

Typy proste

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Church style syntax (orthodox)

Assume infinite sets V_τ of variables of each type τ .

Define sets T_τ of terms of type τ :

- ▶ A variable of type τ is a term of type τ ;
- ▶ If $M \in T_{\sigma \rightarrow \tau}$ and $N \in T_\sigma$ then $(MN) \in T_\tau$;
- ▶ If $M \in T_\tau$ and $x \in V_\sigma$ then $(\lambda x M) \in T_{\sigma \rightarrow \tau}$.

Write M^σ for $M \in T_\sigma$ and define beta-reduction by

$$(\lambda x^\sigma. M^\tau) N^\sigma \Rightarrow M[x^\sigma := N].$$

Simple types

Types:

- ▶ Type constant $\mathbf{0}$ is a type.
- ▶ If σ and τ are types then $(\sigma \rightarrow \tau)$ is a type.

Alternatywne podejście: inne stałe lub zmienne typowe.

Konwencja:

- ▶ Zamiast $(\tau \rightarrow (\sigma \rightarrow \rho))$ piszemy $\tau \rightarrow \sigma \rightarrow \rho$.

Każdy typ ma postać $\tau_1 \rightarrow \dots \rightarrow \tau_n \rightarrow atom$.

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Church and Curry

Church style:

- ▶ New syntax, built-in types.
- ▶ Every term has exactly one type.
- ▶ No “untypable” terms.

Curry style:

- ▶ Ordinary untyped lambda-terms.
- ▶ Types are derivable properties of terms.
- ▶ System of type assignment rules.
- ▶ A term may have many types or none.
- ▶ Typability not obvious.

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Non-orthodox Church

Type-assignment with type annotations on bound variables.

$$\Gamma(x:\sigma) \vdash x : \sigma \text{ (Var)}$$

$$\frac{\Gamma(x:\sigma) \vdash M : \tau}{\Gamma \vdash \lambda x:\sigma M : \sigma \rightarrow \tau} \text{ (Abs)}$$

$$\frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash MN : \tau} \text{ (App)}$$

Fact: If $\Gamma \vdash M : \tau$ and $\Gamma \vdash M : \sigma$ then $\tau = \sigma$.

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Properties

Subject reduction property:

Beta-eta reduction preserves types.

Strong normalization:

Every typed term is strongly normalizing.

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Relating systems

Orthodox Church terms are like

- ▶ Non-orthodox terms in a fixed infinite environment.
- ▶ Curry-style type derivations.

Konwencja: Typy jako górne indeksy, np.

$$(\lambda x^\sigma M^\tau) N^\sigma : \tau$$

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Definable functions

Liczebniki Churcha $\mathbf{n} = \lambda fx. f^n(x)$ mają każdy typ postaci

$$\omega_\sigma = (\sigma \rightarrow \sigma) \rightarrow (\sigma \rightarrow \sigma).$$

A function $f : \mathbb{N}^k \rightarrow \mathbb{N}$ is *β -definable in type ω_σ* if there is a closed term F such that

- ▶ $\vdash F : \omega_\sigma \rightarrow \dots \rightarrow \omega_\sigma \rightarrow \omega_\sigma$;
- ▶ If $f(n_1, \dots, n_k) = m$ then $F \mathbf{n}_1 \dots \mathbf{n}_k =_\beta \mathbf{m}$.

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Examples

- ▶ Addition: $\lambda n^{\omega_\sigma} \lambda m^{\omega_\sigma} \lambda f^{\sigma \rightarrow \sigma} \lambda x^\sigma. n f(m f x)$;
- ▶ Multiplication: $\lambda n^{\omega_\sigma} \lambda m^{\omega_\sigma} \lambda f^{\sigma \rightarrow \sigma} \lambda x^\sigma. n(m f)x$;
- ▶ Test for zero (if $n = 0$ then m else k):
 $\lambda n^{\omega_\sigma} \lambda m^{\omega_\sigma} \lambda k^{\omega_\sigma} \lambda f^{\sigma \rightarrow \sigma} \lambda x^\sigma. n(\lambda y^\sigma. k f x)(m f x)$.

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Definable functions

Theorem (H. Schwichtenberg'76):

For every σ the functions beta-definable in type ω_σ
are exactly the extended polynomials.

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Extended polynomials (wielomiany warunkowe)

The least class of functions containing:

- ▶ Addition;
- ▶ Multiplication;
- ▶ Test for zero;
- ▶ Constants zero and one;
- ▶ Projections,

and closed under compositions.

Example: $f(x, y) = \text{if } x = 0 \text{ then if } y = 0 \text{ then } p_1(x, y)$
 $\text{else } p_2(x, y) \text{ else if } y = 0 \text{ then } p_3(x, y) \text{ else } p_4(x, y)$.

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More definable functions

A function f is *non-uniformly* definable if there is a closed term F such that

- ▶ $\vdash F : \omega_{\sigma_1} \rightarrow \dots \rightarrow \omega_{\sigma_k} \rightarrow \omega_\sigma$;
- ▶ If $f(n_1, \dots, n_k) = m$ then $F n_1 \dots n_k =_\beta m$.

Examples:

- ▶ The predecessor function $p(n) = n \dot{-} 1$ and the exponentiation function $\text{exp}(m, n) = m^n$ are non-uniformly definable. (Easy)
- ▶ The subtraction $\text{minus}(m, n) = m \dot{-} n$ and equality test $\text{Eq}(m, n) = \text{if } m = n \text{ then } 0 \text{ else } 1$ are not definable non-uniformly. (Hard)

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Equality

Theorem (R. Statman '79): *The equality problem*

Are two well-typed terms beta-equal?

is non-elementary. That is, for no fixed k it is solvable in time

$$2^{\left. \begin{matrix} 2^{\dots 2^n \\ 2 \end{matrix} \right\} k}$$

Exercise: How long is the normal form of $2 \cdots 2xy$?

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The inhabitation problem

Inhabitation problem:

Given Γ, τ , is there M such that $\Gamma \vdash M : \tau$?

Fact (R. Statman):

Inhabitation in simple types is decidable and Pspace-complete.

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Representing data types

► Natural numbers are generated by

- Constant $0 : \mathbf{int}$;
- Successor $s : \mathbf{int} \rightarrow \mathbf{int}$.

They correspond to long normal forms of type

$$\omega = (\mathbf{0} \rightarrow \mathbf{0}) \rightarrow \mathbf{0} \rightarrow \mathbf{0}$$

► Words over $\{a, b\}$ are generated by

- Constant $\varepsilon : \mathbf{word}$;
- Two successors $\lambda w(a \cdot w)$ and $\lambda w(b \cdot w)$ of type $\mathbf{word} \rightarrow \mathbf{word}$.

They correspond to long normal forms of type

$$\mathbf{word} = (\mathbf{0} \rightarrow \mathbf{0}) \rightarrow (\mathbf{0} \rightarrow \mathbf{0}) \rightarrow \mathbf{0} \rightarrow \mathbf{0}$$

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Representing data types

► Binary trees are generated by

- Constant $nil : \mathbf{tree}$;
- Constructor $cons : \mathbf{tree} \rightarrow \mathbf{tree} \rightarrow \mathbf{tree}$.

They correspond to long normal forms of type

$$\mathbf{tree} = (\mathbf{0} \rightarrow \mathbf{0} \rightarrow \mathbf{0}) \rightarrow \mathbf{0} \rightarrow \mathbf{0}$$

Generalization:

Free algebras correspond to types of order two, i.e., of the form

$$(\mathbf{0}^{n_1} \rightarrow \mathbf{0}) \rightarrow \dots \rightarrow (\mathbf{0}^{n_k} \rightarrow \mathbf{0}) \rightarrow \mathbf{0}$$

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Type reducibility

Definition: Type τ is *reducible* to type σ iff there exists a closed term $\Phi : \tau \rightarrow \sigma$ such that the operator $\llbracket M \rrbracket_{\tau} \cdot \Phi M$ is injective on closed terms, i.e.,

$\Phi M_1 =_{\beta\eta} \Phi M_2$ implies $M_1 =_{\beta\eta} M_2$
for closed $M_1, M_2 : \tau$.

Theorem (R. Statman):

Every type over a single type constant $\mathbf{0}$ is reducible to **tree**.

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Semantics for finite types

Assumptions:

- ▶ Orthodox Church style;
- ▶ Only one atomic type $\mathbf{0}$;
- ▶ Extensional equality $=_{\beta\eta}$.

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Standard model $\mathfrak{M}(A)$

- ▶ Basic domain $D_0 = A$;
- ▶ Function domains: $D_{\sigma \rightarrow \tau} = D_\sigma \rightarrow D_\tau$;
- ▶ Obvious semantics:
 - ▶ $\llbracket x \rrbracket_v = v(x)$;
 - ▶ $\llbracket MN \rrbracket_v = \llbracket M \rrbracket_v(\llbracket N \rrbracket_v)$;
 - ▶ $\llbracket \lambda x^\tau. M \rrbracket_v = \llbracket \lambda d \in D_\tau. \llbracket M \rrbracket_{v[x \mapsto d]} \rrbracket_v$.

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Completeness

Theorem (Harvey Friedman):

Terms are $\beta\eta$ -equal iff they are equal in $\mathfrak{M}(\mathbb{N})$.

Proof:

Define partial surjections $\varphi_\sigma : D_\sigma \dashrightarrow T_\sigma / =_{\beta\eta}$ by induction:

For $\sigma = \mathbf{0}$ take $\varphi_0 : \mathbb{N} \rightarrow T_0 / =_{\beta\eta}$ to be any (total) surjection. (Terms of base type are represented by their numbers.)

For function types, we represent (the behaviour of) lambda-terms using integer functions, so that:

$$\varphi_\sigma(ab) = \varphi_{\tau \rightarrow \sigma}(a)\varphi_\tau(b).$$

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Completeness proof

Given $\varphi_\sigma : D_\sigma \dashrightarrow T_\sigma / \approx_{\beta\eta}$ and $\varphi_\tau : D_\tau \dashrightarrow T_\tau / \approx_{\beta\eta}$, we say that a function $f : D_\tau \rightarrow D_\sigma$ represents a term $M^{\tau \rightarrow \sigma}$ when (informally) the following diagram commutes:

$$\begin{array}{ccc} D_\tau & \xrightarrow{f} & D_\sigma \\ \varphi_\tau \downarrow & & \downarrow \varphi_\sigma \\ T_\tau / \approx_{\beta\eta} & \xrightarrow{\llbracket t.Mt \rrbracket} & T_\sigma / \approx_{\beta\eta} \end{array}$$

For any M , there exists such an f (not unique).

For a given f , such an M (if exists) is unique up to $\beta\eta$.

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Completeness proof

Define partial surjections $\varphi_\sigma : D_\sigma \dashrightarrow T_\sigma / \approx_{\beta\eta}$ by induction:

- ▶ $\varphi_0 : \mathbb{N} \rightarrow T_0 / \approx_{\beta\eta}$ is any (total) surjection.
- ▶ $\varphi_{\tau \rightarrow \sigma}(f) = [M]_{\approx_{\beta\eta}}$ when f represents M .

Abbreviation: If $d \in D_\sigma$, write \bar{d} for $\varphi_\sigma(d)$.

Main property:

If \bar{f} and \bar{e} are defined then $\overline{f(e)}$ is defined and $\bar{f} \bar{e} \approx_{\beta\eta} \overline{f(e)}$

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“Partial epimorphism”: $\bar{f} \bar{e} \approx_{\beta\eta} \overline{f(e)}$

$$\begin{array}{ccc} e & & f(e) \\ \downarrow & & \downarrow \\ \bar{e} & & \bar{f(e)} \end{array} \quad \begin{array}{ccc} D_\tau & \xrightarrow{f} & D_\sigma \\ \varphi_\tau \downarrow & & \downarrow \varphi_\sigma \\ T_\tau / \approx_{\beta\eta} & \xrightarrow{\llbracket t.Mt \rrbracket} & T_\sigma / \approx_{\beta\eta} \end{array} \quad \begin{array}{ccc} \bar{f} & & \\ \llbracket t.Mt \rrbracket & & \end{array}$$

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Completeness proof

Lemma:

Take v so that $\overline{v(x)} = x$, for all x . Then $M \approx_{\beta\eta} \overline{[M]_v}$, all M .

Main Proof: Let $\mathfrak{M}(\mathbb{N}) \models M = N$. Then $[M]_v = [N]_v$, for all v , in particular for v as above. Therefore

$$M \approx_{\beta\eta} \overline{[M]_v} \approx_{\beta\eta} \overline{[N]_v} \approx_{\beta\eta} N.$$

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Finite completeness

Theorem (R. Statman):

For every M there is k such that, for all N :

$$M =_{\beta\eta} N \quad \text{iff} \quad \mathfrak{M}(k) \models M = N.$$

Corollary:

Terms are $\beta\eta$ -equal iff they are equal in all finite models.

Let $p(m)(n) = 2^m(2n + 1)$. Then $p \in D_{0 \rightarrow 0 \rightarrow 0}$ in $\mathfrak{M}(\mathbb{N})$.

Observe that $p(m)(n) > m, n$, for all m, n .

Term zamknięty typu **tree**, to w istocie drzewo.

Wartość $\llbracket M \rrbracket(p)(0)$ można uważać za numer tego drzewa.

Ćwiczenie: Jaka liczba jest numerem drzewa

$$\lambda p x. p x (p (p x x) x)?$$

Finite completeness proof

It suffices to prove that

for every closed $M : \mathbf{tree}$ there is k such that, for all $N : \mathbf{tree}$:

$$M =_{\beta\eta} N \quad \text{iff} \quad \mathfrak{M}(k) \models M = N.$$

Indeed, for closed $M : \tau$, consider $\Phi(M)$,

where Φ is a reduction of τ to **tree**.

For non-closed terms, consider appropriate lambda-closures.

For $M : \mathbf{tree}$, define $k = 2 + \llbracket M \rrbracket(p)(0)$, i.e. $2 + \text{numer}(M)$.

Let $p' : k \rightarrow k \rightarrow k$ be p „truncated” to values less than k .

Then $p' \in D_{0 \rightarrow 0 \rightarrow 0}$ in $\mathfrak{M}(k)$.

Suppose $\mathfrak{M}(k) \models M = N$. Then in the model $\mathfrak{M}(k)$:

$$k - 2 = \llbracket M \rrbracket(p')(0) = \llbracket N \rrbracket(p')(0) \quad (*)$$

But all numbers needed to verify (*) are at most $k - 2$.
(Otherwise the rhs equals $k - 1$.)

Therefore $\llbracket M \rrbracket(p)(0) = \llbracket N \rrbracket(p)(0)$ holds also in $\mathfrak{M}(\mathbb{N})$.

It follows that $M =_{\beta\eta} N$.

Equality is not definable in simple types

There is no $E : \omega_\tau \rightarrow \omega_\sigma \rightarrow \omega_\rho$, such that for all $p, q \in \mathbb{N}$:

$$E \mathbf{p}^{\omega_\tau} \mathbf{q}^{\omega_\sigma} =_{\beta\eta} \mathbf{0}^{\omega_\rho} \quad \text{iff} \quad p = q.$$

Proof: By Statman's thm., take k such that for all $N : \omega_\rho$:

$$\mathfrak{M}(k) \models \mathbf{0}^{\omega_\rho} = N \quad \text{iff} \quad \mathbf{0}^{\omega_\rho} =_{\beta\eta} N.$$

There are $p \neq q$ with $\llbracket \mathbf{p}^{\omega_\tau} \rrbracket = \llbracket \mathbf{q}^{\omega_\tau} \rrbracket$ in $\mathfrak{M}(k)$. So in $\mathfrak{M}(k)$:

$$\begin{aligned} \llbracket E \mathbf{p}^{\omega_\tau} \mathbf{q}^{\omega_\sigma} \rrbracket &= \llbracket E \rrbracket \llbracket \mathbf{p}^{\omega_\tau} \rrbracket \llbracket \mathbf{q}^{\omega_\sigma} \rrbracket = \llbracket E \rrbracket \llbracket \mathbf{q}^{\omega_\tau} \rrbracket \llbracket \mathbf{q}^{\omega_\sigma} \rrbracket = \\ &= \llbracket E \mathbf{q}^{\omega_\tau} \mathbf{q}^{\omega_\sigma} \rrbracket = \llbracket \mathbf{0}^{\omega_\rho} \rrbracket \end{aligned}$$

Thus $\mathfrak{M}(k) \models E \mathbf{p}^{\omega_\tau} \mathbf{q}^{\omega_\sigma} = \mathbf{0}^{\omega_\rho}$, whence $p = q$.

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Plotkin's problem

Given $d \in D_\tau$ in a finite model $\mathfrak{M}(X)$.

Is there a term $M : \tau$ with $\llbracket M \rrbracket = d$?

More generally:

Let $v(x_1) = e_1 \in D_{\sigma_1}, \dots, v(x_n) = e_n \in D_{\sigma_n}$.

Is there M such that $\llbracket M \rrbracket_v = d$?

(Is d definable from e_1, \dots, e_n ?)

Fact: These decision problems are reducible to each other.

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Undecidability of lambda-definability

Theorem (Ralph Loader, 1993):

Plotkin's problem is undecidable.

Proof: Reduction from the undecidable word problem for Semi-Thue systems.

Semi-Thue system: a finite set of rules $C \Rightarrow D$, where $C, D \subseteq \{a, b\}^*$. Induces rewriting $xCy \rightarrow xDy$, for any x, y .

Word problem: Can a word w be rewritten to v in a finite number of steps?

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Undecidability of lambda-definability

Theorem (Ralph Loader, 1993):

Plotkin's problem is undecidable.

Proof: Reduction from the undecidable word problem for Semi-Thue systems.

Kodujemy słowa w i v i reguły systemu jako elementy modelu Pytamy, czy v jest definiowalne z w i reguł.

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Proof

Take $X = \{a, b, L, R, *, 1, 0\}$. Encode any word $w = o_1 \dots o_n$ as a function $\bar{w} : D_0^n \rightarrow D_0$, such that

- ▶ $\bar{w}(* \dots * o_i * \dots *) = 1$, if the i -th symbol in w is o_i ;
- ▶ $\bar{w}(* \dots * LR * \dots *) = 1$;
- ▶ $\bar{w}(\dots) = 0$, otherwise.

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How does it work?

For $w = w_1 C w_2$ we have $\bar{w} = \lambda \bar{x} \lambda \bar{y} \lambda \bar{z}. \bar{w}(\bar{x})(\bar{y})(\bar{z})$.

Fix \bar{x}, \bar{z} and consider the function $g = \lambda \bar{y}. \bar{w}(\bar{x})(\bar{y})(\bar{z})$.

It “accepts” the following strings (depending on \bar{x}, \bar{z}):

| \bar{x} | \bar{y} | \bar{z} |
|---------------------------|-------------------|---------------------------|
| $* \dots * o_i * \dots *$ | $* \dots * *$ | $* \dots * *$ |
| $* \dots * *$ | same as \bar{C} | $* \dots * *$ |
| $* \dots * *$ | $* \dots * *$ | $* \dots * o_i * \dots *$ |
| $* \dots * LR * \dots *$ | $* \dots * *$ | $* \dots * *$ |
| $* \dots * * L$ | $R * \dots * *$ | $* \dots * *$ |
| $* \dots * *$ | $* \dots * * L$ | $R * \dots * *$ |
| $* \dots * *$ | $* \dots * *$ | $* \dots * LR * \dots *$ |

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How does it work?

Fix \bar{x}, \bar{z} and consider the function $g = \lambda \bar{y}. \bar{w}(\bar{x})(\bar{y})(\bar{z})$.

Depending on \bar{x}, \bar{z} , the function g is as follows:

| \bar{x} | g | \bar{z} |
|---------------------------|-----------------------|---------------------------|
| $* \dots * o_i * \dots *$ | $\chi_{\{*\dots*\}}$ | $* \dots * *$ |
| $* \dots * *$ | \bar{C} | $* \dots * *$ |
| $* \dots * *$ | $\chi_{\{*\dots*\}}$ | $* \dots * o_i * \dots *$ |
| $* \dots * LR * \dots *$ | $\chi_{\{*\dots*\}}$ | $* \dots * *$ |
| $* \dots * * L$ | $\chi_{\{R*\dots*\}}$ | $* \dots * *$ |
| $* \dots * *$ | $\chi_{\{*\dots*L\}}$ | $R * \dots * *$ |
| $* \dots * *$ | $\chi_{\{*\dots*\}}$ | $* \dots * LR * \dots *$ |

Otherwise $g = \chi_\emptyset$.

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How to encode a rule $F = (C \Rightarrow D)$?

Fix \bar{x}, \bar{z} and consider the function $g = \lambda \bar{y}. \bar{w}(\bar{x})(\bar{y})(\bar{z})$.

What will change in this table if we replace $w_1 C w_2$ by $w_1 D w_2$?

| \bar{x} | g | \bar{z} |
|---------------------------|-----------------------|---------------------------|
| $* \dots * o_i * \dots *$ | $\chi_{\{*\dots*\}}$ | $* \dots * *$ |
| $* \dots * *$ | \bar{C} | $* \dots * *$ |
| $* \dots * *$ | $\chi_{\{*\dots*\}}$ | $* \dots * o_i * \dots *$ |
| $* \dots * LR * \dots *$ | $\chi_{\{*\dots*\}}$ | $* \dots * *$ |
| $* \dots * * L$ | $\chi_{\{R*\dots*\}}$ | $* \dots * *$ |
| $* \dots * *$ | $\chi_{\{*\dots*L\}}$ | $R * \dots * *$ |
| $* \dots * *$ | $\chi_{\{*\dots*\}}$ | $* \dots * LR * \dots *$ |
| otherwise | χ_\emptyset | otherwise |

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How to encode a rule $F = (C \Rightarrow D)$

Every rule $F = (C \Rightarrow D)$ is encoded as a function

$$\bar{F} : (D_0^m \rightarrow D_0) \rightarrow (D_0^n \rightarrow D_0),$$

where $m = |C|$ and $n = |D|$. We take:

- ▶ $\bar{F}(\chi_{\{*\dots*\}}) = \chi_{\{*\dots*\}};$
- ▶ $\bar{F}(\chi_{\{R*\dots*\}}) = \chi_{\{R*\dots*\}};$
- ▶ $\bar{F}(\chi_{\{*\dots*L\}}) = \chi_{\{*\dots*L\}};$
- ▶ $\bar{F}(\bar{C}) = \bar{D};$
- ▶ $\bar{F}(g) = \chi_{\emptyset},$ for any other g .

Claim

A word w can be rewritten to v iff the element \bar{v} of $\mathfrak{M}(X)$ is definable from \bar{w} and the functions \bar{F} encoding the rules.

The easy part: Let $w = w_1 C w_2$ rewrites to $v = w_1 D w_2$ using $F = (C \Rightarrow D)$. Assume that term W defines \bar{w} . Then \bar{v} is definable by

$$V = \lambda \vec{x} \vec{u} \vec{z}, \bar{F}(\lambda \vec{y}. W \vec{x} \vec{y} \vec{z}) \vec{u}, \quad (*)$$

It follows that codes of reachable words are definable.

The hard part: And conversely.

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