

# ALGEBRAIC K-THEORY OF PARAMETERIZED ENDOMORPHISMS

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## 1. INTRODUCTION

Let  $R$  be a ring and  $M$  an  $R$ -bimodule. Let  $TM$  denote the tensor algebra spanned on  $M$ . Denote by  $End(R, M)$  the category whose objects are pairs  $(P, f)$ , where  $P$  is a finitely generated projective right  $R$ -module and  $f : P \rightarrow P \otimes M$ . Let  $Nil$  denote the full subcategory of  $End(R, M)$  consisting of nilpotent objects. Our ultimate goal (which is still far ahead) is to understand the inclusion functor  $Nil \hookrightarrow End(R, M)$  on  $K$ -theoretical level in terms of  $K$ -theories of rings. The difference between  $K(End(R, M))$  and  $K(Nil)$  should be described in terms of the  $K$ -theory of a suitable, non-commutative localization of the ring  $TM$ .

It is worth underlining here that the category  $End(R, M)$  and its  $K$ -theory appears naturally in  $K$ -theoretical investigations. For example it played a crucial role in comparing stable  $K$ -theory and topological Hochschild homology in [DM]. Recently, it was used by McCarthy in his studies on the de Rham-Witt complex. It looks like the meaning of this theory will grow in the  $K$ -theoretical investigations in the nearest future.

Let us give here some historical motivation for our investigations. When  $M = R$  it is known that reduced  $K(Nil)$  is the same as reduced  $K(R[x])$  with a shift of gradation while  $\tilde{K}(End(R, M))$  is equal to  $\Omega\tilde{K}(A)$  where  $A$  is equal to  $R[X]$  localized in  $(1 + xR[x])$  ( see [G] ) and  $\Omega\tilde{K}(A)$  denotes the loop space of the reduced  $K(A)$  . Hence the effect on  $K$ -theory of our inclusion functor can be viewed as a part of the localization sequence for localizing polynomial algebra. The observation comparing  $K(Nil)$  and  $K(R[x])$  has its generalization to "larger"  $M$ 's : Waldhausen in [W2, see Theorem 3, page 137] proved that for a projective  $M$ , the reduced  $K(Nil)$  is the same as the reduced  $\Omega K(TM)$ . We are looking for the appropriate generalization of the second observation. Our investigations were stimulated by McCarthy, who after [DM] conjectured that  $K(End(R, M))$  should be described via appropriate localization of  $TM$ .

Our final results only partially fulfill our expectations. There are two reasons for that. First of all our model of the cofiber of the map  $K(Nil) \rightarrow K(End(R, M))$ , which we construct in sections two and three following the work of Schlichting ([Sch]) , is very special. To make it work we have to

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assume that our ground ring is of the semi-simple type. The other problem comes from the fact that as a main tool to work with the localized tensor algebra we use the localization sequence of Neeman and Ranicki from [NR]. To use it we have to assume that the localized tensor algebra is stably-flat over  $TM$ . While writing this note we discovered that algebraic properties of localizations of  $TM$  are largely unknown when only one assumes that the ground ring is not a field. Hence to get our final result (Theorem 3.3) we have to assume that  $R$  is a field. We suggest [S] as a good place to learn something about non-commutative localizations and its properties and also as a reference book on this subject.

## 2. CATEGORY OF PARAMETERIZED ENDOMORPHISMS

Let  $R$  be a commutative ring with unit and  $M$  a finitely generated  $R$ -bimodule. We will assume that  $M$  is (bi)-projective of rank bigger than 1 and  $R$  satisfies the condition that every submodule of a finitely generated projective module  $P$  is itself finitely generated projective and splits as a direct summand in  $P$ . In other words we assume that our ring is semi-simple. In such case the category of finitely generated projective  $R$ -modules is abelian. As one sees, we eventually assume that our ground ring  $R$  is commutative. The assumption that  $R$  is commutative can be obviously removed, but having it we do not have to write about right and left structures over  $R$ , which play no role in our investigations. The real "noncommutativity" here comes from the tensor algebra.

Let  $TM$  denote the full tensor algebra on  $M$ :

$$TM = \bigoplus_{0 \leq i} M^{\otimes i}$$

Denote by  $End(R, M)$  the category whose objects are pairs  $(P, f)$ , where  $P$  is a finitely generated projective right  $R$ -module and  $f : P \rightarrow P \otimes M$ . Morphisms  $\Phi : (P, f) \rightarrow (Q, g)$  are given by maps  $\phi : P \rightarrow Q$  which satisfy

$$g \circ \phi = (\phi \otimes id) \circ f$$

We will address  $End(R, M)$  as a category of parameterized endomorphisms. It has an obvious structure of an exact category coming from the exact category of projective modules over  $R$  (we forget about  $f$ ). The following definition is taken from [W2]:

**Definition 2.1.** An object  $(P, f)$  of  $End(R, M)$  is called nilpotent if  $P = \bigcup_i P^i$  where  $P^i$  is defined inductively by the formula  $P^i = f^{-1}(P^{i-1} \otimes M)$  with  $P^0 = 0$ .

**Lemma 2.2.** An object  $(P, f)$  is nilpotent if and only if the map  $P \otimes TM \rightarrow P \otimes TM$  induced by  $id - f$  is an isomorphism.

Proof. This lemma is fully proved in [W2, page 160]. Shortly speaking the formula

$$id + f + f^2 + f^3 + \dots : P \otimes TM \rightarrow P \otimes TM$$

makes sense for nilpotent objects, where

$$f^i = (f \otimes id \otimes \dots \otimes id) \circ \dots \circ (f \otimes id) \circ f : P \rightarrow P \otimes M^{\otimes i}$$

and describes well the inverse to the map induced by  $id - f$ . For the implication in the opposite direction one can use 2.3 below.

**2.3.Lemma.** Let  $(P, f)$  be an object of  $End(R, M)$ . Then there is a unique submodule  $P'$  of  $P$ , such that  $(P', f|_{P'})$  is nilpotent and  $f : P/P' \rightarrow P/P' \otimes M$  is a monomorphism. Moreover any  $\phi : (P, f) \rightarrow (Q, g)$  induces  $\phi' : (P', f|_{P'}) \rightarrow (Q', g|_{Q'})$

Proof of 2.3. Define

$$P' = \bigcup P^i$$

where  $P^i$ 's are defined in 2.1. Then  $f|_{P'}$  has its image in  $P' \otimes M$  by definition and hence  $f$  defines the map  $P/P' \rightarrow P/P' \otimes M$ . Then it is straightforward to check that all required properties are satisfied. The map  $\phi' = \phi|_{P'}$ . It is also easy to check that  $\phi'$  is well defined.

In the future the quotient  $P/P'$  as above will be denoted  $P''$  and the induced map  $P'' = P/P' \rightarrow P/P' \otimes M = P'' \otimes M$  will be called  $f''$ .

Observe that the subcategory  $Nil$  of nilpotent objects inherits the structure of an exact category from  $End(R, M)$ . Recall from [Sch, 1.3 ] the definition of a filtering subcategory of an exact category. WARNING: in an exact category we will follow notation from [Sch] and will call an admissible epimorphism as deflation, an admissible monomorphism as inflation and an exact sequence as conflation.

**2.4. Definition:** Let  $\mathcal{U}$  be an exact category and let  $\mathcal{A} \subset \mathcal{U}$  be an extension closed full subcategory . Then the inclusion  $\mathcal{A} \subset \mathcal{U}$  is called right filtering if

- (1)  $\mathcal{A}$  is closed under taking admissible subobjects and admissible quotients in  $\mathcal{U}$  and
- (2) every map  $U \rightarrow A$  from an object  $U$  of  $\mathcal{U}$  to an object  $A$  of  $\mathcal{A}$  factors through an object  $B$  of  $\mathcal{A}$  such that the arrow  $U \rightarrow B$  is a deflation:

$$\begin{array}{ccc} U & \xrightarrow{\vee} & A \\ & \searrow & \uparrow \\ & & \exists B \end{array}$$

The inclusion  $\mathcal{A} \subset \mathcal{U}$  is called left filtering if  $\mathcal{A}^{op}$  is right filtering in  $\mathcal{U}^{op}$ .

**2.5. Proposition:** Under our assumptions on  $R$  and  $M$  the category  $Nil$  is a full, extension closed subcategory of  $End(R, M)$  which is right and left filtering.

Proof. By the definition  $Nil$  is a full subcategory of  $End(R, M)$ . Every subobject and every quotient of a nilpotent object is again nilpotent. Moreover, 2.2 implies that when  $(P, f)$  is an object of  $End(R, M)$  and it has a nilpotent subobject with nilpotent quotient then  $(P, f)$  is nilpotent. Every arrow  $\phi : (P, f) \rightarrow (Q, g)$  has its image  $(im\phi, g|_{im\phi})$  in  $End(R, M)$  which is an admissible subobject of  $(Q, g)$  and an admissible quotient of  $(P, f)$ . This implies both filtering properties.  $\square$

We will follow the path described in [Sch]. We will call a map  $\phi : (P, f) \rightarrow (Q, g)$  in  $End(R, M)$  a weak isomorphism when it is a finite composition of inflations with cokernels in  $Nil$  and deflations with kernels in  $Nil$ . Following [Sch, 1.16] we have a well defined quotient category  $\mathcal{H} = End(R, M)/Nil$  obtained from  $End(R, M)$  by formally inverting the weak isomorphisms. Moreover  $\mathcal{H}$  has a natural exact structure in which a sequence  $X \rightarrow Y \rightarrow Z$  is a conflation if it is isomorphic to the image of a conflation in  $End(R, M)$  under localization functor  $End(R, M) \rightarrow \mathcal{H}$ . Obviously this localization functor is an exact functor of exact categories and we have ([Sch, 2.1]):

**2.6. Theorem:** The sequence of exact categories  $Nil \rightarrow End(R, M) \rightarrow \mathcal{H}$  induces a homotopy fibration of  $K$ -theory spaces

$$K(Nil) \rightarrow K(End(R, M)) \rightarrow K(\mathcal{H})$$

**2.7. Remark:** As Schlichting noticed in 1.13 the set of weak isomorphisms admits a calculus of fractions. Hence in  $\mathcal{H}$  every morphism can be written as a map in  $End(R, M)$  followed by an inverse of a weak isomorphism.

**2.8. Remark:** Theorem 2.6 can also be obtained from Quillen's localization theorem ([Q, Theorem 5]), by observing that  $Nil$  is a Serre subcategory of  $End(R, M)$  and  $\mathcal{H}$  is equivalent to the associated quotient.

### 3. THE CATEGORY OF $TM$ -MODULES.

Let  $A_P$  denote the right  $TM$ -module which fits into an exact sequence

$$0 \rightarrow P \otimes TM \rightarrow P \otimes TM \rightarrow A_P \rightarrow 0$$

where  $(P, f)$  is an object of  $End(R, M)$  and the map  $P \otimes TM \rightarrow P \otimes TM$  is induced by  $id - f$ . Obviously  $A_P$  is generated over  $TM$  by the image of the 0-grade of  $P \otimes TM$  which is isomorphic to  $P$  as an  $R$ -module. Hence  $A_P$  is always finitely generated. Warning: for simplicity we do not include  $f$  into

the notation for  $A_P$  assuming that it will be always clear (or not necessary to know) which map we have to take into account. Moreover, for a given  $TM$ -module  $A_P$  as above the  $R$ -module  $P$  is obviously not uniquely determined. Nevertheless we will use this notation to indicate that our module fits into the exact sequence as above. We will write  $A_P = A_Q$  when  $P$  is a submodule of  $Q$  and the natural embedding  $A_P \hookrightarrow A_Q$  is an isomorphism. We will use the same convention for the quotient map  $P \twoheadrightarrow Q$  with the property that the natural quotient map  $A_P \twoheadrightarrow A_Q$  is an isomorphism.

Denote  $H(TM, E)$  the full subcategory of the category of right  $TM$ -modules consisting of objects isomorphic to  $A_P$ 's as above. We can endow the category  $H(TM, E)$  with an exact structure by saying that a short sequence in it is a conflation when it comes from a conflation in  $End(R, M)$ . In order to be sure that this way we do get an exact category structure we show that  $H(TM, E)$  is equivalent as an exact category to the category of right  $TM$ -modules of projective dimension 1 which have resolutions of the type described above. Later we will show that  $H(TM, E)$  is equivalent to  $\mathcal{H}$  as an exact category. But before proving all these results we need first to show some technical lemmas.

**Lemma 3.1:** Assume that  $(P, f)$  and  $(Q, g)$  are objects of  $End(R, M)$  and  $F : A_P \rightarrow A_Q$  is a morphism of  $TM$ -modules. Assume that there is a homomorphism  $\phi : P \otimes TM \rightarrow Q \otimes TM$  which covers  $F$  and is induced by a homomorphism  $\Phi : P \rightarrow Q$ . Moreover assume that  $g$  is a monomorphism. Then  $\Phi$  is unique.

Proof. Assume that  $\Phi' : P \rightarrow Q$  is another homomorphism satisfying the same conditions as  $\Phi$ . Then for every  $p \in P$  the element  $\Phi(p) - \Phi'(p)$  goes to 0 in  $A_Q$ . Assume that there is  $p \in P$  such that  $\Phi(p) - \Phi'(p) \neq 0$ . But then  $\Phi(p) - \Phi'(p) \in im(id - g)$ . On the other hand this is possible only if  $g$  has nontrivial kernel.

**Lemma 3.2:** Let  $(P, f)$  and  $(Q, g)$  be objects of  $End(R, M)$ . Assume that we have a commutative diagram of  $TM$ -modules

$$\begin{array}{ccccc} P \otimes TM & \xrightarrow{\quad} & P \otimes TM & \longrightarrow & A_P \\ & & \text{\scriptsize } id-f & & \\ \downarrow \phi' & & & \downarrow \phi & \downarrow F \\ Q \otimes TM & \xrightarrow{\quad} & Q \otimes TM & \longrightarrow & A_Q \\ & & \text{\scriptsize } id-g & & \end{array}$$

where  $\phi$  is induced by an  $R$ -homomorphism  $\Phi : P \rightarrow Q$ . Moreover assume that  $g$  is a monomorphism. Then  $\phi = \phi'$ .

Proof. The homomorphism  $\phi'$  is uniquely determined by its values on  $P$  or in other words by its values on the 0-grade part of  $P \otimes TM$ . Write  $\phi'$  restricted to  $P$  as a sum  $\phi' = \phi'_0 + \phi'_1 + \dots + \phi'_k$  where indices correspond to the gradation in  $Q \otimes TM$ . Then from the commutativity of the left-hand square in the diagram above we easily check that  $\phi = \phi'_0$  because two

elements in a graded object are the same when they are the same in every gradation. Let for a given  $p \in P$ ,  $s$  be the largest index such that  $\phi'_s(p) \neq 0$ . Then  $(id - g)(\phi'(p))$  has a nontrivial part in the grading  $s + 1$  because  $g$  is a monomorphism. On the other hand  $\phi((id - f)(p))$  is trivial above gradation 1. Hence  $s = 0$  and the lemma is proved.

**Lemma 3.3:** Let  $(P, f)$  and  $(Q, g)$  be objects of  $End(R, M)$ . Assume that we have given a commutative square in the category of  $TM$ -modules:

$$\begin{array}{ccc} P \otimes TM & \longrightarrow & A_P \\ \downarrow \phi & & \downarrow F \\ Q \otimes TM & \longrightarrow & A_Q \end{array}$$

where horizontally we have our standard projection maps. Then there exists an object  $(S, h)$  of  $End(R, M)$  such that  $A_S = A_Q$ ,  $h$  is a monomorphism and we have a commutative diagram

$$\begin{array}{ccc} P \otimes TM & \longrightarrow & A_P \\ \downarrow \phi' & & \downarrow F \\ S \otimes TM & \longrightarrow & A_S \end{array}$$

where  $\phi'$  is induced by an  $R$ -homomorphism  $\Phi' : P \rightarrow S$ .

*Proof.* Let us start from some simple technical observation. Assume that  $(T, j)$  is an object in  $End(R, M)$ . Let  $T' = T \oplus T \otimes M \oplus \dots \oplus T \otimes M^{\otimes k}$  for a certain  $k$ . Observe that  $T'$  is a finitely generated projective  $R$ -module. Let  $H : T' \rightarrow T' \otimes M$  be a map defined in the described above decomposition of  $T'$  by the matrix

$$\begin{pmatrix} j & id & 0 & \dots & 0 \\ 0 & 0 & id & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & \dots & 0 & id \\ 0 & \dots & \dots & \dots & 0 \end{pmatrix}$$

Then it is easy to check that  $A_T$  is the same as  $A_{T'}$  as right  $TM$ -modules. The identification comes from the embedding  $T \hookrightarrow T'$  on the first summand.

The image of the 0 grade of  $P \otimes TM$  is contained in  $Q \oplus Q \otimes M \oplus \dots \oplus Q \otimes M^{\otimes k}$  for a certain  $k$ . Put  $\bar{S} = Q \oplus Q \otimes M \oplus \dots \oplus Q \otimes M^{\otimes k}$  and  $\bar{h} = H$  as above with  $g$  instead of  $j$ . Then we can easily define  $\phi' : P \rightarrow \bar{S}$  which induces a  $TM$ -homomorphism covering  $F$ . Observe that  $\phi$  restricted to  $P$  treated as the 0-grade of  $P \otimes TM$  induces an  $R$  homomorphism  $\bar{\phi} : P \rightarrow \bar{S}$ . Take  $\phi' = \bar{\phi}$ .

The obvious question which arises here is why  $\phi'$  covers  $F$ . Obviously  $\phi$  composed with the embedding  $i$  of  $Q$  into  $\bar{S}$  at the first summand is not equal to  $\phi'$ . But for any  $p \in P$  the classes in  $A_{\bar{S}}$  of  $i \circ \phi(p)$  and  $\phi'(p)$  are equal. This is easily seen from the way we identify  $A_Q$  and  $A_{\bar{S}}$ . The main

point in the construction of  $\bar{S}$  is to allow us to see elements from the first  $k$ -grades of  $Q \otimes TM$  as elements of the first grade of  $\bar{S} \otimes TM$ .

At this stage we cannot guarantee that  $\bar{h}$  is a monomorphism (usually it is not !). So in order to get our object  $(S, h)$  we have to follow the lines of 2.3 and put  $S = \bar{S}''$  with the map  $h$  induced from  $\bar{h}$ .

**Lemma 3.4:** Assume that  $A$  and  $B$  are objects of  $H(TM, E)$  and  $F : A \rightarrow B$  is a  $TM$ -homomorphism. Then there exist objects  $(P, f)$  and  $(S, h)$  in  $End(R, M)$  and a map  $\Phi : P \rightarrow S$  in  $End(R, M)$  such that  $A_P$  is isomorphic to  $A$ ,  $A_S$  is isomorphic to  $B$  and under this identification the map of  $TM$ -modules induced by  $\Phi$  covers  $F$ . Moreover when  $F$  is a monomorphism (epimorphism) we can get  $\Phi$  of the same type.

Proof. Both  $A$  and  $B$  are objects of  $H(TM, E)$  hence the existence of  $(P, f)$  and  $(Q, g)$  such that  $A_P = A$  and  $A_Q = B$  is obvious from the definition.  $TM$ -modules  $P \otimes TM$  and  $Q \otimes TM$  are projective over  $TM$  so by general properties of projective objects we have a commutative diagram

$$\begin{array}{ccccc} P \otimes TM & \xrightarrow{\quad} & P \otimes TM & \longrightarrow & A_P \\ & & \text{\scriptsize } id-f & & \\ \downarrow \phi' & & & & \downarrow F \\ Q \otimes TM & \xrightarrow{\quad} & Q \otimes TM & \longrightarrow & A_Q \\ & & \text{\scriptsize } id-g & & \end{array}$$

with exact rows. Now we can apply 3.3 to the right square of this diagram and get the required  $(S, h)$  for the first part of the lemma. In order to get mono- and epi- properties we have to work a little more.

Assume that  $F$  is a monomorphism. By 2.3 we can assume that  $f$  is a monomorphism either. When we know that  $f$  is mono then the quotient map  $P \otimes TM \rightarrow A_P$  is mono after restriction to the 0-grade. This forces  $\Phi$  to be a monomorphism.

Now assume that  $F$  is an epimorphism. If obtained  $\Phi$  is not an epimorphism then call  $(\bar{S}, \bar{h})$  the object of  $End(R, M)$  given by  $(im\Phi, h|_{\Phi})$ . Then one checks easily that  $A_S = A_{\bar{S}}$  and  $\Phi : P \rightarrow \bar{S}$  is an epimorphism.

**Notation:** In the notation of 3.4, instead of saying that the map of  $TM$ -modules induced by  $\Phi$  covers  $F$  we will say in the future that "  $\Phi$  covers  $F$ ".

**Lemma 3.5:** Assume that  $f : S \rightarrow P \otimes M \oplus P \otimes M^{\otimes 2} \oplus \dots \oplus P \otimes M^{\otimes k}$  is an  $R$ -homomorphism for some natural number  $k$ . Let  $\alpha : S \rightarrow P$  be an isomorphism. Then  $coker(\alpha - f)$  belongs to  $H(TM, E)$ , when we treat here  $(\alpha - f)$  as a map  $S \otimes TM \rightarrow P \otimes TM$  (the obvious extension via tensoring with  $Id_{TM}$ ).

Proof of 3.5. We will proceed similarly to the proofs of previous lemmas. Assume first that  $P = S$ . Let then  $f_i : P \rightarrow P \otimes M^{\otimes i}$  denote the composition of  $f$  with the projection on  $P \otimes M^{\otimes i}$ . It is easy to observe that the cokernel

of  $1 - f$  is isomorphic as a  $TM$ -module to the cokernel of  $1 - F : Q \otimes TM \rightarrow Q \otimes TM$  where  $Q$  is an  $R$ -vector space isomorphic to  $P \otimes M \oplus P \otimes M^{\otimes 2} \oplus \dots \oplus P \otimes M^{\otimes k}$  and  $F : Q \rightarrow Q \otimes M$  in the sum decomposition of  $Q$  as above is given by the matrix:

$$\begin{pmatrix} f_1 & id & 0 & \dots & 0 \\ f_2 & 0 & id & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ f_{k-1} & 0 & \dots & 0 & id \\ f_k & 0 & \dots & \dots & 0 \end{pmatrix}$$

Hence  $coker(1 - f)$  belongs to  $H(TM, E)$ . Because  $f$  was here arbitrary we can write  $\alpha - f = (1 - f \circ \alpha^{-1}) \circ \alpha$  and get the general statement of 3.5.

**Theorem 3.6:** The category  $H(TM, E)$  with conflations coming from  $End(R, M)$  (or equivalently from  $TM$ -modules) is an exact category.

Proof: Because  $H(TM, E)$  is a full subcategory of the category of  $TM$ -modules it is enough to show that the former is extension closed in the latter. Let  $(P, h)$  and  $(Q, g)$  be two objects of  $End(R, M)$ . Assume that a  $TM$ -module  $X$  fits into an exact sequence

$$0 \rightarrow A_P \rightarrow X \rightarrow A_Q \rightarrow 0$$

To get our statement we have only to show that  $X$  is in  $H(TM, E)$ . When we apply standard method for constructing a projective resolution of a module from projective resolutions a submodule and a quotient we immediately get that  $X$  fits into an exact sequence of  $TM$ -modules

$$0 \rightarrow Y \xrightarrow{\psi} Y \rightarrow X \rightarrow 0$$

Moreover we know that  $Y$  is a projective  $TM$ -module and hence, under our assumptions on a ground ring,  $Y = S \otimes TM$  for a certain  $S$  abstractly isomorphic to  $P \oplus Q$  as  $R$ -modules. Easy diagram chase tells us that  $\psi = \alpha - f$  where  $\alpha$  and  $f$  are as in the previous lemma.

There is an exact functor  $\Theta : End(R, M) \rightarrow H(TM, E)$  taking  $(P, f)$  to  $A_P$ . It obviously factors through the localization functor  $End(R, M) \rightarrow \mathcal{H}$ . We will denote by  $\theta$  the induced functor  $\mathcal{H} \rightarrow H(TM, E)$ . Our main result in this section is

**Theorem 3.7:** The functor  $\theta$  is an equivalence of exact categories.

Proof. We will construct an exact functor  $\xi : H(TM, E) \rightarrow \mathcal{H}$ . On objects we put  $\xi(A_P) = (P'', f'')$ , where the image was described in 2.3. In other words we choose  $(P, f)$  which maps to  $A_P$  and kill its nilpotent part.

The morphisms part of  $\xi$  is a little more tricky, because here is the point where we really have to use  $\mathcal{H}$ , and not  $End(R, M)$ . Let  $F : A_P \rightarrow A_Q$  be a  $TM$ -homomorphism. Using 3.3 we can rise it to a map  $\Phi : P'' \rightarrow \bar{Q}$  such



that there is a map  $\alpha : Q'' \rightarrow \bar{Q}$  with nilpotent cokernel covering identity on  $A_Q$ . Hence  $\alpha^{-1} \circ \Phi$  is a well defined map in  $\mathcal{H}$ . This map defines  $\xi(F)$ . But, defining  $\xi(F)$  we have made several choices so we have to show that our map  $\xi(F)$  does not depend on them.

Assume that  $\psi : P'' \rightarrow Q''$  is map in  $\mathcal{H}$  which covers  $F$ . By the calculus of fractions we can assume that  $\psi = \beta^{-1} \circ \Phi'$  where  $\Phi' : P'' \rightarrow S$  and  $\beta : Q'' \rightarrow S$  is a composition of weak isomorphisms. We have to show that

$$\alpha^{-1} \circ \Phi = \beta^{-1} \circ \Phi'$$

Proceeding as previously we can rise  $id : A_Q \rightarrow A_Q$  to a map  $\gamma^{-1} \circ \delta$ , where  $\delta : S \rightarrow \bar{S}$  and  $\gamma : \bar{Q} \rightarrow \bar{S}$  and moreover both  $\delta$  and  $\gamma$  are weak isomorphisms. We can, of course assume that  $\bar{S}$  contains no nilpotent part. If that was not the case then we could quotient nilpotents out, as in 2.3. Notice that , accordingly to 3.1, we have equalities

$$\gamma \circ \alpha = \delta \circ \beta$$

and

$$\delta \circ \Phi' = \gamma \circ \Phi$$

From this we easily calculate

$$\alpha^{-1} = \beta^{-1} \circ \delta^{-1} \circ \gamma$$

and eventually

$$\alpha^{-1} \circ \Phi = \beta^{-1} \circ \delta^{-1} \circ \gamma \circ \gamma^{-1} \circ \delta \circ \Phi' = \beta^{-1} \circ \Phi'$$

as we wanted. It is obvious from its definition that  $\xi$  maps identities to identities and compositions of morphisms to compositions. Similarly, it is obvious that  $\xi$  is exact because all conflations in  $H(TM, E)$  are coming from conflations in  $\mathcal{H}$ . Hence we have proved that  $H(TM, E)$  is equivalent via an exact functor to the category obtained from  $\mathcal{H}$  by choosing at least one object from every isomorphism class in  $\mathcal{H}$ . This finishes the proof of 3.7.

□

As an immediate corollary of 2.6 and 3.7 we get

**Corollary 3.8:** We have the following exact sequence of algebraic  $K$ -theory groups:

$$\dots \rightarrow K_{i+1}(H(TM, E)) \rightarrow K_i(Nil) \rightarrow K_i(End(R, M)) \rightarrow K_i(H(TM, E)) \rightarrow \dots$$

## 4. ENDOMORPHISMS AGAINST LOCALIZATION

Now we are in a position to access the noncommutative localizations of rings. We are going to use the theorem of Neeman and Ranicki on the  $K$ -theory of noncommutative localizations. But before stating it we need some more notation. Let  $A$  be ring and  $\sigma$  be a collection of maps between finitely generated projective right modules over  $A$ . In such a case there is a general construction of a ring  $\sigma^{-1}A$  which is called a noncommutative localization of  $A$  with respect to  $\sigma$ . Let  $H(A, \sigma)$  denote the exact category of  $\sigma$ -torsion  $A$ -modules of projective dimension one, i.e. the  $A$ -modules with a finitely generated projective  $A$ -module resolution

$$0 \rightarrow P \xrightarrow{s} Q \rightarrow T \rightarrow 0$$

where  $\sigma^{-1}s : \sigma^{-1}P \rightarrow \sigma^{-1}Q$  is an isomorphism. We have the following theorem ([NR]):

**Theorem 4.1:** Let  $\sigma^{-1}A$  be stably flat over  $A$  and assume that each  $s \in \sigma$  is a monomorphism. Then we have the long exact sequence of  $K$ -theory groups (localization sequence):

$$\dots \rightarrow K_n(A) \rightarrow K_n(\sigma^{-1}A) \rightarrow K_{n-1}(H(A, \sigma)) \rightarrow K_{n-1}(A) \rightarrow \dots$$

Now we can come back to our considerations. Let  $\sigma$  denote the collection of  $TM$ -maps  $1 - f : P \otimes TM \rightarrow P \otimes TM$  as in Section 2, where  $(P, f)$  is an object of  $End(R, M)$ . We have:

**Lemma 4.2:** Assume that  $R$  is a field. Then:

$$H(TM, E) \simeq H(TM, \sigma)$$

as exact categories.

We postpone the proof of 4.2 for a while. Observe that all theories  $K(Nil)$ ,  $K(End(R, M))$  and  $K(\sigma^{-1}TM)$  have obvious split surjective maps to  $K(R)$ . Moreover the middle map in the exact sequence of 3.8 is compatible with these splittings. Let  $\tilde{K}(Nil)$ ,  $\tilde{K}(End(R, M))$  and  $\tilde{K}_{n+1}(\sigma^{-1}TM)$  denote the corresponding reduced theories. Observe that 3.8 and 4.2 yield the following theorem:

**Theorem 4.3:** Assume that  $R$  is a field. Then we have

$$\tilde{K}_n(End(R, M)) = \tilde{K}_{n+1}(\sigma^{-1}TM)$$

Proof. Our ring  $R$  is a field so obviously it is regular coherent in the sense of Waldhausen's Theorem 4 [W2, p.138] and hence  $K(TM) = K(R)$  and  $\tilde{K}(Nil)$  is trivial. Thus 3.8 tells us that  $\tilde{K}_n(End(R, M)) = K_n(H(TM, E))$ . This latter group is the same as  $K_n(H(TM, \sigma))$  by 4.2. Again, assumptions on  $R$  easily imply that  $\sigma^{-1}TM$  is stably flat over  $TM$  because this latter

ring is hereditary (see [B1] and the introduction to [NR]). Then we get our statement by using localization sequence 4.1.

Proof of 4.2. We have to show that categories  $H(TM, E)$  and  $H(TM, \sigma)$  are equivalent. There is an obvious exact embedding functor  $H(TM, E) \rightarrow H(TM, \sigma)$ . We have only to show that every object of  $H(TM, \sigma)$  is isomorphic to some object of  $H(TM, E)$ . Using lemma 3.5 we know that that if  $f : S \rightarrow P \otimes M \oplus P \otimes M^{\otimes 2} \oplus \dots \oplus P \otimes M^{\otimes k}$  is an  $R$ -homomorphism for some natural number  $k$  and  $\alpha : S \rightarrow P$  be an isomorphism then  $\alpha - f$  treated as a map  $S \otimes TM \rightarrow P \otimes TM$  gets inverted after localization with respect to  $\sigma$ .

Knowing this, while talking about  $H(TM, \sigma)$  we can enlarge  $\sigma$  to  $\Sigma$  which consists of all maps  $\alpha - f$  where  $\alpha : S \rightarrow P$  is an isomorphism of a finitely generated projective  $R$ -modules and  $f : S \rightarrow P \otimes TM_+$ . The notation  $TM_+$  stands here for the tensor algebra without the 0-grade. We will finish the proof of 4.2 if we show that any map between finitely generated  $TM$ -modules, which is invertible after localization, belongs to  $\Sigma$ .

With our assumption that  $R$  is a field we know that all projective objects over  $TM$  are free with the well defined rank (see for example [B1] and [B2]). Let  $f : X \rightarrow Y$  be a map invertible after localization with respect to  $\sigma$ , where  $X = R^n \otimes TM$  and  $Y = R^m \otimes TM$ . Let  $X_i$  ( $Y_i$ ) denote the  $i$ -th grade of  $X$  ( $Y$ ). Let  $f_0$  be equal to  $f|_{X_0}$  composed with the projection on the 0-grade of  $Y$ . To finish the proof we have only to show that  $f_0$  is an isomorphism.

First of all observe that  $f_0 = f \otimes_{TM} id_R : X \otimes_{TM} R = X_0 \rightarrow Y_0 = Y \otimes_{TM} R$ . Moreover, the natural ring map  $TM \rightarrow R$  factors through the localization map  $l : TM \rightarrow \sigma^{-1}TM$ . This follows from the universal property satisfied by  $l$ . But knowing this we can finish the proof by observing that we have an equality

$$f_0 = f \otimes_{TM} id_{\sigma^{-1}TM} \otimes_{\sigma^{-1}TM} id_R$$

as maps

$$X_0 = X \otimes_{TM} \sigma^{-1}TM \otimes_{\sigma^{-1}TM} R \rightarrow Y \otimes_{TM} \sigma^{-1}TM \otimes_{\sigma^{-1}TM} R = Y_0$$

and  $f \otimes_{TM} id_{\sigma^{-1}TM}$  is an isomorphism.

**Remark 4.5:** We can give a better description of  $\sigma^{-1}TM$ , more in the spirit of the commutative case. Let  $\sigma'$  be the set of all elements of  $TM$  of the form  $1 - m_1 \otimes \dots \otimes m_n$  for an arbitrary  $n$ . Then  $\sigma^{-1}TM$  is isomorphic as a ring to  $\sigma'^{-1}TM$ . To see this it is enough to observe that any saturated class of morphisms (in the sense of [S, page 58]) between projectives, which contains  $\sigma'$  has to contain  $\Sigma$ . This is obvious because the multiplicative closure of  $\sigma'$  has this property.

**Remark 4.6:** Observe that our proof of 4.2 works well in the case when we can assume that resolutions describing elements of  $H(TM, \sigma)$  consist of

finitely generated free  $TM$ -modules. For example this is the case if every finitely generated projective  $TM$ -module is stably free. But here our poor understanding of the ring  $TM$  comes into play and prevents us from getting stronger results.

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