# Category Theory in Foundations of Computer Science 2019/2020

### Concepts, terminology and notation:

A weighted graph  $G = \langle N, E, s, t, r, w \rangle$  consists of two sets, N of nodes and E of edges, with functions  $s, t: E \to N$  indicating, respectively, the source and target of each node, a root  $r \in N$ , and a weight function  $w: E \to \mathcal{N}$ , where  $\mathcal{N}$  is the set of natural numbers. G is finite if  $N \cup E$  is a finite set. G is a weighted tree if its underlying graph is a tree, i.e., if for each node  $n \in N$ , there is a unique path from R to n in the graph.

A weighted graph morphism  $\theta: G \to G'$ , where  $G = \langle N, E, s, t, r, w \rangle$  and  $G' = \langle N', E', s', t', r', w' \rangle$ , consists of two functions  $\theta = \langle \theta_{node}: N \to N', \theta_{edge}: E \to E' \rangle$  that preserves sources and targets of edges, the root, and does not increase the weights, i.e., for each  $e \in E$ ,  $s'(\theta_{edge}(e)) = \theta_{node}(s(e))$ ,  $t'(\theta_{edge}(e)) = \theta_{node}(t(e))$ , and  $w(e) \geq w'(\theta_{edge}(e))$ , and  $\theta_{node}(r) = r'$ . With the obvious morphism composition, this yields the category **WGraph** of weighted graphs and their morphisms, and its full subcategory **WTree** of weighted trees and their morphisms. Let  $\mathcal{J}: \mathbf{WTree} \to \mathbf{WGraph}$  be the obvious inclusion functor.

We also have their respective full subcategories **FWGraph** of finite weighted graphs and **FWTree** of finite weighted trees, with inclusion functor  $\mathcal{FJ}$ : **FWTree**  $\rightarrow$  **FWGraph**.

#### To do:

Prove or justify a negative answer to the following questions:

- 1. Consider categories:
  - (a) WGraph
  - (b) FWGraph
  - (c) WTree
  - (d) FWTree

Which of the categories above has all

- FC. i) finite products, ii) equalisers, iii) finite limits
- FCC. i) finite coproducts, ii) coequalisers, iii) finite colimits
  - C. i) products, ii) equalisers, iii) limits
  - CC. i) coproducts, ii) coequalisers, iii) colimits
- 2. Consider functors:
  - (a)  $\mathcal{J}$ : WTree  $\rightarrow$  WGraph
  - (b)  $\mathcal{FI}$ : FWTree  $\rightarrow$  FWGraph

Which of the functors above

- C. is continuous
- CC. is cocontinuous
  - L. has a left adjoint
  - R. has a right adjoint

#### Notes:

The questions above are not independent. For instance, a proof of **1.b.C.i** is likely to be a proof of **1.b.FC.i** as well, and a counterexample to **1.b.FC.ii** is a counterexample to **1.b.FC.iii** and is likely to yield a counterexample to **1.b.C.ii** and **1.b.C.iii**. No need to repeat detailed arguments in such cases, indicating the dependency is enough.

# Sketch of a solution:

# Limits in WGraph

Consider a family of weighted graphs  $\mathcal{G} = \{G_i = \langle N_i, E_i, s_i, t_i, r_i, w_i \rangle \mid i \in \mathcal{I}\}$ . Consider the following weighted graph  $G = \langle N, E, s, t, r, w \rangle$ , where

- $N = \prod_{i \in \mathcal{I}} N_i$
- $E = \{ \langle e_i \rangle_{i \in \mathcal{I}} \in \prod_{i \in \mathcal{I}} E_i \mid \{ w_i(e_i) \mid i \in \mathcal{I} \} \text{ is bounded in } \mathcal{N} \}$
- $s(\langle e_i \rangle_{i \in \mathcal{I}}) = \langle s_i(e_i) \rangle_{i \in \mathcal{I}}, \ t(\langle e_i \rangle_{i \in \mathcal{I}}) = \langle t_i(e_i) \rangle_{i \in \mathcal{I}}$
- $r = \langle r_i \rangle_{i \in \mathcal{I}}$
- $w(\langle e_i \rangle_{i \in \mathcal{I}}) = \max(\{w_i(e_i) \mid i \in \mathcal{I}\})$ , where  $\max(\emptyset) = 0$  (this is well-defined for  $\langle e_i \rangle_{i \in \mathcal{I}} \in E$ )

Then G with the obvious morphisms  $\pi_i: G \to G_i$  is the product of  $\mathcal{G}$ : given a weighted graph  $G' = \langle N', E', s', t', r', w' \rangle$  with morphisms  $\theta_i: G' \to G_i$ , the unique morphism  $\theta: G' \to G$  such that  $\theta; \pi_i = \theta_i, i \in \mathcal{I}$ , is given by  $\theta(n') = \langle \theta_i(n') \rangle_{i \in \mathcal{I}}$ , for  $n' \in N'$ , and  $\theta(e') = \langle \theta_i(e') \rangle_{i \in \mathcal{I}}$ , for  $e' \in E'$ , which is well-defined since  $w'(e') \geq w_i(\theta_i(e')), i \in \mathcal{I}$ , and so  $\{w_i(\theta_i(e')) \mid i \in \mathcal{I}\}$  is bounded in  $\mathcal{N}$ .

Note: the construction above works for  $\mathcal{I} = \emptyset$ , yielding the weighted graph  $G_1$  with a root and a single edge going from the root to the root, with weight 0.

Given two morphisms  $\theta, \theta': G \to G'$ , where  $G = \langle N, E, s, t, r, w \rangle$  and  $G' = \langle N', E', s', t', r', w' \rangle$ , their equaliser is given by the inclusion into G of the following weighted graph:  $G_0 = \langle N_0, E_0, s_0, t_0, r_0, w_0 \rangle$ , where

- $N_0 = \{n \in N \mid \theta(n) = \theta'(n)\}$
- $E_0 = \{ n \in E \mid \theta(e) = \theta'(e) \}$
- $s_0$ ,  $t_0$  and  $w_0$  coincide with s, t and w, respectively, on their arguments
- $r_0 = r$

Consequently, WGraph has all limits (and so all finite limits as well)

## Limits in FWGraph

Given a full subcategory  $\mathbf{K}'$  of  $\mathbf{K}$ , if a limit of a diagram in  $\mathbf{K}'$  exists in  $\mathbf{K}$  and is in  $\mathbf{K}'$ , then it is also a limit of this diagram in  $\mathbf{K}'$ .

It is easy to check that the construction of the limits ensures that a limit of a finite diagram of finite weighted graphs is finite, hence **FWGraph** has all finite products, equalisers, and all finite limits as well.

However, products of infinite famlies of finite weighted graphs need not exist in **FWGraph**. For instance, consider a weighted tree  $T_2$ , with two edges going out of its root, with both weights being 0. Suppose that for an infinite  $\mathcal{I}$ , there exists a product P in **FWGraph** of  $\mathcal{T} = \langle T_i \rangle_{i \in \mathcal{I}}$ , where  $T_i = T_2$  for each  $i \in \mathcal{I}$ . Let  $T_1$  be a weighted tree with a single edge going out of the root, with weight 0. Then there are infinitely many distincts families  $\langle \theta_i : T_1 \to T_i \rangle_{i \in \mathcal{I}}$ , hence there must be infinitely many morphisms  $\theta: T_1 \to P$  – and so P must have infinitely many edges, which yields a contradiction.

#### Colimits in WGraph

It's easy to check that given a family of weighted graphs, its colimit in **WGraph** is given as the "disjoint union with a new root replacing all old roots".

Then, given two morphisms  $\theta, \theta' : G \to G'$ , where  $G = \langle N, E, s, t, r, w \rangle$  and  $G' = \langle N', E', s', t', r', w' \rangle$ , their equaliser is given by the inclusion into G of the following weighted graph  $G'' = \langle N'', E'', s'', t'', r'', w'' \rangle$ , where

- $N'' = N'/\equiv_{node}$ , where  $\equiv_{node}$  is the least equivalence on N' such that  $\theta(n) \equiv_{node} \theta'(n)$ , for each  $n \in N$
- $E'' = E'/\equiv_{edge}$ , where  $\equiv_{edge}$  is the least equivalence on E' such that  $\theta(e) \equiv_{node} \theta'(e)$ , for each  $e \in E$
- $s''([e']_{\equiv_{edge}}) = [s'(e')]_{\equiv_{node}}$ , and  $t''([e']_{\equiv_{edge}}) = [t'(e')]_{\equiv_{node}}$  for each  $e' \in E'$  (note that the congruence property holds, so this is well defined)
- $w''([e']_{\equiv_{edge}}) = min(\{w'(e_0) \mid e_0 \equiv_{edge} e'\})$  for each  $e' \in E'$
- $r'' = [r]_{\equiv_{node}}$

In other words, colimits in **WGraph** are constructed on colimits in the category of graphs, with weights added in the obvious way.

Consequently, all colimits in WGraph exist.

### Colimits in FWGraph

Coequilisers and finite coproducts, hence all finite colimits, carry over from **WGraph** to **FWGraph** (dually to limits).

However, infinite coproducts need not exist in **FWGraph**. To see this, a counterexample may be constructed almost dually to that for infinite products in **FWGraph**: suppose that for an infinite  $\mathcal{I}$ , there exists a product C in **FWGraph** of  $\mathcal{T} = \langle T_i \rangle_{i \in \mathcal{I}}$ , where  $T_i = T_1$  for each  $i \in \mathcal{I}$ . There are infinitely many distinct families of morphisms  $\theta_i$ :  $(T_i = T_1) \to T_2$ . Hence there must be infinitely many morphisms from C to  $T_2$  — which is impossible when C has finitely many edges.

#### Limits in WTree

Non-empty products in **WGraph** may be adjusted to yield products in **WTree** as follows. Consider a family of weighted trees  $\mathcal{T} = \{T_i = \langle N_i, E_i, s_i, t_i, r_i, w_i \rangle \mid i \in \mathcal{I}\}$ , and let  $G = \langle N, E, s, t, r, w \rangle$  be its products in **WGraph**, with projections  $\pi_i : G \to T_i$ . Let P be a reachable part of G. Then each  $\pi_i$  restricted to P is a weighted graph morphism. Hence, P is a weighted tree (since for any  $i \in \mathcal{I}$ ,  $T_i$  is a weighted tree). Then it is easy to check that P with such restricted projections is a product of  $\mathcal{T}$  in **WTree**.

Then, the "single infinite line with weighths 0" tree  $T_l = \langle N_l, E_l, s_l, t_l, r_l, w_l \rangle$  is a terminal object in **WTree**, where  $N_l = \mathcal{N}$ ,  $E_l = \mathcal{N}$ ,  $s_l(k) = k$ ,  $t_l(k) = k + 1$ ,  $r_l = 0$ ,  $w_l(k) = 0$ , for all  $k \in Nat$ .

The construction of equalisers in **WGraph** works for **WTree** as well.

Hence, WTree has all limits (and so all finite limits as well).

## Limits in FWTree

The construction of equalisers in **WTree** works for **FWTree** as well. So does the construction of non-empty products of finite families.

However, there is no terminal object in **FWTree**: suppose  $T_{?}$  is a terminal object in **FWTree**. Then it is easy to check, that from each node in  $T_{?}$  there may be at most one outgoing edge. Hence  $T_{?}$  is a finite prefix of  $T_{l}$ . None of them is terminal in **FWTree** though, since there are no morphisms to any such prefix from "longer" prefixes of  $T_{l}$ .

Moreover, products of infinite families in **FWTree** need not exist: the counterexample for infinite products in **WGraph** works here as well.

#### Colimits in WTree

The construction of coproducts carries over from **WGraph** to **WTree**. So does the construction of coequalisers — given  $\theta, \theta'$ :  $T \to T'$ , where  $T = \langle N, E, s, t, r, w \rangle$  and  $T' = \langle N', E', s', t', r', w' \rangle$ , it is enough to notice here that for any node  $n \in N$ , the path from r to n in T is mapped by  $\theta$  to the path from r' to  $\theta(n)$ , and by  $\theta'$  to the path from r' to  $\theta'(n)$ , and so the construction of colimit of  $\theta$  and  $\theta'$  in **WGraph** yieds a tree when both T and T' are trees.

Consequently, all colimits in WTree exist.

#### Colimits in FWTree

Coequilisers and finite coproducts, hence all finite colimits, carry over from WTree to FWTree.

However, infinite coproducts need not exist in **FWTree**: the counterexample for infinite coproducts in **FWGraph** applies here as well.

### Continuity and cocontinuity of $\mathcal{J}$ and $\mathcal{F}\mathcal{J}$

 $\mathcal{J}$ : WTree  $\to$  WGraph does not preserve the terminal object, hence is not continuous. The constructions of colimits in WTree coincide with those for WGraph, hence  $\mathcal{J}$  is cocontinuous.

 $\mathcal{FJ}$ : **FWTree**  $\to$  **FWGraph** does not preserve products, hence is not continuous. The constructions of finite colimits in **FWTree** coincide with those for **FWGraph**, hence  $\mathcal{FJ}$  is finitely cocontinuous. Those infinite colimits in **FWTree** that exist are preserves by  $\mathcal{FJ}$  as well.

# Adjoints to $\mathcal{J}$ and $\mathcal{F}\mathcal{J}$

Since neither  $\mathcal{J}$  nor  $\mathcal{F}\mathcal{J}$  is continuous, neither has a left adjoint.

If  $\mathcal{FJ}$ : **FWTree**  $\to$  **FWGraph** had a right adjoint, then this right eadjoint would have to map the terminal object in **FWGraph**, which exists, to a terminal object in **FWTree**, which does not exist – hence  $\mathcal{FJ}$  does not have a right adjoint.

 $\mathcal{J}$ : WTree  $\to$  WGraph does have the right adjoint: this is the "unfolding functor"  $\mathcal{U}$ : WGraph  $\to$  WTree, where for any weighted graph  $G = \langle N, E, s, t, r, w \rangle$ ,  $\mathcal{U}(G)$  is the weithed tree of paths in G starting in r: such paths are nodes in  $\mathcal{U}(G)$ , edges in  $\mathcal{U}(G)$  expand the paths by one edge from G, and weights in  $\mathcal{U}(G)$  are inherited from G.