# Functors and natural transformations

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functors → category morphisms

natural transformations → functor morphisms

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• F preserves composition, i.e.,

$$\mathbf{F}(f;g) = \mathbf{F}(f); \mathbf{F}(g)$$

for all  $f: A \to B$  and  $g: B \to C$  in  $\mathbf{K}$ .

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 $\bullet$  identity functors:  $\mathbf{Id}_{\mathbf{K}} \colon \mathbf{K} \to \mathbf{K},$  for any category  $\mathbf{K}$ 

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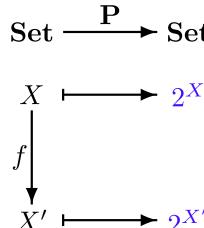
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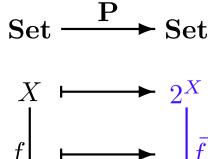
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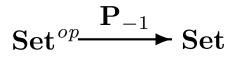
Set 
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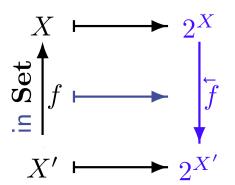
$$\begin{cases} x \mapsto 2^{X} \\ x \mid f \\ x' \mapsto 2^{X'} \end{cases}$$

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\downarrow & & \downarrow \\
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X' & & & \\
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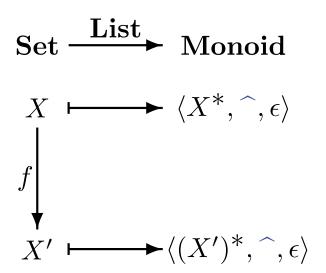
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$$f \downarrow \qquad \qquad \downarrow f^*$$

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Define  $\mathbf{Set}_*$  as the category of algebras

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- *list functor*:  $\mathbf{List} : \mathbf{Set} \to \mathbf{Monoid}$ , where  $\mathbf{Monoid}$  is the category of monoids (as objects) with monoid homomorphisms as morphisms:
  - $\mathbf{List}(X) = \langle X^*, \widehat{\phantom{A}}, \epsilon \rangle$ , for all  $X \in |\mathbf{Set}|$ , where  $X^*$  is the set of all finite lists of elements from X,  $\widehat{\phantom{A}}$  is the list concatenation, and  $\epsilon$  is the empty list.
  - $\mathbf{List}(f) : \mathbf{List}(X) \to \mathbf{List}(X')$  for  $f : X \to X'$  in  $\mathbf{Set}$ ,  $\mathbf{List}(f)(\langle x_1, \dots, x_n \rangle) = \langle f(x_1), \dots, f(x_n) \rangle$  for all  $x_1, \dots, x_n \in X$
- totalisation functor:  $\mathbf{Tot} \colon \mathbf{Pfn} \to \mathbf{Set}_*$ , where  $\mathbf{Set}_*$  is the subcategory of  $\mathbf{Set}$  of sets with a distinguished element \* and \*-preserving functions
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 $\mathbf{Hom}_{\mathbf{K}} \colon \mathbf{K}^{op} \times \mathbf{K} \to \mathbf{Set}$ 

a binary *hom-functor*, contravariant on the first argument and covariant on the second argument, as follows:

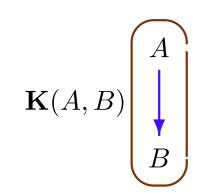
Andrzej Tarlecki: Category Theory, 2021

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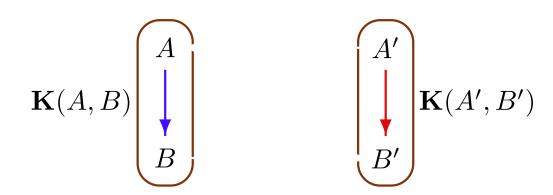


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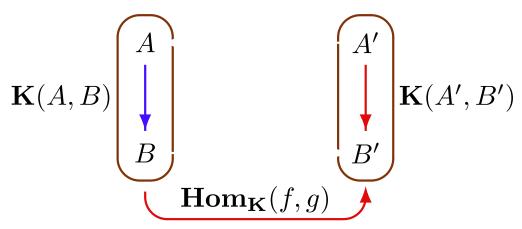


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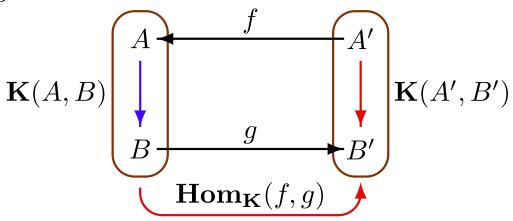


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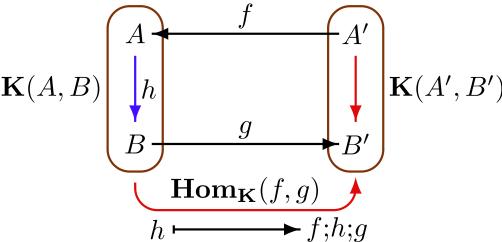
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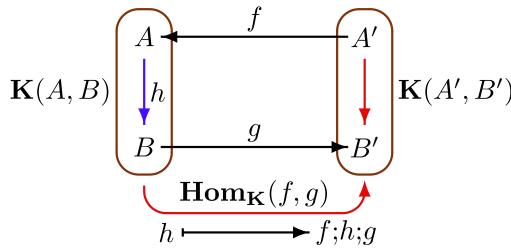
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Also:  $\mathbf{Hom}_{\mathbf{K}}(A,\_) \colon \mathbf{K} \to \mathbf{Set}$  $\mathbf{Hom}_{\mathbf{K}}(\_,B) \colon \mathbf{K}^{op} \to \mathbf{Set}$ 



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 $\mathbf{F} \colon \mathbf{K} o \mathbf{K}'$ 

If  $f: A \to B$  is mono in  $\mathbf K$  then

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If  $f: A \to B$  is a retraction in **K** then

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### $\mathbf{F} \colon \mathbf{K} o \mathbf{K}'$

If  $\alpha\colon X\to D$  is a cone on diagram D in  $\mathbf K$  then  $\mathbf F(\alpha)\colon \mathbf F(X)\to \mathbf F(D)$  is a cone on diagram  $\mathbf F(D)$  in  $\mathbf K'$ ??

#### BTW:

- $\mathbf{F}(D)$  has the same shape as D, i.e.  $\mathcal{G}(\mathbf{F}(D)) = \mathcal{G}(D)$  (with nodes N and edges E)
  - $(\mathbf{F}(D))_n = \mathbf{F}(D_n) \text{ for } n \in N$
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- $\mathbf{F}(\alpha) = \langle \mathbf{F}(\alpha_n) \colon \mathbf{F}(X) \to (\mathbf{F}(D))_n \rangle_{n \in N}$

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Dualise!

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Try to define their duals

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$$\mathbf{K1}$$
:  $\mathbf{K}$ :  $f$   $\mathbf{G}(A_2)$   $\mathbf{K2}$ :  $A$ 

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$$\mathbf{K1}$$
:  $\mathbf{K}$ :  $\mathbf{K2}$ :  $A_1$   $\mathbf{F}(A_1)$   $\longrightarrow \mathbf{G}(A_2)$   $A_2$ 

$$B_1 \qquad \mathbf{F}(B_1) \xrightarrow{g} \mathbf{G}(B_2) \qquad B_2$$

Andrzej Tarlecki: Category Theory, 2021

Given two functors with a common target,  $F\colon K1 \to K$  and  $G\colon K2 \to K$ , define their comma category

 $(\mathbf{F},\mathbf{G})$ 

- objects: triples  $\langle A_1, f \colon \mathbf{F}(A_1) \to \mathbf{G}(A_2), A_2 \rangle$ , where  $A_1 \in |\mathbf{K1}|$ ,  $A_2 \in |\mathbf{K2}|$ , and  $\overline{f \colon \mathbf{F}(A_1)} \to \mathbf{G}(A_2)$  in  $\mathbf{K}$
- morphisms: a morphism in  $(\mathbf{F}, \mathbf{G})$  is any pair  $\overline{\langle h_1, h_2 \rangle} \colon \overline{\langle A_1, f \colon \mathbf{F}(A_1) \to \mathbf{G}(A_2), A_2 \rangle} \to \overline{\langle B_1, g \colon \mathbf{F}(B_1) \to \mathbf{G}(B_2), B_2 \rangle}$ , where  $h_1 \colon A_1 \to B_1$  in  $\mathbf{K1}$ ,  $h_2 \colon A_2 \to B_2$  in  $\mathbf{K2}$ ,

$$\begin{array}{cccc}
\mathbf{K1}: & \mathbf{K}: & f & \mathbf{K2}: \\
A_1 & \mathbf{F}(A_1) & \longrightarrow \mathbf{G}(A_2) & A_2 \\
h_1 & & \downarrow h_2 \\
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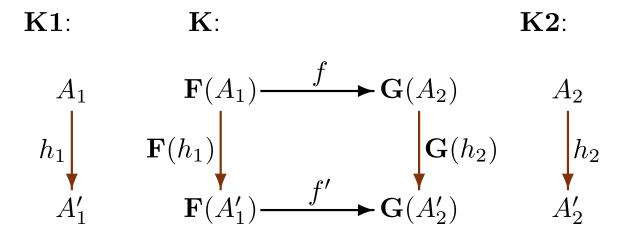
– composition:

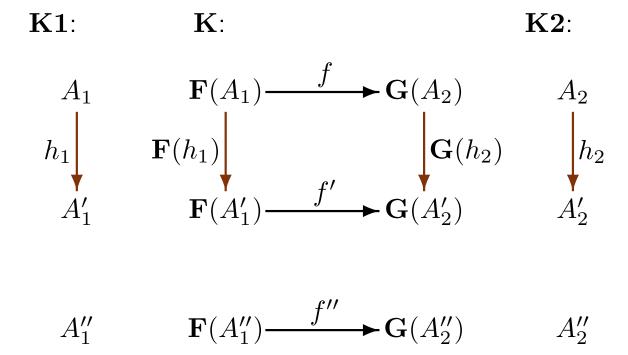
**K1**: **K**: **K2**:

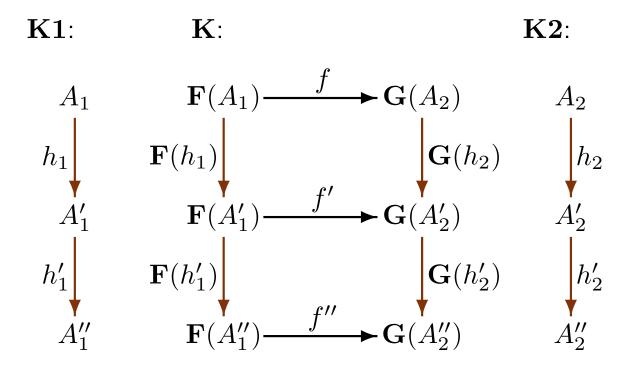
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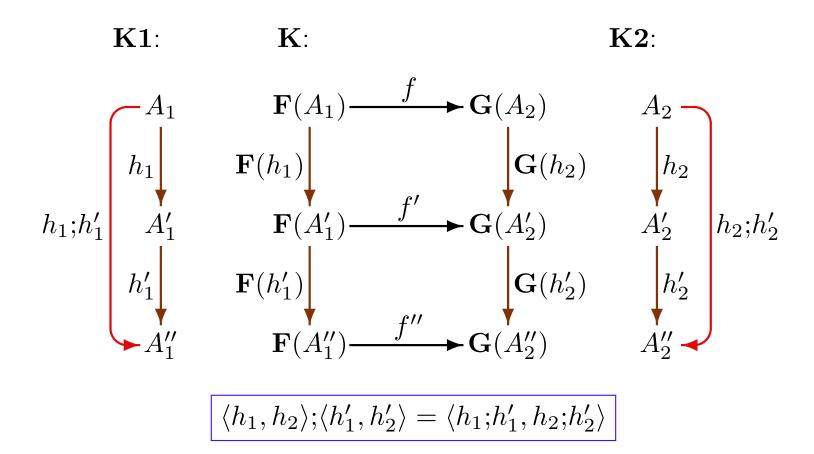
$$A_1'$$
  $\mathbf{F}(A_1') \xrightarrow{f'} \mathbf{G}(A_2')$   $A_2'$ 



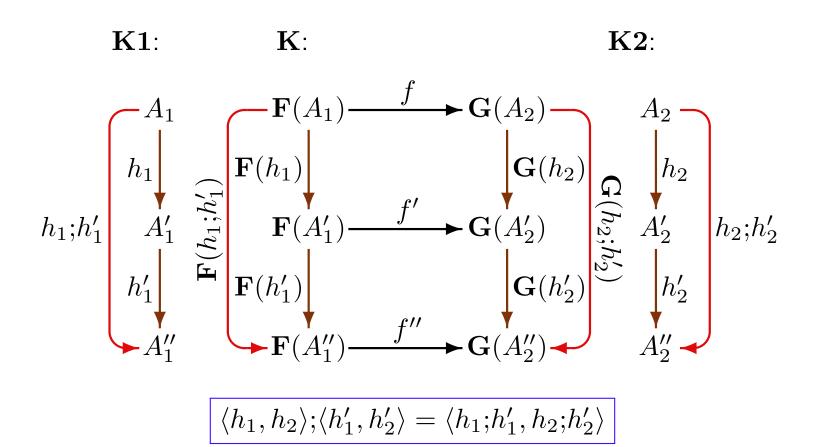




composition: component-wise



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$$\mathbf{F}(h_1;h_1');f'' = \mathbf{F}(h_1);\mathbf{F}(h_1');f'' = \mathbf{F}(h_1);f';\mathbf{G}(h_2') = f;\mathbf{G}(h_2);\mathbf{G}(h_2') = f;\mathbf{G}(h_2;h_2')$$

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- composition: component-wise  $A_1$   $F(A_1)$   $F(A_2)$   $A_2$   $A_3$   $F(A_1)$   $F(A_2)$   $F(A_2)$



• The category of graphs as a comma category:

$$\mathbf{Graph} = (\mathbf{Id_{Set}}, \mathbf{CP})$$

where  $\mathbf{CP} \colon \mathbf{Set} \to \mathbf{Set}$  is the (Cartesian) product functor, i.e.  $\mathbf{CP}(X) = X \times X$  and  $\mathbf{CP}(f)(\langle x, x' \rangle) = \langle f(x), f(x') \rangle$ .

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where  $(\_)^+$ : Set  $\to$  Set is the non-empty list functor, i.e.  $(X)^+$  is the set of all non-empty lists of elements from X,  $(f)^+(\langle x_1,\ldots,x_n\rangle)=\langle f(x_1),\ldots,f(x_n)\rangle$ .

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Define  $\mathbf{K}^{\rightarrow}$ ,  $\mathbf{K} \downarrow A$  as comma categories. The same for  $\mathbf{Alg}(\Sigma)$ .

**Theorem:** If K1 and K2 are (finitely) cocomplete categories,  $F: K1 \to K$  is a (finitely) cocontinuous functor, and  $G: K2 \to K$  is a functor then the comma category (F, G) is (finitely) cocomplete.

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State and prove the dual fact, concerning completeness of comma categories

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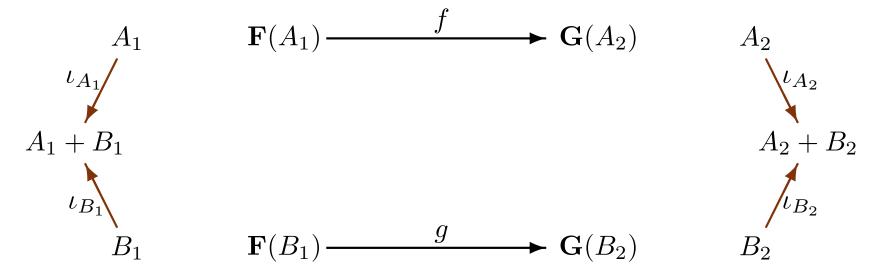
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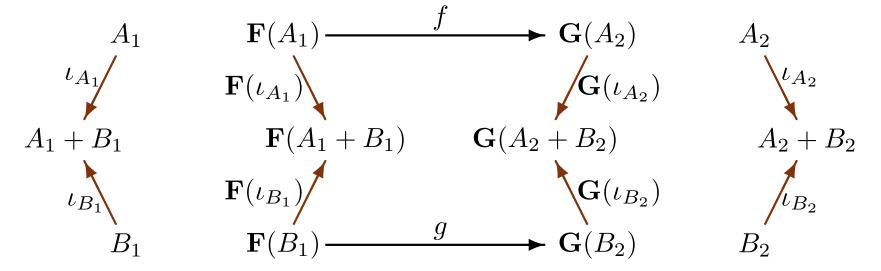
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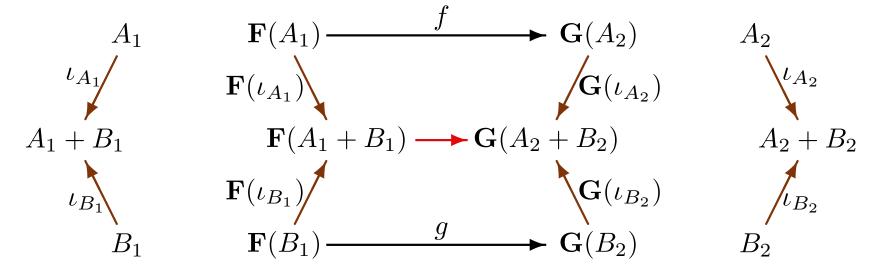
**Theorem:** If K1 and K2 are (finitely) complete categories,  $F: K1 \to K$  is a functor, and  $G: K2 \to K$  is a (finitely) continuous functor then the comma category (F,G) is (finitely) complete.

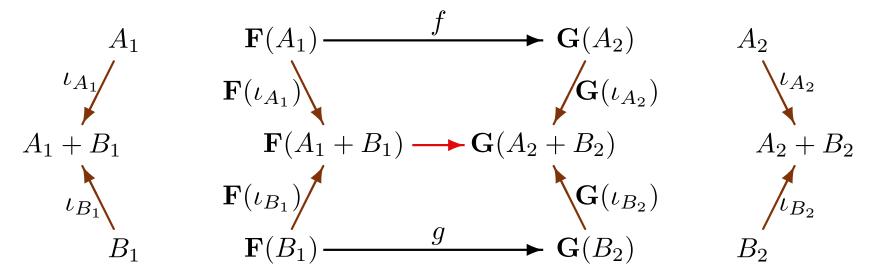
$$A_1 \qquad \mathbf{F}(A_1) \stackrel{f}{\longrightarrow} \mathbf{G}(A_2) \qquad A_2$$

$$B_1 \qquad \mathbf{F}(B_1) \xrightarrow{g} \mathbf{G}(B_2) \qquad B_2$$

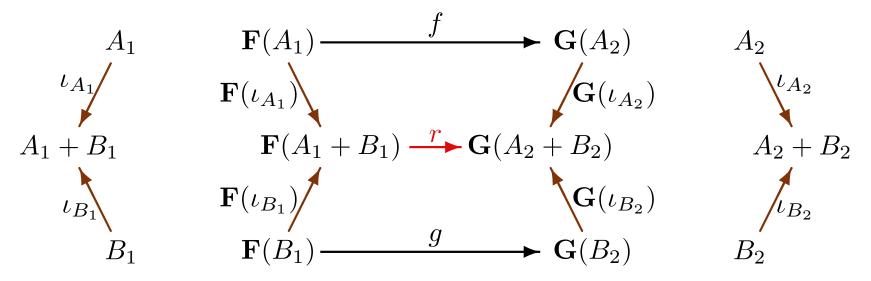




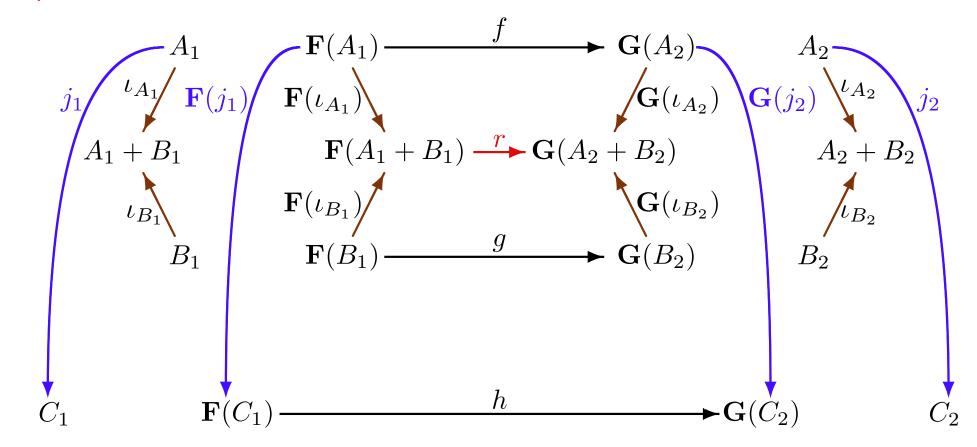




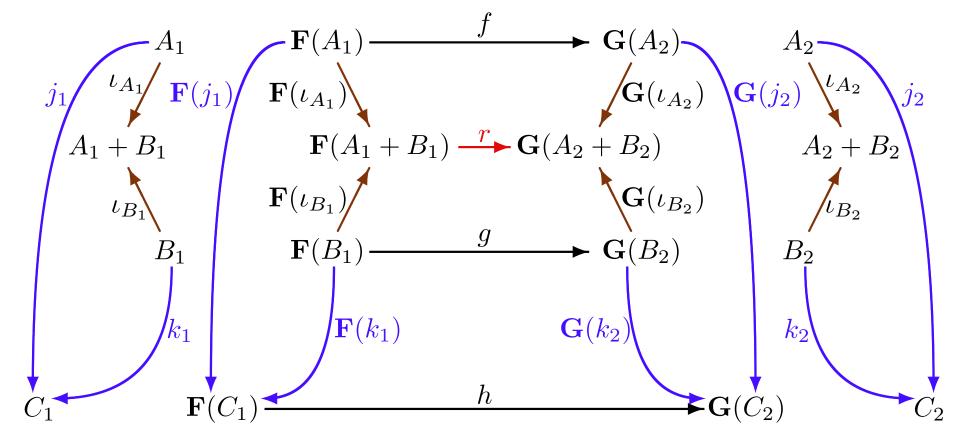
Fact:  $\langle A_1 + B_1, [f; \mathbf{G}(\iota_{A_2}), g; \mathbf{G}(\iota_{B_2})] : \mathbf{F}(A_1 + B_1) \to \mathbf{G}(A_2 + B_2), A_2 + B_2 \rangle$  with injections  $\langle \iota_{A_1}, \iota_{A_2} \rangle$  and  $\langle \iota_{B_1}, \iota_{B_2} \rangle$  is a coproduct of  $\langle A_1, f : \mathbf{F}(A_1) \to \mathbf{G}(A_2), A_2 \rangle$  and  $\langle B_1, g : \mathbf{F}(B_1) \to \mathbf{G}(B_2), B_2 \rangle$  in  $(\mathbf{F}, \mathbf{G})$ .



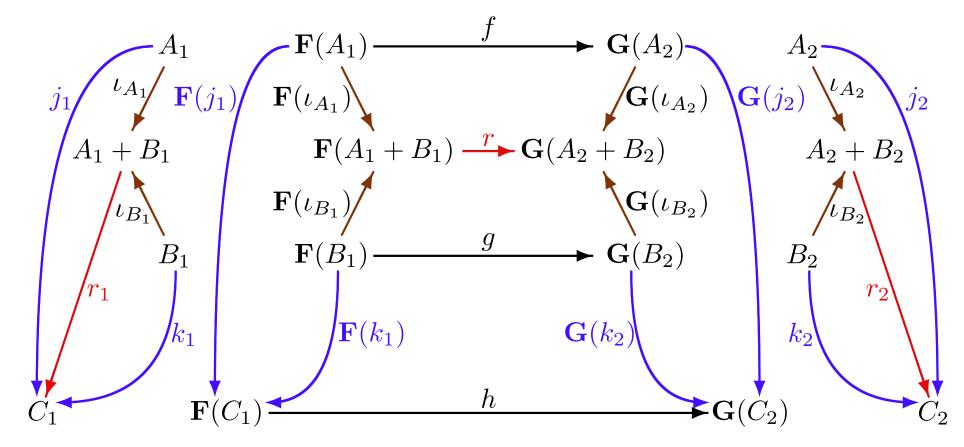
$$C_1$$
  $\mathbf{F}(C_1)$   $\longrightarrow \mathbf{G}(C_2)$   $\longleftarrow \mathbf{G}(C_2)$  where  $r = [f; \mathbf{G}(\iota_{A_2}), g; \mathbf{G}(\iota_{B_2})],$ 



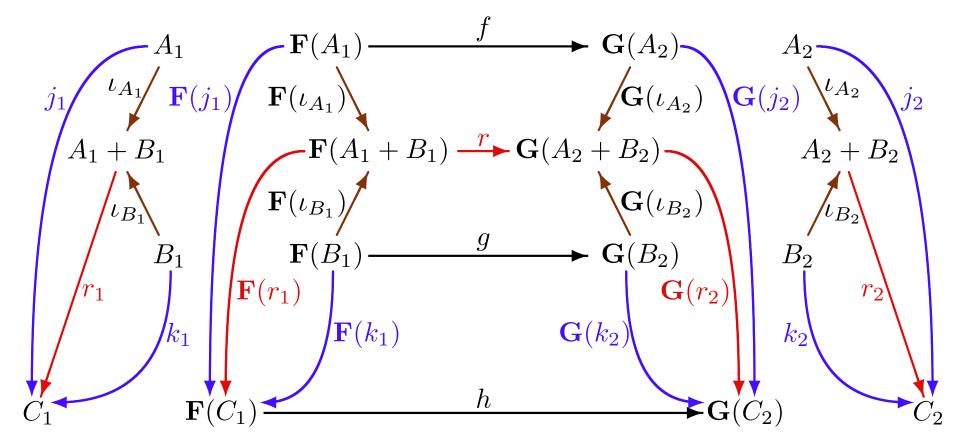
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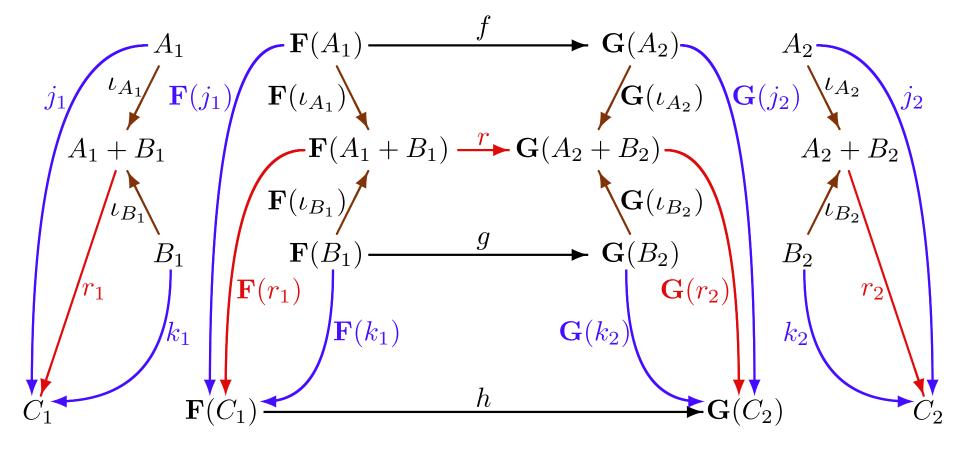
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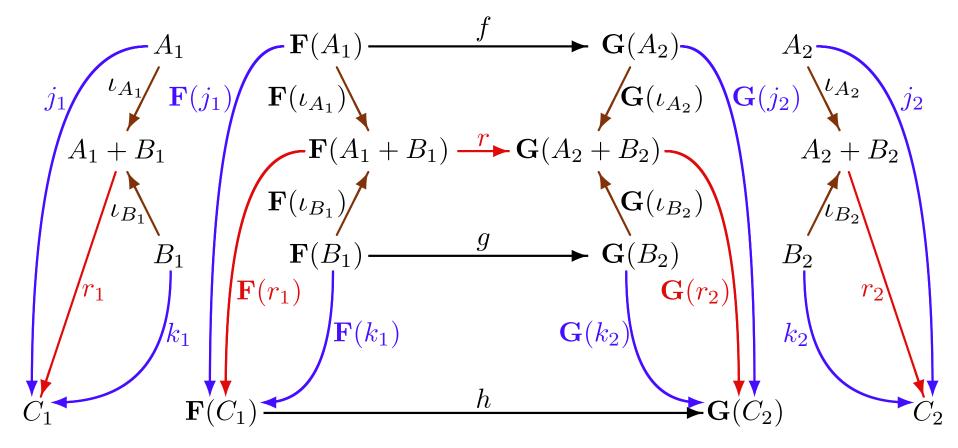
where  $r=[f;\mathbf{G}(\iota_{A_2}),g;\mathbf{G}(\iota_{B_2})]$ ,  $\mathbf{F}(j_1);h=f;\mathbf{G}(j_2)$ ,  $\mathbf{F}(k_1);h=g;\mathbf{G}(k_2)$ ,  $r_1=[j_1,k_1]$ ,  $r_2=[j_2,k_2]$ .



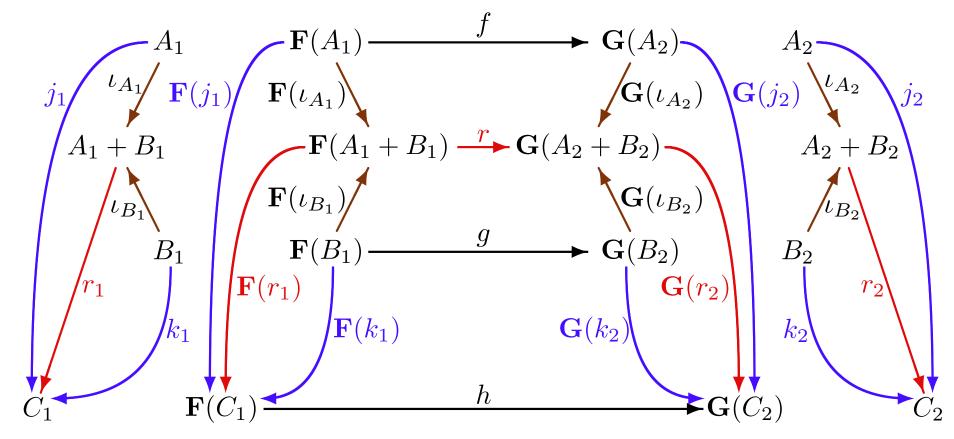
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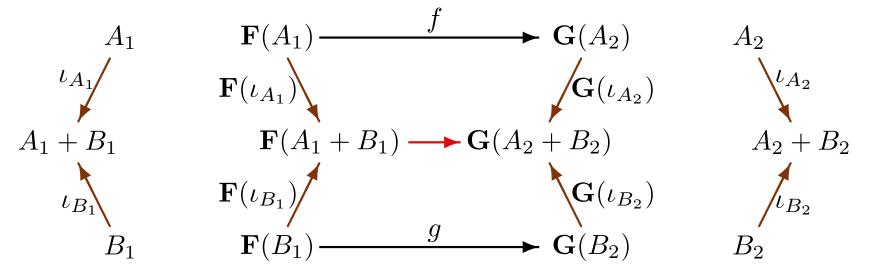
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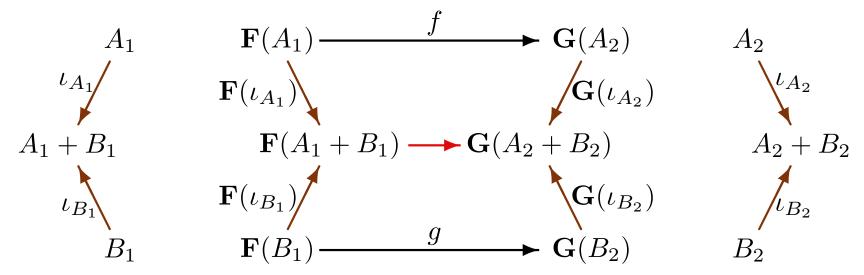


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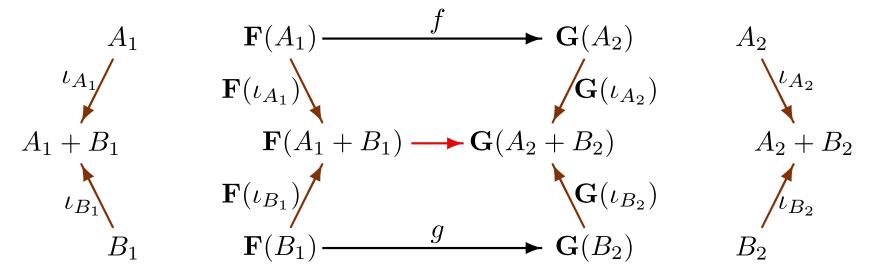
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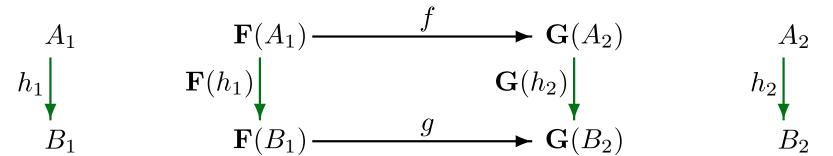


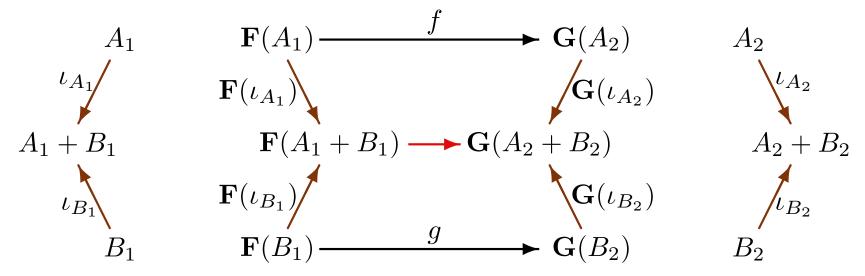


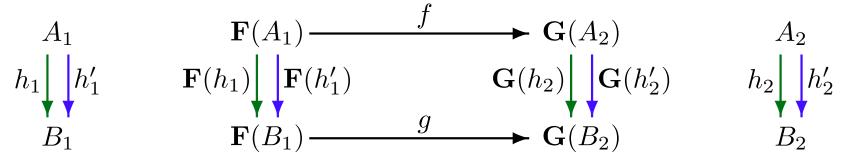
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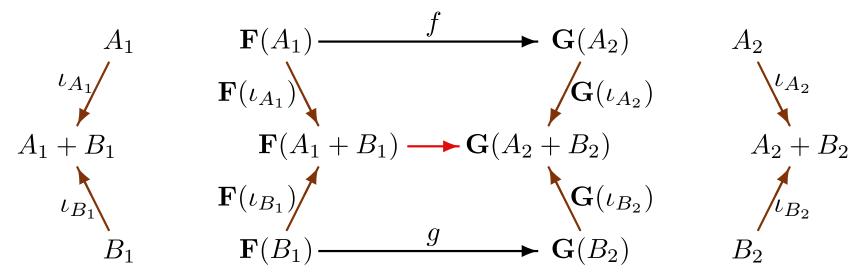
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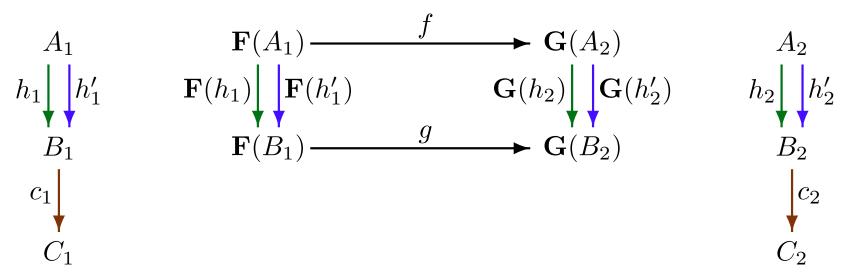


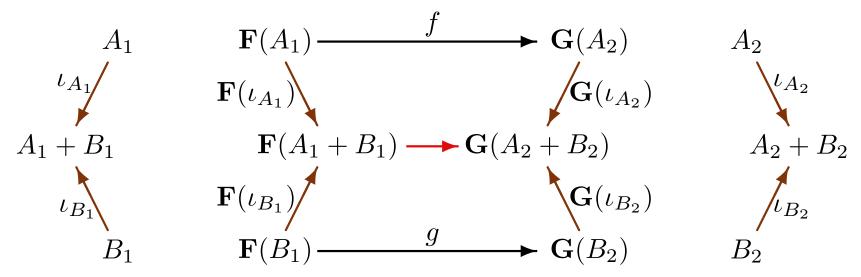


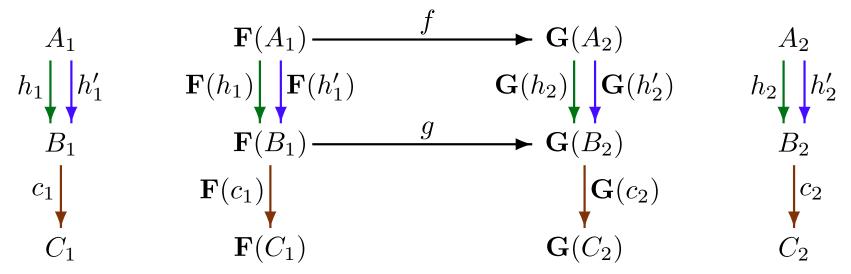


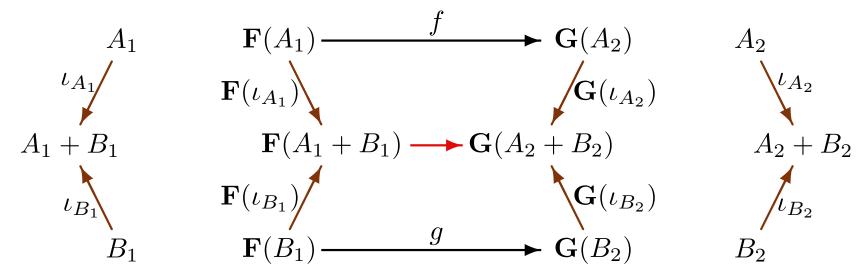


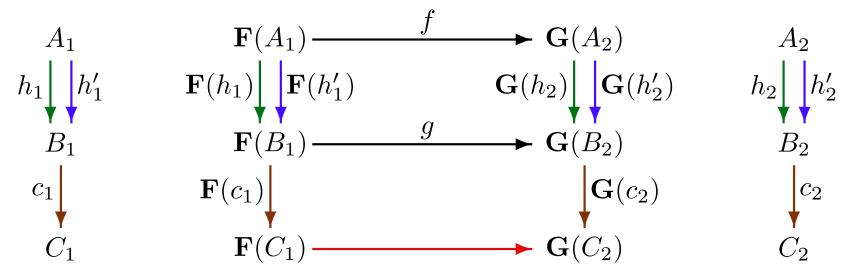










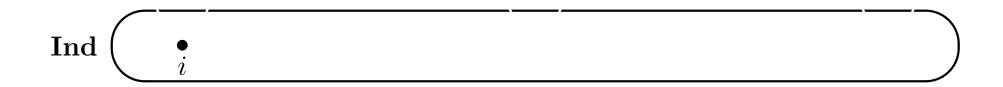


Standard example:  $\mathbf{Alg} : \mathbf{AlgSig}^{op} \to \mathbf{Cat}$ 

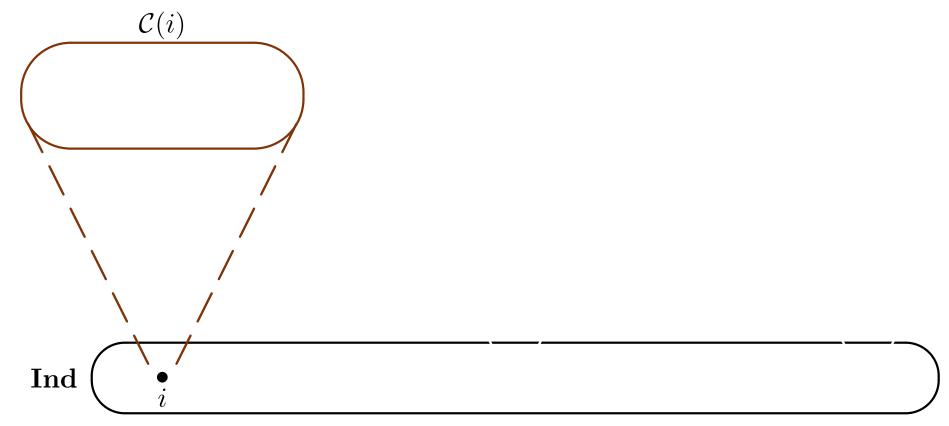
An *indexed category* is a functor

 $\mathcal{C} \colon \mathbf{Ind}^{op} o \mathbf{Cat}$ 

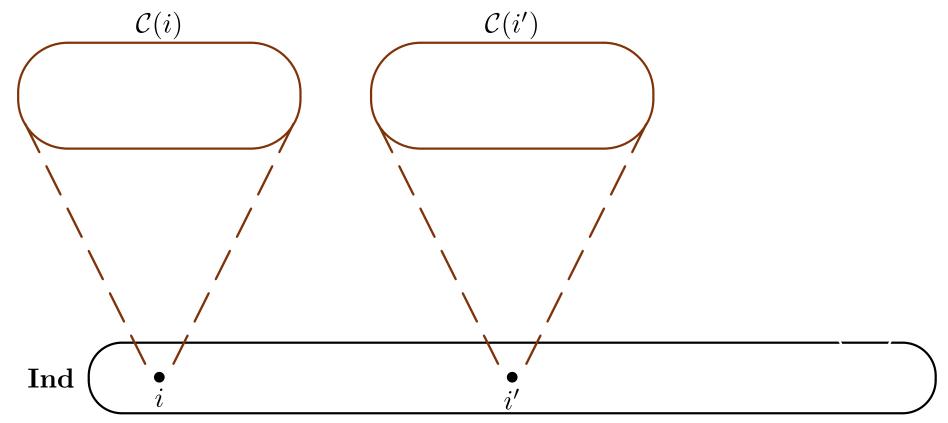
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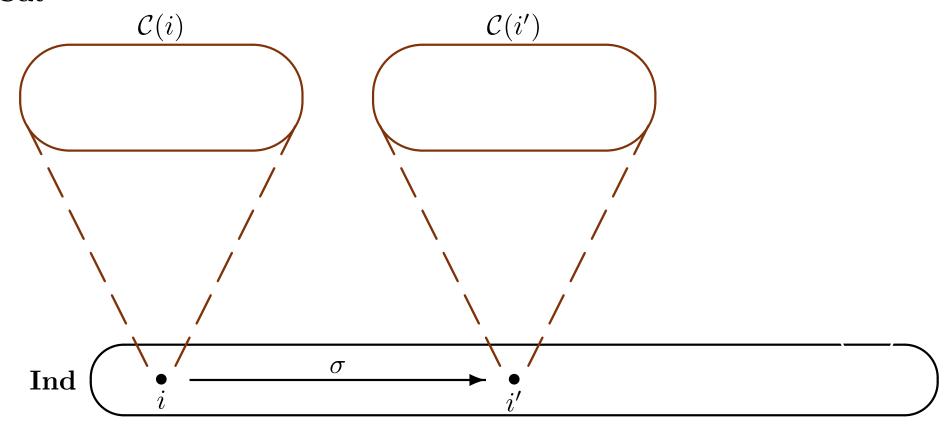
### Cat

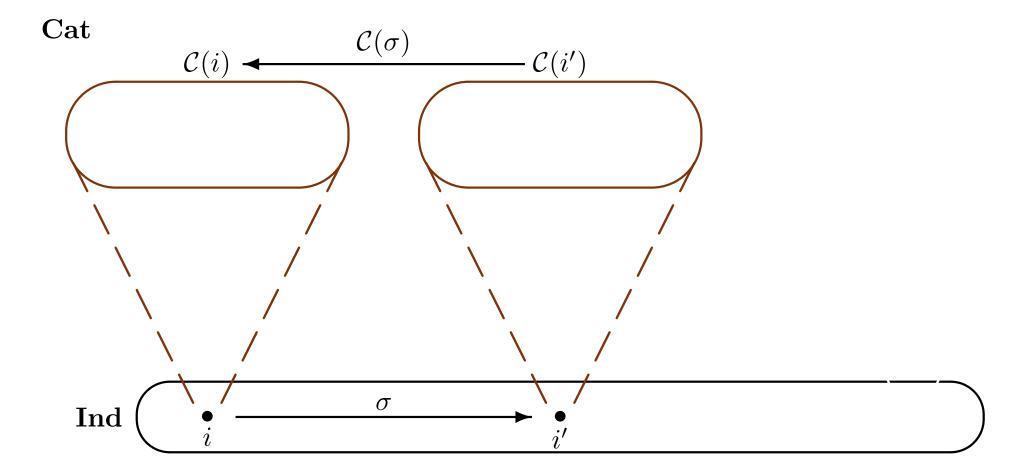


### Cat



### Cat





An *indexed category* is a functor

 $\mathcal{C} \colon \mathbf{Ind}^{op} o \mathbf{Cat}$ 

Standard example:  $Alg: AlgSig^{op} \rightarrow Cat$ 

The Grothendieck construction: Given  $\mathcal{C} \colon \mathbf{Ind}^{op} \to \mathbf{Cat}$ , define a category  $\mathbf{Flat}(\mathcal{C})$ :

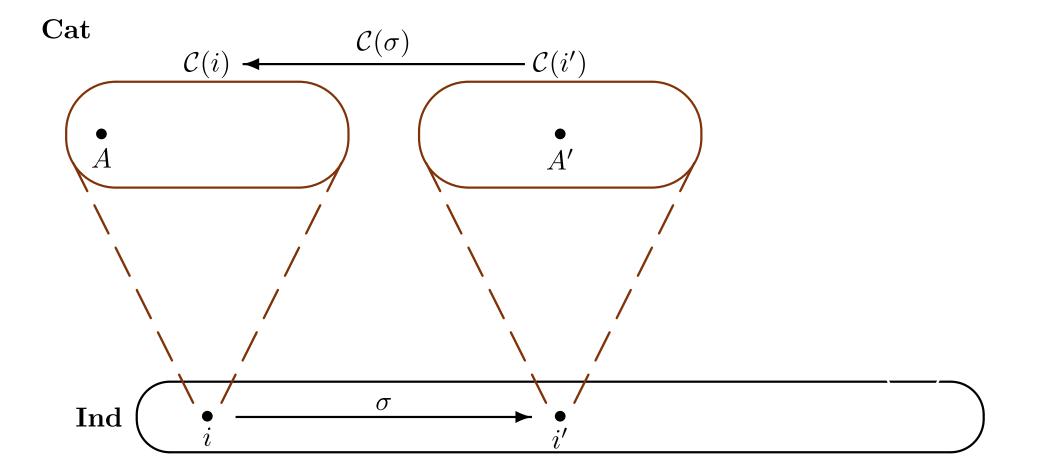
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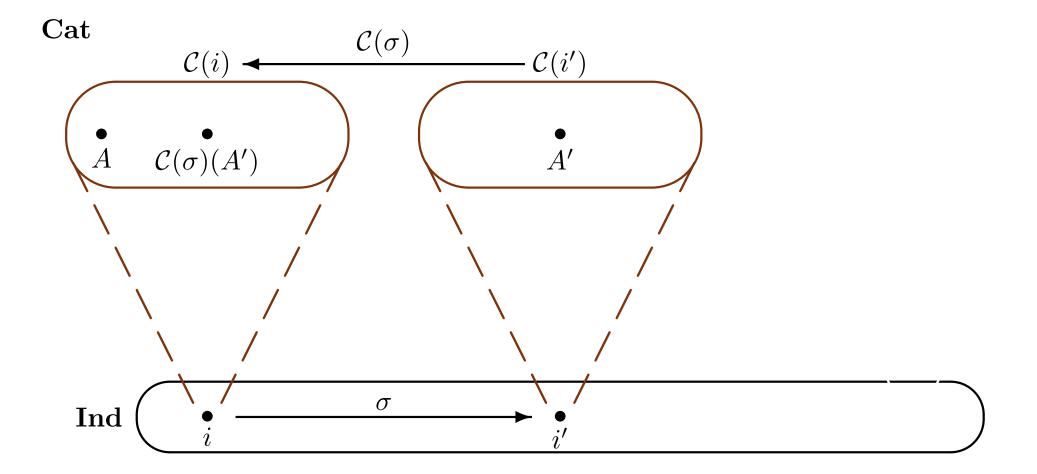
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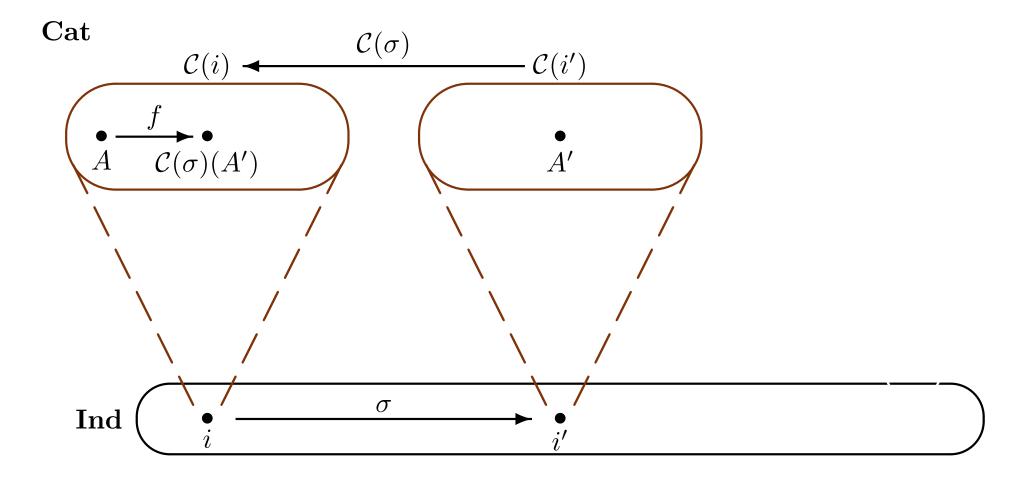
Standard example:  $Alg: AlgSig^{op} \rightarrow Cat$ 

The Grothendieck construction: Given  $\mathcal{C} \colon \mathbf{Ind}^{op} \to \mathbf{Cat}$ , define a category  $\mathbf{Flat}(\mathcal{C})$ :

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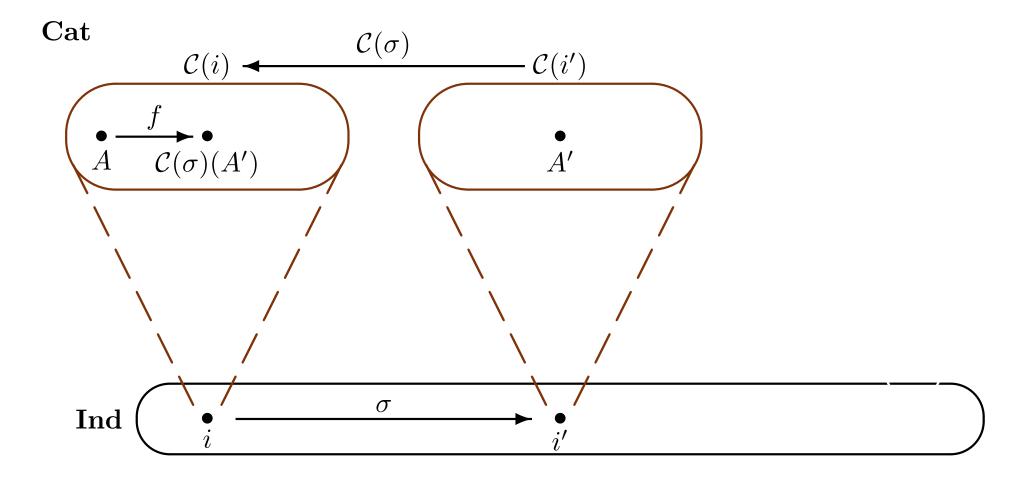
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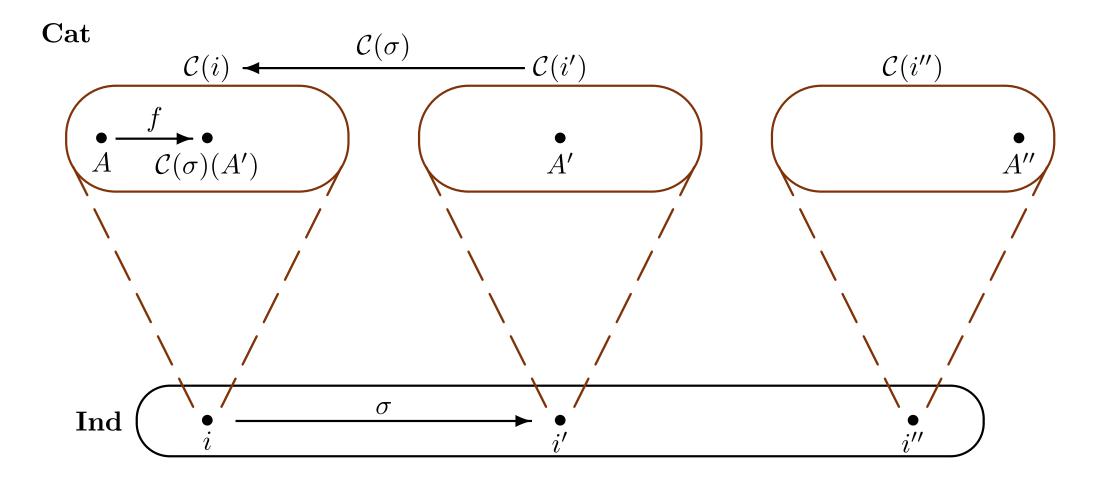
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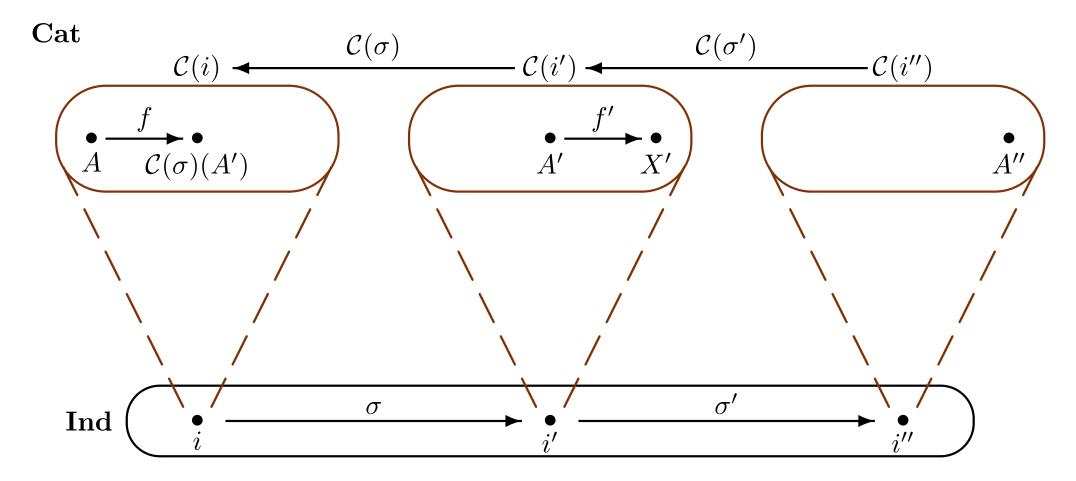
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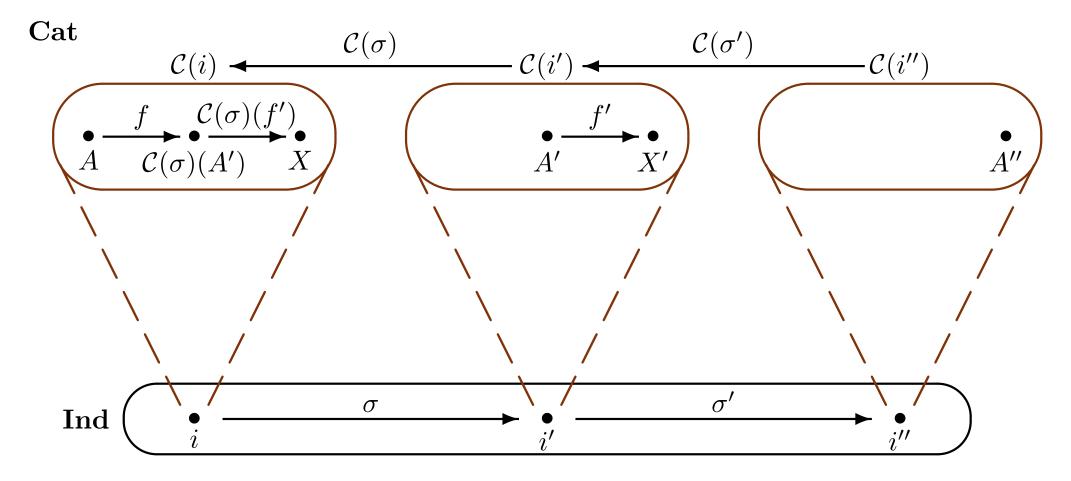
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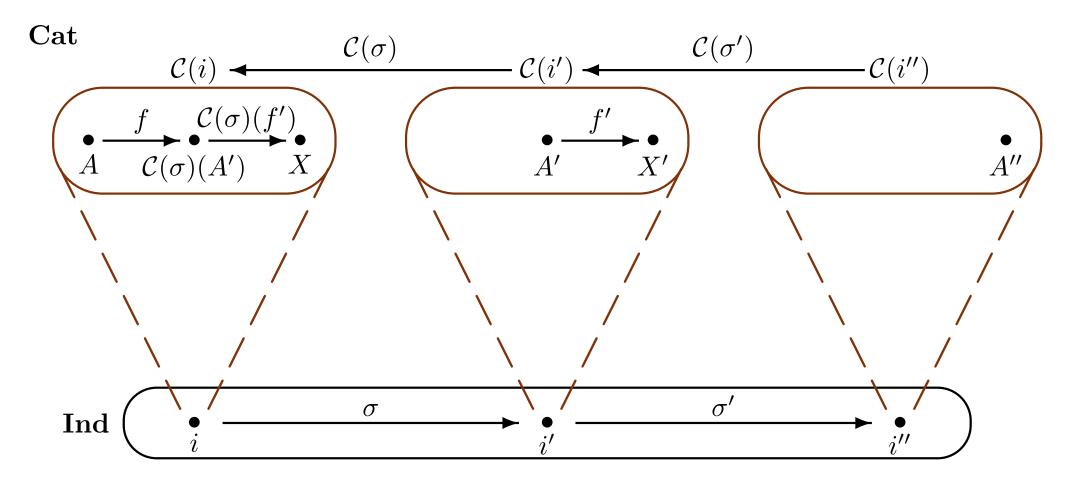




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This works fine, since  $C(\sigma; \sigma') = C(\sigma'); C(\sigma)$ , and so:

$$X = \mathcal{C}(\sigma)(\mathcal{C}(\sigma')(A'')) = \mathcal{C}(\sigma;\sigma')(A'')$$
, and so  $f:\mathcal{C}(\sigma)(f'): A \to \mathcal{C}(\sigma;\sigma')(A'')$ .

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Try to formulate and prove a theorem concerning cocompleteness of  $\mathbf{Flat}(\mathcal{C})$