3rd Summer School on Foundations of Programming and Software Systems



Nominal Techniques

Warsaw, 10-15 September, 2019

Summer Schools on Foundations of Programming and Software Systems



This time also by:







Probabilistic Programming







Our lecturers:

- Andrew M. Pitts:
 - Nominal sets and functional programming
- Mikołaj Bojańczyk: Computation theory with atoms
- Andrzej Murawski:
 - Nominal game semantics
- Maribel Fernández: Nominal rewriting and unification
- Johannes Borgström: Nominal process calculi and modal logics
- Murdoch J. Gabbay: Advanced nominal techniques
- Sławomir Lasota:

Computation theory with atoms II





Basic Nominal Techniques

Bartek Klin University of Warsaw

Warsaw, 10-11 September, 2019

Nominal techniques:

mathematics of, and computation with:

local names and name dependence

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local names and name dependence

highly symmetrical structures

Nominal techniques:



Nominal techniques:



Nominal techniques:



Concrete and abstract syntax



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Idea:

Let every expression come equipped with an explicit dependence on some names (or: atoms) that occur in it

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nominal sets

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What does it mean to depend on a name?

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A:

X depends on a name a if renaming a to any other name would alter X

Q: What does it mean to depend on a name?
A: X depends on a name a if renaming a to any other name would alter X

Idea revisited:

nominal sets

Let everything come equipped with information on how renaming names affects it

Nominal techniques:



atomic names: a, b, c, d, e, \ldots

- nodes: ab $a \neq b$
- edges: ab-bc $a \neq c$

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atomic names: a, b, c, d, e, \ldots - nodes: ab $a \neq b$ - edges: ab-bc $a \neq c$ abad acbabcbdatom renaming: h \boldsymbol{a} cdcbcadcdadb













Nominal techniques:


The same graph:

- nodes: ab
 edges: ab—bc

 $\begin{array}{c} a \neq b \\ a \neq c \end{array}$

The same graph:

- nodes: ab $a \neq b$
- edges: ab-bc $a \neq c$
- nodes: $\{(a,b): a, b \in \mathbb{A} : a \neq b\}$
- edges: $\{\{(a,b),(b,c)\}: a,b,c \in \mathbb{A}$
 - $: a \neq b \land b \neq c \land a \neq c \big\}$

The same graph:

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$$: a \neq b \land b \neq c \land a \neq c \}$$

Infinite, but presented by finite means

| - nodes: | ab | $a \neq b$ |
|----------|-------|------------|
| - edges: | ab-bc | $a \neq c$ |

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|----------|-------|------------|
| - edges: | ab-bc | $a \neq c$ |

Is it 3-colorable?





Is 3-colorability decidable?

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What is it all about?

Nominal techniques:

mathematics of, and computation with:



Computer Science 101

Theorem:

Every algorithm to sort n numbers must work in time $\Omega(n\log n)$.

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in the comparison model

Here, numbers are atoms accessible via relations:

This amounts to restricting the class of legal atom renamings.



Nominal Sets: Basic Defnitions

or: Sets with Atoms Nominal Sets: **Basic Defnitions**

Atoms

Let \mathbb{A} be an infinite, countable set of atoms.

 $a, b, c, d, e, \ldots \in \mathbb{A}$

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$$(\pi \cdot \sigma) \cdot \rho = \pi \cdot (\sigma \cdot \rho)$$
$$\pi \cdot \pi^{-1} = \mathrm{id}$$
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 $(a \ b) \in \operatorname{Aut}(\mathbb{A})$ - the swap of a and b

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the dot omitted frow now on

 $(a \ b) \in Aut(\mathbb{A})$ - the swap of a and b

For example:
$$(a \ b)(b \ c)(c \ a) = (b \ c)$$

 $(a \ b)^{-1} = (a \ b)$

A hierarchy of universes:

$$\begin{aligned} \mathcal{U}_0 &= \emptyset \\ \mathcal{U}_{\alpha+1} &= \mathcal{P}\mathcal{U}_{\alpha} \\ \mathcal{U}_{\beta} &= \bigcup_{\alpha < \beta} \mathcal{U}_{\alpha} \end{aligned}$$

defined for every ordinal number.

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Elements of sets are other sets, in a well founded way A hierarchy of universes:

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Elements of sets are other sets, in a well founded way

Every set sits somewhere in this hierarchy.

Sets with atoms

 \mathbbm{A} - a countable set of atoms

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A hierarchy of universes:

$$\mathcal{U}_{0} = \emptyset$$
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Elements of sets with atoms are atoms or other sets with atoms, in a well founded way

A canonical renaming action:

 $_{-}\cdot _{-}:\mathcal{U}\times \mathrm{Aut}(\mathbb{A})\rightarrow \mathcal{U}$

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A canonical renaming action: $_\cdot_: \mathcal{U} \times \operatorname{Aut}(\mathbb{A}) \to \mathcal{U}$ $a \cdot \pi = \pi(a)$ $X \cdot \pi = \{x \cdot \pi \mid x \in X\}$ This is a group action of $\operatorname{Aut}(\mathbb{A})$:

$$x \cdot (\pi\sigma) = (x \cdot \pi) \cdot \sigma$$
$$x \cdot \mathrm{id} = x$$

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Fact: For every π , the function $\ _\cdot\ \pi$ is a bijection on $\mathcal U$.

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$S \subseteq \mathbb{A}$ supports x if $\forall a \in S.\pi(a) = a$ implies $x \cdot \pi = x$

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 $\pi \in \operatorname{Aut}_S(\mathbb{A})$



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- has a finite support,
- every element of it has a finite support,
- and so on.



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- and so on.

A set is equivariant if it has empty support.

$a \in \mathbb{A} \quad \text{ is supported by } \quad \{a\}$

Examples

$a \in \mathbb{A}$ is supported by $\{a\}$

A is equivariant
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- $S \subseteq \mathbb{A} \quad \text{ is supported by } \quad S$

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Fact: $S\subseteq \mathbb{A}\,$ is fin. supp. iff it is finite or co-finite

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$$\begin{split} \mathbb{A}^{(2)} &= \{ (d, e) \mid d, e \in \mathbb{A}, d \neq e \} \text{ is equivariant} \\ \begin{pmatrix} \mathbb{A} \\ 2 \end{pmatrix} &= \{ \{d, e\} \mid d, e \in \mathbb{A}, d \neq e \} \text{ is equivariant} \end{split}$$



Basic Properties

Fact: if X and Y are legal sets then $X \cup Y$, $X \cap Y$, X + Y, $X \setminus Y$, $X \times Y$ are legal.

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Indeed: if

S supports X and T supports Y then $S \cup T$ supports $X \cup Y$, $X \cap Y$,... Fact: if X and Y are legal sets then $X \cup Y$, $X \cap Y$, X + Y, $X \setminus Y$, $X \times Y$ are legal. Indeed: if S supports X and T supports Y then $S \cup T$ supports $X \cup Y$, $X \cap Y$,... (But: $S \cap T$ does not support $X \cap Y$!)

Fact: if X and Y are legal sets then $X \cup Y$, $X \cap Y$, X + Y, $X \setminus Y$, $X \times Y$ are legal. Indeed: if S supports X and T supports Y then $S \cup T$ supports $X \cup Y$, $X \cap Y$,... (But: $S \cap T$ does not support $X \cap Y$!) Fact: if X is legal and $Y \subseteq X$ is finitely supported then Y is legal.

Define:

 $\mathcal{P}_{\mathrm{fs}}X = \{Y \subseteq X \mid Y \text{ is finitely supported } \}$

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Key step: if S supports X then $S \cdot \pi$ supports $X \cdot \pi$.

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Fact: if S supports X and $\pi|_S = \sigma|_S$ then $X \cdot \pi = X \cdot \sigma$.

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Proof: if
$$\pi|_S = \sigma|_S$$
 then $\pi \sigma^{-1} \in \operatorname{Aut}_S(\mathbb{A})$ so

$$X \cdot \sigma = (X \cdot \pi \sigma^{-1}) \cdot \sigma = X \cdot \pi$$

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NB. these proofs are "easy".

A (binary) relation is a set of pairs.

Let's see what equivariance means for such sets:

 $R\cdot \pi = R \qquad \text{iff} \qquad (x,y)\in R \Longrightarrow (x,y)\cdot \pi \in R$

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 $R\subseteq X\times Y$ is equivariant iff $xRy \ \ \text{implies} \ \ (x\cdot\pi)R(y\cdot\pi) \ \ \text{for all} \ \ \pi$

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 $R \subseteq X \times Y$ is equivariant iff xRy implies $(x \cdot \pi)R(y \cdot \pi)$ for all π

Similarly for S-supported relations, but for $\pi \in \operatorname{Aut}_S(\mathbb{A})$

Equivariant function

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Similarly for S-supported functions, but for $\pi \in \operatorname{Aut}_S(\mathbb{A})$

For fixed $2, 5 \in \mathbb{A}$:







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R , R^* are supported by $\{2,5\}$

- empty

- total

- equality

- inequality

- empty total
- equality inequality

No equivariant function from $\binom{\mathbb{A}}{2}$ to \mathbb{A} , but $\{(\{a,b\},a) \mid a,b \in \mathbb{A}\}$

is an equivariant relation.

- empty total
- equality inequality

No equivariant function from $\binom{\mathbb{A}}{2}$ to \mathbb{A} , but $\{(\{a,b\},a) \mid a,b \in \mathbb{A}\}$

is an equivariant relation.

Only equiv. functions from \mathbb{A}^2 to \mathbb{A} are projections Only equiv. function from \mathbb{A} to \mathbb{A}^2 is the diagonal A relation/function/... is equivariant iff it only "checks" equality of atoms, and does not mention specific atoms. A relation/function/... is equivariant iff it only "checks" equality of atoms, and does not mention specific atoms.

A relation/function/... supported by $S\,$, may additionally mention specific atoms from S .

Equivariant functions preserve supports

Fact: if S supports $x \in X$ and T supports $f: X \to Y$ then $S \cup T$ supports f(x).
Equivariant functions preserve supports

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Proof: $\operatorname{Aut}_{S\cup T}(\mathbb{A}) = \operatorname{Aut}_{S}(\mathbb{A}) \cap \operatorname{Aut}_{T}(\mathbb{A})$ so if $\pi \in \operatorname{Aut}_{S\cup T}(\mathbb{A})$ then $f(x) \cdot \pi = f(x \cdot \pi) = f(x)$

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then $f(x) \cdot \pi = f(x \cdot \pi) = f(x)$

NB. another "easy" proof.

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Fact: for finite S and T, if S supports X and T supports Xthen $S \cap T$ supports X. Fact: for finite S and T, if S supports X and T supports Xthen $S \cap T$ supports X.

So: every legal X has the least support supp(X).

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So: every legal X has the least support supp(X).

NB. This is harder to prove! One way: induction on $|S \triangle T|$.

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Assume S and T support X.



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Assume S and T support X. Goal: $S \setminus a$ supports X.



Assume S and T support X. Goal: $S \setminus a$ supports X.

Take any $\pi \in \operatorname{Aut}_{S \setminus a}(\mathbb{A})$.



Proof









$$X \cdot \pi = ((X \cdot \sigma) \cdot \sigma \pi \theta) \cdot \theta = X$$

$$(a, x) \approx (b, y) \iff x \cdot (a \ c) = y \cdot (b \ c)$$

for fresh c:

 $c \not\in \{a, b\} \cup \operatorname{supp}(x, y)$

$$\begin{array}{l} (a,x)\approx (b,y)\iff x\cdot (a\ c)=y\cdot (b\ c)\\ & \quad \mbox{for fresh }c:\\ & c\not\in\{a,b\}\cup {\rm supp}(x,y) \end{array}$$

Fact: \approx is an equivariant equivalence relation.

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Define:
$$[\mathbb{A}]X = (\mathbb{A} \times X)/_{\approx}$$

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Fact: \approx is an equivariant equivalence relation.

- **Define:** $[\mathbb{A}]X = (\mathbb{A} \times X)/_{\approx}$
- Fact: $[\mathbb{A}]X$ is an equivariant set. $supp([a, x]_{\approx}) = supp(x) \setminus \{a\}$

$$\begin{array}{ll} (a,x)\approx (b,y) \iff x\cdot (a\ c)=y\cdot (b\ c)\\ & \quad \mbox{for fresh }c:\\ & c\not\in\{a,b\}\cup {\rm supp}(x,y) \end{array}$$

Fact: \approx is an equivariant equivalence relation.

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Fact: $[\mathbb{A}]X$ is an equivariant set.

 $\operatorname{supp}([a, x]_{\approx}) = \operatorname{supp}(x) \setminus \{a\}$

lpha-equivalence

I. If S supports $f: X \to Y$ and T supports $g: Y \to Z$ then $S \cup T$ supports $f; g: X \to Z$.

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- 2. For an equivariant set X, the transitive closure function $(-)^* : \mathcal{P}_{\mathrm{fs}}(X \times X) \to \mathcal{P}_{\mathrm{fs}}(X \times X)$ is equivariant.

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- 3. For an equivariant set X, the least support function $\operatorname{supp}: X \to \mathcal{P}_{\operatorname{fin}} \mathbb{A}$ is equivariant.

4. In a finite equivariant set, every element is equivariant.