

# Functors and natural transformations

*functors*  $\rightsquigarrow$  *category morphisms*  
*natural transformations*  $\rightsquigarrow$  *functor morphisms*

# Functors

A *functor*  $\mathbf{F}: \mathbf{K} \rightarrow \mathbf{K}'$  from a category  $\mathbf{K}$  to a category  $\mathbf{K}'$  consists of:

- a function  $\mathbf{F}: |\mathbf{K}| \rightarrow |\mathbf{K}'|$ , and
- for all  $A, B \in |\mathbf{K}|$ , a function  $\mathbf{F}: \mathbf{K}(A, B) \rightarrow \mathbf{K}'(\mathbf{F}(A), \mathbf{F}(B))$

such that:

Make explicit categories in which we work at various places here

- $\mathbf{F}$  preserves identities, i.e.,

$$\mathbf{F}(id_A) = id_{\mathbf{F}(A)}$$

for all  $A \in |\mathbf{K}|$ , and

- $\mathbf{F}$  preserves composition, i.e.,

$$\mathbf{F}(f;g) = \mathbf{F}(f); \mathbf{F}(g)$$

for all  $f: A \rightarrow B$  and  $g: B \rightarrow C$  in  $\mathbf{K}$ .

We really should differentiate between various components of  $F$

## Examples

- *identity functors*:  $\text{Id}_{\mathbf{K}}: \mathbf{K} \rightarrow \mathbf{K}$ , for any category  $\mathbf{K}$
- *inclusions*:  $\mathbf{I}_{\mathbf{K} \hookrightarrow \mathbf{K}'}: \mathbf{K} \rightarrow \mathbf{K}'$ , for any subcategory  $\mathbf{K}$  of  $\mathbf{K}'$
- *constant functors*:  $\mathbf{C}_A: \mathbf{K} \rightarrow \mathbf{K}'$ , for any categories  $\mathbf{K}, \mathbf{K}'$  and  $A \in |\mathbf{K}'|$ , with  $\mathbf{C}_A(f) = \text{id}_A$  for all morphisms  $f$  in  $\mathbf{K}$
- *powerset functor*:  $\mathbf{P}: \mathbf{Set} \rightarrow \mathbf{Set}$  given by
  - $\mathbf{P}(X) = \{Y \mid Y \subseteq X\}$ , for all  $X \in |\mathbf{Set}|$
  - $\mathbf{P}(f): \mathbf{P}(X) \rightarrow \mathbf{P}(X')$  for all  $f: X \rightarrow X'$  in  $\mathbf{Set}$ ,  $\mathbf{P}(f)(Y) = \{f(y) \mid y \in Y\}$  for all  $Y \subseteq X$
- *contravariant powerset functor*:  $\mathbf{P}_{-1}: \mathbf{Set}^{op} \rightarrow \mathbf{Set}$  given by
  - $\mathbf{P}_{-1}(X) = \{Y \mid Y \subseteq X\}$ , for all  $X \in |\mathbf{Set}|$
  - $\mathbf{P}_{-1}(f): \mathbf{P}(X') \rightarrow \mathbf{P}(X)$  for all  $f: X \rightarrow X'$  in  $\mathbf{Set}$ ,  
 $\mathbf{P}_{-1}(f)(Y') = \{x \in X \mid f(x) \in Y'\}$  for all  $Y' \subseteq X'$

## Examples, cont'd.

- *projection functors*:  $\pi_1: \mathbf{K} \times \mathbf{K}' \rightarrow \mathbf{K}$ ,  $\pi_2: \mathbf{K} \times \mathbf{K}' \rightarrow \mathbf{K}'$
- *list functor*:  $\mathbf{List}: \mathbf{Set} \rightarrow \mathbf{Monoid}$ , where  $\mathbf{Monoid}$  is the category of monoids (as objects) with monoid homomorphisms as morphisms:
  - $\mathbf{List}(X) = \langle X^*, \hat{\phantom{x}}, \epsilon \rangle$ , for all  $X \in |\mathbf{Set}|$ , where  $X^*$  is the set of all finite lists of elements from  $X$ ,  $\hat{\phantom{x}}$  is the list concatenation, and  $\epsilon$  is the empty list.
  - $\mathbf{List}(f): \mathbf{List}(X) \rightarrow \mathbf{List}(X')$  for  $f: X \rightarrow X'$  in  $\mathbf{Set}$ ,  
 $\mathbf{List}(f)(\langle x_1, \dots, x_n \rangle) = \langle f(x_1), \dots, f(x_n) \rangle$  for all  $x_1, \dots, x_n \in X$
- *totalisation functor*:  $\mathbf{Tot}: \mathbf{Pfn} \rightarrow \mathbf{Set}_*$ , where  $\mathbf{Set}_*$  is the subcategory of  $\mathbf{Set}$  of sets with a distinguished element  $*$  and  $*$ -preserving functions
  - $\mathbf{Tot}(X) = X \uplus \{*\}$
  - $\mathbf{Tot}(f)(x) = \begin{cases} f(x) & \text{if it is defined} \\ * & \text{otherwise} \end{cases}$

Define  $\mathbf{Set}_*$  as the category of algebras

## Examples, cont'd.

- *carrier set functors*:  $|-|: \mathbf{Alg}(\Sigma) \rightarrow \mathbf{Set}^S$ , for any algebraic signature  $\Sigma = \langle S, \Omega \rangle$ , yielding the algebra carriers and homomorphisms as functions between them
- *reduct functors*:  $-|_{\sigma}: \mathbf{Alg}(\Sigma') \rightarrow \mathbf{Alg}(\Sigma)$ , for any signature morphism  $\sigma: \Sigma \rightarrow \Sigma'$ , as defined earlier
- *term algebra functors*:  $\mathbf{T}_{\Sigma}: \mathbf{Set} \rightarrow \mathbf{Alg}(\Sigma)$  for all (single-sorted) algebraic signatures  $\Sigma \in |\mathbf{AlgSig}|$ 

Generalise to many-sorted signatures

  - $\mathbf{T}_{\Sigma}(X) = T_{\Sigma}(X)$  for all  $X \in |\mathbf{Set}|$
  - $\mathbf{T}_{\Sigma}(f) = f^{\#}: T_{\Sigma}(X) \rightarrow T_{\Sigma}(X')$  for all functions  $f: X \rightarrow X'$
- *diagonal functors*:  $\Delta_{\mathbf{K}}^G: \mathbf{K} \rightarrow \mathbf{Diag}_{\mathbf{K}}^G$  for any graph  $G$  with nodes  $N = |G|_{nodes}$  and edges  $E = |G|_{edges}$ , and category  $\mathbf{K}$ 
  - $\Delta_{\mathbf{K}}^G(A) = D^A$ , where  $D^A$  is the “constant” diagram, with  $D_n^A = A$  for all  $n \in N$  and  $D_e^A = id_A$  for all  $e \in E$
  - $\Delta_{\mathbf{K}}^G(f) = \mu^f: D^A \rightarrow D^B$ , for all  $f: A \rightarrow B$ , where  $\mu_n^f = f$  for all  $n \in N$

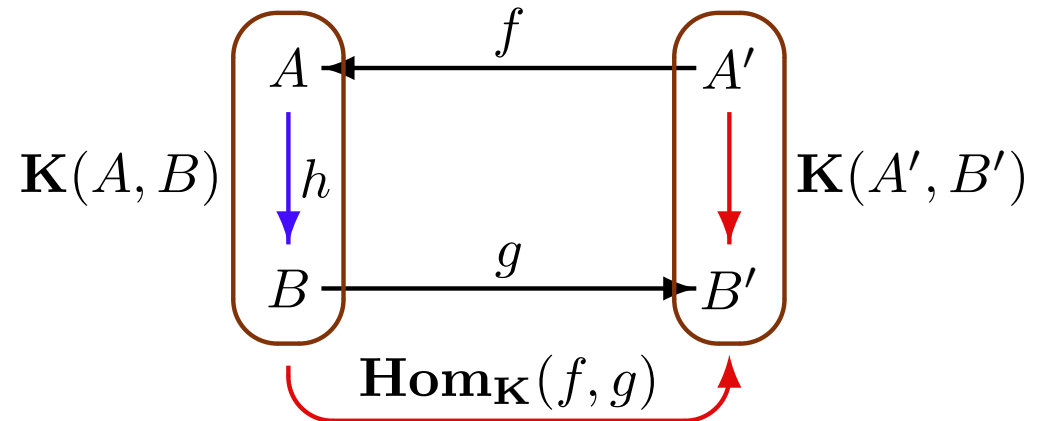
# Hom-functors

Given a *locally small* category  $\mathbf{K}$ , define

$$\mathbf{Hom}_{\mathbf{K}} : \mathbf{K}^{op} \times \mathbf{K} \rightarrow \mathbf{Set}$$

a binary *hom-functor*, contravariant on the first argument and covariant on the second argument, as follows:

- $\mathbf{Hom}_{\mathbf{K}}(\langle A, B \rangle) = \mathbf{K}(A, B)$ , for all  $\langle A, B \rangle \in |\mathbf{K}^{op} \times \mathbf{K}|$ , i.e.,  $A, B \in |\mathbf{K}|$
- $\mathbf{Hom}_{\mathbf{K}}(\langle f, g \rangle) : \mathbf{K}(A, B) \rightarrow \mathbf{K}(A', B')$ , for  $\langle f, g \rangle : \langle A, B \rangle \rightarrow \langle A', B' \rangle$  in  $\mathbf{K}^{op} \times \mathbf{K}$ , i.e.,  $f : A' \rightarrow A$  and  $g : B \rightarrow B'$  in  $\mathbf{K}$ , as a function given by  $\mathbf{Hom}_{\mathbf{K}}(\langle f, g \rangle)(h) = f;h;g$ .



Also:  $\mathbf{Hom}_{\mathbf{K}}(A, -) : \mathbf{K} \rightarrow \mathbf{Set}$   
 $\mathbf{Hom}_{\mathbf{K}}(-, B) : \mathbf{K}^{op} \rightarrow \mathbf{Set}$

## Functors preserve...

- Check whether functors preserve:
  - monomorphisms
  - epimorphisms
  - (co)retractions
  - isomorphisms
  - (co)cones
  - (co)limits
  - ...
- A functor is (finitely) continuous if it preserves all existing (finite) limits.  
Which of the above functors are (finitely) continuous?

Dualise!

## Functors compose...

Given two functors  $\mathbf{F}: \mathbf{K} \rightarrow \mathbf{K}'$  and  $\mathbf{G}: \mathbf{K}' \rightarrow \mathbf{K}''$ , their *composition*  $\mathbf{F};\mathbf{G}: \mathbf{K} \rightarrow \mathbf{K}''$  is defined as expected:

- $(\mathbf{F};\mathbf{G})(A) = \mathbf{G}(\mathbf{F}(A))$  for all  $A \in |\mathbf{K}|$
- $(\mathbf{F};\mathbf{G})(f) = \mathbf{G}(\mathbf{F}(f))$  for all  $f: A \rightarrow B$  in  $\mathbf{K}$

$\mathbf{Cat}$ , the category of (sm)all categories

- objects: (sm)all categories
- morphisms: functors between them
- composition: as above

Characterise isomorphisms in  $\mathbf{Cat}$

Define products, terminal objects, equalisers and pullback in  $\mathbf{Cat}$

Try to define their duals

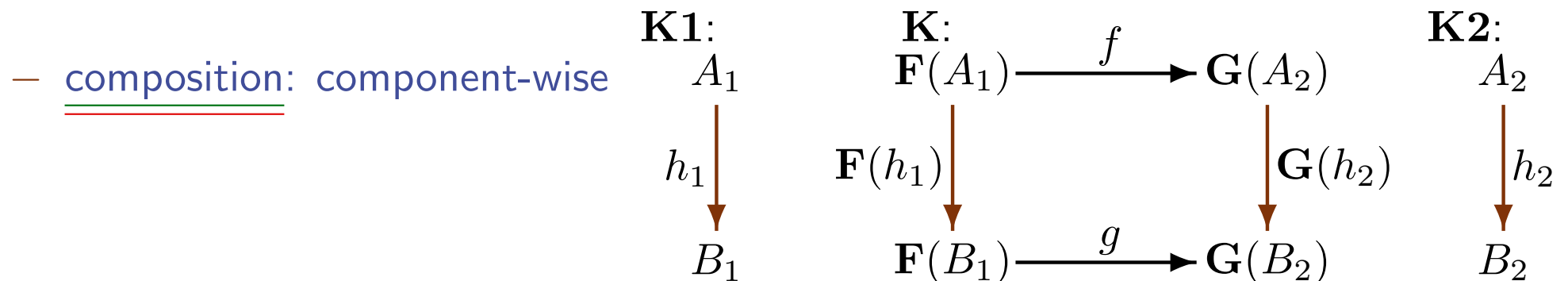


# Comma categories

Given two functors with a common target,  $\mathbf{F}: \mathbf{K1} \rightarrow \mathbf{K}$  and  $\mathbf{G}: \mathbf{K2} \rightarrow \mathbf{K}$ , define their *comma category*

$(\mathbf{F}, \mathbf{G})$

- objects: triples  $\langle A_1, f: \mathbf{F}(A_1) \rightarrow \mathbf{G}(A_2), A_2 \rangle$ , where  $A_1 \in |\mathbf{K1}|$ ,  $A_2 \in |\mathbf{K2}|$ , and  $f: \mathbf{F}(A_1) \rightarrow \mathbf{G}(A_2)$  in  $\mathbf{K}$
- morphisms: a morphism in  $(\mathbf{F}, \mathbf{G})$  is any pair  $\langle h_1, h_2 \rangle: \langle A_1, f: \mathbf{F}(A_1) \rightarrow \mathbf{G}(A_2), A_2 \rangle \rightarrow \langle B_1, g: \mathbf{F}(B_1) \rightarrow \mathbf{G}(B_2), B_2 \rangle$ , where  $h_1: A_1 \rightarrow B_1$  in  $\mathbf{K1}$ ,  $h_2: A_2 \rightarrow B_2$  in  $\mathbf{K2}$ , and  $\mathbf{F}(h_1);g = f; \mathbf{G}(h_2)$  in  $\mathbf{K}$ .



## Examples

- The category of graphs as a comma category:

$$\mathbf{Graph} = (\mathbf{Id}_{\mathbf{Set}}, \mathbf{CP})$$

where  $\mathbf{CP}: \mathbf{Set} \rightarrow \mathbf{Set}$  is the (Cartesian) product functor ( $\mathbf{CP}(X) = X \times X$  and  $\mathbf{CP}(f)(\langle x, x' \rangle) = \langle f(x), f(x') \rangle$ ). **Hint:** write objects of this category as  $\langle E, \langle source, target \rangle: E \rightarrow N \times N, N \rangle$

- The category of algebraic signatures as a comma category:

$$\mathbf{AlgSig} = (\mathbf{Id}_{\mathbf{Set}}, (-)^+)$$

where  $(-)^+: \mathbf{Set} \rightarrow \mathbf{Set}$  is the non-empty list functor ( $(X)^+$  is the set of all non-empty lists of elements from  $X$ ,  $(f)^+(\langle x_1, \dots, x_n \rangle) = \langle f(x_1), \dots, f(x_n) \rangle$ ). **Hint:** write objects of this category as  $\langle \Omega, \langle arity, sort \rangle: \Omega \rightarrow S^+, S \rangle$

Define  $\mathbf{K}^{\rightarrow}$ ,  $\mathbf{K} \downarrow A$  as comma categories. The same for  $\mathbf{Alg}(\Sigma)$ .

## Cocompleteness of comma categories

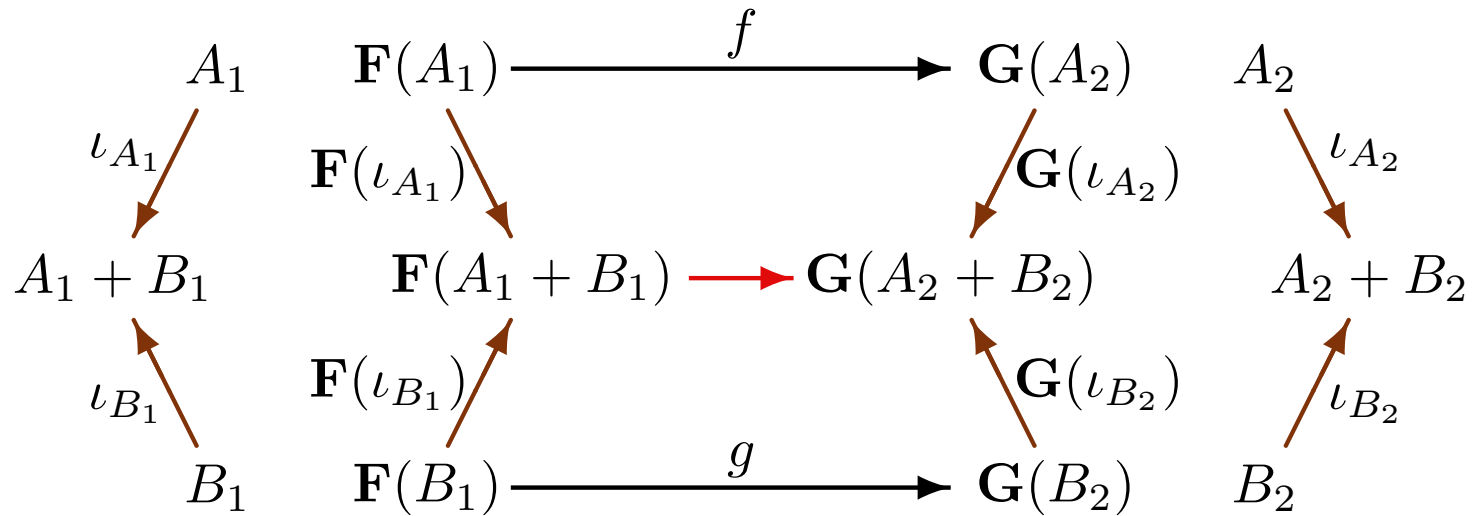
**Fact:** *If  $\mathbf{K1}$  and  $\mathbf{K2}$  are (finitely) cocomplete categories,  $\mathbf{F}: \mathbf{K1} \rightarrow \mathbf{K}$  is a (finitely) cocontinuous functor, and  $\mathbf{G}: \mathbf{K2} \rightarrow \mathbf{K}$  is a functor then the comma category  $(\mathbf{F}, \mathbf{G})$  is (finitely) cocomplete.*

**Proof (idea):**

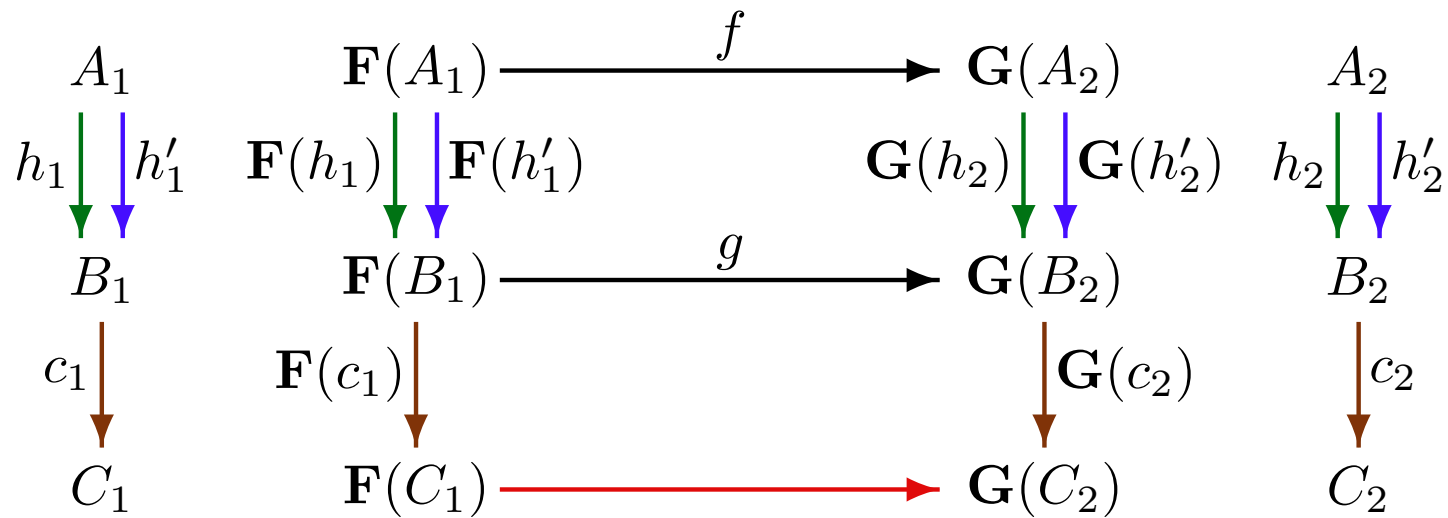
Construct coproducts and coequalisers in  $(\mathbf{F}, \mathbf{G})$ , using the corresponding constructions in  $\mathbf{K1}$  and  $\mathbf{K2}$ , and cocontinuity of  $\mathbf{F}$ .

*State and prove the dual fact,  
concerning completeness of comma categories*

## Coproducts:



## Coequalisers:



# Indexed categories

An *indexed category* is a functor

$$\mathcal{C}: \mathbf{Ind}^{op} \rightarrow \mathbf{Cat}$$

Standard example:  $\mathbf{Alg}: \mathbf{AlgSig}^{op} \rightarrow \mathbf{Cat}$

*The Grothendieck construction:* Given  $\mathcal{C}: \mathbf{Ind}^{op} \rightarrow \mathbf{Cat}$ , define a category  $\mathbf{Flat}(\mathcal{C})$ :

- objects:  $\langle i, A \rangle$  for all  $i \in |\mathbf{Ind}|$ ,  $A \in |\mathcal{C}(i)|$
- morphisms: a morphism from  $\langle i, A \rangle$  to  $\langle j, B \rangle$ ,  $\langle \sigma, f \rangle: \langle i, A \rangle \rightarrow \langle j, B \rangle$ , consists of a morphism  $\sigma: i \rightarrow j$  in  $\mathbf{Ind}$  and a morphism  $f: A \rightarrow \mathcal{C}(\sigma)(B)$  in  $\mathcal{C}(i)$
- composition: given  $\langle \sigma, f \rangle: \langle i, A \rangle \rightarrow \langle i', A' \rangle$  and  $\langle \sigma', f' \rangle: \langle i', A' \rangle \rightarrow \langle i'', A'' \rangle$ , their composition in  $\mathbf{Flat}(\mathcal{C})$ ,  $\langle \sigma, f \rangle; \langle \sigma', f' \rangle: \langle i, A \rangle \rightarrow \langle i'', A'' \rangle$ , is given by

$$\langle \sigma, f \rangle; \langle \sigma', f' \rangle = \langle \sigma; \sigma', f; \mathcal{C}(\sigma)(f') \rangle$$

**Fact:** If  $\mathbf{Ind}$  is complete,  $\mathcal{C}(i)$  are complete for all  $i \in |\mathbf{Ind}|$ , and  $\mathcal{C}(\sigma)$  are continuous for all  $\sigma: i \rightarrow j$  in  $\mathbf{Ind}$ , then  $\mathbf{Flat}(\mathcal{C})$  is complete.

Try to formulate and prove a theorem concerning cocompleteness of  $\mathbf{Flat}(\mathcal{C})$

## Natural transformations

Given two parallel functors  $\mathbf{F}, \mathbf{G}: \mathbf{K} \rightarrow \mathbf{K}'$ , a *natural transformation* from  $\mathbf{F}$  to  $\mathbf{G}$

$$\tau: \mathbf{F} \rightarrow \mathbf{G}$$

is a family  $\tau = \langle \tau_A: \mathbf{F}(A) \rightarrow \mathbf{G}(A) \rangle_{A \in |\mathbf{K}|}$  of  $\mathbf{K}'$ -morphisms such that for all

$f: A \rightarrow B$  in  $\mathbf{K}$  (with  $A, B \in |\mathbf{K}|$ ),  $\tau_A; \mathbf{G}(f) = \mathbf{F}(f); \tau_B$

Then,  $\tau$  is a *natural isomorphism* if for all  $A \in |\mathbf{K}|$ ,  $\tau_A$  is an isomorphism.

$$\begin{array}{ccc} \mathbf{K}: & & \mathbf{K}': \\ & A & \mathbf{F}(A) \xrightarrow{\tau_A} \mathbf{G}(A) \\ & \downarrow f & \downarrow \mathbf{F}(f) \quad \downarrow \mathbf{G}(f) \\ & B & \mathbf{F}(B) \xrightarrow{\tau_B} \mathbf{G}(B) \end{array}$$

## Examples

- *identity transformations*:  $id_{\mathbf{F}}: \mathbf{F} \rightarrow \mathbf{F}$ , where  $\mathbf{F}: \mathbf{K} \rightarrow \mathbf{K}'$ , for all objects  $A \in |\mathbf{K}|$ ,  $(id_{\mathbf{F}})_A = id_A: \mathbf{F}(A) \rightarrow \mathbf{F}(A)$
- *singleton functions*:  $sing: \mathbf{Id}_{\mathbf{Set}} \rightarrow \mathbf{P} (: \mathbf{Set} \rightarrow \mathbf{Set})$ , where for all  $X \in |\mathbf{Set}|$ ,  $sing_X: X \rightarrow \mathbf{P}(X)$  is a function defined by  $sing_X(x) = \{x\}$  for  $x \in X$
- *singleton-list functions*:  $sing^{\mathbf{List}}: \mathbf{Id}_{\mathbf{Set}} \rightarrow |\mathbf{List}| (: \mathbf{Set} \rightarrow \mathbf{Set})$ , where  $|\mathbf{List}| = \mathbf{List}; |-|: \mathbf{Set}(\rightarrow \mathbf{Monoid}) \rightarrow \mathbf{Set}$ , and for all  $X \in |\mathbf{Set}|$ ,  $sing_X^{\mathbf{List}}: X \rightarrow X^*$  is a function defined by  $sing_X^{\mathbf{List}}(x) = \langle x \rangle$  for  $x \in X$
- *append functions*:  $append: |\mathbf{List}|; \mathbf{CP} \rightarrow |\mathbf{List}| (: \mathbf{Set} \rightarrow \mathbf{Set})$ , where for all  $X \in |\mathbf{Set}|$ ,  $append_X: (X^* \times X^*) \rightarrow X^*$  is the usual append function (list concatenation) polymorphic functions between algebraic types

## Polymorphic functions

Work out the following generalisation of the last two examples:

- for each algebraic type scheme  $\forall \alpha_1 \dots \alpha_n \cdot T$ , built in **Standard ML** using at least products and algebraic data types (no function types though), define the corresponding functor  $\llbracket T \rrbracket : \mathbf{Set}^n \rightarrow \mathbf{Set}$
- argue that in a representative subset of **Standard ML**, for each polymorphic expression  $E : \forall \alpha_1 \dots \alpha_n \cdot T \rightarrow T'$  its semantics is a natural transformation  $\llbracket E \rrbracket : \llbracket T \rrbracket \rightarrow \llbracket T' \rrbracket$

Theorems for free!  
(see Wadler 89)



## Yoneda lemma

Given a locally small category  $\mathbf{K}$ , functor  $\mathbf{F}: \mathbf{K} \rightarrow \mathbf{Set}$  and object  $A \in |\mathbf{K}|$ :

$$\text{Nat}(\mathbf{Hom}_{\mathbf{K}}(A, -), \mathbf{F}) \cong \mathbf{F}(A)$$

*natural transformations from  $\mathbf{Hom}_{\mathbf{K}}(A, -)$  to  $\mathbf{F}$ , between functors from  $\mathbf{K}$  to  $\mathbf{Set}$ , are given exactly by the elements of the set  $\mathbf{F}(A)$*

### EXERCISES:

- Dualise: for  $\mathbf{G}: \mathbf{K}^{op} \rightarrow \mathbf{Set}$ ,

$$\text{Nat}(\mathbf{Hom}_{\mathbf{K}}(-, A), \mathbf{G}) \cong \mathbf{G}(A)$$

- Characterise all natural transformations from  $\mathbf{Hom}_{\mathbf{K}}(A, -)$  to  $\mathbf{Hom}_{\mathbf{K}}(B, -)$ , for all objects  $A, B \in |\mathbf{K}|$ .

## Proof

- For  $a \in \mathbf{F}(A)$ , define  $\tau^a: \mathbf{Hom}_{\mathbf{K}}(A, -) \rightarrow \mathbf{F}$ , as the family of functions  $\tau_B^a: \mathbf{K}(A, B) \rightarrow \mathbf{F}(B)$  given by  $\tau_B^a(f) = \mathbf{F}(f)(a)$  for  $f: A \rightarrow B$  in  $\mathbf{K}$ .

This is a natural transformation, since for  $g: B \rightarrow C$  and then  $f: A \rightarrow B$ ,

$$\mathbf{F}(g)(\tau_B^a(f)) = \mathbf{F}(g)(\mathbf{F}(f)(a))$$

$$= \mathbf{F}(f;g)(a) = \tau_C^a(f;g)$$

$$= \tau_C^a(\mathbf{Hom}_{\mathbf{K}}(A, g)(f))$$

Then  $\tau_A^a(id_A) = a$ , and so for distinct  $a, a' \in \mathbf{F}(A)$ ,  $\tau^a$  and  $\tau^{a'}$  differ.

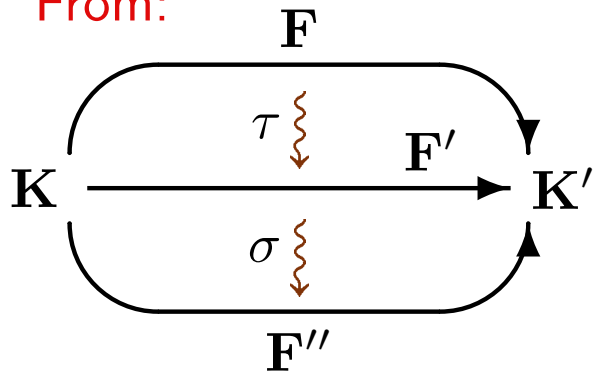
- If  $\tau: \mathbf{Hom}_{\mathbf{K}}(A, -) \rightarrow \mathbf{F}$  is a natural transformation then  $\tau = \tau^a$ , where we put  $a = \tau_A(id_A)$ , since for  $B \in |\mathbf{K}|$  and  $f: A \rightarrow B$ ,  $\tau_B(f) = \mathbf{F}(f)(\tau_A(id_A))$  by naturality of  $\tau$ :

<b>K:</b>	<b>Set:</b>	
$B$	$\mathbf{K}(A, B) \xrightarrow{\tau_B^a} \mathbf{F}(B)$	
$\downarrow g$	$\downarrow (-);g = \mathbf{Hom}_{\mathbf{K}}(A, g)$	$\downarrow \mathbf{F}(g)$
$C$	$\mathbf{K}(A, C) \xrightarrow{\tau_C^a} \mathbf{F}(C)$	
$A$	$\mathbf{K}(A, A) \xrightarrow{\tau_A} \mathbf{F}(A)$	
$\downarrow f$	$\downarrow (-);f = \mathbf{Hom}_{\mathbf{K}}(A, f)$	$\downarrow \mathbf{F}(f)$
$B$	$\mathbf{K}(A, B) \xrightarrow{\tau_B} \mathbf{F}(B)$	

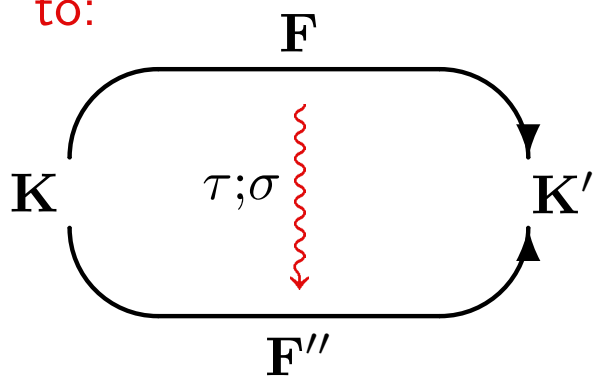
# Compositions

vertical composition:

From:

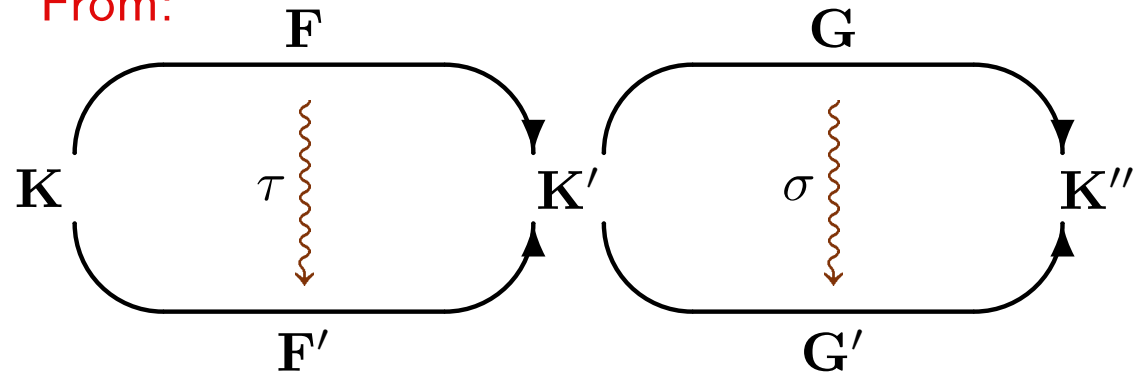


to:

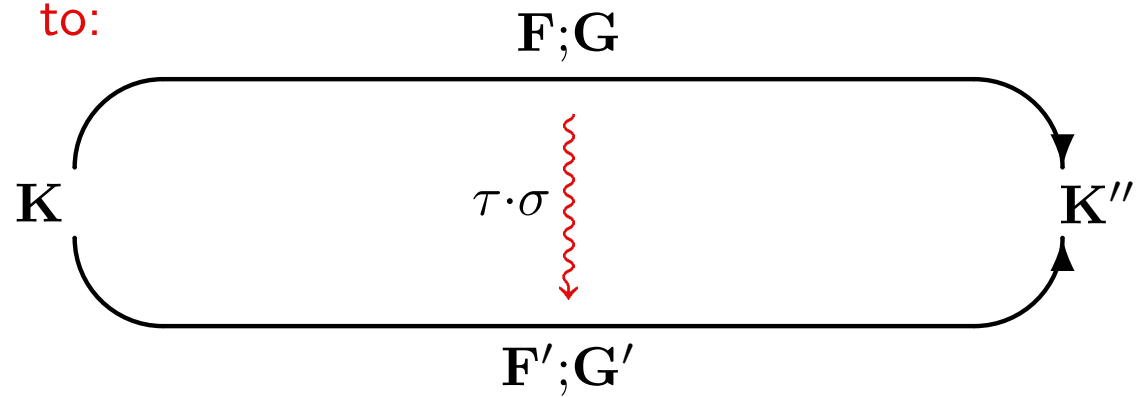


horizontal composition:

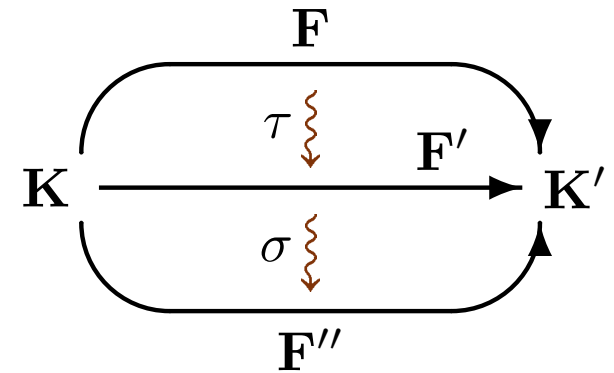
From:



to:



## Vertical composition



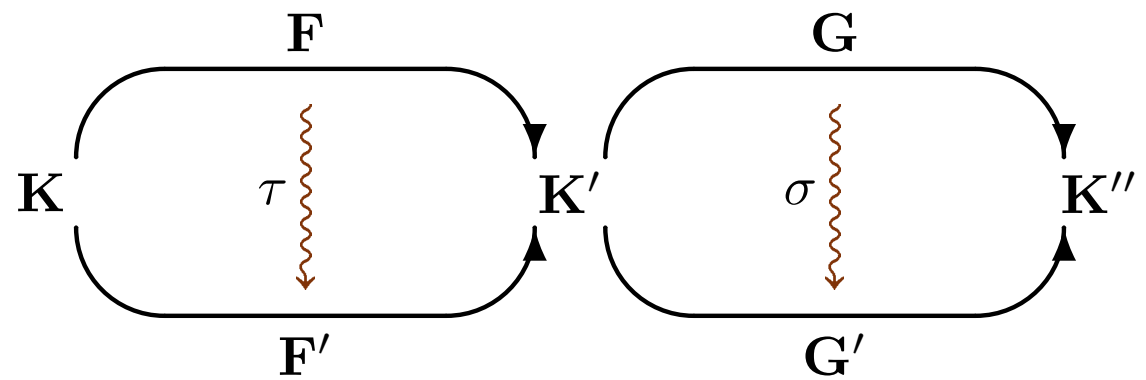
The *vertical composition* of natural transformations  $\tau: \mathbf{F} \rightarrow \mathbf{F}'$  and  $\sigma: \mathbf{F}' \rightarrow \mathbf{F}''$  between parallel functors  $\mathbf{F}, \mathbf{F}', \mathbf{F}'': \mathbf{K} \rightarrow \mathbf{K}'$

$$\tau; \sigma: \mathbf{F} \rightarrow \mathbf{F}''$$

is a natural transformation given by  $(\tau; \sigma)_A = \tau_A; \sigma_A$  for all  $A \in |\mathbf{K}|$ .

$$\begin{array}{ccccc}
 \mathbf{K}: & & \mathbf{K}': & & \\
 A & & \mathbf{F}(A) & \xrightarrow{\tau_A} & \mathbf{F}'(A) & \xrightarrow{\sigma_A} & \mathbf{F}''(A) \\
 \downarrow f & & \downarrow \mathbf{F}(f) & & \downarrow \mathbf{F}'(f) & & \downarrow \mathbf{F}''(f) \\
 B & & \mathbf{F}(B) & \xrightarrow{\tau_B} & \mathbf{F}'(B) & \xrightarrow{\sigma_B} & \mathbf{F}''(B)
 \end{array}$$

## Horizontal composition



The *horizontal composition* of natural transformations  $\tau: \mathbf{F} \rightarrow \mathbf{F}'$  and  $\sigma: \mathbf{G} \rightarrow \mathbf{G}'$  between composable pairs of parallel functors  $\mathbf{F}, \mathbf{F}': \mathbf{K} \rightarrow \mathbf{K}'$ ,  $\mathbf{G}, \mathbf{G}': \mathbf{K}' \rightarrow \mathbf{K}''$

$$\tau \cdot \sigma: \mathbf{F}; \mathbf{G} \rightarrow \mathbf{F}'; \mathbf{G}'$$

is a natural transformation given by  $(\tau \cdot \sigma)_A = \mathbf{G}(\tau_A); \sigma_{\mathbf{F}'(A)} = \sigma_{\mathbf{F}(A)}; \mathbf{G}'(\tau_A)$  for all  $A \in |\mathbf{K}|$ .

*Multiplication by functor:*

- $\tau \cdot \mathbf{G} = \tau \cdot id_{\mathbf{G}}: \mathbf{F}; \mathbf{G} \rightarrow \mathbf{F}'; \mathbf{G}$ ,  
i.e.,  $(\tau \cdot \mathbf{G})_A = \mathbf{G}(\tau_A)$
- $\mathbf{F} \cdot \sigma = id_{\mathbf{F}} \cdot \sigma: \mathbf{F}; \mathbf{G} \rightarrow \mathbf{F}; \mathbf{G}'$ ,  
i.e.,  $(\mathbf{F} \cdot \sigma)_A = \sigma_{\mathbf{F}(A)}$

$$\begin{array}{ccc}
 \mathbf{K}': & & \mathbf{K}'': \\
 \mathbf{F}(A) & & \mathbf{G}(\mathbf{F}(A)) \xrightarrow{\sigma_{\mathbf{F}(A)}} \mathbf{G}'(\mathbf{F}(A)) \\
 \downarrow \tau_A & & \downarrow \mathbf{G}(\tau_A) \quad \searrow (\tau \cdot \sigma)_A \quad \downarrow \mathbf{G}'(\tau_A) \\
 \mathbf{F}'(A) & & \mathbf{G}(\mathbf{F}'(A)) \xrightarrow{\sigma_{\mathbf{F}'(A)}} \mathbf{G}'(\mathbf{F}'(A))
 \end{array}$$

Show that indeed,  $\tau \cdot \sigma$  is a natural transformation

## Functor categories

Given two categories  $\mathbf{K}, \mathbf{K}'$ , define the *category of functors from  $\mathbf{K}'$  to  $\mathbf{K}$* ,  $\mathbf{K}^{\mathbf{K}'}$ , as follows:

- objects: functors from  $\mathbf{K}'$  to  $\mathbf{K}$
- morphisms: natural transformations between them
- composition: vertical composition of the natural transformations

Exercises:

- View the category of  $S$ -sorted sets,  $\mathbf{Set}^S$ , as a functor category
- Show how any functor  $\mathbf{F}: \mathbf{K}'' \rightarrow \mathbf{K}'$  induces a functor  $(\mathbf{F}; -): \mathbf{K}^{\mathbf{K}'} \rightarrow \mathbf{K}^{\mathbf{K}''}$
- Check whether  $\mathbf{K}^{\mathbf{K}'}$  is (finitely) (co)complete whenever  $\mathbf{K}$  is so.
- Check when  $(\mathbf{F}; -): \mathbf{K}^{\mathbf{K}'} \rightarrow \mathbf{K}^{\mathbf{K}''}$  is (finitely) (co)continuous, for a given functor  $\mathbf{F}: \mathbf{K}'' \rightarrow \mathbf{K}'$

## Yoneda embedding

Given a category  $\mathbf{K}$ , define

$$\mathcal{Y}: \mathbf{K} \rightarrow \mathbf{Set}^{\mathbf{K}^{op}}$$

- $\mathcal{Y}(A) = \mathbf{Hom}_{\mathbf{K}}(-, A): \mathbf{K}^{op} \rightarrow \mathbf{Set}$ , for  $A \in |\mathbf{K}|$
- $\mathcal{Y}(f)_X = (-; f): \mathbf{Hom}_{\mathbf{K}}(X, A) \rightarrow \mathbf{Hom}_{\mathbf{K}}(X, B)$ , for  $f: A \rightarrow B$  in  $\mathbf{K}$ , for  $X \in |\mathbf{K}^{op}|$ .

**Fact:** *The category of presheaves  $\mathbf{Set}^{\mathbf{K}^{op}}$  is complete and cocomplete.*

**Fact:**  *$\mathcal{Y}: \mathbf{K} \rightarrow \mathbf{Set}^{\mathbf{K}^{op}}$  is full and faithful.*

## Diagrams as functors

Each diagram  $D$  over graph  $G$  in category  $\mathbf{K}$  yields a functor  $\mathbf{F}_D: \mathbf{Path}(G) \rightarrow \mathbf{K}$  given by:

- $\mathbf{F}_D(n) = D_n$ , for all nodes  $n \in |G|_{nodes}$
- $\mathbf{F}_D(n_0e_1n_1 \dots n_{k-1}e_kn_k) = D_{e_1}; \dots; D_{e_k}$ , for paths  $n_0e_1n_1 \dots n_{k-1}e_kn_k$  in  $G$

Moreover:

- for distinct diagrams  $D$  and  $D'$  of shape  $G$ ,  $\mathbf{F}_D$  and  $\mathbf{F}_{D'}$  are different
- all functors from  $\mathbf{Path}(G)$  to  $\mathbf{K}$  are given by diagrams over  $G$

Diagram morphisms  $\mu: D \rightarrow D'$  between diagrams of the same shape  $G$  are exactly natural transformations  $\mu: \mathbf{F}_D \rightarrow \mathbf{F}_{D'}$ .

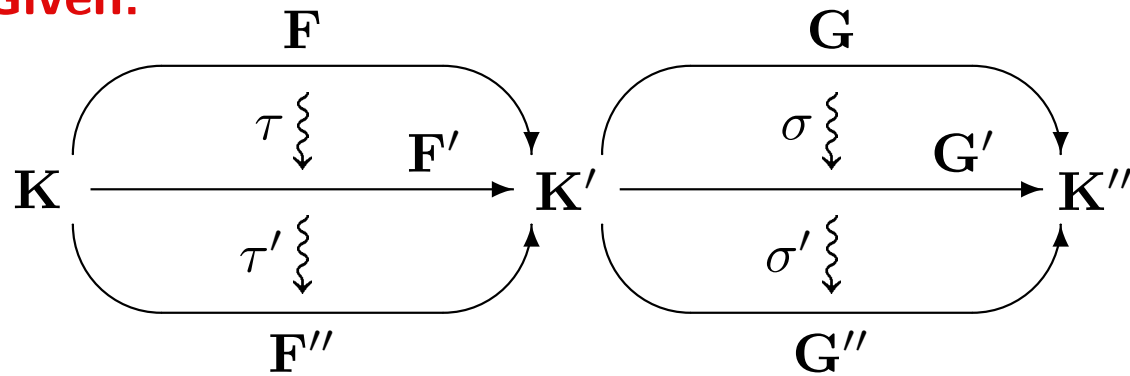
$$\mathbf{Diag}_{\mathbf{K}}^G \cong \mathbf{K}^{\mathbf{Path}(G)}$$

*Diagrams are functors from small (shape) categories*



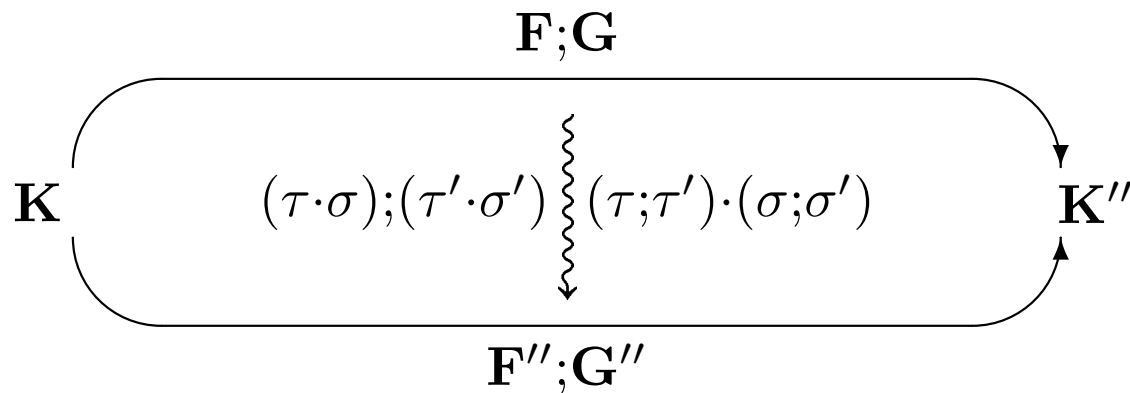
## Double law

Given:



then:

$$(\tau \cdot \sigma); (\tau' \cdot \sigma') = (\tau; \tau') \cdot (\sigma; \sigma')$$



This holds in  $\mathbf{Cat}$ , which is a paradigmatic example of a two-category.

A category  $\mathbf{K}$  is a *two-category* when for all objects  $A, B \in |\mathbf{K}|$ ,  $\mathbf{K}(A, B)$  is again a category, with *1-morphisms* (the usual  $\mathbf{K}$ -morphisms) as objects and *2-morphisms* between them. Those 2-morphisms compose vertically (in the categories  $\mathbf{K}(A, B)$ ) and horizontally, subject to the double law as stated here.

In two-category  $\mathbf{Cat}$ , we have  $\mathbf{Cat}(\mathbf{K}', \mathbf{K}) = \mathbf{K}^{\mathbf{K}'}$ .

## Equivalence of categories

- Two categories  $\mathbf{K}$  and  $\mathbf{K}'$  are *isomorphic* if there are functors  $\mathbf{F}: \mathbf{K} \rightarrow \mathbf{K}'$  and  $\mathbf{G}: \mathbf{K}' \rightarrow \mathbf{K}$  such that  $\mathbf{F};\mathbf{G} = \text{Id}_{\mathbf{K}}$  and  $\mathbf{G};\mathbf{F} = \text{Id}_{\mathbf{K}'}$ .
- Two categories  $\mathbf{K}$  and  $\mathbf{K}'$  are *equivalent* if there are functors  $\mathbf{F}: \mathbf{K} \rightarrow \mathbf{K}'$  and  $\mathbf{G}: \mathbf{K}' \rightarrow \mathbf{K}$  and natural isomorphisms  $\eta: \text{Id}_{\mathbf{K}} \rightarrow \mathbf{F};\mathbf{G}$  and  $\epsilon: \mathbf{G};\mathbf{F} \rightarrow \text{Id}_{\mathbf{K}'}$ .
- A category is *skeletal* if any two isomorphic objects are identical.
- A *skeleton* of a category is any of its maximal skeletal subcategory.

**Fact:** *Two categories are equivalent iff they have isomorphic skeletons.*

*All “categorical” properties are preserved under equivalence of categories*