e_2(\tau) - \frac{1}{2}\pi ic(c\tau + d)$$

but

$$e_2(\tau) - \frac{1}{4\pi \text{Im}\tau}$$

transforms as modular form of weight 2.

**Definition** Quasi-modular form of weight  $k$  and depth  $p$  is constant term of polynomial in  $\frac{1}{4\pi \text{Im}\tau}$  of degree at most  $p$  which transforms as modular form of weight  $k$ .

Ring of Quasimodular forms is closed under differentiation.

Solutions to enumeration problems (branched covering of torus) etc.

We let:

$$\lambda(z, \tau) = \frac{z - \bar{z}}{\tau - \bar{\tau}}, \quad \mu(\tau) = \frac{1}{\tau - \bar{\tau}}$$

These real analytic functions have the following transformation properties:

$$\lambda\left(\frac{z}{c\tau + d}, \frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)\lambda(z, \tau) - 2icz$$

$$\lambda(z + m\tau + n, \tau) = \lambda(z, \tau) + m$$

$$\mu\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^2\mu(\tau) - 2ic(c\tau + d)$$

**Definition** *Almost meromorphic Jacobi form* of weight  $k$ , index zero and depth  $(s, t)$  is a (real) meromorphic function in  $\mathbf{C}\{q^{\frac{1}{t}}, z\}[z^{-1}, \lambda, \mu]$ , with  $\lambda, \mu$  given above and which

a) satisfies the functional equations of Jacobi forms of weight  $k$  and index zero and

b) has degree at most  $s$  in  $\lambda$  and at most  $t$  in  $\mu$ .

**Definition** A *quasi-Jacobi form* is a constant term of an almost meromorphic Jacobi form of index zero considered as a polynomial in the functions  $\lambda, \mu$  i.e. a meromorphic function  $f_0$  on  $\mathbf{H} \times \mathbf{C}$  such that exist meromorphic functions  $f_{i,j}$  such that  $f_0 + \sum f_{i,j} \lambda^i \mu^j$  is almost meromorphic Jacobi form.

**Theorem** The algebra of quasi-Jacobi forms of depth  $(k, 0), k \geq 0$  is isomorphic to the algebra of complex unitary cobordisms modulo flops with isomorphism given by

$$X \rightarrow Ell(X) \left( \frac{\theta'(0)}{\theta(z)} \right)^d$$

Elliptic genera of manifolds of dimension at most  $d$  span the subspace of forms of depth  $(d, 0)$  in the algebra of quasi-Jacobi forms.

If a complex manifold satisfies  $c_1^k = 0, c_1^{k-1} \neq 0$  then its elliptic genus has depth at most  $k - 1$ . In particular if  $X$  is CY elliptic genus is Jacobi form: depth is measure of deviation from being CY.

One can get formulas for the elliptic genus of specific examples in terms of Eisenstein series  $E_n$ . For example for a surface in  $\mathbf{P}^3$  having degree  $d$  one has

$$(E_1^2(\frac{1}{2}d^2 - 4d + 8)d + (E_2 - e_2)(\frac{d^2}{2} - 2)d)\left(\frac{\theta(z)}{\theta'(0)}\right)^2$$

In particular for  $d = 1$  one obtains:

$$\left(\frac{9}{2}E_1^2 - \frac{3}{2}(E_2 - e_2)\right)\left(\frac{\theta(z)}{\theta'(0)}\right)^2$$

For toric varieties one has formula in terms of fan  $\Rightarrow$  non trivial identity: for  $\mathbf{P}^2$ :

$$\sum_{m \geq 1, n \geq 1} \frac{q^{m+n}}{(1 + q^m)(1 + q^n)(1 + q^{m+n})} =$$

$$\sum_{r \geq 1} q^{2r} \sum_{k|r} k = \sum_{r \geq 1} \sigma_1(r) q^{2r}$$

## Singular elliptic genus.

$X$  be a  $\mathbb{Q}$ -Gorenstein variety with log-terminal singularities,

$\pi : Y \rightarrow X$  a desingularization of  $X$  whose exceptional divisor  $E = \sum_k E_k$  has simple normal crossings.

The discrepancies  $\alpha_k$  of the components  $E_k$  are determined by the formula

$$K_Y = \pi^* K_X + \sum_k \alpha_k E_k.$$

Chern roots  $y_l$  of  $Y$  are given by  $c(TY) = \prod_l (1 + y_l)$  and define cohomology classes  $e_k := c_1(\nu(E_k))$ .

Singular elliptic genus of  $X$  is given by

$$\begin{aligned}
 Ell_{sing}(X; z, \tau) := & \\
 & \int_Y \left( \prod_l \frac{\theta\left(\frac{y_l}{2\pi i}\right) \theta\left(\frac{y_l}{2\pi i} - z\right) \theta'(0)}{\theta(-z) \theta\left(\frac{y_l}{2\pi i}\right)} \right) \times \\
 & \left( \prod_k \frac{\theta\left(\frac{e_k}{2\pi i} - (\alpha_k + 1)z\right) \theta(-z)}{\theta\left(\frac{e_k}{2\pi i} - z\right) \theta(-(\alpha_k + 1)z)} \right)
 \end{aligned}$$

If resolution is crepant then elliptic genus of singular space is elliptic genus of resolution. Need to prove independence of resolution!!

The same definition for  $Ell_{sing}(X, D)$  provided that meaning of  $\alpha_k$  is

$$K_Y = \pi^*(K_X + D) + \sum_k \alpha_k E_k.$$

Specializes into Batyrev's  $\chi_y(X, D)$ :

$$\widehat{Ell}(X, D; u, q = 0) = (u^{-\frac{1}{2}} - u^{\frac{1}{2}})^{\dim Z} E_{st}(X, D; u, 1)$$

## Independence of resolution and push forward formula:

In definition of elliptic genus of pair one can look at the class

$$\mathcal{E}ll(X, E, z, \tau) \in A^*(Z)$$

before evaluation on the fundamental class.

### Theorem

Let  $(X, D)$  be a Kawamata log-terminal pair and let  $Z$  be a smooth locus in  $X$  which is normal crossing to  $\text{Supp}(D)$ . Let  $f : \hat{X} \rightarrow X$  denote the blowup of  $X$  along  $Z$ . We define  $\hat{E}$  by  $\hat{E} = -\sum_k \delta_k \hat{E}_k - \delta \text{Exc}(f)$  where  $\hat{E}_k$  is the proper transform of  $E_k$  and  $\delta$  is determined from  $K_{\hat{X}} + \hat{E} = f^*(K_X + E)$ . Then  $(\hat{X}, \hat{E})$  is a Kawamata log-terminal and

$$f_* \mathcal{E}ll(\hat{X}, \hat{E}, z, \tau) = \mathcal{E}ll(X, E, z, \tau).$$

Weak factorization shows independence of resolution (connect two resolutions by sequence of blow ups and blow downs).

Another type of genus for singular varieties:  
Orbifold elliptic genus:

Let  $G$  be finite group acting on  $X$  via holomorphic transformations.

Let  $g, h \in G$  be a pair of commuting elements,

$X^{g,h}$  be a connected component of the set of points in  $X$  fixed by both  $g$  and  $h$ ,

$x_\lambda$  be the Chern roots of a subbundle  $V_\lambda$  of  $TX|_{X^{g,h}}$  on which both  $g$  and  $h$  act via the multiplication by  $\exp(2\pi i\lambda(g))$  and  $\exp(2\pi i\lambda(h))$  respectively. Let:

$$\Phi(g, h, \lambda, z, \tau, x) := \frac{\theta\left(\frac{x}{2\pi i} + \lambda(g) - \tau\lambda(h) - z\right)}{\theta\left(\frac{x}{2\pi i} + \lambda(g) - \tau\lambda(h)\right)} e^{2\pi i z \lambda(h) z}.$$

Then:

$$E_{orb}(X, G; z, \tau) = \frac{1}{|G|} \sum_{gh=hg} \left( \prod_{\lambda(g)=\lambda(h)=0} x_\lambda \right) \prod_{\lambda} \Phi(g, h, \lambda, z, \tau, x_\lambda) [X^{g,h}]$$

**Theorem(B-L)** (Ann. Math. 2005)

$$Ell_{orb}(X, G) = Ell_{sing}(X/G)$$

Corollary: If  $X/G$  has a crepant resolution  $\widetilde{X}/G$  then:

$$e(\widetilde{X}/G) = \frac{1}{|G|} \sum_{gh=hg} e(X^{g,h})$$

This is a McKay correspondence for elliptic genus.

Idea of the Proof:

There is elliptic genus in the category of triples  $(X, E, G)$  (similarly to Batyrev's E-function) where  $X$  is non singular  $G$ -invariant,  $E$  is NCD and isotropy subgroup of  $G$  acts trivially on the irreducible components of  $E$  containing  $x$ .

$Ell(X, E, G)$  specializes to singular (if  $G = 1$ ) and orbifold (if  $E = \emptyset$ ) genera.

Consider diagramm:

$$\begin{array}{ccc} \mu: & \hat{Z} & \rightarrow & Z \\ & \downarrow & & \downarrow \\ \psi: & X & \rightarrow & X/G \end{array}$$

where the vertical arrows are resolutions of singularities and  $\mu$  is a  $G$ -equivariant toroidal morphism.

Push forward formula for quotients:

Let  $(X; D_X)$  be a Kawamata log-terminal pair which is invariant under an effective action of  $G$  on  $X$ . Let  $\psi: X \rightarrow X/G$  be the quotient morphism. Let  $(X/G; D_{X/G})$  be the quotient pair. Then

$$\psi_* \mathcal{E}ll_{orb}(X, D_X, G; z, \tau) = \mathcal{E}ll(X/G, D_{X/G}; z, \tau).$$

(Definition of quotient pair: Let  $G$  be a finite group which acts effectively on a normal variety  $X$  and preserves a  $\mathbf{Q}$ -Weil divisor  $D$ . Let  $g: X \rightarrow X/G$  be the quotient morphism. Then there is a unique divisor  $D/G$  on  $X/G$  such that

$$g^*(K_{X/G} + D/G) = K_X + D.$$

This and push forward formula for  $\mu$  yields the McKay correspondence formula.

## Real algebraic varieties

**Theorem**(Totaro) Quotient of  $MSO$  by ideal generated by oriented real flops and complex flops is

$$\mathbf{Z}[\delta, 2\gamma, 2\gamma^2, 2\gamma^4 \dots]$$

with  $\mathbf{CP}^2$  (resp.  $\mathbf{CP}^4$ ) corresponding to  $\delta$  (resp.  $2\gamma + \delta^2$ ). This quotient ring is the the image of  $MSO_*$  under the Ochanine genus.

Problem: Can Ochanine genus be defined for large class of singular varieties.

Ochanine genus of an oriented manifold  $X$  can be defined using as Hirzebruch characteristic power series the following series with coefficients in  $\mathbb{Q}[[q]]$

$$(*) \quad Q(x) = \frac{x/2}{\sinh(x/2)} \prod_{n=1}^{\infty} \left[ \frac{(1 - q^n)^2}{(1 - q^n e^x)(1 - q^n e^{-x})} \right]^{(-1)^n}$$

Evaluating genus using viewing the result as function of  $\tau$  on the upper half plane (where  $q = e^{2\pi i\tau}$ ) one obtains a modular form on  $\Gamma_0(2) \subset SL_2(\mathbb{Z})$

Class of singularities:

A real algebraic variety  $X$  over  $\mathbf{R}$  is  $\mathbf{Q}$ -Gorenstein log-terminal if its set of  $\mathbf{C}$ -points is  $\mathbf{Q}$ -Gorenstein log-terminal.

**Example** Affine variety  $x_1^2 - x_2^2 + x_3^2 - x_4^2 = 0$  in  $\mathbf{R}^4$  is Gorenstein log-terminal and admit a crepant resolution.

Indeed it is well known that complexification of such Gorenstein singularity admits a small (and hence crepant) resolution having  $\mathbf{P}^1$  as its exceptional set.

**Example** The 3-dimensional complex cone in  $\mathbf{C}^4$  given by  $z_1^2 + z_2^2 + z_3^2 + z_4^2 = 0$  considered as codimension 2 sub-variety of  $\mathbf{R}^8$  is a  $\mathbf{Q}$ -Gorenstein log-terminal variety over  $\mathbf{R}$  and its complexification admits a crepant resolution.

**Definition:** Let  $X$  be a real algebraic manifold and  $D$  a divisor on complexification  $X_{\mathbf{C}}$  of  $X$ . The Ochanine class  $Ell_{\mathcal{O}}(X, D)$  of pair  $X, D$  is

$$\sqrt{Ell(X_{\mathbf{C}}, D, q, \frac{1}{2})}$$

where  $Ell(X_{\mathbf{C}}, D, q, z)$  is the elliptic class constructed above.

Ochanine elliptic genus of pair  $(X, D)$  is

$$Ell(X_{\mathbf{R}}, D) = \sqrt{Ell(X_{\mathbf{C}}, D, q, \frac{1}{2})} \cup cl(X_{\mathbf{R}})[X_{\mathbf{C}}]$$

For  $D = 0$  one obtains the Ochanine genus of real locus:

$$\begin{aligned}
Ell_{\mathcal{O}}(X_{\mathbf{R}}) &= Ell_{\mathcal{O}}(T_{X_{\mathbf{R}}})[X_{\mathbf{R}}] = \\
&\sqrt{Ell_{\mathcal{O}}(T_{X_{\mathbf{C}}})|_{X_{\mathbf{R}}}}[X_{\mathbf{R}}] = \\
&\sqrt{Ell_{\mathcal{O}}(T_{X_{\mathbf{C}}}) \cup cl(X_{\mathbf{R}})}[X_{\mathbf{C}}]
\end{aligned}$$

which is consequence of the exact sequence:

$$0 \rightarrow T_{X_{\mathbf{R}}} \rightarrow T_{X_{\mathbf{C}}}|_{X_{\mathbf{R}}} \rightarrow T_{X_{\mathbf{R}}} \rightarrow 0$$

(it yields  $Ell(X_{\mathbf{R}})^2 = i^*(Ell_{X_{\mathbf{C}}})$  where  $i : X_{\mathbf{R}} \rightarrow X_{\mathbf{C}}$ ).

The main result is:

**Theorem** Let  $\tilde{X} \rightarrow X$  is a resolution of singularities of a real algebraic variety with  $\mathbf{Q}$ -Gorenstein log-terminal singularities and  $\tilde{D}$  is the discrepancy. Then the elliptic genus of a pair  $(\tilde{X}, \tilde{D})$  is independent of a resolution. In particular if real variety  $X$  has a crepant resolution then its elliptic genus is independent of a crepant resolution.

**Proof** For a blow up  $f : (\tilde{X}, \tilde{D}) \rightarrow (X, D)$  we have

$$f_*\left(\sqrt{\mathcal{E}\mathcal{L}\mathcal{L}(\tilde{X}, \tilde{D}, q, \frac{1}{2})}\right) = \sqrt{\mathcal{E}\mathcal{L}\mathcal{L}(X, D, q, \frac{1}{2})}$$

This is a special case of the push-forward formula. Hence

$$\begin{aligned} \mathcal{E}ll_{\mathcal{O}}(X_{\mathbf{R}}, D) &= \sqrt{\mathcal{E}ll(X_{\mathbf{C}}, D, q, \frac{1}{2}) \cup cl(X_{\mathbf{R}})[X_{\mathbf{C}}]} = \\ &= \sqrt{\mathcal{E}\mathcal{L}\mathcal{L}(\tilde{X}_{\mathbf{C}}, \tilde{D}, q, \frac{1}{2}) \cup f^*([X_{\mathbf{R}}] \cap [X_{\mathbf{C}}])} = \mathcal{E}\mathcal{L}\mathcal{L}(\tilde{X}_{\mathbf{R}}, \tilde{D}) \end{aligned}$$

as follows from projection formula since  $f^*(cl[X_{\mathbf{R}}]) = cl[\tilde{X}_{\mathbf{R}}]$  and since  $f_*$  is identity on  $H_0$ .

## Applications of Elliptic Genus

- Chern classes of singular spaces.

**Problem**(Goreski-Macpherson): Which Chern numbers can be defined for singular varieties so that for varieties admitting IH-small resolution they coincide with the Chern numbers of resolution?

(An IH-small resolution of  $Z$  is a regular map  $Y \rightarrow Z$  such that for every  $i \geq 1$  the set of points  $z \in Z$  such that  $\dim(f^{-1}(z)) \geq i$  has codimension greater than  $2i$  in  $Z$ )

**Totaro**: such Chern numbers are among linear combinations of coefficients of elliptic genus:  $\Omega^U / \text{classical flops} = \text{image of elliptic genus}$ .

Theorem (B-L) All coefficients of elliptic genus are such invariants of  $\mathbb{Q}$ -Gorenstein singular spaces with log-terminal singularities. Singular elliptic genus yields maximal collection of such Chern numbers.

- Examples of invariants of singular spaces in terms of resolutions.

Igusa-zeta or topological zeta function.

Elliptic genus.

- Invariants of K-equivalence.

$X$  and  $Y$  (smooth) are K-equivalent if they are birationally equivalent and  $Z$ ,  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$  such that  $f^*K_X = g^*K_Y$ .

Theorem (B-L) Elliptic genus is an invariant of K-equivalence.

Other new results:

1. Waelder's Equivariant elliptic genus and rigidity theorem for elliptic genera of pairs with torus action.

2. Gorbunov and Malikov LG-CY correspondence for elliptic genera for hypersurfaces in projective space.

- Elliptic genera of Hilbert schemes.

$(X, D)$  be a Kawamata log-terminal pair.

The quotient of  $(X, D)^n$  by the symmetric group  $S_n$ , is  $(X^n/S_n, D^{(n)}/S_n)$ .

$(D^{(n)})$  is the sum of pullbacks of  $D$  under  $n$  canonical projections to  $X$ )

Theorem (generalization of Dijkgraaf-Moore-Verlinde-Verlinde in smooth case):

$$\sum_{n \geq 0} p^n \text{Ell}(X^n/S_n, D^{(n)}/S_n; z, \tau) =$$

$$\prod_{i=1}^{\infty} \prod_{l, m} \frac{1}{(1 - p^i y^l q^m)^{c(mi, l)}},$$

where the elliptic genus of  $(X, D)$  is

$$\sum_{m \geq 0} \sum_l c(m, l) y^l q^m$$

and  $y = e^{2\pi iz}$ ,  $q = e^{2\pi i\tau}$ .

Higher elliptic genus.

Let  $X$  be a manifold and  $\pi = \pi_1(X)$ ,  $\alpha \in H^*(\pi, \mathbf{Q})$

Higher elliptic genus:

$$Ell_\alpha(X) = (\mathcal{E}\mathcal{L}\mathcal{L}(X) \cup f^*(\alpha))[X]$$

where

$$\mathcal{E}\mathcal{L}\mathcal{L}(X) = \prod_i x_i \frac{\theta\left(\frac{x_i}{2\pi i} - z, \tau\right)}{\theta\left(\frac{x_i}{2\pi i}, \tau\right)}$$

is the elliptic class.

$Ell_\alpha$  specializes to Novikov's signature and higher Todd genera.

J.Rosenberg: is higher Todd genus a birational invariant?

## Modularity

If  $X$  is a  $SU$ -manifold,  $d = \dim X$ ,  $\alpha \in H^k(\pi, \mathbf{Q})$  then the higher elliptic genus  $(\mathcal{EL}\mathcal{L}(X) \cup f^*(\alpha))[X]$  is a Jacobi form having index  $\frac{d}{2}$  and weight  $-k$

(i.e. is a function  $\chi$  on  $H \times \mathbf{C}$  satisfying:

$$\chi\left(\frac{a\tau + b}{c\tau + d}, \frac{z}{c\tau + d}\right) = (c\tau + d)^k e^{\frac{2\pi i t c z^2}{c\tau + d}} \chi(\tau, z)$$

$$\chi(\tau, z + \lambda\tau + \mu) = (-1)^{2t(\lambda + \mu)} e^{-2\pi i t(\lambda^2\tau + 2\lambda z)} \chi(\tau, z)$$

Beauville: a fundamental group of a Calabi Yau manifold is an extension of a free abelian group by a finite group so one does obtain new invariants if the rank of this abelian group is positive.

Let  $(X, D)$  be a Kawamata log terminal  $G$ -normal pair and  $D = -\sum \delta_k D_k$ . The orbifold elliptic class of  $(X, D, G)$  is the class in  $H_*(X, \mathbb{Q})$  given by:

$$\begin{aligned} \mathcal{ELL}(X, D, G; z, \tau) := & \\ & \frac{1}{|G|} \sum_{g, h, gh=hg} \sum_{X^{g, h}} [X^{g, h}] \left( \prod_{\lambda(g)=\lambda(h)=0} x_\lambda \right) \\ & \times \prod_{\lambda} \frac{\theta\left(\frac{x_\lambda}{2\pi i} + \lambda(g) - \tau\lambda(h) - z\right)}{\theta\left(\frac{x_\lambda}{2\pi i} + \lambda(g) - \tau\lambda(h)\right)} e^{2\pi i \lambda(h)z} \\ & \times \prod_k \frac{\theta\left(\frac{e_k}{2\pi i} + \epsilon_k(g) - \epsilon_k(h)\tau - (\delta_k + 1)z\right)}{\theta\left(\frac{e_k}{2\pi i} + \epsilon_k(g) - \epsilon_k(h)\tau - z\right)} \\ & \frac{\theta(-z)}{\theta(-(\delta_k + 1)z)} e^{2\pi i \delta_k \epsilon_k(h)z}. \end{aligned}$$

$$Ell_\alpha(X, D, G) = (\mathcal{ELL}(X, D, G) \cap f^*(\alpha))_0$$

If  $m(K_X + D) = 0$  it is Jacobi form.

Let  $(X, D, G)$  and  $(\hat{X}, \hat{D}, G)$  be  $G$ -normal and Kawamata log-terminal and let  $\phi : (\hat{X}, \hat{D}) \rightarrow (X, D)$  is  $G$ -equivariant such that

$$\phi^*(K_X + D) = K_{\hat{X}} + \hat{D}$$

then

$$Ell_\alpha(\hat{X}, \hat{D}, G) = Ell_\alpha(X, D, G)$$

Corollaries:

Higher elliptic genera are invariants of crepant birational equivalences of CY manifolds.

higher signatures and  $\hat{A}$ -genera are invariant for crepant birational morphisms

Higher Todd genus is an invariant of arbitrary birational morphisms. (also Block-Weinberger).

Singular varieties:

Lemma(Takayama): Let  $X$  has only log-terminal singularities and let  $f : X' \rightarrow X$  be a resolution of singularities of  $X$ . Then  $\pi_1(X') = \pi_1(X)$ .

Let  $X$  be a projective algebraic variety with  $\mathbb{Q}$ -Gorenstein log-terminal singularities. Let  $\alpha \in H^*(\pi_1(X), \mathbb{Q})$  be the cohomology class of its fundamental group. If  $\phi : \tilde{X} \rightarrow X$  is a resolution of singularities of  $X$ ,  $K_{\tilde{X}} = \phi^*(K_X) + \tilde{D}$  and  $\alpha$  is viewed as the element in the  $H^*(\pi_1(\tilde{X}), \mathbb{Q})$  identified with  $H^*(\pi_1(X), \mathbb{Q})$  using  $\phi$ .

Then:

$$Ell_\alpha(X) \stackrel{\text{dfn}}{=} Ell_\alpha(\tilde{X}, \tilde{D})$$

This is well defined as a consequence of invariance of higher elliptic genus under  $K$ -equivalence of pairs.

Further results:

1. McKay correspondence for higher elliptic genera.

2. Cobordisms: Let  $I_\pi$  (resp.  $I$ ) be the ideal in  $\Omega^U(B\pi)$  generated by the differences  $(X, f_X)$  and  $(X', f_{X'})$  ( $f_X : X \rightarrow \pi_1(X)$ ) where  $(X', f_{X'})$  and  $(X, f_X)$  (resp.  $X' - X$  where  $X'$  and  $X$  are differ by a classical flop Then

$$\text{Hom}(\Omega_d^{SU}(B\pi)/I_\pi \cap \Omega_d^{SU}(B\pi), \mathbf{Q}) =$$

$$\bigoplus_{k \in 2\mathbf{Z}} H^k(B\pi, \text{Jac}_{-k, \frac{d}{2}})$$

where  $\text{Jac}_{-k, \frac{d}{2}}$  is the space of Jacobi forms having weight  $-k$  and index  $\frac{d}{2}$

## **MSV-complex.**

$X \implies$  sheaf of vertex operator algebras  $\mathcal{MSV}(X)$   
(Malikov-Schechtman-Vaintrob)

Can be constructed in terms of the loop space of  $X$  (Kapranov-Vasserot) Further clarified by Ben-Zvi-Heluani-Szczyrny (math.AG 0601532)

Vertex operator algebra:

$$(V = V_{ev} \oplus V_{odd}, 0 | \cdot \in V_0,$$

$$V \rightarrow \text{End}(V)[z, z^{-1}], T : V \rightarrow V)$$

$a \rightarrow Y(a, z) = \sum_{n \in \mathbf{Z}} a_{(n)} z^{-n-1}$  satisfies axioms

Conformal vertex algebra: vertex algebra together with even element  $L \in V$  such that

1. components  $L(z) = \sum_n L_n z^{-n-2}$  satisfy Virasoro commutation relations:

$$[L_n, L_m] = (n - m)L_{n+m} + \frac{n^3 - n}{12} \cdot c \cdot \delta_{-m}^n$$

2.  $L_{-1} = T$  infinitesimal translation operator.

3.  $L_0$  is diagonalizable.

Has additional structure (topological vertex algebra) given by operator  $J_0$  given another grading.

Theorem (MSV): Let  $X$  be a non singular compact complex manifold. There exist a sheaf  $\Omega_X^{ch}$  of vector spaces on  $X$  with the properties:

a) For each Zariski open set  $U$ ,  $\Gamma(U, \Omega_X^{ch})$  has a structure of conformal vertex algebra, with restriction maps being morphisms of vertex algebras.

b)  $\Omega_X^{ch}$  has two gradings with degrees called fermionic charge and conformal weight.

c)  $\Omega_X^{ch}$  has deRham differential  $d_{DR}^{ch}$  of (fermionic) degree 1,  $(d_{DR}^{ch})^2 = 0$ .

d) Usual deRham complex  $\Omega_X$  is isomorphic to conformal weight zero component of  $\Omega_{DR}^{ch}$ .

e) The complex  $(\Omega_X^{ch}, d_{DR}^{ch})$  is quasiisomorphic to  $(\Omega_X, d_{DR})$ .

f) Each component of fixed conformal weight has canonical filtration with  $gr_F$  isomorphic to tensor product of exterior powers of tangent and cotangent bundles so that corresponding generating function is

$$\bigotimes_{n \geq 1} (\wedge_{yq^{n-1}} \bar{T}_X \otimes \wedge_{y^{-1}q^n} T_X \otimes S_{q^n} \bar{T}_X \otimes S_{q^n} T_X)$$

DEFINITION: Let  $X$  is a variety for which one can define a chiral DeRham complex  $\mathcal{MSV}(X)$  with properties a)-f) as above.

Elliptic genus of  $X$  is

$$y^{-\frac{\dim X}{2}} \text{SuperTrace}_{H^*(\mathcal{MSV}(X))} y^{J[0]} q^{L[0]}$$

- A test for mirror symmetry.

$$Ell(\widehat{X}) = (-1)^{\dim X} Ell(X)$$

Theorem: Let  $X$  be a generic hypersurface in the Gorenstein toric Fano variety defined by the combinatorial data above. Then

$$Ell(X, y, q) = y^{\frac{-d}{2}} \text{SuperTrace}_{H^*(\mathcal{MSV}(X))} y^{J[0]} q^{L[0]} =$$

$$y^{\frac{-d}{2}} \sum_{m \in M} \left( \sum_{n \in K^*} y^{n \cdot \text{deg} - m \cdot \text{deg}^*} q^{m \cdot n + m \cdot \text{deg}^*} G(y, q)^{d+2} \right)$$

where

$$G(y, q) = \prod_{k \geq 1} \frac{(1 - yq^{k-1})(1 - y^{-1}q^k)}{(1 - q^k)^2}.$$

Corollary: Elliptic genera of toric hypersurfaces corresponding to dual polytopes satisfy mirror duality.

## Discrete Torsion and Elliptic genus

Let  $\alpha \in H^2(G, U(1))$  and let

$$\delta(g, h) = \frac{\alpha(g, h)}{\alpha(h, g)}$$

**Definition:**

$$\begin{aligned} Ell_{orb}^\alpha(X, G, q, y) &:= \\ y^{-\dim X/2} \sum_{[g], X^g} y^{F(g, X^g \subseteq X)}. \\ \frac{1}{|C(g)|} \sum_{h \in C(h)} \delta(g, h) L(h, V_{g, X^g \subseteq X}). \end{aligned}$$

(where:

$$V_{h, X^h \subseteq X} :=$$

$$\begin{aligned}
& \otimes_{k \geq 1} [(\Lambda_{yq^{k-1}}^\bullet V_0^*) \otimes (\Lambda_{y^{-1}q^k}^\bullet V_0) \otimes \\
& \quad (Sym_{q^k}^\bullet V_0^*) \otimes (Sym_{q^k}^\bullet V_0) \otimes \\
& \otimes [\otimes_{\lambda \neq 0} (\Lambda_{yq^{k-1+\lambda(h)}}^\bullet V_\lambda^*) \otimes (\Lambda_{y^{-1}q^{k-\lambda(h)}}^\bullet V_\lambda) \otimes \\
& \quad (Sym_{q^{k-1+\lambda(h)}}^\bullet V_\lambda^*) \otimes (Sym_{q^{k-\lambda(h)}}^\bullet V_\lambda)]
\end{aligned}$$

**Alternative Form:**

$$\begin{aligned}
& Ell_{orb}^\alpha(X, G; y, q) = \\
& \frac{1}{|G|} \sum_{gh=hg} \delta(g, h) \prod_{\lambda(g)=\lambda(h)=0} x_\lambda \times \\
& \prod_{\lambda} \Phi(g, h, \lambda, z, \tau, x_\lambda)[X^{g,h}] \\
& \Phi(g, h, \lambda, z, \tau, x) = \\
& \frac{\theta(\frac{x}{2\pi i} + \lambda(g) - \tau\lambda(h) - z)}{\theta(\frac{x}{2\pi i} + \lambda(g) - \tau\lambda(h))} e^{2\pi i z \lambda(h)}.
\end{aligned}$$

## Specialization: Twisted $E$ -function:

$$E^\alpha(u, v, G) =$$

$$\sum_{[g], X^g} (uv)^{F(g, X^g \subset X)} \sum_{p, q} \dim H^{p, q}(X^g, L_\alpha)^{C(g)} u^p v^q$$

which for  $u = 1, v = -1$  yields:

$$e^\alpha(X, G) = \frac{1}{|G|} \sum_{fg=gf} \delta(f.g) e(X^{f,g})$$

The elliptic genus satisfies:

$$Ell^\alpha(0, y, G) = y^{\frac{\dim X}{2}} E^\alpha(y, -1, G)$$

**Modularity :**

$$Ell_{orb}^{\alpha}(X, G, q, y)$$

is Jacobi form (weight 0 index  $\frac{d}{2}$ ) for a subgroup of the Jacobi group.

**Vertex Algebra interpretation**

$\alpha \in H^2(G, U(1))$  yields a character of  $C(g)$

$$(\alpha_g(h) = \delta(g, h))$$

$\Omega_X^{ch, g}$  is sheaf of ( $g$ -twisted)  $\Omega^{ch}$ -modules

( $g$ -twisted is certain restriction on graded components of a VOA module with action of  $g$ )

Let

$$\mathcal{H}_{orb}^\alpha(X, G) = \bigoplus_{[g]} H^*(X, \Omega_X^{ch, g})^{C(g)_\alpha}$$

(for  $\alpha = 0$  one has orbifold chiral deRham complex of Frenkel-Szczesny)

Then

$$Ell_{orb}^\alpha(X, G, q, y) = y^{-\frac{\dim X}{2}} \text{Supertrace}(q^{L_0} y^{J_0}, \mathcal{H}_{orb}^\alpha(X, G))$$

## Symmetric products and discrete torsion

One has

$$H^2(S_N, U(1)) = \mathbf{Z}_2 \quad (N \geq 4)$$

Let us before:

$$Ell(X; q, y) = \sum_{m, \ell} c(m, \ell) q^m y^\ell$$

Consider:

$$Z^\alpha(p, q, y) = \sum_{N \geq 0} p^N Ell_{orb}^\alpha(X^N, S_N, q, y)$$

Then  $(n > 0, m, l \geq 0)$ :

$$Z^\alpha(p, q, y) = \frac{1}{2} (Z_{++} + Z_{+-} + Z_{-+} + Z_{--})$$

. where:

$$Z_{++}(p, q, y) = \prod_{n, m, l} \frac{(1 + p^{2n} q^{m - \frac{1}{2}} y^l)^{c(n(2m-1), l)}}{(1 - p^{2n-1} q^m y^l)^{c((2n-1)m, l)}}$$

$$Z_{+-}(p, q, y) = \prod_{n, m, l} \frac{(1 - p^{2n} q^{m - \frac{1}{2}} y^l)^{c(n(2m-1), l)}}{(1 - p^{2n-1} q^m y^l)^{c((2n-1)m, l)}}$$

$$Z_{-+}(p, q, y) = \prod_{n, m, l} \frac{(1 + p^{2n} q^m y^l)^{c(2nm, l)}}{(1 - p^{2n-1} q^m y^l)^{c((2n-1)m, l)}}$$

$$Z_{--}(p, q, y) = - \prod_{n, m, l} \frac{(1 - p^{2n} q^m y^l)^{c(2nm, l)}}{(1 - p^{2n-1} q^m y^l)^{c((2n-1)m, l)}}$$

(there is  $G$ -equivariant form of this identity involving wreath products)

## Specialization to euler characteristic case

Usual elliptic genus specialization (Goetsche):

$$\sum p^N e_{orb}(X^N, S_N) = \prod_{n>0} (1 - p^n)^{-e(X)}$$

(almost modular form)

In torsion case:

$$\sum p^N e_{orb}^\alpha(X^N, S_N) = \prod_{n>0} (1 - p^{2n-1})^{-e(X)} \times$$

$$\left\{ 1 + \frac{1}{2} \left[ \prod (1 + p^{2n})^{e(X)} - \prod (1 - p^{2n})^{e(X)} \right] \right\}$$

(modular properties?)